				Settlement /			More
Date	Defendant	Plaintiff	Court	Verdict	Amount	Reason	Information
12/20/2002	10 of the top 10 major Wall Street Firms	SEC		Settlement	\$1,400,000,000.00	Global Settlement-Conflicts of interest in most prestigious banks	http://www.globalp olicy.org/compone nt/content/article/2 21/46806.html
		US Government- US Treasury and					
		state and local				Yield burning-overcharging on	
4/7/2000	17 brokerage firms	governments		Settlement	\$139,000,000.00	bond deals	
			US District Court, New				http://securities.st anford.edu/1014/
7/26/2005	21st Century Holding Company	Class action	York	Settlement	\$525,000.00	Securities violations	TCHC00/
			US District			False and misleading statements caused artificial	http://securities.st anford.edu/1037/
9/9/2009	21st Century Holding Company	Class action	Court, Florida	Settlement	\$2,240,000.00	stock price inflation	TCHC_01/
	26 banks including Canadian Imperial Bank of Commerce	Global Crossing				Companies were involved in	
7/27/2006	and Goldman Sachs	SH		Settlement		Global Crossing's bankruptcy	

7/8/2002	2TheMart.com	Class action	US District Court, California	Settlement		Securities violations- disseminating false and misleading information	http://securities.st anford.edu/1009/ TMRT99/
5/18/2006	360Networks, Inc.	Class action	US District Court, New York	Settlement		Accounting fraud and material omissions about company's financial condition	http://securities.st anford.edu/1024/ SIXQPK02-01/
	38 banks including Bank of	Adelphia Communications SH action	US District Court, New York	Settlement		Banks were involved with Adelphia Communications which collapsed due to fraudulent accounting	http://www.big4.co m/view_letter/365/ deloitte_pays_210 _million_to_settle _adelphia_case_ 45_of_total_sum. html
11/28/2000	3Com Corporation	Class action	US District Court, California	Settlement		Company's false and misleading statements caused artificial stock price inflation	http://securities.st anford.edu/1009/ COMS97/
8/28/2006	3M	Class action-large volume retailers	US District Court, Pennsylvania	Settlement	\$28,800,000.00	Antitrust violations-price fixing and monopolistic behavior	http://twincities.bizj ournals.com/twinci ties/stories/2006/0 8/28/daily1.html?q =3M%20sued
10/1/1999	зм	LaPage		Verdict		Antitrust violations- monopolizing the market for transparent tape	http://www.law.co m/jsp/article.jsp?id =1179479105233 &pos=ataglance
	3M	Class action-direct purchasers and retailers		Settlement		Antitrust violationsabusing its monopoly power in the market for transparent tape by driving a competitor out of business.	http://www.law.co m/jsp/article.jsp?id =1179479105233 &pos=ataglance
2/9/2007	99 Cents Only Stores	Class action	US District Court, California	Settlement		Company's false and misleading statements caused artificial stock price inflation	http://securities.st anford.edu/1034/ NDN04_01/

1		1		T	1
				company failed to reasonably	http://stlouis.bizjou rnals.com/stlouis/s
050					tories/2007/04/30/
SEC		Settlement	\$3,860,000.00	market timing of mutual funds.	daily41.html
	LIC Dietriet			Making material minetatements	http://securities.st
				_	
Class setion		Cattlament	¢1 500 000 00		ATX00_01/index.
riparry Class action		Settlement	\$1,500,000.00	and statements	http://securities.st
Securities class				Misrepresentation and failure	anford.edu/1029/
		Settlement			AAII04-01/
, action		Octionion	ψ1,550,000.00	to disclose adverse information	http://securities.st
Consolidated					anford.edu/1009/
	California	Settlement	\$4.625.000.00	Securities violations	AAM97/
			+ //		http://securities.st
Consolidated	US District				anford.edu/1030/
tion class actions	Court, Texas	Settlement	\$900,000.00		ABIX04-01/
					http://securities.st
				Problematic audit by the FDA,	anford.edu/1009/
ories FDA		Fine	\$100,000,000.00	quality control deficiencies	ABT99/
					http://www.lawyers
					andsettlements.co
					m/settlements/036
naceuticals Class action		Settlement	\$30,700,000.00		86/hytrin.html
					http://securities.st
		0 - 111 1			anford.edu/1009/
nd Fitch class actions	Court, Onio	Settlement	\$6,050,000.00	adverse information	ANF99/
Class action-led				Bank should have known	
comptroller		Settlement	\$278,400,000.00		
t	tories FDA  tories and Class action  Consolidated class actions  Class actions  Class actions	mpany  Class action  US District Court, Rhode Island  US District Court, North Carolina  US District Court, North Carolina  US District Court, Carolina  US District Court, Carolina  US District Court, California  Consolidated class action  US District Court, California  Consolidated Class actions  Court, Texas  Court, Texas  Court, Texas  Court, Texas  Court, Texas  Consolidated Court, Texas  Court, Texas  Court, Ohio  Class action-led by New York State	US District Court, Rhode Island Settlement US District Court, North Carolina Settlement US District Court, North Carolina Settlement US District Court, California Settlement US District Court, California Settlement US District Court, California Settlement Settlement US District Court, California Settlement Settlement US District Court, California Settlement Settlement US District Court, Texas Settlement	SEC  Settlement  \$3,860,000.00  US District Court, Rhode Island Settlement  \$1,500,000.00  US District Court, North Carolina US District Court, North Carolina US District Court, Court, Court, Colass action  Consolidated Court, California Settlement  \$4,625,000.00  US District Court, Court, California Settlement  \$4,625,000.00  tories  FDA  Fine  \$100,000,000.00  tories and naceuticals  Class action  Consolidated Court, Texas Settlement  \$30,700,000.00  Settlement  \$30,700,000.00  Settlement  \$4,625,000.00  Settlement  \$4,625,000	SEC  Settlement  S

1		1	1	1	T		T
			US District			Initial public offering contained	h ttp://o.o.o.witioo.ot
						untrue statements of material	http://securities.st
1/10/0001		Consolidated	Court,			fact and omitted to state	anford.edu/1034/
4/19/2001	Accelerated Networks, Inc.	class actions	California	Settlement	\$8,000,000.00	material facts	ACCLE01_01/
		Consolidated	US District				http://securities.st
		securities class	Court, New			Issuing materially false and	anford.edu/1027/
5/16/2007	Acclaim Entertainment	actions	York	Settlement	\$13,650,000.00	misleading statements	AKLM03-01/
			US District			False and misleading	http://securities.st
	Accredited Home Lenders		Court,			statements caused artificially	anford.edu/1037/L
3/16/2007	Holding Company	Class action	California	Settlement		inflated stock prices	END_01/
			US District			Material misrepresentations	http://securities.st
			Court,			caused artificially inflated stock	
4/8/2003	Accredo Health, Inc.	Class action	Tennessee	Settlement	\$33,000,000.00	prices	ACDO03-01/
			US District			Revenues and earnings were	http://securities.st
			Court,			inflated by affirmative	anford.edu/1032/
10/18/2004	ACE Limited	Class action	Pennsylvania	Settlement	\$1,950,000.00	statements and omissions	ACE04_01/
			US District				http://securities.st
			Court, New				anford.edu/1023/
12/21/2001	ACLN, Ltd.	Class action	York	Settlement	\$10,000,000.00	Securities violations	ASW01-01/
							http://securities.st
	Acrodyne Communications,		US District			Overstating inventory and	anford.edu/1015/
7/26/2001	Inc.	Class action	Court, Maryland	Settlement	\$750,000.00	gross profits	ACRO00/
			US District				http://securities.st
		Consolidated	Court,			Financial results were	anford.edu/1010/
12/29/1998	ADAC Laboratories, Inc.	class actions	California	Settlement	\$20,000,000.00	materially false and misleading	ADAC98/
						Company provided materially	
			US District			false and misleading	
			Court,			information which led to	
12/12/2003	Adaptive Broadband Corp.	Class action	California	Settlement	\$8,212,500.00	artificially inflated stock prices	
4/27/2005	Adelphia Communications	SEC and DOJ		Settlement	\$715,000,000.00	Management fraud	http://www.lawyers

	T	T	F				1
3/9/2007	ADESA, Inc.	SH action	Delaware Court of Chancery	Settlement	\$340,000.00	Breach of duty in connection to merger	3&newsLang=en
	Advance America, Cash Advance Centers, Inc	Class action	Georgia State Court	Settlement	\$2,000,000.00	Multiple claims in connection with originating, marketing, or servicing any loan in Georgia.	http://www.earthti mes.org/articles/s how/advance- america- announces- settlement- in,709951.shtml
	Advanced Fibre Communications, Inc.	Class action	US District Court, California	Settlement	\$20,000,000.00	company's financial condition and prospects	http://securities.st anford.edu/1010/ AFCI98/
	Advanced Lighting Technologies, Inc.	Class action	US District Court, Ohio	Settlement		caused artificially inflated stock	http://securities.st anford.edu/1010/ ADLT99/
5/22/2006	Advanced Marketing Services	Class action	US District Court, California	Settlement		Fraud and accounting scandal based on an overstatement of pre-tax earnings	http://www.lawyers andsettlements.co m/settlements/055 76/ams_settlemen t.html
	Advanced Neuromodulation Systems, Inc.	Class action	US District Court, Texas	Settlement	\$3,000,000.00	Quarterly press releases were false and misleading Securities violations by issuing	http://securities.st anford.edu/1033/ ANSI05_01/
11/27/2002	Advanced Technical Products, Inc.	Class action	US District Court, Georgia	Settlement		false and misleading	anford.edu/1014/ ATPXE00/

							http://www.lawyers andsettlements.co
							m/settlements/131
							77/advocate-
			US District			Overcharging uninsured	settlement-
2/25/2009	Advocate Health Care	Class action	Court, Illinois	Settlement	\$3,500,000.00	patients	approved.html
						False and material	http://securities.st
		Consolidated	US District			misrepresentations artificially	anford.edu/1029/
11/12/2003	Aerosonic Corporation	class actions	Court, Florida	Settlement		inflated stock prices	AIM03-01/
11/12/2003	Acrosome Corporation	CIASS ACTIONS	Oodit, i lolida	Octionion		Materially false and misleading	
			US District			statements omitted adverse	anford.edu/1025/
10/7/2002	AES Corporation	Class action	Court, Virginia	Settlement	\$5,000,000.00		AES02-02/
10/1/2002	/ LO Corporation	Ciaco action	US District	Cottomont		Material misrepresentations	http://securities.st
		Securities class	Court,			and omissions regarding	anford.edu/1010/
11/5/1997	Aetna Inc.	action	Pennsylvania	Settlement		matters including a merger	AET97/
, .,	r touria mor	G0011	- crinicy runniu	•	ψοσ,σοσ,σοσ.σο	matters in ordaining a mistiger	http://securities.st
			US District			Improper accounting artificially	anford.edu/1027/
4/25/2005	AFC Enterprises Inc.	Class action	Court, Georgia	Settlement		increased stock prices	AFCE03-01/
	·		US District			Officers materially overstated	http://securities.st
			Court, New			the financial earnings of the	anford.edu/1010/
12/1/1998	Aid Auto Stores, Inc.	Class action	York	Settlement	\$525,000.00		AIDA98/
						Compensation for damages	http://www.lawyers
10/29/2008	AIG	Class action		settlement	\$97,500,000.00	due to securities violations	andsettlements.co
							http://www.upi.co
							m/Business_New
						Company paid millions of	s/2008/12/18/For
						dollars in hidden commissions	mer-AIG-execs-
		Teachers				and fees, for unnecessary	settle-suit-for-
		Retirement				work, to a financial services	115m/UPI-
		System of	Delaware Court			firm which the CEO was an	28091229633321
12/18/2008	AIG	Louisiana	of Chancery	Settlement	\$115,000,000.00		/
						Regulatory issues including	
		US regulators-				improper accounting, bid-	
		SEC and New				rigging and skipped payments	http://www.sec.go
		York Attorney				to state workers' compensation	v/news/press/200
2/6/2006	AIG	General		Settlement	\$1,640,000,000.00	funds.	6-19.htm

		1					
			US District				
			Court,			Involvement with PNC	
12/24/2004	AIG		Pennsylvania	Settlement		Financial Services Group	
12/2 1/2001	7.110	Class action-9	1 omioyivama	Cottlorion	ψ 1,000,000.00	Timanolai Corvioco Croap	http://archives.star
		states and the					bulletin.com/2008/
		District of					01/30/news/story0
1/30/2008	AIG	Columbia		Settlement	\$12,500,000.00	Price fixing	8.html
							http://www.sec.go
							v/news/press/200
11/24/2004	AIG	SEC		Fine		Company fraudulently helped th	
							http://www.lawyers
							andsettlements.co
						insurance products that shifted losses off of client balance	59/aig_securities.
1/10/2005	AIG	SEC and DOJ		Settlement	\$126,000,000.00		html
1/10/2003		SEC AND DOJ		Semement	φ120,000,000.00	3110013	ITUTII
							http://www.lawyers
							andsettlements.co
							m/settlements/097
							28/ainsworth-
10/19/2007	Ainsworth	Class action		Settlement	\$8,600,000.00	Antitrust Violations	antitrust.html

							http://www.lawyers andsettlements.co m/settlements/019
12/5/2003 A	Ajinomoto Co., Inc. and Ajinomo	Class action		Settlement	\$8,200,000.00	Price fixing	72/msglitigation.ht ml
			US District Court, Ohio	Settlement		Securities violations-Company made false and misleading statements about its ability to renegotiate its contracts	http://securities.st anford.edu/1014/ AKS00/
	v .	Commodity Futures Trading Commission	Court, Orno	Settlement		Unauthorized trading and failing to properly supervise brokers dealing with customer	http://www.chicag obusiness.com/cgi- bin/news.pl?id=29 082
9/18/1998 A	·	Class action	US District Court, Texas	Settlement		Securities violations by concealing poor results and inflating stock prices	http://securities.st anford.edu/1015/ ALA98/
	Aldo Labiad, A.C.L.N. Ltd. and Scandinavian Car Carriers A/S.	SEC		Settlement	\$27,600,000.00	Securities violations	http://www.prnews wire.com/cgi- bin/stories.pl?AC
8/5/2003 A	Allaire Corporation.		US District Court, Massachusetts	Settlement	\$12,026,000.00	Securities violations	http://securities.st anford.edu/1015/ ALLR00/
0/00/0005			US District Court, Maryland	Outland		Lynch, as well as other internal control and accounting	andsettlements.co

		ı	I	1			1
6/15/2004	Alliance Gaming Corp.	Class action	US District Court, Nevada	Settlement		Officers and directors caused shares to trade at artificially inflated levels through the issuance of false and misleading statements regarding the Company's business prospects	http://securities.st anford.edu/1031/ AGI04-01/
	Alliance Pharmaceutical Corporation	Class action	US District Court, New York	Settlement		Company violated federal securities laws by issuing materially false and misleading registration statements in connection with its merger with Molecular Biosystems.	http://securities.st anford.edu/1017/ ALLP01/
7/19/2006	Allied Irish Banks, PLC	Class action	US District Court, New York	Settlement		Financial reports fraudulently failed to include material losses	http://securities.st anford.edu/1023/ AIB02-01/
5/28/1999	Allied Products Corporation	Class action	US District Court, Illinois	Settlement	\$3,700,000.00	Issuing false and misleading statements	http://securities.st anford.edu/1010/ ADP99/
	Allied World Health Assurance Co. Holdings Ltd.	Texas Attorney General		Settlement		Company illegally coordinated bids on excess casualty placements with principal shareholder American International Group Inc.	
1/4/2005	Alloy, Inc.	SH class action	US District Court, New York	Settlement		Issuing materially false and misleading statements	http://securities.st anford.edu/1027/ ALOY03- 01/index.html

9/11/2009	Allstate	Class action/EEOC		Settlement	\$4,500,000.00	Age discrimination	http://blog.taragan a.com/law/2009/0 9/11/allstate- agrees-to-45- million-settlement- with-about-90- former-employees- in-age-bias-case- 12267/
3/16/1998	Altris Software		US District Court, California	Settlement		Company publicly touted the Company's financial growth and its record revenues, while failing to disclose numerous accounting irregularities that caused the Company to substantially overstate its earnings	http://securities.st anford.edu/1010/ ALTSE98/
3/12/2005	Amazon.com	SH action	US District Court, Washington	Settlement		Company misled investors about inflated stock prices during the internet boom	http://www.lawyers andsettlements.co m/settlements/031 39/amazon_securi ties.html
10/20/2005	Amazon.com	Purchasers of 6.875% Premium Adjustable Convertible Securities	US District Court, Washington	Settlement		Providing materially false and misleading information regarding the revenues to be received by Amazon under agreements reached between the Company and its partners	http://securities.st anford.edu/1038/ AMZN_02/
	Ambac Financial Group Inc.	Citigroup		Settlement/Payme		Company insured Citigroup CDOs that were backed by subprime mortgages	

4/16/2007	Amerada Hess Corporation	Class action	US District Court, New Jersey	Settlement	\$9,000,000.00	Securities violations related to the acquisition of Triton Energy Ltd.	http://securities.st anford.edu/1025/ AHC02-01/
1/28/2003	AMERCO, Inc.	Class action	US District Court, Nevada	Settlement		Company violated the federal securities laws by issuing false and misleading statements	http://securities.st anford.edu/1026/ UHAL03-01/
5/8/2008	America Online	State of New Mexico		Settlement	\$16,000,000.00	Compensation for lost investments that stemmed from Time Warner being acquired in 2001 by America Online.	
2/24/1997	America Online, Inc.	Class action	US District Court, Virginia	Settlement	\$10,500,000.00	Securities violations	anford.edu/1010/ AOL97/
2/13/2005	America West Airlines	SH action	US District Court, Arizona	Settlement	\$15,000,000.00	Artificially inflated value of the company.	http://www.lawyers andsettlements.co m/settlements/029 66/america_west. html
8/15/2008	American Airlines	FAA		Fines		Violating employee drug- and alcohol-testing procedures and knowingly flying airplanes that broke maintenance regulations.	http://www.corpwa tch.org/article.php ?id=15158
1/19/1999	American Bank Note Holographics, Inc.	Class action	US District Court, New York	Settlement		Disseminating a materially false and misleading Registration Statement, press releases and public statements	http://securities.st anford.edu/1011/ ABH99/
	American Business Financial Services, Inc.	Class action	US District Court, Pennsylvania	Settlement		Statements and press releases omitted materially adverse	
3/4/2004	American Express Financial Advisors, Inc.	Class action	US District Court, New York	Settlement		Violation of federal securities laws and breached fiduciary duties by perpetrating a scheme to defraud its investing	http://securities.st anford.edu/1030/ AXP04-01/

6/17/2002	American Express Financial Advisors, Inc.	Class action	US District Court, District of Columbia	Settlement	\$31,000,000.00	Age and sex discrimination	http://www.allbusin ess.com/legal/civil- procedure- consent-decrees- orders/5962501- 1.html
5/16/1997	American Image Motor Company, Inc.	Class action	US District Court, New York	Settlement		Company sold sold unregistered securities, made materially false and misleading statements and otherwise manipulated the market for American Image stock	http://securities.st anford.edu/1011/ USMC97/
2/12/2008	American Italian Pasta Co.	SH action	Kansas City,	Settlement		Misrepresentation of fiscal data and providing misleading information showing that the company was doing well even though it was not.	http://www.flex- news- food.com/pages/1 4216/Pasta/USA/ american-italian- pasta-company- announces-final- court-approval- federal-securities- class-action.html
	American Management Systems, Inc.	State of Mississippi		Settlement		Company failed to deliver on its	http://news.cnet.c om/American- Management- Systems-gains-on- settlement- news/2100-12_3-
12/5/2006	American Stock Exchange, et. al.	Class action	US District Court, New York	Settlement		Antitrust violations-restricting the listing and trading of some stock option contracts to a single exchange which limited competition and increased trading costs	http://www.lawyers andsettlements.co m/settlements/067 92/stock-option- antitrust.html

	T	1	1	1	Г	<u></u>	1
8/22/2008	American Tower Corp.	Class action	US District Court, Massachusetts	Settlement	\$14,000,000.00	Violating the federal securities laws in connection with public statements by the Company relating to its stock option practices and related accounting.	http://securities.st anford.edu/1036/ AMT_01/
	AmeriDebt, Inc. and DebtWorks, Inc.	FTC and class action		Settlement		Engaging in deceptive practices in offering and promoting credit counseling and debt management plans	http://www.ftc.gov/ opa/2008/09/amer idebt.shtm
2/13/2007	Amerigroup	Class action	US District Court, Virginia	Settlement	\$5,000,000.00	Materially false and misleading statements caused shares to be traded at artificially inflated levels	http://securities.st anford.edu/1035/ AGP05_01/
4/9/2008	Ameriprise	New Hampshire State Regulators		Settlement		Failing to report significant information about securities violations, including forgeries, as required by a previous settlement three years ago.	http://www.money- analyse.com/story /finance/asset- management/188 35.html
1/23/2006	Ameriquest	New York Attorney General		Settlement	\$325,000,000.00	Using a variety of unlawful lending practices	
6/25/2004	AMF Bowling	Class action	US District Court, New York	Settlement		Disseminating inaccurate and misleading disclosures in connection with and following the Initial Public Offering.	http://securities.st anford.edu/1011/ PIN99/

	T	1	I	1		ı
1/23/2006	Amkor Technology, Inc.	Class action	US District Court, Pennsylvania	Settlement	Company's increasing financial	http://securities.st anford.edu/1035/ AMKR_01/
3/20/1998	AML Communications, Inc.	Class action	US District Court, Pennsylvania	Settlement	Company made overly optimistic statements regarding its financial performance	http://securities.st anford.edu/1011/ AMLJ98/
9/16/1999	Amplidyne, Inc.	Class action	US District Court, New Jersey	Settlement	at less cost than wired Internet	http://securities.st anford.edu/1011/ AMPD99/
11/18/2004	Amylin Pharmaceuticals, Inc.	Class action	US District Court, California	Settlement	Securities violations	http://securities.st anford.edu/news- archive/2004/200 41020_Settlement 100090_Staff.htm
3/2/1998	Anadigics, Inc.	Class action	US District Court, New Jersey	Settlement	the Company's financial	http://securities.st anford.edu/1011/ ANAD98/

	1		1		T		
2/2/2000	Analytical Surveys, Inc.	Class action	US District Court, Indiana	Settlement		Company issued materially false and misleading financial statements that violated generally accepted accounting principles (GAAP) and other financial reporting standards by materially overstating the Company's revenues, income and earnings.	http://securities.st anford.edu/1013/ ANLT00/
11/23/2004	Anchor Glass Container Corporation	Class action	US District Court, Florida	Settlement		Statements issued during the Class Period were materially false and misleading	http://securities.st anford.edu/1033/ AGCC04_01/
7/24/1997	Ancor Communications	Class action	US District Court, Minnesota	Settlement	\$1,650,000.00	Securities violations-making false and misleading statements	http://securities.st anford.edu/1011/ ANCR97/
10/11/2005	Andrx Corporation	Class action	US District Court, Florida	Settlement		Company failed to disclose materially adverse information	http://securities.st anford.edu/1035/ ADRX05_01/
7/20/2000	Anicom, Inc.	Class action	US District Court, Illinois	Settlement		Company issued a series of false and misleading statements during the Class Period that materially overstated Anicom's revenues, income and earnings growth.	http://securities.st anford.edu/1014/ ANIC00/
6/8/2000	Anika Therapeutics, Inc.	Class action	US District Court, Massachusetts	Settlement		Issuing materially false and misleading statements	http://securities.st anford.edu/1014/ ANIK00/
4/25/1996	AnnTaylor Stores Corporation	Class action	US District Court, New York	Settlement	\$7,000,000.00	Securities violations	http://securities.st anford.edu/1011/ ANN96/
7/20/2004	Annuity and Life Re (Holdings), Ltd.	Consolidated class action	US District Court, Connecticut	Settlement		Securities violations-issuing false and misleading information artificially inflating the price of securities.	http://securities.st anford.edu/1026/ ANR02-01/

						Company issued a series of	http://securities.st
			US District			materially misleading	anford.edu/1025/
8/8/2002	Aon Corporation	Class action	Court, Illinois	Settlement	\$7,250,000.00		AOC02-01/
	,						http://www.reuters.
							com/article/rbssC
							onsumerGoodsAn
							dRetailNews/idUS
			US District				N0229749020080
5/2/2008	Apollo	SH action	Court, Arizona	Settlement	\$2,750,000.00	Stock options backdating	503
							http://securities.st
			US District			Fraudulent misrepresentations	anford.edu/1011/
9/22/1997	Apple South, Inc.	Class action	Court, Georgia	Settlement	\$2,500,000.00		APSO97/
						Issuing materially false and	
						misleading statements that	http://securities.st
			US District			failed to include adverse	anford.edu/1035/
1/4/2008	Applica Incorporated	Class action	Court, Florida	Settlement	\$2,000,000.00		APN_01/
		Pacific Decision				Breach of contract and the	http://www.lawyers
		Sciences Corp.					andsettlements.co
10/4/2007	Applied Digital Solutions, Inc.	SH		Settlement	\$2,100,000.00	and fair dealing	m/settlements/095
						Company issued a series of	http://securities.st
			US District			materially false and misleading	anford.edu/1024/
3/19/2004	Applied Digital Solutions, Inc.	Class action	Court, Florida	Settlement	\$5,600,000.00	information	ADSXE02-01/
			US District				http://securities.st
	Applied Micro Circuits		Court,			Company misrepresented its	anford.edu/1017/
4/12/2001	Corporation	Class action	California	Settlement	\$60,000,000.00	operations and prospects	AMCC01/
			US District			Company failed to disclose and	'
	l <u>.</u>		Court,			misrepresented material	anford.edu/1033/
3/11/2005	Applied Signal Technology, Inc.	Class action	California	Settlement	\$2,700,000.00		APSG05_01/
			US District			Involvement in a fraudulent	http://securities.st
			Court,		0.40.000.000	scheme involving the merger	anford.edu/1011/
11/14/2002	Apria Healthcare, Inc.	Class action	California	Settlement	\$42,000,000.00	that formed the company	AHG98/
			US District				http://securities.st
0/4/0634	l		Court,	0 "1 "	<b>#</b> 4.500.000.00	Making false statements during	
3/1/2004	Apropos Technology, Inc	Class action	California	Settlement	\$4,500,000.00	company's IPO	APRS01/

7/25/2007	Aquila Inc.	Securities class action	US District Court, Kansas	Settlement	\$10,500,000.00	Company should have recommended dumping its stock from employees' retirement funds during its risky boom years.	http://www.lawyers andsettlements.co m/settlements/087 96/aquila- retirement- settlement.html
2/9/2006	Aquila Inc.	Class action	US District Court, Missouri	Settlement	\$1,000,000.00	Failure to form an audit committee allowed UtiliCorp to buy back the stock at a less than optimum price per share.	http://securities.st anford.edu/1024/I LA02-01/
4/13/2007	Aramark Corp.	SH class action	Delaware Chancery Court	Settlement	\$222,000,000.00	Price of shares bought were too low	http://www.eureka privateequity.com/ All_News.asp?id= 193
3/22/1996	Archer-Daniels-Midland Company	Class action	US District Court, Illinois	Settlement	\$45,000,000.00	Price fixing	http://www.nytime s.com/1996/05/22 /business/judge- backs-settlement- in-archer- lawsuit.html?scp= 19&sq=lawsuit%2 0and%20settleme nt&st=Search
8/28/2008	Aristocrat Leisure Limited	SH action	Australian federal court	Settlement	\$144,500,000.00	False and misleading profit statements led to dramatic stock declines	http://www.mauric eblackburn.com.a u/areas/class_acti ons/current/aristo crat.asp
5/17/2006	ARM Financial Group Inc.	Class action	US District Court, Kentucky	Settlement	\$3,000,000.00	Investors were misled about the financial stability of ARM, a publicly traded financial services company	http://sanantonio.b izjournals.com/loui sville/stories/2006 /05/15/daily8.html

		1			1		1
							http://securities.st anford.edu/news-
			US District				archive/2003/200
	Artemis International Solutions		Court, New				30618_Settlement
6/18/2003	Corporation	Class action	York	Settlement	\$550,000.00	Securities violations	06_Staff.htm
						Liability for its involvement with	
		Chase Manhattan				Drysdale Government	
10/16/1984	Arthur Andersen & Company	Bank		Settlement	\$45,000,000.00	Securities which went bankrupt	nytimes.com
40/40/4004				N/ E/		Improperly auditing the mutual	
10/16/1984	Arthur Andersen & Company	Fund of Funds		Verdict	\$80,700,000.00	fund's books in the late 1960's	nytimes.com
							http://www.lawyers andsettlements.co
							m/settlements/031
						Auditor for Waste	85/wastemanage
		Waste	US District			Management failed to discover	mentsettlement.ht
11/0/2001	Arthur Andersen LLP		Court, Texas	Settlement		securities violations	ml
11/9/2001	Altiful Alidersell LLF	Management 311	Court, Texas	Settlement	\$220,000,000.00	securities violations	1111
			US District			Auditor for WorldCom violated	http://securities.st
			Court,			accounting principles and	anford.edu/1025/
6/27/2002	Arthur Andersen, LLP	WorldCom SH	Mississippi	Settlement		failed to disclose fraud	WCOM02-04/
6/21/2002	7 7		US District		<del>\$00,000,000.00</del>		http://securities.st
		McKesson SH	Court,				anford.edu/1004/
2/14/2008	Arthur Andersen, LLP	action	California	Settlement	\$72,500,000.00	Auditor for McKesson HBOC	MCK99/
	,				, , ,		http://www.nytime
							s.com/2002/08/10
							/business/judge-
							approves-
			US District			Auditor for Sunbeam failed to	sunbeam-
9/30/2002	Arthur Andersen, LLP	Class action	Court, Florida	Settlement	\$110,000,000.00	discover securities fraud	settlement.html
						Securities violations-	
			US District			involvement with a fraudulent	http://securities.st
			Court,			scheme to artificially inflate	anford.edu/1011/
12/2/1997	Ascend Communications	Class action	California	Settlement	\$5,450,000.00	stock prices	ASND97/
			US District				http://securities.st
	Ashanti Goldfields Company		Court, New			Issuing false and misleading	anford.edu/1013/
2/3/2000	Limited	Class action	York	Settlement	\$15,000,000.00	statements	ASL00/

		1	1	1			h-ttm.//
							http://www.lawyers
							andsettlements.co
			US District				m/settlements/023
			Court,				07/ashworthinc.ht
7/7/2004	Ashworth, Inc.	Class action	California	Settlement	\$15,250,000.00	Securities violations	ml
			US District			Material misrepresentations	http://securities.st
			Court, New			caused artificially inflated stock	
3/3/2006	Asia Pulp & Paper Company	Class action	York	Settlement	\$46,000,000.00	prices	PAP01/
							http://www.lawyers
							andsettlements.co
			US District				m/settlements/046
			Court,				13/aspen_tech.ht
11/23/2005	Aspen Technologies Inc.	Class action	Massachusetts	Settlement	\$5,600,000.00	Overstating financial results	ml
							l- 11 //
							http://www.lawyers
							andsettlements.co
							m/settlements/034
		Securities class	US District			Restatements of previously	42/assistedlivings
4/28/2005	Assisted Living Concepts Inc	action	Court, Oregon	Settlement	\$30,000,000.00	released financial information	ecurities.html
							http://www.lawyers
							andsettlements.co
			US District				m/settlements/098
	AstraZeneca and Bristol-Myers		Court,				78/az-bms-drug-
11/2/2007	•	Class action	Massachusetts	Settlement	\$14,000,000,00	Drug price inflation	pricing.html
11/2/2007	Squibb	Class action	US District	Settlement	\$14,000,000.00	Drug price irriation	http://securities.st
			Court,				anford.edu/1027/
2/7/2002	Astro Dower Inc	Class action	Delaware	Settlement	£1 000 000 00	Convition froud	APWR03-01/
3/1/2003	AstroPower, Inc.	Ciass action	Delaware	Settlement	\$1,000,000.00	Securities fraud	http://www.lawyers
							andsettlements.co
		Class action-					
							m/settlements/030
0/0/0005	ATOT	Massachusetts		Cattleman	ΦΕΩΕ 000 00	Or combilling or	04/att_overbilling.
2/9/2005	AI&I	customers	LIO District	Settlement	\$585,000.00	Overbilling	html
			US District			NAC ACTION OF	http://securities.st
1/0=/04:=	ATOT 0		Court, New		0.000.000.000	Misinformation caused	anford.edu/1016/
4/25/2005	AT&T Corporation	Class action	Jersey	Settlement	\$100,000,000.00	artificially inflated stocks	T00/

	1		1		1	<u> </u>	
						Registration Statement and	
			US District			Prospectus for the Tracking	http://securities.st
		Wireless group	Court, New			Stock which failed to disclose	anford.edu/1016/
10/20/2006	AT&T Corporation	SH	York	Settlement	\$150,000,000.00	ongoing business problems	AWE00/
							http://securities.st
			US District				anford.edu/1016/
7/10/2002	Atchison Casting Corporation	Class action	Court, Kansas	Settlement	\$1,800,000.00	Securities violations	FDY2001/
			US District				http://securities.st
			Court,			Issuing a series of material	anford.edu/1018/
5/23/2001	ATI Technologies	Class action	Pennsylvania	Settlement	\$8,000,000.00	misrepresentations	ATYT01/
						Inadequately warning SH of a	http://www.lawyers
4/9/2005	ATI Technologies Inc.	Class action		Settlement	\$900,000.00	weak quarter	andsettlements.co
		Department of					
1/20/1985	Atlantic Richfield Co.	Energy		Settlement	\$315,000,000.00	Overpricing	1 // 12
			US District				http://securities.st
40/40/0000		Consolidated	Court, New		<b>#</b> 5 050 000 00	Issuing materially false and	anford.edu/1025/
10/18/2002	Atlas Air Worldwide Holdings	class action	York	Settlement	\$5,950,000.00	misleading statements	CGO02-01/
							http://www.powowi
			Ontario			Company overstated income	http://www.newswire.ca/en/releases/
	Atlas Cold Storage Income		Superior Court			causing trust units to trade at	archive/July2008/
7/15/2008	•	Class action	of Justice	Settlement	\$40,000,000,00	artificially high prices	15/c4667.html
7/13/2000	Trust	Class action	Oi Justice	Settlement	\$40,000,000.00	artificially High prices	13/04007.111111
							http://www.idahob
							usiness.net/archiv
							e.htm/2009/07/10/
						Accounting falsities and	Atlas-Mining-Co-
			US District			uncertainties led to artificially	settles-class-
7/9/2009	Atlas Mining Company	Class action	Court, Idaho	Settlement	\$1,250,000,00	inflated stock prices	action-lawsuit
	3 - 1 - 1		US District		<b>,</b> ,,	,	http://securities.st
			Court,				anford.edu/1011/
4/28/1997	Aura Systems	Class action	California	Settlement	\$15,000,000.00	Securities violations	AURA97/
			US District				http://securities.st
			Court,				anford.edu/1041/
3/31/2001	Aurora Foods, Inc.	Class action	California	Settlement	\$36,000,000.00	Securities violations	AUTH_01/
			US District				http://securities.st
			Court,			Failure to disclose material	anford.edu/1032/
10/30/2006	Autobytel, Inc.	Class action	California	Settlement	\$6,750,000.00	information, misrepresentation	ABTL04_01/

5/30/1997	Avant! Corporation	Class action	US District Court, California	Settlement	\$47.500,000.00	Securities fraud	http://securities.st anford.edu/1011/ AVNT97/
2/13/2008		Consolidated action-state attorney generals		Settlement		Company defrauded Medicaid programs by illegally inflating	http://www.lawyers andsettlements.co m/settlements/108 54/anzemet- pricing.html
7/5/2005	Aventis Pharmaceuticals Inc. and Andrx Corp	Class action		Settlement		Antitrust violations-company paid to delay the manufacture of the generic version of Cardizem CD, causing inflated drug prices	http://www.lawyers andsettlements.co m/settlements/038 55/cardizem_antitr ust.html
10/8/1997	Aviation Distributors, Inc.	Class action	US District Court, California	Settlement		False and misleading registration and prospectus	http://securities.st anford.edu/1012/ ADIN97/
6/11/2007	Avista Corp.	SH class action	US District Court, Washington	Settlement	\$9,500,000.00	Company and senior executives engaged in fraud and other securities law violations	http://www.lawyers andsettlements.co m/settlements/083 53/avista- securities- settlement.html
11/17/1998	AvTel Communications, Inc.	Class action	US District Court, California	Settlement	\$998,000.00	Securities fraud	http://securities.st anford.edu/1011/ AVCO98/index.ht ml
10/15/2004	AXT, Inc.	Securities class action	US District Court, California	Settlement	\$2,575,000.00	Securities violations	http://securities.st anford.edu/1032/ AXTI04_01/
7/23/2003	Baan Company	Class action	US District Court, DC	Settlement	\$32,500,000.00	Company misled investors by issuing false and misleading information about Baan's income and earnings	http://securities.st anford.edu/1011/ BAANF98/
11/26/2003	BancBoston Mortgage Corp.,	Consumer class action		Settlement		Banking company set aside more than the law allows for mortgage escrow accounts of Florida homeowners.	

							http://www.secinfo
							.com/dsvr4.113y.
2/2/2004	Bancolombia S.A.	Minority SH action	Arbitration court	Arbitration award	\$10,029,448.86	Securities violations	htm
						Buy back auction rate	http://amlawdaily.t
						securities from consumers who	ypepad.com/amla
						bought flawed investment	wdaily/2008/09/ba
9/12/2008	Bank of America	Massachusetts		Settlement	\$4,500,000,000.00	instruments	nk-of-
							http://amlawdaily.t
						Buy back auction rate	ypepad.com/amla
						securities from state and	wdaily/2008/09/ba
						municipal agencies who bought	
9/12/2008	Bank of America	Massachusetts		Settlement	\$43,000,000.00	flawed investment instruments	america.html
							http://newsroom.b
							ankofamerica.com
7/00/0000	D 1 (A :	D 1.00 A		0 " '		Various claims relating to	/index.php?s=43&
7/28/2009	Bank of America	Parmalat SpA		Settlement	\$100,000,000.00	Parmalat's 2003 bankruptcy	item=8507
							http://o.o.uritioo.ot
							http://securities.st anford.edu/news-
							archive/2005/200
			US District			Bank's involvement with	50304_Settlement
		WorldCom SH	Court, New			WorldCom-failing to engage in	100420_Press.ht
3/4/2005	Bank of America	class action	York	Settlement		proper due diligence	ml
0/4/2000	Darik of America	oldoo dollori	TOTA	Octionion	ψ+00,000,000.00	proper due dingerioe	
						\$5.7-million in gifts to former	
			Delaware			board members amounted to a	
12/9/2000	Bank of America	SH action	Chancery Court	Settlement	\$2,500,000.00	waste of corporate funds	
						·	
						Mismanagement and	
			US District			concealing deteriorating	
			Court,			financial condition in the early	
1/5/1988	Bank of America	Class action	California	Settlement	\$39,250,000.00	80s	

		T	ı	T			Т
		0 114				Bank mishandled hundreds of	
		California state				millions of dollars in bond	
44/40/4000	Danis of Associate	and local		0 - 111 1		payments between 1978 and	
11/13/1998	Bank of America	governments		Settlement	\$187,500,000.00	1995	h ttm . //
							http://www.mymon eyblog.com/archiv
							es/2009/02/bank-
							of-america-
			US District			Company used improper	overdraft-fee-
			Court,			means in the form of overdraft	class-action-
8/3/2009	Bank of America	Class action	California	Settlement		fees to boost revenues	settlement.html
5,5,255					<del>+</del> + + + + + + + + + + + + + + + + + +		
							http://www.allbusin
							ess.com/legal/trial-
			US District				procedure-suits-
			Court,				claims/5630915-
2/19/2004	Bank of America	Class action	California	Settlement	\$111,500,000.00	Company overcharged trustee f	1.html
						Governmental probe into sales	
11/18/2000	Bank of America	US DOJ		Fine/Settlement	\$18,250,000.00	practices in the early 1990s.	1 //
							http://www.bloomb
			US District				erg.com/apps/new
	Bank of America (Countrywide		Court,				s?pid=20601103 &sid=a7dmQ1an
8/10/2009		Class action	California	Settlement	\$55,000,000,00	Employees accused the mortga	
0/10/2009	i inanciai)	Class action	California	Settlernerit	φ33,000,000.00	Employees accused the mortga	CQIL
		Class action-led					
		by Illinois and					
	Bank of America (Countrywide	California					
10/6/2008	,	Attorneys General		Settlement	\$8,700,000,000.00	Predatory lending	
	,					Liability for its role as an	http://www.ucop.e
						underwriter for Enron and	du/news/archives/
7/2/2004	Bank of America Corp	Class action		Settlement	\$69,000,000.00	Enron-related debt offerings	2004/jul02.htm

						Company hid losses from loans to a hedge fund before	
			US District Court, New			combining with the NationsBank Corporation in	http://securities.st anford.edu/1011/
10/15/1998	Bank of America Corp	Class action	York	Settlement	\$490,000,000.00	1998.	BAC98/
5/24/2000	Bank of America Securities	Class action	US District Court, Alabama	Settlement	\$17,750,000.00	Securities violations	http://securities.st anford.edu/1037/ FEET00_01/
9/16/2009	Bank of New York Mellon	Russian Federal Customs Service	Moscow Arbitration Court	Settlement		Bank executive accused of moving \$7.5 billion out of the country	http://dealbook.blo gs.nytimes.com/2 009/09/16/bank-of- new-york-settles- 225-billion-russian- lawsuit/?scp=2&s q=lawsuit%20and %20settlement&st =Search
5/12/2007	Bank One	US Regulators		Settlement	\$90,000,000.00	Company allowed improper trading through its hedge fund	http://www.thestar.
12/14/1000	Book One Corneration	Class action	US District	Settlement			http://securities.st anford.edu/1013/ ONE99/
	Bank One Corporation  Bank One Corporation	Class action	US District Court, Illinois	Settlement	\$45,000,000.00	Securities violations Securities violations relating to	http://securities.st anford.edu/1034/ ONE05_01/
3/10/2000	Dank One Objoration	Oldos deliteri	Court, minois	осмения	ψ39,900,000.00	ilo 1990 Hierger	014200_01/
1/25/1996	Bankers Trust Company	Air Products and Chemicals Inc.		Settlement		to settle a dispute over money- losing derivatives contracts, the companies announced yesterday.	

11/4/2006	Barclays	Enron SH		Settlement	\$144,000,000.00	Liability for involvement in Enron's collapse	http://business.tim esonline.co.uk/tol/ business/industry _sectors/banking_ and_finance/articl e1086818.ece
5/30/2007	Barclays Bank	SEC	US District Court, New York	Settlement		Bank and a former trader for the bank engaged in securities fraud through a pattern of insider trading	http://www.lawyers andsettlements.co m/settlements/082 54/barclays-bank- settlement.html
2/25/2008	Barr Pharmaceuticals	Class action involving 34 states and DC		Settlement		Lawsuit alleged that Barr took at \$20M payment to keep a cheaper generic form of Ovcon off the market	http://www.lawyers andsettlements.co m/settlements/109 58/generic-oral- contraception.html
6/12/2006	Barr Pharmaceuticals Inc.	Invamed, Inc. and	l Apothecon, Inc	Settlement	\$22,500,000.00	Unlawfully blocking access to the	http://www.lawyers andsettlements.co m/settlements/056 90/barr_antitrust.h tml
12/27/2007	Basin Water, Inc.	Class action	US District Court, California	Settlement		False and misleading statements regarding the company's financial condition	http://securities.st anford.edu/1039/ BWTR_01/
8/3/2004	Bausch & Lomb	Class action	US District Court, New York	Settlement		Company misled investors about its financial expectations	http://www.thestre et.com/stocks/hea lthcare/10176003. html
6/29/2007	Bawag PSK	SH securities class action	US District Court, New York	Settlement		Compensation for losses related to the collapse of commodities broker Refco	http://www.lawyers andsettlements.co m/settlements/085 51/refco-investors- settlement.html

						False and misleading	
			US District			information disseminated in	http://securities.st
	Bayard Drilling Technologies,		Court,			company's registration	anford.edu/1011/
2/3/1998		Class action	Oklahoma	Settlement		statement and prospectus	BDI98/
					<del>+</del> 0,100,000.00	, , , , , , , , , , , , , , , , , , ,	http://www.lawyers
							andsettlements.co
							m/settlements/065
			US District				25/bayerantitrust.
10/18/2006	Bayer	Class action	Court, Kansas	Settlement	\$18,000,000.00	Antitrust violations-Price fixing	html
	-					Company misrepresented	
			US District			Bayer AG's success in	http://securities.st
			Court, New			marketing it's Baycol	anford.edu/1027/
3/6/2003	Bayer (AG) Aktiengesellschaft	Class action	York	Settlement	\$18,500,000.00	cholesterol lowering drug.	BAY03-01/
						As former auditor, should have	
			US District			known about a massive fraud	http://securities.st
		Consolidated	Court, New			that doomed Suprema	anford.edu/1023/
4/28/2008	BDO Seidman et al.	class action	Jersey	Settlement	\$19,000,000.00	Specialties	CHEZ02-01/
		US Attorney's	US District				http://www.finalter
		Office in	Court,				natives.com/node/
3/30/2007	Beacon Rock Capital	Philadelphia	Pennsylvania	Settlement	\$475,000.00	Market-timing	1411
						Reimbursement for victims	
						A.R. Baron, a defunct stock	
						brokerage that defrauded	
6/29/1999	Bear Stearns	SEC		Settlement/Fine		investors of about \$ 75 million.	
					, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	,	
							http://www.nytime
							s.com/2001/01/03
							/business/judge-
						Canadian-based investor lost	rebuffs-bear-
						more than \$300 million in	stearns-effort-to-
						investments in currency	reduce-171-million
1/8/2001	Bear Stearns	Henryk de Kwiatko	owski	Verdict	\$171,000,000.00	markets with Bear Stearns	award.html

П		I	I	1			1
						Company violated securities laws by executing its own orders for the shares they	
						managed ahead of customers'	
	Bear Wagner unit of Bear					orders. Part of the \$241 million	
3/31/2004	Stearns	SEC		Settlement	\$16,000,000.00	settlement with the SEC	bostonglobe.com
							http://www.prnews
							wire.com/cgi-
							bin/stories.pl?AC
							CT=109&STORY
							=/www/story/04-
			110 D:				28-
4/00/0004	Decide a Delat Jac	Olasa astian	US District	0-111	<b>₾4 7</b> 00 000 00	O a south a so de la tiere a	2004/000216191
4/28/2004	Bearing Point, Inc	Class action	Court, Virginia	Settlement	\$1,700,000.00	Securities violations	7&EDATE=
						False and minled dings	
						False and misleading statements about the	latter.//
							http://www.forbes. com/feeds/afx/200
				Settlement		company's business and financial results that led to	9/05/05/afx63815
E/E/2000	Beazer Homes USA	SH action		(proposed)			68.html
3/3/2009	Beazer Homes USA	SH action		(proposed)	\$30,300,000.00	artificially irrifated stock prices	00.111111
						Materially false and misleading	http://securities.st
		Securities class	US District			financial statements led to	anford.edu/1025/
12/13/2006	RollSouth	action	Court, Georgia	Settlement			BLS02-01/
12/13/2000	BeilSouth	action	Court, Georgia	Settlement			http://securities.st
			US District				anford.edu/1031/
			Court, New			financial condition of the	BEL04-
12/5/2005	Bennett Environmental Inc.	Class action	York	Settlement	\$9,750,000.00		01/index.html
12,0,2000	Source Environmental IIIo.	Ciado action	US District	Cottonion	ψο, ι σο, σοο.σο		http://securities.st
		Consolidated	Court,				anford.edu/1011/
10/22/1999	Bergen Brunswig Corporation	class action	California	Settlement	\$27,972,500.00	Securities violations	BBC99/
5,==, : 300	- 3: _::a::::g = ::p ::a::e::				<del>+</del>		http://www.lawyers
							andsettlements.co
							m/settlements/019
							65/musicclubs.ht
12/4/2003	Bertelsmann AG's BMG Music (	Class action		Settlement	\$143,000,000.00	Price fixing	ml

			US District				http://securities.st
			Court,			Failure to disclose material	anford.edu/1038/
10/3/2007	BigBand Networks, Inc.	Class action	California	Settlement	\$11,000,000.00	adverse information	BBND 01/
	,		US District				http://securities.st
			Court,			Issuing false and misleading	anford.edu/1012/
4/30/1996	Biocontrol Technology, Inc.	Class action	Pennsylvania	Settlement	\$3,700,000.00	9	BICO96/
	Cathay Pacific Airways,		ĺ		, , ,		http://www.lawyers
	Martinair and SAS Cargo						andsettlements.co
6/27/2008	Group, and Air France-KLM	Class action		Settlement	\$350,000,000.00	Price fixing	m/settlements/121
			US District			-	http://securities.st
			Court, New			Issuing false and misleading	anford.edu/1014/
7/21/2000	Biomatrix, Inc.	Class action	Jersey	Settlement	\$2,450,000.00		BXM00/
						Company filed false and	http://securities.st
			US District			misleading financial reports	anford.edu/1035/
12/16/2005	Bio-One Corporation	Class action	Court, Florida	Settlement	\$350,000.00		BOC05_01/
	-						
						Overstating earnings and	
						hiding losses to deceive	
						investors and meet quarterly	http://online.wsj.co
						and annual earnings targets.	m/article/SB1206
						Mislead investors and analysts	37152130859449
3/24/2008	Biovail Corp.	SEC		Settlement	\$10,000,000.00	about poor performance	.html
							http://www.reuters.
			US District			False and misleading	com/article/compa
			Court, New			statements regarding the	nyNews/idUSN23
4/23/2008	Biovail Corp.	Class action	York	Settlement	\$138,000,000.00	launch of one of its products.	29824220080423
			US District				http://securities.st
			Court, New				anford.edu/1030/
9/21/2007	BISYS Group, Inc.	SH action	York	Settlement	\$66,500,000.00	Securities violations	BSG04/
			US District				http://www.tmcnet.
			Court,				com/usubmit/2004
10/25/2004	Black Box Corporation.	Class action	Pennsylvania	Settlement		Securities violations	/Oct/1078824.htm
			US District			Securities violations-	http://securities.st
			Court,			participation in a fraudulent	anford.edu/1028/
10/7/2005	Blue Rhino Corporation	Class action	California	Settlement	\$1,250,000.00	scheme	RINO03-01/

	BlueCross BlueShield of Tennessee	US Government		Settlement	\$2,100,000.00	Violations of the false claims act	http://quitamlawye r.clarislaw.com/set tlements-and- verdicts/21-million- settlement-by- bluecross- blueshield-for- false-claims-act- allegations.php
3/10/2005	BNP Parabas	Class action-led by New York State comptroller		Settlement		Bank should have known about WorldCom's fraudulent activities and cover up	
7/3/2006	Boeing Co.	US DOJ		Settlement		Improperly obtaining secret military documents in order to secure government contracts	http://www.lawyers andsettlements.co m/settlements/058 20/boeing_antitru st.html
9/17/2001	Boeing Company	Class action	US District Court, Washington	Settlement	\$92,500,000.00	False and misleading statements artificially inflated stock prices	http://securities.st anford.edu/1012/ BA97/
3/22/1996	Bollinger Industries, Inc.	Class action	US District Court, Texas US District	Settlement	\$400,000.00	Securities violations-false and misleading financial statements	
4/8/2002	Boron LePore Assoc.	Class action	Court, New Jersey	Settlement	\$4,750,000.00	Overstatement of assets in financial reports	http://securities.st anford.edu/1012/ BLPG99/
7/28/2004	Boston Chicken Inc	Class action	US District Court, Colorado	Settlement		Company had concealed their true financial condition with inaccurate financial statements	http://www.lawyers andsettlements.co m/settlements/023 55/bostonchickeni nc.html

	Boston Stock Exchange and					Failure to enforce the rules	http://www.lawyers andsettlements.co
9/6/2007	James Crofwell	SEC		Settlement	\$1,075,000.00	designed to protect investors.	m/settlements/092
		US Department of Justice and the					http://www.lawyers andsettlements.co
		Commodity					m/settlements/097
		Futures Trading				Company tried to manipulate	66/propane-
		Commission				propane prices in the United	antitrust-
10/25/2007	BP Plc.	(CFTC)		Settlement	\$303,000,000.00	States by cornering the market	settlement.html
			US District				http://securities.st
			Court,				anford.edu/1037/
4/8/2009	BP Prudhoe Bay Royalty Trust	Class action	Washington	Settlement	\$43,350,000.00	Securities violations	BPT_01/
			US District				http://securities.st
			Court, New			Issuing materially false and	anford.edu/1033/
3/2/2005	Bradley Pharmaceuticals	Class action	Jersey	Settlement	\$3,500,000.00	misleading statements	BDY05_01/
						Making misrepresentations	http://securities.st
						about the Company's valuation	
8/1/2007	Brantley Capital Corporation	Class action		Settlement	\$3,750,000.00	and financial statements	BBDC_01/
						False and material	
						misstatements designed to	
						conceal and cover up alleged	http://sev.prnewsv
			US District			defects in one of its products	ire.com/auto/2008
			Court,			leading to artificially inflated	1023/NETH0052
10/23/2008	Bridgestone Corporation	SH action	Tennessee	Settlement	\$30,000,000.00	common stock prices	3102008-1.html
						Securities violations-	http://securities.st
		Securities class	US District			restatement of prior financial	anford.edu/1034/
11/29/2001	Brightpoint, Inc.	action	Court, Indiana	Settlement	\$5,250,000.00	results	CELL01_01/
							http://www.lawyers
						Antitrust violations-maintaining	andsettlements.co
						a monopoly on the drug	m/settlements/015
11/10/2004	Bristol Meyers Squibb	Class action		Settlement	\$50,000,000.00	Platinol	45/platinol.html
							http://www.lawyers
							andsettlements.co
		US DOJ and				Investigation into the	m/settlements/094
		Massachusetts				company's drug pricing, sales,	78/bms-drug-
	Bristol-Meyers Squibb Co.	Attorney General		Settlement	\$499,000,000.00	and marketing activities.	pricing.html

	T	T	-				1
8/4/2006	Bristol-Myers Squibb	State of Louisians	a	Settlement	\$1,100,000.00	Antitrtust violations-maintaining a monopoly over the sale of BuSpar	16/bms_buspar_a ntitrust.html
1/25/2006	Bristol-Myers Squibb Co.	Xechem Internati		Settlement	\$4,200,000.00	Antitrust violations-engaging in anticompetitive conduct	http://www.lawyers andsettlements.co m/settlements/048 61/bristol_antitrust .html
6/20/2007	Bristol-Myers Squibb Co.	Class action	US District Court, New York	Settlement	\$125,000,000.00	Securities violations related to its drug, Plavix	http://securities.st anford.edu/1037/ BMY_01/
3/14/2006	Bristol-Myers Squibb Co.	Class action	US District Court, New Jersey	Settlement		Failure to disclose negative information about Vanlev, its potential wonder drug for hypertension	http://www.lawyers andsettlements.co m/settlements/048 36/bms_securities _settlement.html
9/2/2004	Bristol-Myers Squibb Co.	Consolidated class actions	US District Court, New Jersey	Settlement		Company had improperly booked \$1.5 billion in revenue in 2000 and 2001 by coaxing wholesalers to buy more of its drugs than they could sell.	http://securities.st anford.edu/1023/ BMY02-01/
9/2/2004	Bristol-Myers Squibb.	SEC		Settlement	\$150,000,000.00	Questionable accounting and inventory management practices	http://www.thestre et.com/_tsclsii/sto cks/biotech/10181
2/14/2008	British Airways	Class action		Settlement	\$140,000,000.00	Passenger and cargo price fixing	http://www.lawyers andsettlements.co m/settlements/108 48/fuel- surcharges- settlement.html

							http://www.lawyers andsettlements.co m/settlements/108 48/fuel-
2/14/2008	British Airways	USDOJ		Fine	\$300,000,000.00	Passenger and cargo price	surcharges- settlement.html
	British Petroleum Company	SH action		Settlement		Settlement of SH challenge of E	
4/20/1001	British F direitani Company	OTT GOLIOTT		Cottomont	Ψ++0,000,000.00	Settlement of orrenalienge or i	Try times.com
			US District Court,			Falsifying its reported income by backdating stock option	http://www.sec.go v/news/press/200
4/22/2008	Broadcom Corp.	SEC	California	Settlement	\$12,000,000.00	grants over a five-year period	8/2008-63.htm
			US District Court,			Improperly accounting for millions of dollars in warrants	http://www.lawyers andsettlements.co m/settlements/037
6/24/2005	Broadcom Corp.	Class action	California	Settlement	\$150,000,000.00	given to customers	87/broadcom.html
8/15/2006	Broadwing, Inc.	Class action	US District Court, Ohio	Settlement	\$36,000,000.00	Issuing material misrepresentations into the market	http://securities.st anford.edu/1026/ BRW02-01/
5/19/2005	Brocade Communications	Class action	US District Court, California	Settlement	\$160,000,000.00	Securities violations	http://securities.st anford.edu/1034/ BRCD05_01/
6/24/2008	Brooks Automation, Inc.	Consolidated class action	US District Court, Massachusetts	Settlement		False and misleading statements caused artificial stock price inflation	http://securities.st anford.edu/1036/ BRKS_01/
4/16/1996	BT Office Products Inc.	Class action	US District Court, New York	Settlement	\$1,480,000.00	Reporting irregularities	http://securities.st anford.edu/1002/ BTF96/
1/31/2008	Buca, Inc.	Class action	US District Court, Minnesota	Settlement	\$1,600,000.00	Securities violations	http://securities.st anford.edu/1034/ BUCA05_01/
12/14/2006	C.R. Bard Inc.	Rochester Medical		Settlement	\$49,000,000.00	Antitrust violations	http://www.lawyers andsettlements.co m/settlements/068 41/cr-bard.html

12/26/2002	Cable and Wireless plc	Class action	US District Court, Virginia	Settlement	\$7,000,000.00	3	http://securities.st anford.edu/1026/ CWP02-01/
							http://www.reuters. com/article/techno logyNews/idUSN0 54485612008060
6/5/2008	Cablevision Systems Corp.	SH action	New York State	Settlement	\$34,400,000.00	Improper options backdating	5
6/13/2007	Cabot Corporation	SEC	US District Court, Massachusetts	Settlement		Antitrust violations in setting prices for carbon black sold in the United States	http://www.lawyers andsettlements.co m/settlements/084 05/carbon-black- antitrust.html
7/7/2008	Cadence Design Systems, Inc.	Employee class action	US District Court, California	Settlement	\$7,700,000.00	Unpaid overtime for IT workers	http://www.lieffcab raser.com/cadenc e-overtime.htm
11/15/2002	Cadence Design Systems, Inc.	Class action	US District Court, California	Settlement	\$1,250,000.00	Securities violations	http://securities.st anford.edu/1034/ CDN00_01/
11/22/1996	CAI Wireless Systems, Inc.	Class action	US District Court, New York	Settlement	\$3,000,000.00	Securities violations	http://securities.st anford.edu/1002/ CAWS/
10/9/2002	California Amplifier	Class action	US District Court, California	Settlement	\$1,500,000.00	Securities violations	http://securities.st anford.edu/1017/ CAMP01/
8/17/2000	California Software Corporation	Class action	US District Court, California	Settlement	\$1,180,000.00	Securities violations	http://securities.st anford.edu/1017/ CAMP01/
10/17/2003	Cambrex Corporation	Class action	US District Court, New Jersey	Settlement	\$3,150,000.00	Improper accounting	http://securities.st anford.edu/1028/ CBM03-01/
3/13/2003	Caminus Corporation	SH action	US District Court, New York	Settlement	\$1,900,000.00	Artificially inflating stock prices	http://securities.st anford.edu/1027/ CAMZ03-01/
	Campbell Soup corporation	SH action	US District Court, New	Settlement		Securities violations	http://securities.st anford.edu/1013/ CPB00/
1/1/2000	Campoeli Soup corporation	OTT ACTION	Jersey	Semement	φ33,000,000.00	Occurries violations	O1 D00/

						Claims based on bank's	http://www.lawyers
						involvement with Enron and	andsettlements.co
	Canadian Imperial Bank of					accusations that the bank hid	m/settlements/040
8/3/2005	Commerce	Enron SHs		Settlement	\$2,400,000,000.00		53/cibc_enron.ht
0/0/2000	Canadian Imperial Bank of	Canadian		Cottlernent		Improperly trading stocks and	http://www.lawyers
7/20/2005	Commerce	regulators		Fine	\$125,000,000.00	, ,	andsettlements.co
172072000	Commerce	rogulatoro		1 1110	Ψ120,000,000.00	Issuing false and misleading	http://www.lawyers
		Canadian SH				statements after a project was	andsettlements.co
9/6/2005	Canadian Superior Energy Inc.	action		Settlement	\$2,150,000.00	. ,	m/settlements/042
0.0.00	l and the second				<del>+</del> =,::::;::::::::::::::::::::::::::::::::	Issuing false and misleading	
						statements after a project was	
6/12/2005	Canadian Superior Energy Inc.	US SH action		Settlement	\$3,200,000.00	abandoned	
	1 0/				, , ,		http://www.thestar.
3/12/2007	Canary Capital Partners LLC	US Regulators		Settlement	\$40,000,000.00	Improper trading-market timing	com/
		· ·	US District				http://securities.st
			Court, New				anford.edu/1010/
5/17/1999	Candie's, Inc.	SH action	York	Settlement	\$4,000,000.00	Securities violations	CAND99/
							http://securities.st
	CapRock Communications		US District			Issuing materially false and	anford.edu/1014/
7/26/2000	Corporation	Class action	Court, Texas	Settlement		misleading statements	CPRK00/
						Subject to complaints that it	
						violated securities laws by	
						issuing false or misleading	http://securities.st
		Class action	US District			statements concerning the	anford.edu/1031/
7/31/2007	Cardinal Health Inc.	securities suit	Court, Ohio	Settlement	\$600,000,000.00	company's financial results.	CAH04-02/
							http://securities.st
			US District			Issuing materially false and	anford.edu/1029/
9/18/2008	Career Education Corporation	Class action	Court, Illinois	Settlement	\$4,900,000.00	misleading statements	CECO03-01/
			US District				http://securities.st
_,,_,_			Court,			Issuing false and misleading	anford.edu/1014/
5/19/2003	CareMatrix Corporation	Class action	Massachusetts	Settlement	\$7,850,000.00	statements	CMDC00/

							http://www.lawyers andsettlements.co m/settlements/023
							85/carescienceinc
			US District			Issuing a materially false and	.htmlhttp://securiti es.stanford.edu/1
			Court,				020/CARE01/inde
8/18/2004	CareScience, Inc.	Class action	Pennsylvania	Settlement	\$3,300,000.00	Registration Statement	x.html
						Misleading and deceiving its	
						public investors by falsely	
						representing the business	
						impact and success of at least	
			US District			five acquisitions intended to	http://oppurition.ot
			Court, New			mask internal problems and the sale by insiders of millions of	anford.edu/1010/
3/11/2003	Caribiner International, Inc.	Class action	York	Settlement			CWC99/
	,				, , ,		http://securities.st
			US District			<b>3 3</b>	anford.edu/1013/
2/28/2000	Carnival Corporation	Class action	Court, Florida	Settlement	\$3,400,000.00	3	CCL00/
							http://myfloridaleg al.com/ 852562
							220065EE67.nsf/
							0/B17DF81AE0F
							53A048525741D
							00791884?Open
0/04/0000		Florida Attorney					&Highlight=0,carni
3/31/2008	Carnival Cruise Lines	General	Florida	Settlement	\$40,000,000.00	3	val http://www.timesa
							nddemocrat.com/a
			US District				rticles/2004/06/18
			Court, South				/business/busines
10/18/2004	Carolina Investors	Class action	Carolina	Settlement	\$42,000,000.00	Securities violations	s1.txt
							http://securities.st
1/01/05			US District			. ,	anford.edu/1026/
4/21/2006	Carreker Corporation	Class action	Court, Texas	Settlement	\$5,250,000.00	financial results	CANIE03-01/

3/26/2007	Carrier Access Corp.	Securities class action	US District Court, Colorado	Settlement	\$7,400,000.00		http://securities.st anford.edu/1034/ CACSE05_01/ind ex.html
6/2/2003	Casden Properties Inc.	Class action		Settlement	\$83,000,000.00	Company had made misleading and illegal statements in regards to a transfer of ownership of 98 apartment property partnerships	http://www.lawyers andsettlements.co m/settlements/016 68/casdenproperti esinc.html
	Casino Data Systems, Inc.	SH action	US District Court, Nevada	Settlement	. , ,	Securities violations	http://securities.st anford.edu/1010/ CSDS97/
8/28/2009	Cbeyond, Inc.	SH action	US District Court, Georgia	Settlement	\$2,300,000.00	Securities violations-insider trading	http://securities.st anford.edu/1039/ CBEY_01/
	CBT Group, PLC	Class action	US District Court, California	Settlement	\$32,000,000.00		http://securities.st anford.edu/1002/ CBTSY/
	CDR Enterprises	US DOJ  Consolidated SH	US District Court,	Settlement	\$225,000,000.00		http://www.lawyers http://securities.st anford.edu/1002/
	Cell Pathways, Inc. CellPro, Inc	Securities class action	Pennsylvania US District Court, Washington	Settlement Settlement		Securities fraud Securities violations	CLPA99/ http://securities.st anford.edu/1002/ CPRO98/
	Cellstar Corporation	Class action	US District Court, Texas	Settlement		Securities violations	http://securities.st anford.edu/1010/ CLST96/
7/15/1997	Cellular Technical Services Company	Class action	US District Court, Washington	Settlement	\$4,100,000.00	Misrepresentations caused stock price inflation	http://securities.st anford.edu/1002/ CTSC97/
4/16/1998	Cendant Corporation	Class action	US District Court, New Jersey	Settlement	\$3,186,500,000.00	Accounting irregularities	=HYPERLINK("ht tp://securities.stan ford.edu/1002/CD 98/","http://securiti es.stanford.edu/1 002/CD98/")

9/8/2008	Cendant Corporation	Class action	Madison County Court	Settlement		Making unauthorized charges to their credit cards for products such as Privacy Guard, Credit Alert, Auto Vantage, Travelers Advantage and Buyers Advantage.	http://www.lawyers andsettlements.co m/settlements/125 63/cendant-settles- class-action-25- million- privacy.html
3/0/2000	Octidant Corporation	Olass action	County Court	Octionicht	Ψ20,000,000.00	and Dayers Advantage.	privacy.ritini
6/22/1998	Cendant Corporation	Consolidated actions by holders of PRIDES securities	US District Court, New Jersey	Settlement	\$32,500,000.00	Securities violations- accounting irregularities	http://securities.st anford.edu/1042/ CD98_01/
2/11/1997	Centennial Technologies	Class action	US District Court, Massachusetts	Settlement	\$1,475,000.00	Artificially inflating revenue and stock prices	CTN97/
6/25/2004	Central Freight Lines, Inc.	Class action	US District Court, Texas	Settlement	\$2,600,000.00	Securities violations	http://securities.st anford.edu/1031/ CENF04-01/
	Central Parking Corp.	Class action	US District Court, Tennesseee	Settlement		Misinformed SH into purchasing stock at an inflated rate by showing them false financial statements	http://www.lawyers andsettlements.co m/settlements/029 18/central_parkin q.html
	Cephalon, Inc.	Class action	US District Court, Pennsylvania	Settlement		Securities violations	http://securities.st anford.edu/1002/ CEPH96/
	Ceridian Corp.	Class action	US District Court, Minnesota	Settlement		Company artificially inflated	http://securities.st anford.edu/1002/ CEN97/
	Ceridian Corp.	Class action (Minneapolis Firefighters' Relief Association)	Delaware Chancery Court	Settlement		Payout in attempt to block sale of company which would undervalue the company and the company's directors	http://www.lawyers andsettlements.co m/settlements/086 87/ceridian- buyout.html

							http://securities.st
							anford.edu/1029/
			US District				CERS03-
			Court,			Misrepresenting information to	01/20061117 r01
0/4/2006	Corus Coro	Class setion	California	Settlement			_
9/1/2006	Cerus Corp.	Class action		Settlement	\$6,000,000.00	investors.	n_035517.pdf
			US District				http://securities.st
10/1=/0001	S		Court,		40.000.00		anford.edu/1033/
12/17/2004	Charlotte Russe	Class action	California	Settlement	\$3,900,000.00	Securities violations	CHIC04_01/
		Consolidated					http://www.tmcnet.
			US District			Securities violations-	com/usubmit/2004
		SH derivative	Court,			Questionable accounting and	/Aug/1063315.ht
8/5/2004	Charter Communications Inc.	suits	California	Settlement	\$144,000,000.00	business practices	m
							http://www.busine
							sswire.com/portal/
							site/google/index.j
							sp?ndmViewId=n
							ews_view&newsId
			US District				=2005070800525
7/8/2005	Charter Communications, Inc.	Class action	Court, Missouri	Settlement	\$83,000,000.00	Securities fraud	6&newsLang=en
							http://www.lawyers
							andsettlements.co
							m/settlements/068
			US District			Materially false and misleading	65/check-point-
	Check Point Software		Court, New			statements omitting adverse	securities-
12/27/2006	Technologies Ltd.	Class action	York	Settlement	\$13,000,000.00	•	settlement.html
	Ŭ						
							http://www.lawyers
							andsettlements.co
			US District			Artificially boosting the price of	m/settlements/064
10/4/2006	Chemtura Corp.	Class action	Court, Texas	Settlement		chemicals used to make rubber	
		2.2.30 00.0	US District	2 2 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3	<b>\$3.,000,000</b>		http://securities.st
			Court, New			Securities violations by issuing	anford.edu/1035/
6/3/2008	Chicago Bridge & Iron Co.	SH action	York	Settlement		materially false statements	CBI 01/
5,5,2500	The same of the sa	J dollori	US District	Comoni	ψ10,000,000.00	Issuing a materially false and	http://securities.st
	Children's Place Retail Stores.		Court, New			misleading registration	anford.edu/1002/
10/21/1997		Class action	Jersey	Settlement		statement and prospectus	PLCE97/
10/21/133/	1110.	Ciass action	UC. UCy	Cottonion	Ψ1,700,000.00	otatomont and prospectus	· LOLOT/

10/12/2004	Chiron Corporation	Class action	US District Court, California	Settlement	\$30,000,000.00	Securities violations	http://securities.st anford.edu/1032/ CHIR04_01/
1/25/2008	ChoicePoint	SH class action	US District Court, Atlanta	Settlement		Company was negligent in the 2004 theft of records of more than 160,000 people and subsequent stock sales by top executives	http://www.lawyers andsettlements.co m/settlements/106 34/choicepoint- records.html
9/11/2009	Chrysler LLC	Gilbert Mohr		Settlement	\$22,600,000.00	Design defect	http://insurance.la w360.com/registra tions/user_registra tion?article_id=12 1630&concurrenc y_check=false
1/14/2005	CIB Marine Bancshares, Inc.	Consolidated class action	US District Court, Illinois	Settlement	\$3,400,000.00	Securities violations	http://securities.st anford.edu/1033/ CIBHPK05_01/
2/18/2005	CIBC Mellon Trust Co.	Consolidated class action		Fine and settlement	\$5,000,000.00	Internal breach-bribery	http://www.lawyers andsettlements.co
12/8/2006	CIGNA Corp.	The Pennsylvania State Employees' Retirement System, class action	US District Court, Pennsylvania	Settlement		Making misleading statements to drive up the stock price.	http://www.lawyers andsettlements.co m/settlements/068 06/cigna- settlement.html
11/26/2003	Cinar Corp	Class action	US District Court, New York	Settlement	\$25,000,000.00	Securities violations	http://securities.st anford.edu/news- archive/2002/200 21119_Settlement 01_Lee.htm
7/31/2006	Cincinnati Bell Inc.	Class action		Settlement		Company misrepresented its financial results to boost its stock price	http://www.lawyers andsettlements.co m/settlements/059

							1
0/40/0000		011.1	US District			Misleading statements or omitted statements that were relied on by purchasers of	http://www.lawyers andsettlements.co m/settlements/061 27/cisco_securitie
8/18/2006	Cisco Systems Inc.	SH class action	California	Settlement	\$91,750,000.00	Cisco stock	s_settlement.html
1/14/2005	Citadel Security Software	Class action	US District Court, Texas	Settlement	\$1,750,000.00	Securities violations	http://securities.st anford.edu/1033/ CDSS05_01/
6/8/2007	Citation Corporation	Dana Corp		Settlement	\$790,000.00	Overcharging	http://www.lawyers andsettlements.co m/settlements/083 64/auto-parts- charges.html
	,				,,	Deceptive telemarketing	J
						practices of its marketing	
						partners, including billing	
		27 states and				consumers for products not	
2/27/2002	Citibank	Puerto Rico		Settlement	\$1,600,000.00	ordered	
						Bank used a computer program to sweep customers' accounts of money as payment	
		Credit card				on their cards, without notifying	
		customers and				their customers, resulting in	
8/28/2008	Citibank	state of California		Settlement	\$17,500,000.00	overpayments.	
		Class action,					http://www.lawyers
0/0/0000	0	federal and state			<b>#</b> 400 000 000 00		andsettlements.co
8/8/2008	Citigroup	regulators		Fine	\$100,000,000.00	Securities fraud	m/settlements/124
		Class action,					http://www.lawyers
0/0/0000	Citi and a second	federal and state		Ota ala banda a al	Ф <del>7</del> 000 000 000 00	O a consideration of	andsettlements.co
8/8/2008	Citigroup	regulators		Stock buyback	\$7,000,000,000.00		m/settlements/124
		Clahal Craasic :				Inflated research reports and	http://news.bbc.co
0/4/0005	Citiene	Global Crossing		Cattleman		failure to disclose conflicts of	.uk/2/hi/business/
3/4/2005	Citigroup	SH		Settlement	\$75,000,000.00	interest	4313599.stm

2/20/2007	Citigraus	Focal Communications, Inc. SH	US District Court, New York	Sottlement		Issuing false and misleading analyst reports regarding Focal that recommended the purchase of Focal common stock and which set price targets for Focal common stock, without any reasonable facture basis.	http://securities.st anford.edu/1031/ FCOM04-01/
3/28/2007	Citigroup	Inc. SH	YORK	Settlement	\$13,000,000.00	ractual basis.	FCOM04-01/
6/13/2005	Citigroup	Enron SH-led by the University of California		Settlement	\$2,000,000,000.00	Liability for company's involvement in the Enron collapse	
		North Carolina customers of the Associates First Capital				Associates illegally tricked them into buying expensive and unneeded credit insurance	
9/7/2001	Citigroup	Corporation		Settlement	\$20,000,000.00	as part of their mortgage loans	
1/10/2008	De Beers Diamond Company	Class action		Settlement	\$295,000,000.00	Anticompetitive gem prices	
9/7/2002	Citigroup	Federal Trade Commission		Settlement	\$215,000,000.00	Abusive lending practices	
3/10/2004	Citigroup	WorldCom SH	US District Court, New York	Settlement	\$2,600,000,000.00	Involvement in WorldCom's fraudulent activities	http://news.bbc.co .uk/2/hi/business/ 3700871.stm
6/6/2007	Citigroup Global Markets Inc.	NASD		Settlement	\$15,000,000.00	A team of financial advisers in Charlotte misled more than 200 BellSouth employees	http://www.lawyers andsettlements.co m/settlements/083 27/citigroup-
6/6/2005	Citigroup Inc.	SEC		Settlement		Investigation into the creation and operation of an affiliated transfer agent that served the Smith Barney family of mutual funds since 1999.	http://www.lawyers andsettlements.co m/settlements/036 67/citigroup_settle ments.html

		I		I			1
3/26/2008	Citigroup, Inc.	Enron creditors		Settlement		Liability for company's involvement in the faulty bookkeeping that led to Enron's collapse and bankruptcy in 2001.	http://www.lawyers andsettlements.co m/settlements/113 50/citigroup-enron- bankruptcy- fallout.html
			US District Court, New			Securities fraud - affirmatively misrepresenting and failing to disclose material information regarding the lending practices of the Company's UK subsidiary, and the impact that these lending practices would have on the Company's	http://securities.st anford.edu/1002/
1/16/2001	Cityscape Financial Corp.	Class action	York	Settlement	\$8,000,000.00	financial results.	CTYS97/
7/15/2005	Clarent Corporation	Class action	US District Court, California	Settlement	\$6,900,000.00	Securities violations	http://securities.st anford.edu/1019/ CLRN01/
10/30/2000	Clarus Corporation	Class action	US District Court, Georgia	Settlement		Failure to disclose material adverse information	http://securities.st anford.edu/1016/ CLRS00/
			US District			Material omissions and the dissemination of materially false and misleading statements concerning ClearOne's revenue and earnings caused ClearOne's stock price to become	http://securities.st anford.edu/1026/
12/8/2003	ClearOne Communications Inc.	Class action	Court, Utah	Settlement	\$5,000,000.00	artificially inflated	CLRO03-01/
1/5/2007	CMS Energy	Class action	US District Court, Michigan	Settlement		Materially false and misleading statements artificially inflated	http://securities.st anford.edu/1024/ CMS02- 01/index.html
., 0, 2001	=		2.1,		Ψ=00,000,000.00		

	ı		1	ı			1
3/2/2006	CMS Energy Corp.	Class action	US District Court, Michigan	Settlement		Recording fake revenue causing artificially inflated stock prices and the restatement of previously released financial data	http://securities.st anford.edu/news- archive/2005/200 50709_Settlement 100754_Memba.h tml
7/8/2005	CMS Energy Corp.	Class action	US District Court, Michigan	Settlement		Breach of fiduciary duty by allowing for round trip energy trading	http://securities.st anford.edu/news- archive/2005/200 50709_Settlement 100754_Memba.h tml
2/26/2009	Coast Financial Holdings, Inc.	Class action	US District Court, Florida	Settlement	\$6.349.000.00	Issuing false and misleading	http://securities.st anford.edu/1037/ CFHI_01/
10/20/2008	Coca-Cola Inc.	SEC	US District Court, Georgia	Settlement		Former executives had forced bottlers to purchase millions of dollars of unnecessary beverage concentrate in order to artificially boost sales	http://www.news4 press.com/Coca- Cola-Co-Has- Agreed-to-Settle- A- Sha_373533.html
	Coeur D'Alene Mines Corporation	Class action	US District Court, Colorado			Securities violations	http://securities.st anford.edu/1002/ CDE97/
8/7/2008	Coldwell Banker, Prudential California Realty, RE/MAX, Century 21 and ERA Real	Class action	California court	Settlement		Hazard disclosure report company for homeowners who were selling paid kickbacks to the real estate brokers in exchange for business referrals.	http://www.inman. com/news/2008/0 8/7/brokers- property-id-agree- 39-million- settlement
12/6/2002	Cole National Corporation	Class action	US District Court, Ohio	Settlement	\$5,375,000.00	Issuing false and misleading information	http://securities.st anford.edu/1026/ CNJ02-01/
2/4/2004	Columbia HCA Healthcare Corporation	Class action	US District Court, Tennessee	Settlement		Engaging in massive fraud in order to inflate revenues	http://securities.st anford.edu/1002/ COL97/

							http://www.multich
		Ex-TCI				Breach of fiduciary duties and	annel.com/article/
		shareholder class				indemnity for AT&T's liability in	125828-
10/31/2006	Comcast Corp.	action		Settlement	\$44,000,000.00	its purchase of TCI	Comcast_Settles_
						Issuing material	http://securities.st
			US District			misrepresentations to the	anford.edu/1017/
7/18/2005	Comdisco, Inc.	Class action	Court, Illinois	Settlement	\$2,475,000.00	market	CDO01/
						Autificially inflations Occasions	
						Artificially inflating Comerica's	
						revenue by overstating the	
						value of its Munder Capital	
		lana ania al Dana anno	LIO District			Management subsidiary and	http://securities.st
44/00/0005	O a manufactura	Imperical Bancorp		0 - 111 1		under-accruing Comerica's	anford.edu/1025/
11/30/2005	Comerica Inc.	SH	Court, Michigan	Settlement	\$6,000,000.00	loan loss reserves.	CMA02-01/
			US District			Cooughtion from a matificially	http://securities.st
7/0/0005	Companies Inc	Class setion		Cattlanaant		Securities fraud-artificially	anford.edu/1034/
7/8/2005	Comerica Inc.	Class action	Court, Michigan US District	Settlement	\$15,000,000.00	inflating revenue	CMA02_01/
		Securities class				Securities violations-making	http://securities.st
F/C/4000	Comment of Countries		Court, New York	Cattlaman		false and misleading	anford.edu/1010/ CMND98/
5/6/1998	Command Systems	action	US District	Settlement	\$5,750,000.00	statements	http://securities.st
		Consolidated	Court, New				anford.edu/1018/
6/10/2001	Commerce One, Inc.	class action	York	Settlement	\$1,000,000,000,00	Securities violations	CMRC01/
6/19/2001	Commerce One, inc.	ciass action	TOIK	Settlement	\$1,000,000,000.00	Securities violations	
						Violation of SEC laws by failing	http://www.lawyers
	Commonwealth Equity					to supervise an investment	m/settlements/092
	Services LLP, and Detwiler,					manager who stole more than	79/supervised-
0/5/2007	Mitchell, Fenton & Graves Inc.	SEC		Settlement		\$31 million from customers	broker.html
9/3/2007	witterien, Feritori & Graves IIIC.	SLO		Semement	φυυ,000.00	Violations regarding antitrust	DIOKELIIIIII
						provisions in the Securities	
			US District			Exchange actrestatements of	http://securities.st
			Court,			previously released financial	anford.edu/1017/
5/28/2002	Commtouch Inc	Class action	California	Settlement	\$15,000,000.00	l;	CTCH01/
3/20/2003	Commodernic	Ciass action	Callottia	Settlefficit	\$13,000,000.00	uata	http://securities.st
			US District				anford.edu/1010/
11/22/2002	Compaq Computer Corporation	Class action	Court, Texas	Settlement	\$28,650,000,00	Securities violations	CPQ98/
1 1/22/2002	Compay Computer Corporation	Ciass action	Court, 16xas	Semement	φ20,030,000.00	Decunites violations	UI 430/

		US District			Issuing material	http://securities.st
		Court, New			misrepresentations about the	anford.edu/1002/
omplete Management, Inc.	Class action	York	Settlement	\$10,000,000.00	company's financial condition	CPMI99/
						http://securities.st
		US District				anford.edu/1038/
ompUSA, Inc.	Class action	Court, Texas	Settlement	\$6,100,000.00	Securities violations	CI98_01/
						http://securities.st
					l e	anford.edu/1002/
ompuServe Corporation	Class action	Court, Ohio	Settlement			CSRV96/
					, ,	http://securities.st
•		, -			l –	anford.edu/1010/
ternational Inc.	Class action	York	Settlement	\$174,000,000.00	trading.	CA98/
						http://securities.st
						anford.edu/news-
						archive/2003/200
				<b>*</b>		30826_Settlement
omputer Associates.	actions	YORK	Settlement	\$144,000,000.00		06_Staff.htm
		HO District				http://securities.st
, , ,	Class setion		Cottlement		, ,	anford.edu/1004/ CLCX98/
<u>.                                    </u>	Class action	Court, Virginia	Settlement	\$7,500,000.00	statements	http://www.lieffcab
omputor Saionaga	Employee alone					raser.com/cscwag
•			Cattlement	\$24,000,000,00	Unnoid avertime for IT workers	
Siporation (CSC)	action	US Dietrict	Settlement	\$24,000,000.00	Oripaid overtime for 11 workers	http://securities.st
						anford.edu/1010/
omputron Software	Class action		Settlement	\$15,000,000,00	Securities violations	CTRN96/
Jinpution Software	Class action	Jersey	Settlement	\$13,000,000.00	Securities violations	OTTAINSO/
					The accounting practices of	http://www.lawyers
		US District				andsettlements.co
						m/settlements/029
an A ana Faada Ina	SH action	Nebraska	Settlement	\$14,000,000.00	questioned by plaintiffs.	06/conagra.html
onadra Foods Inc.				Ψ,σσσ,σσσ.σσ	1-1	1, 30.109.0
onAgra Foods Inc.	0.1.00.0					http://securities.st
onAgra Foods Inc.	0.1.40.01.	US District			Securities violations-artificially	http://securities.st anford.edu/1023/L
or or or or c.	mpUSA, Inc.  mpuServe Corporation  mputer Associates ernational Inc.  mputer Associates.  mputer Associates.	mpUSA, Inc.  Class action  Consolidated class action and SH derivative actions  Class action  Emputer Associates.  Class action  Class action  Consolidated class action and SH derivative actions  Emputer Sciences action  Employee class action	Court, New York  When the Management, Inc.  Class action  Class action  Class action  US District Court, Ohio  US District Court, Ohio  US District Court, New York  Class action  Class action  US District Court, New York  Consolidated class action and SH derivative actions  Class action  US District Court, New York  US District Court, New York  Consolidated class action and SH derivative actions  Class action  US District Court, New York  US District Court, Virginia  Class action  US District Court, Virginia  Class action  US District Court, Virginia  US District Court, Virginia  US District Court, Virginia  US District Court, Virginia	Court, New York Settlement    Court, New York Settlement	mplete Management, Inc.  Class action  Court, New York  Settlement  \$10,000,000.00  US District Court, Texas  Settlement  \$6,100,000.00  US District Court, Ohio  Settlement  \$9,500,000.00  US District Court, New York  Settlement  \$174,000,000.00  US District Court, Virginia  Settlement  \$144,000,000.00  US District Court, Virginia  Settlement  \$144,000,000.00  US District Court, Virginia  Settlement  \$144,000,000.00  US District Court, New York  Settlement  \$144,000,000.00  US District Court, New York  Settlement  \$144,000,000.00  S	mplete Management, Inc.  Class action  Court, New York  Settlement  Settlement  \$10,000,000.00  Company's financial condition  Securities violations  Securities violations relating to the IPO  Class action  US District  Court, Ohio  Settlement  US District  Court, New York  Settlement  Settlement  \$174,000,000.00  Accounting fraud  Securities violations relating to the IPO  Questionable accounting practices, misleading statements and alleged insider  Settlement  US District  Court, New York  Settlement  Settlement  \$144,000,000.00  Accounting fraud  Securities violations relating to the IPO  Questionable accounting practices, misleading statements and alleged insider  \$174,000,000.00  Accounting fraud  Securities violations relating to the IPO  Questionable accounting practices, misleading statements and alleged insider  \$174,000,000.00  Accounting fraud  Securities violations relating to the IPO  Questionable accounting practices, misleading statements and alleged insider  \$174,000,000.00  Accounting fraud  Securities violations relating to the IPO  Court, New York  Settlement  \$174,000,000.00  Accounting fraud  Securities violations—issuing false and materially misleading statements  US District  Court, Virginia  Settlement  \$24,000,000.00  Unpaid overtime for IT workers  The accounting practices of subsidiary company, United

			US District				http://securities.st
			Court,			Issuing material	anford.edu/1025/
10/24/2005	Concord EFS, Inc.	Class action	Tennessee	Settlement	\$13,250,000.00	misrepresentations	CEFT02-02/
							http://securities.st
			US District				anford.edu/1013/
4/7/2000	Conseco, Inc.	Class action	Court, Indiana	Settlement	\$120,000,000.00	Securities violations	CNC00/
			US District				http://securities.st
			Court,			Failure to disclose material	anford.edu/1029/
10/21/2003	CONSOL Energy, Inc.	Class action	Pennsylvania	Settlement	\$2,700,000.00	adverse facts	CNX03-01/
			US District				http://securities.st
	Consolidated Delivery &		Court, New			Misrepresenting and	anford.edu/1010/
3/19/1997	Logistics, Inc.	Class action	York	Settlement	\$1,500,000.00	concealing material facts	CDLI97/
			US District				http://securities.st
			Court, New				anford.edu/1032/
10/4/2004	Converium Holding AG	Class action	York	Settlement	\$84,600,000.00	Securities violations	CHR04_01/
						Misrepresenting or failing to	
			US District			disclose material information	http://securities.st
			Court,			about Corel's financial	anford.edu/1013/
11/5/2003	Corel Corporation	Class action	Pennsylvania	Settlement	\$7,000,000.00		CORL00/
						Company issued materially	
						false and misleading	http://securities.st
	Corn Products International,		US District			statements concerning future	anford.edu/1034/
5/20/2005	Inc	Class action	Court, Illinois	Settlement	\$6,600,000.00	prospects.	CPO05_01/
							http://securities.st
			US District				anford.edu/1023/
2/14/2006	Cornell Companies, Inc.	SH action	Court, Texas	Settlement	\$7,000,000.00	Securities violations	CRN02-01/
			US District				http://securities.st
	Cornerstone Propane Partners		Court,				anford.edu/1028/
7/23/2007	LP	Class action	California	Settlement	\$13,500,000.00	Artificially inflating revenue	CNO03-01/
						Directors approved lavish	
						executive contracts and	
						junkets and engaged in self-	http://www.lawyers
						dealing in the company's	andsettlements.co
	Covad Communications Group		Delaware			acquisition of Blue-Star	m/settlements/102
2/29/2008	Inc.	SH derivative suit	Chancery Court	Settlement	\$7,000,000.00	Communications Group Inc	53/covad.html

		1				Common via avead masta rially	
						Company issued materially	
						false and misleading	
						statements and/or concealed	
						material adverse facts relating	
						•	http://securities.st
		Consolidated	US District			condition, including its profits	anford.edu/1031/
8/12/2004	CP Ships Limited	class action	Court, Florida	Settlement	\$1,300,000.00		TEU04-01/
						Company did not properly	http://www.lawyers
						disclose facts regarding its	andsettlements.co
6/9/2003	Crayfish Co.	Class action		Settlement	\$9,000,000.00	parent company	m/settlements/016
							http://www.lawyers
							andsettlements.co
							m/settlements/108
						Company overcharged	62/auto-financing-
2/15/2008	Credit Acceptance Corp	Class action		Settlement	\$50,000,000.00	. ,	settlement.html
					<del>+ , , </del>		
		Class action-led				Bank should have known	
						about WorldCom's fraudulent	
2/40/2005	Credit Suisse	by New York State		Settlement		activities and cover up	
3/10/2005	Credit Suisse	comptroller		Settlement	\$12,540,000.00	activities and cover up	
			US District				http://o.o.g.witioc.ct
							http://securities.st
40/0/0000	0 11 0 1 15 1 15 1		Court,		ФО ООО ООО ОО		anford.edu/1025/
10/3/2002	Credit Suisse First Boston	Class action	Massachusetts	Settlement	\$3,000,000.00	Securities violations	RAZF02-01/
			US District				http://securities.st
			Court,		•		anford.edu/1028/
6/8/2006	Credit Suisse First Boston	securities actions	Massachusetts	Settlement	\$8,000,000.00	#######################################	WCII03-01/

						Company mishandled stock	
40/40/0004	One dit Occione Final Beaten	01		0 - 11 1		offerings during the final days	
12/12/2001	Credit Suisse First Boston	Class action		Settlement	\$100,000,000.00	of the 1990s bull market	
						Sllocating shares in IPOs to	
						clients who guaranteed to split	
						with the bank any profits made	
						by selling those shares as	
1/22/2002	Credit Suisse First Boston	SEC		Final Judgment	\$70,000,000.00	soon as the market opened.	
40/04/4000	One dit Occione Final Beaten	Orange County,		0-11		Liability for bank's involvement	
10/24/1998	Credit Suisse First Boston	California		Settlement	\$52,500,000.00	in Orange County's bankruptcy	
							http://securities.st
							anford.edu/news-
			US District				archive/2002/200
			Court,				20619_Settlement
6/19/2002	Critical Path, Inc	Class action	California	Settlement			11_Staff.htm
							http://www.cbc.ca/
6/6/2006	Crocus Investment Fund	Class action		Settlement	\$73,000,000.00		money/story/2008
							http://pubs.acs.org
							/cen/news/83/i04/
1/1/2005	Crompton	Class action		Settlement	\$97,000,000.00		8304dupontdow.h
1/1/2003	Orompton	Ciass action		Comernell	ψθ1,000,000.00	1 HOC HAINS	uni

			US District			False and misleading	http://securities.st
		Securities class	Court,			statements caused artificially	anford.edu/1028/
21/1/08	Crompton Corporation	actions	California	Settlement	\$20,650,000.00	inflated stock prices	CK03-01/
							http://www.busine
							sswire.com/portal/
							site/google/index.j
							sp?ndmViewId=n
							ews_view&newsId
			US District				=2004120800591
12/14/2004	Crossroads Systems, Inc.	Class action	Court, Texas	Settlement	\$4.350.000.00	Securities violations	2&newsLang=en
	,		,		, , , , , , , , , , , , , , , , , , ,		http://securities.st
			US District			Issuing false and misleading	anford.edu/1028/
2/25/2005	Cryo-Cell International	Class action	Court, Florida	Settlement	\$7,000,000.00	information	CCELE03-01/
							http://securities.st
			US District			Issuing false and materially	anford.edu/1024/
7/3/2002	CryoLife, Inc.	Class action	Court, Georgia	Settlement	\$23,250,000.00	misleading information	CRY02-01/
							http://securities.st
			US District				anford.edu/1036/
6/9/2006	CSK Auto Corporation	Class action	Court, Arizona	Settlement	\$10,000,000.00	Securities violations	CAO_01/
			US District				http://securities.st
			Court,			Issuing materially false and	anford.edu/1013/
5/21/2002	Cumulus Media, Inc.	Class action	Wisconsin	Settlement	\$13,000,000.00	misleading statements	CMLS00/
			US District				http://securities.st
4/40/4000	Council or Health Countries Inc.	Consolidated	Court, New	0 - 111 1	<b>#</b> 40 <b>F</b> 00 000 00	O	anford.edu/1004/
4/13/1999	Curative Health Services, Inc.	class action	York	Settlement	\$10,500,000.00	Securities violations	CURE99/
			US District				http://securities.st anford.edu/1025/
			Court,			Restatement of previously	CBUKE02-
0/12/2002	Cutter & Buck Inc.	Class action	Washington	Settlement	\$4,000,000,00	issued financial results	01/index.html
9/12/2003	Cutter & Buck Inc.	Class action	US District	Settlement	\$4,000,000.00	issued financial results	http://securities.st
			Court,				anford.edu/1028/
8/8/2003	CV Therapeutics, Inc.	Class action	California	Settlement	\$13,500,000,00	Securities violations	CVTX03-01/
0,0,2000	Thorapoution, mo.	Class dollors	Camorna	Cottoniont	ψ10,000,000.00	Coodings violations	3 1 1 1 1 0 0 0 1 1
			US District				http://securities.st
			Court,			False and misleading led to	anford.edu/1020/
9/7/2005	CVS	SH action	Massachusetts	Settlement	\$110,000,000.00	artificially inflated stock prices	CVS01/index.html

		Consolidated					http://www.lawyers
8/8/2007	CVS Caremark Corp.	class action		Settlement	\$7,500,000.00	Stock options backdating	andsettlements.co
							http://www.projo.c
							om/business/cont
							ent/BZ_cvsinvest
							ors09_06-09-
			Delaware			Challenge to the buyout that	07_KK5V0TB.27
6/9/2007	CVS Caremark Corp.	SH action	Chancery Court	Settlement	\$20,000,000.00	formed the company	0cbaf.html
						Accounting irregularities	
						caused the company's financial	
						statements to be materially	
						false and misleading and	http://securities.st
			110 0:			caused the Company's	anford.edu/1010/
7/44/0000	Outron Outro de Compositions	Ola a a a stiana	US District	0 - 111 1		common stock prices to rise	CYBG98/index.ht
7/11/2003	CyberGuard Corporation.	Class action	Court, Florida	Settlement	\$10,000,000.00	artificially	ml
						Company disseminated	
						materially false and misleading	
			US District			statements regarding its	http://securities.st
			Court,			current financial performance	anford.edu/1004/
11/6/1998	Cylink Corporation	Class action	California	Settlement		and business conditions	CYLK98/
						Company published financial	
						results which failed to disclose	http://securities.st
	D&K Healthcare Resources,		US District			and/or misrepresented adverse	
2/5/2004	Inc.	Class action	Court, Missouri	Settlement	\$18,700,000.00	facts	DKHR04-01/
						Mislandia a CII into pagasina	http://www.lawyers
						Misleading SH into approving	andsettlements.co m/settlements/068
						the deal after executives from	
12/4/2007	DoimlorChryolor	Chrysler SH		Cottlement		both companies portrayed it as	91/daimlerchrysler settlement.html
12/4/2007	DaimlerChrysler	Chrysler SH		Settlement	φουυ,υυυ,υυυ.υυ	a merger of equals Shares exchanged	http://www.lawyers
						involuntarily during the merger	andsettlements.co
8/22/2006	DaimlerChrysler AG	DamlerBenz SH		Settlement		were undervalued	m/settlements/061
3,22,2000	Daniel Offiguior 710	Darrior Boriz Off		Cottoniont	Ψ200,000,000.00	TO G. Idoi valdod	http://securities.st
			US District			Issuing false and misleading	anford.edu/1028/
	Daisytek International	Class action	Court, Texas	Settlement		statements	DZTK03-01/

		T		T		B. 81	1
						Misrepresenting and/or	
						omitting material information	
						concerning the "same store"	
			US District			sales results and future	http://securities.st
			Court,			business prospects of	anford.edu/1004/
10/18/1996	DAKA International, Inc.	Class action	Massachusetts	Settlement	\$3,500,000.00	Fuddruckers Inc.	DKAI96/
						Issuing false and misleading	http://securities.st
		Consolidated	US District			statements regarding its	anford.edu/1004/
8/21/2001	Danka Business Systems, PLC	class action	Court, Florida	Settlement	\$2,700,000.00	acquisition of Eastman Kodak	DANKY97/
	•					·	http://www.bigskyl
							awyers.com/Docu
							ments/hagestad/E
							RISA-
							ESOP%20\$5.022
			US District				%20Million%20Se
7/7/2004	Darby Lumber Inc.	Class action	Court. Montana	Settlement	\$5,000,000,00	Securities violations	ttlement.htm
17112001					<b>¥</b> 0,000,000		http://securities.st
	Data Systems Network		US District			Issuing misrepresentations and	•
	Corporation	SH action	Court, Michigan	Settlement		misleading statements	DSYS98/
0/24/1000	Corporation	OTT GOLLOTT	Court, Micrigan	Octionion	Ψ000,000.00	Thiologaing statements	http://www.prnews
							wire.com/cgi-
							bin/stories.pl?AC
							CT=105&STORY
							=/www/story/10-
		Consolidated	US District			Improper accounting and	11-
		securities class	Court, South				2000/000133594
1/11/1000	Datastroom Cyatama	action	Carolina	Settlement	\$5,000,000.00	making false and misleading	2000/000133394
1/11/1999	Datastream Systems	New Zealand	Carolina	Settlement	\$5,000,000.00	statements	http://www.lawyers
	David Diabudita and Midavia						andsettlements.co
	David Richwhite and Midavia	Securities		0 111	<b>#45</b> 000 000 00		
6/18/2009	Rail Investments Ltd.	Commission		Settlement	\$15,000,000.00		m/settlements/084
1.1/7/0655		Consolidated				Company mismanaged	http://cincinnati.biz
11/7/2003	Dayton Power and Light Co.	class actions		Settlement	\$145,500,000.00	investments	journals.com/cinci
							http://www.lawyers
							andsettlements.co
							m/settlements/104
							62/de-beers-
							diamond-
1/9/2008	De Beers Diamond Company	Class action		Settlement	\$295,000,000.00	Anticompetitive price fixing	antitrust.html

	Del Global Technologies	Securities class	US District Court, New				http://securities.st
	Corporation	action	York	Settlement	\$2,000,000,00	Securities violations	DGTCE00/
11,0/2000	Сегренален	GOUGH.	US District	001110111	ΨΞ,000,000.00	Misrepresenting and omitting	http://securities.st
		Securities class	Court, New			material information concerning	•
6/1/1999	Delia's Inc.	action	York	Settlement	\$2,042,500.00	_	DLIA99/
						Misleading customers about	http://www.lawyers
		34 state class				financing terms, warranties,	andsettlements.co
1/13/2009	Dell Inc	action		Settlement	\$3,500,000.00		m/settlements/130
						Making materially false and	
			US District			misleading statements in its	http://securities.st
			Court, New			audit of now defunct Phillip	anford.edu/1006/
3/24/2007	Deloitte & Touche	SH class action	York	Settlement	\$50,500,000.00	Services Corporation	PHV98/
							http://securities.st anford.edu/news- archive/2008/200 80220_Settlement
			US District			Part of the misconduct action	105577_Writer.ht
1/28/2007	Deloitte & Touche LLP	Delphi SH action	Court, Michigan	Settlement	\$38,250,000.00	against Delphi (see above)	ml
10/21/1997	Deloitte & Touche LLP	Medaphis SH actions (consolidated)	US District Court, Georgia	Settlement	\$52,500,000.00	Issuing false and misleading statements regarding Medaphis' income in Medaphis financial statements and reports	http://securities.st anford.edu/1010/ DLT97/
6/14/2006	Deloitte & Touche LLP	Symbol SH actions (consolidated)	US District Court, New York	Settlement	\$24,000,000.00	Company, acting as Symbol's auditor, made materially false statements and/or omissions regarding Symbol's financial statements	http://securities.st anford.edu/1036/ SBL04_01/
3/4/2002	Deloitte & Touche, L.L.P.	Class action, Just For Feet, Inc. Shareholders	US District Court, Alabama	Settlement	\$7,400,000.00	Liability as auditors for Just For Feet, Inc.	http://securities.st anford.edu/1034/ FEET99_01/

				· ·			ı
8/8/2008	Deloitte and Touche	GM SH action	US District Court, Michigan	Settlement	\$26,000,000.00	GM SH action (above)	http://blogs.wsj.co m/law/2008/08/08/ gm-deloitte-to-pay- combined-303m- to-settle-securities- class-action/
		Adelphia	US District			Auditor contributed to the fraud	
		Communications	Court, New			which caused Adelphia	
12/9/2006	Deloitte and Touche	SH action	York	Settlement		Communication's collapse	nytimes.com
						Failure to properly audit several	
						savings and loans	http://www.encycl
						associations, contributing to	opedia.com/doc/1
3/15/1994	Deloitte and Touche	US Regulators		Settlement	\$312,000,000.00	failures	P2-880720.html
							http://www.big4.co
							m/view_letter/365/
							deloitte_pays_210
							_million_to_settle
						Failure to properly audit	_adelphia_case
						Adelphia Communications,	45_of_total_sum.
1/1/2005	Deloitte and Touche	SEC		Fine	\$50,000,000.00	contributing to its collapse	html
							http://www.lawyers
						Officials engaged in a wide-	andsettlements.co
						ranging fraudulent scheme that	
						sought to inflate share prices	99/delphi-
			US District			by issuing misleading earnings	shareholders-
1/14/2008	Delphi Corp.	SH action	Court, Michigan	Settlement	\$284,000,000.00	statements.	settlement.html
							http://www.lawyers
			US District			Officials engaged in a wide-	andsettlements.co
			Court, Michigan			ranging fraudulent scheme that	
			and New York			sought to inflate share prices	50/delphi-
			Bankruptcy			by issuing misleading earnings	securities-
8/28/2007	Delphi Corp.	employees	Court	Settlement	\$470,000,000.00	statements.	settlement.html
			US District				http://securities.st
			Court, New				anford.edu/1010/
1/27/2003	Delta Financial Corp.	Class action	York	Settlement	\$5,600,000.00	Securities violations	DFC99/

						Company issued false and	
	I					misleading statements and	
	I		US District			misrepresented material facts	http://securities.st
	I		Court, New			which caused artificial stock	anford.edu/1030/
9/20/2005	Descartes Systems Group Inc.	Class action	York	Settlement	\$1,500,000.00	price inflation	DSGX04-01/
			US District				http://securities.st
	I		Court, New				anford.edu/1010/
11/2/2000	Detection Systems, Inc.	Class action	York	Settlement	\$1,400,000.00	Securities fraud	DETC98/
	I		US District				http://securities.st
		Securities class	Court, New				anford.edu/1004/
11/25/1998	Deutsche Bank AG	action	York	Settlement	\$58,000,000.00	#######################################	
						Fraud in its dealings with	http://www.lawyers
3/13/2005	Deutsche Bank AG	WorldCom SH		Settlement	\$325,000,000.00	WorldCom	andsettlements.co
							http://www.bloomb
	I						erg.com/apps/new
	I					SH were underpaid in its	s?pid=20601085
			Regional Court			purchase of T-Online Internet	&sid=alo3cOyazl
12/3/2007	Deutsche Telekom	SH action	of Frankfurt	Settlement	\$882,000,000.00	Unit	Do
	I						http://www.lawyers
			US District				andsettlements.co
			Court, New			Making false statements in its	m/settlements/029
1/31/2005	Deutsche Telekom	SH action	York	Settlement	\$120,000,000.00	acquisition of VoiceStream	07/telekom.html
5/2/2005	Dexia	Class action		Settlement	\$518,000,000.00	Misleading investors	http://www.lawyers

5/8/2007	Dexia SA	LHSP shareholders	US District Court, Massachusetts	Settlement	\$60,000,000.00	Securities fraud  Inflating wholesale prices reported to national reporting services, prompting the state	http://www.forbes. com/feeds/afx/200 7/05/04/afx36865 47.html http://www.drugs.c om/news/dey-l-p- reaches- settlement- commonwealth-
4/20/2007	Dey Inc.			Settlement		Medicaid program to pay more for the company's drugs	massachusetts- pricing-litigation-
12/26/2007	Dey LP	Montana Attorney General		Settlement	\$198,000.00	Inflating drug prices	http://www.lawyers andsettlements.co m/settlements/103 33/dey-inflated- drug-prices.html
6/25/2008	DHB Armor	Class action and SH derivative suit	US District Court, New York	Settlement		Disseminating false and misleading statements	http://www.uslaw.c om/lawsuits/Point +Blank+Solutions ?itemid=703
6/5/2001	Digital Impact, Inc.	Class action	US District Court, New York	Settlement	\$1,000,000,000.00	Securities violations	http://securities.st anford.edu/1018/ DIGI01/
	Digital Lightwave  Dignity Partners, Inc.	Securities class action  Class action	US District Court, Florida US District Court, California	Settlement Settlement	\$8,500,000.00	Materially misrepresenting company's financial condition, overstating revenues Misrepresentations and omissions in the prospectus and registration statement	http://securities.st anford.edu/1008/ DIGL98/ http://securities.st anford.edu/1004/ DPNR96/
	Direct General Corp.	Consolidated class action	US District Court, Tennessee	Settlement		False and misleading statements with respect to liabilities that had been recorded for unpaid losses and loss adjustment expenses	http://www.lawyers andsettlements.co m/settlements/072 83/direct-general- settlement.html

						Unlawful practices related to	
5/3/2001	Direct Merchants Bank	US Comptroller		Settlement	\$3,200,000,00	credit card marketing	
0,0,00						Making false and misleading	
			US District			statements and omissions in	http://securities.st
			Court.			connection with a secondary	anford.edu/1008/
11/25/1997	Discreet Logic, Inc.	Class action	Massachusetts	Settlement	\$10,800,000.00	•	DSLGF97/
	, , , , , , , , , , , , , , , , , , ,		US District			Securities violations in	http://securities.st
			Court,			connection with company's	anford.edu/1022/
6/22/2004	DJ Orthopedics, Inc.	Class action	California	Settlement	\$5,500,000.00	IPO	DJO01/
	, ,		US District			Company failed to disclose and	http://securities.st
		Securities class	Court,			misrepresented material	anford.edu/1032/
3/20/2007	Dobson Communications, Inc.	action	Oklahoma	Settlement	\$3,400,000.00	adverse facts	DCEL04_01/
			US District				http://securities.st
			Court,			Misrepresentations concerning	anford.edu/1018/
4/30/2001	Dollar General Corporation	Class action	Tennessee	Settlement	\$162,000,000.00	company's financial results	DG01/
			US District				http://securities.st
		Securities class	Court,			False and misleading	anford.edu/1002/
4/11/2007	Dominion Bridge Corp.	action	Pennsylvania	Settlement	\$750,000.00	statements	CGMV96/
							http://www.lawyer
							andsettlements.co
							m/settlements/047
		Canadian Federal					89/domtar_antitru
1/9/2006	Domtar	Government		Fine	\$12,500,000.00	Antitrust violations	st.html
			US District				http://securities.st
			Court, New				anford.edu/1004/
11/22/1996	DonnKenny, Inc.	Class action	York	Settlement	\$10,000,000.00	Securities violations	DNKY96/
						Caran and residual increases	h 11//
						Company misled investors about the value of some of its	http://www.lawyer andsettlements.co
			LIO District				
			US District			investments, leading to	m/settlements/07
5/4/000 <del>7</del>	D 15: 110		Court, New	0 111	#400 000 000 00	considerable losses and	11/doral-securitie
5/4/2007	Doral Financial Corp.	Class action	York	Settlement	\$129,000,000.00	punitive damages	settlement.html
			US District				http://securities.st
4/20/2002	DOV/ Dharmagautical In-	Class sation	Court, New	Settlement	¢250,000,00	Convition violations	anford.edu/1024/
4/29/2002	DOV Pharmaceutical, Inc.	Class action	York US District	Settlement	\$250,000.00	Securities violations	DOVP02-01/
							http://securities.st anford.edu/1010/
0/00/4007	Dava Entartainment In-	Class sation	Court,	Cottlement	\$2.7E0.000.00	Convition violations	
8/20/1997	Dove Entertainment, Inc.	Class action	California	Settlement	\$3,750,000.00	Securities violations	DOVE97/

	T		I	1			
		Consolidated	Suprama Caurt			Callura to proporty avaluate	http://www.reuters. com/article/busine ssNews/idUSN18
40/40/000=			Supreme Court,			Failure to properly evaluate	
10/18/2007	Dow Jones	class action	New York State	Settlement	\$895,000.00	News Corp.'s takeover bid	45544420071018
10/31/2005	Dow, DuPont Dow Elastomers,	Class action	US District Court, Tennessee	Settlement	\$2,900,000.00	Price fiving	http://www.lawyers andsettlements.co m/settlements/045 09/neoprene_antit rust.html
10/31/2003	Dow, Dur ont Dow Liastomers,	Class action	1611163366	Settlement	\$2,900,000.00	1 lice lixing	http://securities.st
8/5/2002	DPL, Inc.	Securities class action	US District Court, Ohio	Settlement	\$145,500,000.00	Company falsely represented its portfolio of financial assets	anford.edu/1025/ DPL02-01/
40/4/0004	205	Consolidated	US District Court,	0.11		Company made false and misleading statements about its financial condition and	anford.edu/1020/
10/4/2001	DQE, Inc.	class action	Pennsylvania	Settlement	\$12,000,000.00	prospects	DQE01/
7/14/2000	Drkoop.com	Class action	US District Court, Texas	Settlement	\$4,250,000.00	Securities violations	http://securities.st anford.edu/1014/ KOOP00/
6/27/2001	Drugstore.com	Class action	US District Court, New York	Settlement	\$1.000.000.000.00	Artificial stock price inflation	http://securities.st anford.edu/1018/ DSCM01/
	DSP Communications, Inc.	Class action	US District Court, California	Settlement		Securities violations	http://securities.st anford.edu/1008/ DSP98/
	Dueling Grounds Entertainment		- Camonina	Verdict		Violations in connection with sale of bankrupt racetrack	http://www.lawyers andsettlements.co m/settlements/025
		Class action-West	,			·	http://www.nytime s.com/2004/09/10 /business/10chem ical.html?scp=58& sq=lawsuit%20an d%20settlement&
9/10/2004	Dupont	Virginia residents	Virigina	Settlement	\$342,000,000.00	vvater pollution	st=Search

		T	ı	1			I
							http://pubs.acs.org
							/cen/news/83/i04/
							8304dupontdow.h
1/21/2005	DuPont Dow Elastomers	US DOJ		Settlement/Fine	\$84,000,000.00		tml
						Making false statements about	http://securities.st
						the company's finances and	anford.edu/1020/
9/25/2009	Duquesne Light Holdings Inc.	Class action		Settlement	\$12,000,000.00	future	DQE01/
			US District				http://securities.st
	Durus Life Sciences Master		Court,				anford.edu/1032/
7/22/2004	Fund, Ltd.	Class action	Connecticut	Settlement	\$53,200,000.00	Securities violations	AKSY04-01/
							http://www.lawyers
							andsettlements.co
							m/settlements/060
							74/dynacq_securit
			US District				ies_settlement.ht
8/14/2006	Dynacq Healthcare Inc.	SH class action	Court, Texas	Settlement	\$1,500,000.00	#######################################	
	,		,		, , ,		
						Issuing a series of materially	
						false and misleading	
						statements and omitted	
						material facts concerning the	http://securities.st
			US District			Company's financial condition	anford.edu/1010/
7/3/2001	Dynamex, Inc.	Class action	Court, Texas	Settlement		and business operations.	DYMX98/
.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,							http://www.lawyers
						in order to preserve its credit	andsettlements.co
4/18/2005	Dynegy Inc.	Class action		Settlement	\$467,000,000.00	•	m/settlements/033
1710/2000	Dynogy mo.	Oldoo dollori		Cottomont	ψ 101 ,000,000.00	Taming .	THE COLLIGITION ROY COO
						Componentian for a failed	
0/47/2022	Dunarau	Enron		Cottlement		Compensation for a failed	
8/17/2002		Enron		Settlement	\$25,000,000.00		
7/30/2008	E Trade	SEC		Settlement	\$1,000,000.00	Money laundering	

					1		1
3/15/2002	Eagle Building Technologies, Inc.	Securities class action	US District Court, Nevada	Settlement		False and misleading statements caused stock prices to be artificially inflated	http://securities.st anford.edu/1023/ EGBT02-01/
4/26/2006	Eaton Vance Corporation	Securities class action	US District Court, Massachusetts	Settlement	\$10,500,000.00	Securities violations	http://securities.st anford.edu/1020/ EV01/
6/30/2008	Ebay	LVMH		Fine	\$61,000,000.00	Allowing the sale of fake luxury goods	http://www.lawyers andsettlements.co m/settlements/122 06/ebay-fined-61- million-by-french- court.html
4/29/2005	еВау	SH action		Settlement		Improper distribution of shares by Goldman Sachs in order to win investment banking bonuses	
11/15/2002	ECI Telecom, Ltd.	Securities class action	US District Court, Virginia	Settlement	\$21,750,000.00	Securities violations- Accounting violations	http://securities.st anford.edu/1018/ ECIL01/
7/20/2007	eCollege.com	Class action		Settlement		Violation of fiduciary duties owed to shareholders of eCollege by its directors, with regard to the company's recent merger agreement with Pearson Education and Epsilon Acquisition Corp.	http://www.lawyers andsettlements.co m/settlements/087 55/ecollege- shareholder- merger.html
10/31/2001	eConnect, Inc.	Securities class action	US District Court, California	Settlement		Making false and misleading statements regarding the company's financial condition	http://securities.st anford.edu/1013/ ECNC00/

	T	1	1	1		1	
						Issuing materially false and	
			US District			misleading statements	http://securities.st
		Securities class	Court, New	Settlement		regarding the company's	anford.edu/1024/
5/15/2002	Edison Schools, Inc.	action	York	(partial)	\$5,400,000.00	financial condition	EDSN02-01/
							http://securities.st
		Securities class	US District				anford.edu/1029/
1/23/2004	Edward D. Jones & Co. L.P.	action	Court, Missouri	Settlement	\$127,500,000.00	Securities violations	EDJCL04-01/
		9 consolidated					
		class actions and				Questionable review sharing	
9/15/2006	Edward Jones	US DOJ		Settlement	\$127,000,000.00	and sales practices	
						Issuing a series of materially	
						false and misleading	
						statements concerning the	
						Company's business,	http://securities.st
		Securities class	US District			operations and growth	anford.edu/1009/
10/8/1998	EFTC Corporation	action	Court, Colorado	Settlement	\$6,000,000.00	prospects.	EFTC98/
	·		US District			Issuing materially false and	http://securities.st
		Securities class	Court,			misleading statements to the	anford.edu/1026/
11/27/2002	eFunds Corporation	action	Wisconsin	Settlement	\$2,550,000.00	_	EFDS02-01/
	'					Securities fraud based upon	
						certain alleged misleading	
						representations regarding the	
			US District			Company's acquisitions of	http://securities.st
		Securities class	Court,			Surefind and Cybernetics and	anford.edu/1001/
12/27/2006	EIS International, Inc.	action	Connecticut	Settlement	\$3.800.000.00	their operations	EISI97/index.html
	,					'	http://www.lawyers
							andsettlements.co
							m/settlements/019
							67/elpasocorp.htm
12/8/2003	El Paso Corp.	Class action		Settlement	\$1,550,000,000,00	Company drove up natural gas	
, 3, _ 300		- 300 00.0			<b>\$.,000,000,000</b>	Company mislead investors by	http://securities.st
						inflating revenues,	anford.edu/1025/
			US District			exaggerating profits and hiding	
8/3/2006	El Paso Corp.	Class action	Court, Texas	Settlement	\$285,000,000.00		01/index.html
3,3,2300		- 300 00.0			<del>+====,===,====</del>	Securities violations-failure to	http://securities.st
			US District			disclose material adverse	anford.edu/1027/
1/3/2003	El Paso Electric Company	Class action	Court, Texas	Settlement	\$10,000,000.00		EE03-01/
1/0/2000	Err add Elodillo Company	Clade delleri	Court, Toxas	- Callottion	Ψ10,000,000.00	momation	

			US District Court, New				http://securities.st
2/4/2002	Flor Corporation DLC	Class action	York	Settlement	₽7E 000 000 00	Convition violetians	ELN02-01/
2/4/2002	Elan Corporation, PLC	Class action	TOIK	Settlement	\$75,000,000.00	Securities violations	ELINUZ-U1/
4/22/2004	Electro Scientific Industries Inc.	Class action	US District Court, Oregon	Settlement	\$9,250,000.00	Restatement of prior earnings reports	http://portland.bizj ournals.com/portla nd/stories/2004/0 4/19/daily37.html
8/6/2008	Electronic Data Systems Corp.	ERISA class	US District Court, Texas	Settlement		Breach of fiduciary duties and securities fraud by providing misleading information, selling unregistered shares, and failing to properly manage the plan	http://www.kellers ettlements.com/ed s.html
1/30/2006	Elpida Memory Inc.	Class action	US District Court, California	Fine	\$84,000,000.00	Conspiring to fix prices	http://www.lawyers andsettlements.co m/settlements/050 08/elpida_antitrust .html
6/4/2001	Emex Corporation	Securities class action	US District Court, New York	Settlement		Issuing a false and misleading press release concerning its successful attempt to secure much-needed financing.	http://securities.st anford.edu/1018/ EMEX01/
3/17/1997	Employee Solutions, Inc.	Securities class action	US District Court, Arizona	Settlement		Misrepresenting and/or omitting material information concerning ESI's reported operating results	http://securities.st anford.edu/1008/ ESOL97/
7/24/2002	Empyrean Bioscience, Inc.	Securities class action	US District Court, Ohio	Settlement		Issuing a series of materially false and misleading statements to the market	http://securities.st anford.edu/1025/ EMDG02-01/
2/20/2001	Emulex Corporation	Securities class action	US District Court, California	Settlement		Making positive but false statements to the market	http://securities.st anford.edu/1017/ EMLX01/

						Participation in a scheme to artificially inflate the	
		0 ''' 1	US District			Company's stock price and	http://securities.st
0/0/0004		Securities class	Court,	0 111		misrepresent the condition of	anford.edu/1016/
2/2/2001	En Pointe Technologies	action	California	Settlement	\$1,800,000.00		ENPT01/
						Accounting fraud and	http://www.lawyers
7/25/2006	Endocare Inc.	SEC		Fine	\$750,000.00	recordkeeping practices	andsettlements.co
							http://www.lawyers
			US District				andsettlements.co
			Court,				m/settlements/015
11/18/2004	Endocare, Inc.	SH class action	California	Settlement	\$8,950,000.00	Securities violations	55/endcare.html
		Commodity			, , ,		http://www.energy-
		Futures Trade					business-
5/18/2008	Energy Transfer Partners	Commission		Settlement	\$10,000,000.00	Manipulating the price of gas	review.com/news/
							http://www.lawyers
							andsettlements.co
							m/settlements/107
			US District				41/enerlum-
	EnerLume Energy	Securities class	Court,			Allegations stemming from a	securities-
2/1/2008	Management Corp.	action	Connecticut	Settlement	\$2,450,000.00	press release	settlement.html
						Issuing a series of materially	
						false and misleading	http://securities.st
		Securities class	US District			statements concerning the	anford.edu/1009/
2/25/1000	Engineering Animation, Inc.	action	Court, Ohio	Settlement	¢7 500 000 00	Company's financial results	EAII99/
2/23/1999	Engineening Animation, inc.	action	Court, Onio	Settlement	\$7,500,000.00	Compensation for	http://www.chron.c
						shareholders whose stocks	om/disp/story.mpl/
			US District			became worthless after the	front/5991449.ht
9/9/2008	Enron	SH action	Court, Texas	Settlement	\$7 200 000 000 00	company's collapse	ml
3/3/2000	LIIIOII	OT I ACTION	Court, Texas	Comement	Ψ1,200,000,000.00	Compensation for losses due	http://www.insuran
		Employee class				to fraud and securities	cenewsnet.com/ar
5/11/2004	Enron	action		Settlement	\$86,500,000.00		ticle.asp?a=top_n
5,, 250 1				2 2 3 3 3 3 3 3	<b>\$25,555,500.00</b>	Breach of fiduciary duty-	http://www.lawyers
						directors didn't adequately	andsettlements.co
						oversee the company for	m/settlements/027
1/11/2005	Enron	SH action		Settlement	\$168,000,000.00		71/enron_securiti

1			1	T	T	Т	T
7/15/2005	Enron Corporation	California Attorney General		Settlement		Market manipulation during the energy crisis of 2000 and 2001	
							http://www.lawyers andsettlements.co
			US District				m/settlements/019
			Court. New			Restatement of prior financial	86/enterasysnetw
12/19/2003	Enterasys Networks Inc	Class action	Hampshire	Settlement	\$31,580,000.00	•	orksinc1.html
			US District		, , ,		http://securities.st
			Court, New			Misleading information	anford.edu/1010/
1/24/2005	Enterasys Networks Inc.	Class action	Hampshire	Settlement	\$10,500,000.00	regarding investments	CS97/
		Securities class	US District Court,			Making materially false and	http://securities.st anford.edu/1032/
7/28/2004	Entropin, Inc.	action	California	Settlement		misleading statements	ETOPOB04-01/
1/20/2004	Emaopin, inc.	action	California	Octionich	ψ+,500,000.00	misicading statements	L101 0B04 017
						Issuing materially false and	
						misleading statements about	
						the Company, its business,	
						operations and financial	
						position and failing to disclose	
		Securities class	US District			material facts necessary to make defendants' statements	http://securities.st anford.edu/1001/
8/20/1998	Envoy Corporation	action	Court, Tennessee	Settlement		not false and misleading	ENVY98/
0/20/1000	Envoy Corporation	Consolidated	US District	Octionicht	ψ11,000,000.00	not raise and misicading	http://securities.st
		securities class	Court, New				anford.edu/1020/
7/30/2001	Equinix, Inc.	action	York	Settlement	\$1,000,000.00	Securities violations	EQIX01/
			US District				http://securities.st
0/44/400=		Consolidated	Court,	Damages	0.45.000.450.54		anford.edu/1001/
9/11/1997	Equisure, Inc.	class action	Minnesota	awarded	\$45,309,176.51	Securities violations	EQE97/
		Securities class	US District				http://securities.st anford.edu/1001/
6/22/1998	Ergobilt, Inc.	action	Court, Texas	Settlement	\$3,220,000.00	Securities violations	ERGBE98/

			I	1	Т	Dana ale af fish a is mandadis a da	
						Breach of fiduciary duties to	
						the shareholders by using	http://securities.st
			US District			unfair procedures to arrive at	anford.edu/1036/
			Court,			an unfair and inadequate share	ERIE_01/index.ht
4/21/2008	Erie Insurance Exchange	SH action	Pennsylvania	Settlement	\$5,200,000.00		ml
						Manipulating its accounting	
						during its audits of	
						HealthSouth Corp between	
						1996 and 2002, causing them	http://www.law.co
		Shareholders of	US District			to be materially false and	m/jsp/article.jsp?id
6/16/2009	Ernst & Young	HealthSouth Corp	Court, Alabama	Settlement	\$109,000,000.00		=1202429359390
	3		· · · · · · · · · · · · · · · · · · ·		, , ,	Ü	
							http://securities.st
							anford.edu/news-
			US District				archive/1999/122
		Cendant Corp.	Court, New				199Settlement110
12/17/1999	Ernst & Young	shareholders	Jersey	Settlement	\$335,000,000,00	Accounting firm was negligent	200.html
12/11/1000	Linet a roung	onarcholacio	US District	Cottlernent	φοσο,σσσ,σσσ.σσ	Necessiting in it was negligent	http://securities.st
			Court,			Involvement with Informix	anford.edu/1008/I
12/21/2000	Ernst & Young	Class action	California	Settlement		Corporation as its auditor	FMX/
12/31/2000	Linst & roung	Class action	California	Settlernerit		Failure to properly audit several	
						savings and loans	http://www.encycl
							opedia.com/doc/1
0/45/4004	5	LIO De sudetess		0 - 111 1		associations, contributing to	
3/15/1994	Ernst & Young	US Regulators		Settlement	\$400,000,000.00	railures	P2-880720.html
							la tta : //a a a contiti a a a a t
							http://securities.st
							anford.edu/news-
							archive/2007/200
			US District				70117_Settlement
	Ernst & Young (Williams Cos.		Court,				102381_Price.ht
1/17/2007	Inc. case above)	Class action	Oklahoma	Settlement	\$21,000,000.00	Auditor for Williams Cos.	ml
							http://www.lawyers
							andsettlements.co
			US District			Participation in fraudulent	m/settlements/077
		Securities class	Court,			accounting practices with AIG	23/ernst-young-
4/12/2007	Ernst & Young LLP	action	Pennsylvania	Settlement	\$9,080,000.00	and PNC	settlement.html

http://www.lawyers andsettlements.co m/settlements/119  Securities class action MO Settlement \$3,500,000.00 Pasta Co. m/settlements/119  Securities class action Work Settlement \$4,500,000.00 Pasta Co. m/settlements/119  Securities class action Work Settlement \$4,500,000.00 Pasta Co. m/settlement set and rod edu/1001/  Securities class action Securities action Securities action Securities Securiti		T	1			T		1
Audit issued false and misleading statements or mestlements/119  Securities class action and (6/17/1999 ESC Strategic Funds, Inc.  US District Court, New York  9/22/2008 Escala  Class action and SH action  US District Court, New York  9/22/2008 Escala  Class action and SH action  US District Court, New York  9/22/2008 Escala  Class action and SH action  US District Court, New York  9/22/2008 Escala  Class action and SH action  MO Settlement  Securities violations  Securities violations-making false and misleading statements and omitting information regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation sharp and the dissemination of raud  SECL_01/1  Making material misstatements and omitting information regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation and the dissemination of raud  SECL_01/1  Material omissions and the dissemination of raud  Material omissions and the dissemination of raud  SECL_01/1  Material omissions and the dissemination of raud  Material omissions and the dissemination of raud  Material omissions and the dissemination of raud  SECL_01/1  Material omissions and the dissemination of raud  Material omissions and the dissemination of raud  SECL_01/1  Material omissions and the dissemination of raud  Material omissions and the dissemination of raud  SECL_01/1  Material omissions and the dissemination of raud  Material omissions and the dissemination of raud  Material omissions and the dissemination of raud  SECL_01/1  Material omissions and the dissemination of raud  M								http://www.lawwere
Audit issued false and misleading statements regarding American Italian pasts Ahmil http://securities.sta.nlroi/.edu/101/12/3/1998   ESC Medical Systems								
Securities class   Securities								
Securities class   Securities class   Securities class   Court, New   Settlement   Securities class   Court, New   Securities class   Court, New   Securities class   Court, New   Securities class   Securities class   Court, New   Securities class   Securities class   Court, New   Securities class   Securities class   Court, Court   Securities class   Securities class   Court, Court   Court, Cou								
Staction   Staction   Staction   Staction   Staction   Statement				Kansas City			•	
US District Court, New action   York   Settlement   \$4,500,000.00   Securities violations   SECMF98/   SECMF98/   Securities class   Court, New action   York   Settlement   Securities violations   SECMF98/   Intp://securities.st and misleading   Intp://securities.st and omitting information   regarding the rue nature of   Escala's business and sales activities, stock prices fell   dramatically after investigation   SECL_01/	5/22/2008	Ernst and Young	SH action		Settlement	\$3.500.000.00		
Securities class   Securities		3		US District		, , , , , , , , , , , , , , , , , , ,		http://securities.st
Securities class action  Securities class action  Securities statements and misleading ST199/  Making material misstatements and omitting information regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation activities, stock price fell dramatically after investigation activities, stock price fell dramatically after investigation activities, stock price to become artificially misleading statements regarding the nature of ESS's revenue and business prospects caused ESS's stock price to become artificially and following prices and following prices and following prices and following prices and settlements. Court, California Settlement \$3,500,000.00 inflated hedrug prices reported to national price of securities and ordinated prices and sellements. Settlement Settlements and orditting information regarding the run anture of Escala's business and the dissemination of materially misleading statements become artificially prices and following prices and sellements. Settlement Settlements are proposed to national price and sellements. Settlement Settlements are proposed to national price and sellements. Settlement Settlements and ordinated sellements. Settlement Settlements are proposed to national price and settlements. Settlement Settlements are proposed to national price and settlements. Settlement Settlement Settlements. Settlement Settlement Settlement Settlements. Settlement Settlem			Securities class	Court, New				
Securities class action  Securities class action  Securities class action  Securities state and misleading and misleading statements and omitting information regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation afford.edu/1036/  SH action  SH action  SH action  SETIEMENT  S	10/23/1998	ESC Medical Systems	action	York	Settlement	\$4,500,000.00	Securities violations	ESCMF98/
6/17/1999 ESC Strategic Funds, Inc.    Court, New York   Settlement		•		US District				http://securities.st
Making material misstatements and omitting information regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation SH action  9/22/2008 Escala  Class action and SH action  SH action  SH action  Settlement  Settlement  Settlement  Settlement  Material omissions and the dissemination of materially misleading statements regarding the nature of ESS's revenue and business prospects caused ESS's stock price to become artificially  T/27/2007 ESS Technology Shareholders  Settlement  Settle			Securities class	Court,			false and misleading	anford.edu/1013/
and omitting information regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation SH action  9/22/2008 Escala  SH action  SH action  Settlement  Se	6/17/1999	ESC Strategic Funds, Inc.	action	Tennessee	Settlement	\$800,000.00	statements	STI99/
and omitting information regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation SH action  9/22/2008 Escala  SH action  SH action  Settlement  Se								
regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation  9/22/2008 Escala  Class action and SH action  SH action  Settlement  Settleme							<u> </u>	
Second   S								
US District Court, New York Settlement \$6,000,000.00 for fraud dramatically after investigation and FSCL_01/  Material omissions and the dissemination of materially misleading statements regarding the nature of ESS's revenue and business prospects caused ESS's stock price to become artificially online to								
Class action and SH action  Court, New York  Settlement  Settlemen								
9/22/2008 Escala SH action York Settlement \$6,000,000.00 for fraud ESCL_01/  Material omissions and the dissemination of materially misleading statements regarding the nature of ESS's revenue and business prospects caused ESS's stock price to become artificially ESST02-  7/27/2007 ESS Technology Shareholders Class action California Settlement \$3,500,000.00 inflated http://www.lawyers andsettlements.co m/settlements/090 for fraud ESCL_01/  Material omissions and the dissemination of materially misleading statements regarding the nature of ESS's http://securities.st anford.edu/1025/ESST02-  O1/index.html  http://www.lawyers andsettlements.co m/settlements/090 for fraud ESCL_01/  Material omissions and the dissemination of materially misleading statements regarding the nature of ESS's http://securities.st anford.edu/1025/ESST02-  O1/index.html  http://www.lawyers andsettlements/090 for fraud ESCL_01/  Material omissions and the dissemination of materially misleading statements  FST02-  O1/index.html  http://www.lawyers andsettlements/090 for fraud ESCL_01/  ESST02-  O1/index.html  http://securities.st drugs.html  http://securities.st lssuing materially false and anford.edu/1027/							·	•
Material omissions and the dissemination of materially misleading statements regarding the nature of ESS's revenue and business anford.edu/1025/prospects caused ESS's stock price to become artificially ESST02-Ot/index.html  Massachusetts  VS District Court, California Settlement Settle				,				
dissemination of materially misleading statements regarding the nature of ESS's revenue and business prospects caused ESS's stock price to become artificially ESST02-  7/27/2007 ESS Technology Shareholders Class action California Settlement \$3,500,000.00 inflated 101/index.html  Massachusetts  8/15/2007 ETHEX Corporation Attorney General  US District Settlement \$574,998.00 reporting services drugs.html  LUS District Settlement Source of the corporation and price and procesure of the corporation and procesure of the corporation and price and procesure of the corporation and price and procesure of the corporation and price and procesure of the corporation and procesure of the corp	9/22/2008	Escala	SH action	York	Settlement	\$6,000,000.00		ESCL_01/
misleading statements regarding the nature of ESS's revenue and business prospects caused ESS's stock price to become artificially  7/27/2007 ESS Technology Shareholders Class action California Settlement \$3,500,000.00 inflated 01/index.html  http://securities.st anford.edu/1025/ESST02- Court, California Settlement \$3,500,000.00 inflated 01/index.html  http://www.lawyers andsettlements.co m/settlements/090 reported to national price 19/inflated-generic drugs.html  B/15/2007 ETHEX Corporation Attorney General Settlement \$574,998.00 reporting services drugs.html  US District Court, Issuing materially false and anford.edu/1027/								
regarding the nature of ESS's revenue and business prospects caused ESS's stock price to become artificially ESST02- O1/index.html  Massachusetts 8/15/2007 ETHEX Corporation  Massachusetts Securities class  DS District Court, California Settlement Settl							,	
revenue and business prospects caused ESS's stock price to become artificially ESST02- T/27/2007 ESS Technology Shareholders Class action California Settlement \$3,500,000.00 inflated 01/index.html  Massachusetts  8/15/2007 ETHEX Corporation Attorney General Securities class  US District Court, California Settlement \$574,998.00 reporting services drugs.html    California Settlement   Settlemen								
US District Court, Court, California Settlement \$3,500,000.00 inflated the drug prices and settlements.co m/settlements.co m/								la ( ( a . ) // a
Court, California Settlement \$3,500,000.00 inflated 01/index.html    Settlement   Sattlement   S				LIO District				•
7/27/2007 ESS Technology Shareholders Class action California Settlement \$3,500,000.00 inflated 01/index.html  http://www.lawyers andsettlements.co m/settlements/090 Inflated the drug prices reported to national price reported to national price reporting services drugs.html  B/15/2007 ETHEX Corporation Attorney General Settlement \$574,998.00 reporting services http://securities.st Securities class Court, Issuing materially false and anford.edu/1027/								
http://www.lawyers andsettlements.co Inflated the drug prices m/settlements/090 Massachusetts 8/15/2007 ETHEX Corporation  Massachusetts Attorney General US District Securities class  Court,  http://www.lawyers andsettlements.co m/settlements/090 19/inflated-generic drugs.html http://securities.st andsettlements/090 19/inflated-generic drugs.html http://securities.st anford.edu/1027/	7/07/0007	500 T	Olasa astisus	,	0 - 111 1			
Massachusetts 8/15/2007 ETHEX Corporation  Massachusetts Attorney General  US District Securities class  Securities class  Court,  And and settlements.co Inflated the drug prices mysettlements.co m/settlements.co lnflated the drug prices reported to national price drugs.html http://securities.st anford.edu/1027/	7/27/2007	ESS Technology Shareholders	Class action	California	Settlement	\$3,500,000.00	Inflated	01/index.ntmi
andsettlements.co Inflated the drug prices m/settlements/090 Massachusetts 8/15/2007 ETHEX Corporation  Massachusetts Attorney General US District Securities class Court,  Massachusetts Attorney General Settlement \$574,998.00 reporting services reported to national price propring services drugs.html http://securities.st								http://www.lowe.cro
Massachusetts Attorney General Settlement \$574,998.00 Inflated the drug prices reported to national price 19/inflated-generic drugs.html  US District Securities class Court, Issuing materially false and anford.edu/1027/								
Massachusetts Attorney General Settlement \$574,998.00 reporting services drugs.html US District Securities class Court, reported to national price to national price drugs.html reporting services to http://securities.st lssuing materially false and anford.edu/1027/							Inflated the drug prices	
8/15/2007 ETHEX Corporation  Attorney General  US District Securities class  Court,  Settlement  \$574,998.00 reporting services  http://securities.st Issuing materially false and anford.edu/1027/			Massachusetts					
US District   http://securities.st   Securities class   Court,   Issuing materially false and   anford.edu/1027/	8/15/2007	FTHEX Corporation			Settlement			_
Securities class Court, Issuing materially false and anford.edu/1027/	0/10/2007	ETTEX Corporation	Autoritoy Ocheral	US District	Cottlomont	ψ51-7,390.00		
			Securities class					•
	5/9/2003	eUniverse Inc.	action	California	Settlement	\$1,300,000.00	,	EUNI03-01/

8/29/2006	Euro Cement Group	Russian Federal Government		Settlement	\$14,550,000.00	Antitrust violations	http://www.sptime s.ru/index.php?act ion_id=2&story_id =18671
	EV Classic Senior Floating Rate Fund	SH action	US District Court, Massachusetts	Settlement	\$10,500,000.00	Securities fraud	http://www.lawyers andsettlements.co m/settlements/050 62/ev_securities_ settlement.html
8/6/2007	EVCI Career Colleges Holding Corp.	Class action	US District Court, New York	Settlement	\$7,730,000.00	Company misrepresented its business conditions, financial results and prospects, causing stock value to plummet Overstating the value of a	http://securities.st anford.edu/1035/ EVCI05_01/ http://www.bizjour
	Evergreen Investment Management Corp. (Wachovia)	SH action		Settlement		mutual fund and selectively telling investors about the valuation problem	nals.com/triangle/ stories/2009/06/0 8/daily7.html
	Evergreen Investments (Wachovia Corp.)	SEC		Settlement		Improper market timing diluted the value of mutual funds	http://www.sec.go v/news/press/200 7/2007-186.htm
6/22/1998	Evolving Systems, Inc.	Consolidated class actions	US District Court, Colorado	Settlement	\$10,000,000.00	Securities violations	http://securities.st anford.edu/1001/ EVOL98/
9/26/2007	Executive Risk Indemnity	AFC Enterprises (AFCE)	US District Court, Georgia	Verdict	\$24,000,000.00	Insurance carrier attempted to rescind coverage of executives when unfavorable disclosures were made	http://www.reuters. com/article/inPlay Briefing/idUSIN20 070926170541AF CE20070926
5/1/1998	Exide Corporation	Class action	US District Court, Michigan	Settlement	\$10,250,000.00	Securities violations	=HYPERLINK("ht tp://securities.stan ford.edu/1001/EX 98/","http://securiti es.stanford.edu/1 001/EX98/")

			US District				http://securities.st
			Court. New			Securities violations-company	anford.edu/1034/
6/15/2005	Exide Technologies	Class action	Jersey	Settlement	\$13,700,000,00	deceived investors	XIDE05 01/
0/10/2000	Exide Feelinelegies	Class asilon	US District	Cottlornorn	ψ10,100,000.00	40001704 1117001010	http://securities.st
			Court,				anford.edu/1019/
7/12/2001	Exodus Communications Inc.	Class action	California	Settlement	\$5,000,000,00	Securities violations	EXDS01/
.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			US District		<del></del>		http://securities.st
			Court, New				anford.edu/1018/
6/5/2001	Expedia.com	Class action	York	Settlement	\$1,000,000,000.00	Artificial stock price inflation	EXPE01/
	·		US Supreme			,	
1/20/1986	Exxon	Class action	Court	Settlement	\$2,100,000,000.00	Overcharging for crude oil	
							http://www.lawyers
							andsettlements.co
							m/settlements/036
							00/exxon_settlem
5/20/2005	Exxon Mobil Corp.	Class action		Verdict	\$1,300,000,000.00	Overcharging on gasoline	ent.html
							http://www.nytime
							s.com/2004/01/29
							/national/29VALD.
	Exxon Valdez	Class action		Verdict	\$6,750,000,000.00	Damages for 1989 oil spill	html
	EyeCashNetworks						http://www.lawyers
5/19/2003	Incorporated	SEC		Settlement	\$70,719.00	Securities violations	andsettlements.co
			US District			Registration statement and	http://securities.st
		Securities class	Court, New			prospectus were materially	anford.edu/1019/
7/27/2001	Fairmarket, Inc.	action	York	Settlement	\$1,000,000,000.00	false and misleading	FAIM01/
		SH derivative					http://www.lawyers
6/26/2007	Family Dollar Stores Inc.	action		Settlement	\$3,500,000.00	Stock options backdating	andsettlements.co
			US District			Issuing a series of material	http://securities.st
		Securities class	Court,			misrepresentations to the	anford.edu/1030/
12/8/2003	FAO, Inc.	action	Pennsylvania	Settlement	\$1,750,000.00	market	FAOO04-01/
			US District				http://securities.st
		Securities Class	Court,			Misrepresentations caused	anford.edu/1036/
2/19/2003	FAO, Inc.	action	Pennsylvania	Settlement	\$2,250,000.00	stock price inflation	FAOO03_01/

		1		T	ī		
	Farmers & Merchants Bank of Long Beach	Class action and derivative lawsuit	Superior Court, California	Settlement	\$86,000,000.00	Securities class action	http://findarticles.c om/p/articles/mi_ m0EIN/is_2007_ Oct_20/ai_n2105 4429/?tag=conten t-inner;col1
							http://www.taylorri ng.com/CM/Custo m/Verdicts-and-
	Farmers Insurance Company	Mollet		Verdict	\$5,000,000.00	Company utilized a racially disc	
7/14/2008	Faro Technologies, Inc.	Securities class action	US District Court, Florida	Settlement	\$6 875 000 00	Securities violation by issuing materially false statements	http://securities.st anford.edu/1035/ FARO05_01/
7/14/2000	Taro recrinologies, inc.	action	Court, Florida	Settlement	Ψ0,075,000.00	materially raise statements	1 AROUS_01/
6/9/2000	Federal-Mogul Corporation	Securities class action	US District Court, Michigan	Settlement	\$1,500,000.00	Company's material omissions and the dissemination of materially false and misleading statements regarding the nature of acquisition integration, revenue and earnings, artificially inflated Federal-Mogul's stock price	http://securities.st anford.edu/1014/ FMO00/
11/29/2005	Federated Investors	SEC and NYS Attorney General		Settlement	\$100,000,000.00	Late trading and market timing violations	http://www.lawyers andsettlements.co m/settlements/046
8/20/2007	Ferro Corp.	Class action		Settlement	\$6,250,000.00	Antitrust violations in the heat stabilizer industry.	http://www.lawyers andsettlements.co m/settlements/087 54/heat-stabilizer- antitrust.html
		Securities class	US District Court, New			Financial results were	http://securities.st anford.edu/1015/
	Fidelity Holdings, Inc.	action	York	Settlement		materially false and misleading	FDHG00/
5/6/2008	Fidelity investments	SEC		Settlement	\$8,000,000.00	Accepting improper gifts	http://www.pbn.co

						Redeeming of shares purchased by former	http://www.prnews wire.com/cgi-
3/5/2007	Fieldstone Investment Corp.	SH action		Settlement	\$10,600,000.00	Fieldstone SH in a 2003 equity	bin/stories.pl?AC CT=104&STORY
3/3/2007	relasione investment corp.	OTT action		Settlement	Ψ10,000,000.00	onering	C1=104&31OK1
							http://www.lawyers
							andsettlements.co
			US District				m/settlements/032
4/4/2005	Fifth Third Bancorp	Class action	Court, Ohio	Settlement	\$17,000,000.00	Securities fraud	91/5th3rd.html
4/14/2009	Fifth Third Securities	Financial Industry Regulatory Authority		Fine	\$1,750,000.00	Unsuitable Variable Annuities Transactions	
			US District				http://securities.st
			Court, South	Settlement	40.050.000.00		anford.edu/1033/
11/14/2003	Finova Capital Corporation	Class action	Carolina	(partial)	\$9,350,000.00	Securities fraud	FNVGOB03_01/
						Restating results after finding it	
						had wrongly accounted for	http://www.lawyers
						mortgage-related transactions	andsettlements.co
			US District			with Doral Financial Corp. and	m/settlements/072
			Court, Puerto			R&G Financial Corp. since	93/first-bancorp-
3/6/2007	First BanCorp	SH class action	Rico	Settlement	\$74,300,000.00		settlement.html
							letter.//
							http://www.lawyers andsettlements.co
							m/settlements/114
							61/first-charter-reit-
4/4/2008	First Charter Corporation	The state Departm	ent of Revenue	Settlement	\$15.000.000.00	Improper tax practices	tax-shelter.html
					, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	, , ,	http://securities.st
	First Command Financial	Securities class	US District				anford.edu/1033/
1/31/2005	Planning, Inc.	action	Court California	Settlement	\$12,000,000.00	Securities violations	FCFPI04_01/
						Issuing a series of false and	http://securities.st
	First Horizon Pharmaceutical	Securities class	US District			misleading statements to the	anford.edu/1025/
9/24/2008	Corporation	action	Court, Georgia	Settlement	\$4,650,000.00	market	FHRX02-01/

1	T	ı			1		1
4/4/1992	First Interstate Bank of Californi	Class action		Settlement	\$11,500,000.00	Settlement of charges of conspiring to fix interest rates on credit cards	http://articles.latim es.com/1992-04- 04/business/fi- 326_1_wells-fargo
		New Jersey state					http://www.lawyers
10/5/2006	First Montauk Securities	securities regulators		Settlement		Misleading customers into buying junk bonds	andsettlements.co m/settlements/064
	First Pennsylvania Corporation			Settlement	\$11,500,000.00	Settlement of 17 shareholder suits related to bank's financial	nytimes.com
8/12/2003	First Union National Bank	Class action	US District Court, Florida	Settlement	\$5,000,000.00	Company aided and abetted Cyprus Funds, a company that ran a multi-million dollar securities investment scheme	http://www.lawyers andsettlements.co m/settlements/017 74/firstunionnatio nalbank.html
8/29/1996	First Union National Bank of Florida	Class action		Settlement		Reimbursement for Floridians who borrowed money to buy cars and were overcharged for related insurance	http://www.tampab ay.com/
5/28/1999	First USA Bank/Bank One	Class action	US District Court, Illinois	Verdict		Reimbursement for faulty finance charges and late fee payments made to consumer credit card accounts.	
10/10/1997	First USA Paymentech	Class action	US District Court, Texas	Settlement		Misrepresenting material information concerning growth	http://securities.st anford.edu/1001/ PTI97/
8/25/2004	First Virtual Communications, Inc. FirstEnergy Corp	Securities class action Class action	US District Court, California	Settlement Settlement	\$1,600,000.00	Issuing materially false and misleading information Securities violations	http://securities.st anford.edu/1032/ FVCCPK04-01/ http://www.lawyers
	FirstPlus Financial Group, Inc.		US District Court, Texas	Settlement	\$5,000,000.00	Violation of accounting	http://securities.st anford.edu/1009/ FP98/

1/24/2005	FirstWorld Communications	SH action		Settlement	\$25,900,000.00	Securities violations	http://www.lawyers
12/16/1993	Fleet Financial, Inc.	Class action-led by Georgia Attorney General		Settlement	\$100,000,000.00	Loan bias-exploiting poor minorities	
3/31/2004	FleetBoston Financial	SEC	US District Court, Massachusetts	Settlement	\$59,400,000.00	Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million settlement with the SEC	http://www.lawyers andsettlements.co m/settlements/022 31/fleetbostonfina ncial.html
12/7/2007	FleetBoston Financial Corporation	Class action	US District Court, Massachusetts	Settlement	\$9,600,000.00	Improper trading in Columbia mutual funds	http://securities.st anford.edu/1029/ FBF04-01/
8/29/2002	Fleming Companies, Inc.	Securities class action	US District Court, Texas	Settlement	\$93,950,000.00	Securities violations	http://securities.st anford.edu/1025/ FLM02-01/
11/2/2004	Flextronics International, Ltd.	Class action	US District Court, New York	Settlement		Issuing false and material misrepresentations	http://securities.st anford.edu/1024/ FLEX02-01/
11/16/2007	Flight Safety Technologies	Securities class action	US District Court, Connecticut	Settlement		False and misleading statements artificially inflated the market price of securities	http://securities.st anford.edu/1031/ FLT04-01/
11/6/2006	FLIR Systems, Inc.	Class action	US District Court, Oregon	Settlement	\$6,000,000.00	Materially false and misleading information	http://securities.st anford.edu/1013/ FLIR00/
6/30/2005	Fluor Corporation	Securities class	US District Court, California	Settlement	\$18,000,000.00	Company lied to shareholders about financial condition in order to temporarily boost its stock price to trigger executive bonuses	http://securities.st anford.edu/1009/ FLR97/

							http://www.classac
							tion.ca/content/act
							ions/FMF_Capital
			Ontario superior			Prospectus and statements	_Group_Securitie
11/28/2006	FMF Capital Group	Class action	court	Settlement		contained misrepresentations	s.asp
11/20/2000	Capital Cloup	Oldoo dollori	US District	Cottomont	Ψ20,000,000.00	Issuing material	http://securities.st
			Court, New			misrepresentations to the	anford.edu/1026/
9/15/2005	Footstar, Inc.	Class action	York	Settlement	\$5,000,000.00		FTS02-01/
0/10/2000	r cototar, me.	Glado adilon	US District	Cottlomont	ψο,οοο,οοο.οο	markot	http://securities.st
			Court,				anford.edu/1001/
9/25/2003	FORE Systems, Inc.	Class action	Pennsylvania	Settlement	\$11,700,000.00	Securities violations	FORE97/
						compensation for medical	
	Four largest tobacco	Attorneys General				costs of caring for persons with	
11/16/1998	companies	of 46 states		Settlement	\$206,000,000,000.00	smoking related illnesses	
						Making materially false and	
						misleading statements and	http://securities.st
			US District			failing to disclose adverse	anford.edu/1001/
6/9/2005	Foxmeyer Health Corporation	Class action	Court, Texas	Settlement	\$13,750,000.00	information	FOX96/
			US District				http://securities.st
			Court,				anford.edu/1001/
7/25/2003	FPA Medical Management, Inc.	Class action	California	Settlement	\$4,880,000.00	Securities violations	FPAM98/
						Securities violations related to	http://www.fplgrou
8/13/2004	FPL Group	SH action		Settlement	\$22,250,000.00	a proposed merger	p.com/news/conte
						Paying millions to investment	http://www.lawyers
						brokers who secured shelf	andsettlements.co
			US District			space and lead clients towards	m/settlements/028
	Franklin Templeton Distributors	Californian	Court,			purchasing Franklin's mutual	17/inverstors_ca.
1/17/2005	· ·	officials	California	Settlement	\$18,000,000.00	l.	html
						Securities fraud-fraudulently	http://securities.st
9/27/2007	Freddie Mac	SEC		Settlement	\$50,000,000.00	misstating earnings	anford.edu/news-
		ERISA class				Restatement of prior financial	http://www.lawyers
8/26/2006	Freddie Mac	action		Settlement	\$4,650,000.00	results	andsettlements.co

	<u> </u>				1		
			US District			Materially false and misleading statements issued to the	http://opeurition.ot
		Consolidated	Court, New				http://securities.st
7/15/2009	Freddie Mac	class actions	York	Settlement		market and caused artificially inflated stock prices	anford.edu/1028/ FRE03-01/
7/13/2006	Fredule Mac	CIASS ACTIONS	TOIK	Settlement	\$410,000,000.00	imated Stock prices	http://www.lawyers
							andsettlements.co
			US District				m/settlements/041
			Court,				58/freemarkets.ht
8/22/2005	FreeMarkets Inc.	Class action	Pennsylvania	Settlement	\$3,400,000,00	Inflating revenue	ml
	Friedman Billings Ramsey	SEC and NASD	i ciirisyivariia	Settlement	\$7,500,000.00		http://www.lawyers
4/21/2000	Theaman Billings Rambey	OLO dila 14/10B		Octionion	Ψ1,000,000.00	moder trading	intp://www.iawyoro
							http://www.lawyers
						Top officeholders lied to	andsettlements.co
			US District			analysts to boost company	m/settlements/051
		Consolidated SH	Court,			stock, causing purchases to be	52/fruitoftheloom
3/22/2006	Fruit of the Loom Inc.	action	Kentucky	Settlement		made at inflated prices	settlement.html
			US District		, , ,	'	http://securities.st
			Court,				anford.edu/1014/
12/15/2005	Fruit of the Loom, Inc.	Class action	Kentucky	Settlement	\$23,200,000.00	Securities violations	FTL98/
						Engaging in market-timing, the	http://www.bloomb
						practice of short-term buying,	erg.com/apps/new
			US District			selling, and exchanging of	s?pid=20601127
			Court, New			mutual funds to exploit pricing	&refer=law&sid=a
4/25/2008	Gabelli Group Capital Partners	SEC	York	Settlement	\$16,000,000.00	inefficiencies.	EVPm3V0.Qfk
						Issuing false and misleading	http://securities.st
			US District			statements that artificially	anford.edu/1027/
2/13/2007	Gainsco, Inc.	Class action	Court, Florida	Settlement	\$4,000,000.00	inflated market price of stock	GNAC03-01/
							http://securities.st
= // 0 /0 5 = =			US District		00 450 655 55		anford.edu/1009/
5/18/2000	Gametech International, Inc.	Class action	Court, Arizona	Settlement	\$3,456,000.00	Securities violations	GMTC98/
			US District				http://securities.st
0/5/0001			Court,	0	04 000 000 00		anford.edu/1001/
2/5/2004	Gaming Lottery Corporation	Class action	Washington	Settlement	\$1,000,000.00	Securities violations	GLCCF96/

9/30/2003	Gateway, Inc.	Class action	US District Court, California	Settlement	\$10,250,000.00	Issuing false and misleading statements regarding company's revenues and prospects	http://securities.st anford.edu/1016/ GTW00/
	Gemstar-TV Guide	Class action	US District Court, California	Settlement		Company's past accounting and financial reporting practices had misled investors.	http://www.lawyers andsettlements.co m/settlements/025 02/gemstartvguide internationalinc1.h tml
	General American Life Insurance Co.	SEC	California	Settlement	. , ,	Late trading diluted the value of the underlying mutual funds	http://www.bizjour
8/29/2008	General Dynamics	US Government	US District Court, New York	Settlement	\$4,060,000.00	Fraudulent billing to the government for parts used in military aircraft	http://quitamlawye r.clarislaw.com/set tlements-and- verdicts/general- dynamics-to-pay-4- million-to-settle- false-claims-act- allegations.php
7/29/1990	General Electric	US Regulators		Settlement	\$27,800,000.00	Overcharging the government	
8/10/2007	Genzyme Corp.	SH class action	US District Court, New York	Settlement	\$64,000,000.00	Timing of consolidating three publicly traded units into one stock burt the value of holdings	http://securities.st anford.edu/news- archive/2007/200 70809_Settlement 103863_Writer.ht ml
11/2/1998	Geographics, Inc.	Class action	US District Court, Washington	Settlement	\$1,500,000.00	material misrepresentations or omissions which deceived the public about the Company's financial condition and prospects	http://securities.st anford.edu/1001/ GGITE/

			US District Court, New				http://securities.st anford.edu/1023/
5/31/2007	Gilat Satellite Networks, Ltd.	Class action	York	Settlement	\$20,000,000.00	Securities violations	GILTF02-01/
						Securities violations related to	http://www.lawyers
8/27/2003	GIO	Class action		Settlement	\$112,000,000.00	company's takeover bid	andsettlements.co
8/10/2006	GlaxoSmithKline	State Attorneys General		Settlement	\$70,000,000.00	Antitrust violations- overcharging for drugs	http://www.lawyers andsettlements.co m/settlements/060 64/gsk_antitrust_s ettlement.html
7/8/2004	GlaxoSmithKline	Class action	US District Court, Virginia	Settlement	\$92,000.000.00	Antitrust violations relating to its drug Augmentin	http://www.contrac tormisconduct.org/ ass/contractors/13 8/cases/746/862/ glaxosmithkline- augmentin- antitrust- litigation_pr.pdf
3/29/2006	GlaxoSmithKline	Class action-NY Attorney General on behalf of 49 states	, <u>g</u>	Settlement		Creating a monopoly of its anti-	http://www.lawyers andsettlements.co m/settlements/051 87/paxil_monopol
	GlaxoSmithKline	US DOJ		Settlement		Inflating cancer drug prices in order to maximize profits	http://www.lawyers andsettlements.co m/settlements/043 07/zofran_antitrus t.html
12/1/2004	GlaxoSmithKline	Class action	US District	Settlement	\$75,000,000.00	Distributors unlawfully obtained	http://www.lawyers andsettlements.co m/settlements/026 38/relafen.html http://securities.st anford.edu/1015/g
5/13/2003	Gliatech, Inc.	Class action	Court, Ohio	Settlement	\$4,500,000.00	Securities violations	ila/

	T	1		I		Officers of the common the d	
						Officers of the company had defrauded the investors by	http://www.lawyers
							andsettlements.co
			US District			distorting the company's	
						financial reports with	m/settlements/023
0/40/0004		011 01 "	Court, New		<b>#</b> 205 000 000 00	questionable dealings and	47/globalcrossing
3/19/2004	Global Crossing	SH Class action	York	Settlement	\$325,000,000.00	deceptive comments	1.html
						Issuing false and misleading	
			US District			statements regarding	http://securities.st
4 0 /0 /0 0 0			Court, New		***********	company's revenues and	anford.edu/1017/
10/2/2006	Globalstar Telecommunications		York	Settlement	\$20,000,000.00	growth opportunities	GSTRF01/
		Securities class					http://securities.st
	GlobeTel Communications	action and SH	US District			Issuing statements touting a	anford.edu/1036/
2/7/2008	Corp.	action	Court, Florida	Settlement	\$2,300,000.00	fradulent and deceptive deal	GTE_01/
1							
						Issuing false and misleading	1 // 1/1
			US District			statements regarding	http://securities.st
0/04/000=			Court, New		<b>***</b>	company's financial condition	anford.edu/1023/
3/31/2005	Globix Corporation	Class action	York	Settlement	\$3,500,000.00	and growth prospects	GBIX02-01/
							la 11 a . //la l a
							http://blogs.wsj.co
							m/law/2008/08/08/
							gm-deloitte-to-pay-
			US District			Issuing false and misleading	combined-303m-
0/0/0000	014	Olleriden		0 - 111 1	¢077 000 000 00	statements to investors	to-settle-securities-
8/8/2008	GM	SH action	Court, Michigan	Settlement	\$277,000,000.00	regarding its financial status	class-action/
						False and misleading financial	
						statements artificially inflated	
						the earnings of the Company and dividends issued in	
			LIO District				h tta ://a a a contti a a a t
	GMH Communities Trust		US District			violation of loan covenants	http://securities.st
4/00/0000		CLI antino	Court,	Cattlemen	<b>₾0 750 000 00</b>	drove the price of its stock	anford.edu/1035/
4/28/2008	Securities.	SH action	Pennsylvania	Settlement	\$8,750,000.00	nigner.	GCT_01/
			US District				http://securities.st
2/20/2000	Colden Boor Colf Inc	Class setion		Cottlement	¢2 500 000 00	Overeteting revenues	anford.edu/1001/J ACK98/
3/28/2000	Golden Bear Golf, Inc.	Class action	Court, Florida	Settlement	\$3,500,000.00	Overstating revenues	
	Caldan Baaka Familia		US District				http://securities.st
40/05/4000	Golden Books Family	Olara artisa	Court, New	0 - 111 1	ΦΕ 0Ε0 000 00	Issuing false and misleading	anford.edu/1002/
12/25/1999	Entertainment, Inc.	Class action	York	Settlement	\$5,250,000.00	statements	GBFE98/

5/15/2009	Goldman Sachs	Massachusetts		Settlement		Compensation for losses sustained due to Goldman Sachs' involvement with subprime mortgages and the packing of securities	http://www.financi alpost.com/story.h tml?id=1596192
0/10/2000	Column Caone	I Maccaci i acciaci		Comorner	Ψοσ,σοσ,σοσ.σο	pasiting of occurring	um.id=1000102
						involvement with General	
11/11/2008	Goldman Sachs	General American Life SH		Settlement		American Life's collapse in	
		Ford Motor				Breach of fiduciary duties-Ford	
		Company SH	Delaware			executives usurped a corporate	
11/5/2004	Goldman Sachs	action	Chancery Court	Settlement	\$13,400,000.00	opportunity	

		Orange County				
		Transportation			Failure to warn of a risky	
10/20/1998	Goldman Sachs	Authority	Settlement	\$4,800,000.00	investment	
		Class action-led			Bank should have known	
		by New York State			about WorldCom's fraudulent	
3/10/2005	Goldman Sachs	comptroller	Settlement	\$12,540,000.00	activities and cover up	
					Company artificially inflated	
					shares of newly floated dot-	
1/26/2005	Goldman Sachs	SEC	Settlement	\$40,000,000.00		

					Charges stemming from Treasury bond trades with
					Salomon Bros. in the 1980s
11/4/1994	Goldman Sachs	SEC	Settlement		that were designed to save both companies taxes
11/4/1334	Columna Cachs	GEO	Octioniciti	Ψ230,000.00	both companies taxes
2/27/4075	Goldman Sachs	Cotty Oil	Settlement		Selling \$2 million of notes in Penn Central Transportation Co only 5 months before it
3/21/19/5	Goldman Sachs	Getty Oil	Settlement	\$1,400,000.00	went bankrupt
7/12/2004	Goldman Sachs	AMF Bowling Inc. SH action	Settlement		Company's involvement in AMF's bankruptcy
1/12/2004	Columnati Gaeris	OTT action	Octionicht	Ψ12,000,000.00	Aivii 3 bankruptoy
0/04/0004		050			Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million
3/31/2004	Goldman Sachs	SEC	Settlement	\$45,000,000.00	settlement with the SEC

	1	1		1	1		Т
		Maxwell					
		Communications	New York State			Fraud, negligence and breach	
5/3/1994	Goldman Sachs	Corp	Supreme Court	Settlement	\$254,000,000.00	of contract	
						Wrongdoing by the securities	
						firm left a state agency	
						responsible for \$142 million in	
						bonds issued by a nonprofit	
						corporation that has since	
8/9/1997	Goldman Sachs	California		Settlement		gone into bankruptcy	
0/3/133/	Columna Gacris	California		Settlement		Failed investment strategy cost	
		State of West				the state \$280 million and	
0/45/4000	0-14			0-111			
8/15/1996	Goldman Sachs & Company	Virginia		Settlement	\$8,000,000.00	forced it to raise taxes	nytimes.com
							http://www.lawyers
						<b>.</b>	andsettlements.co
	Goldshield Group, Goldshield					Price fixing and anticompetitive	
	Pharmaceuticals Ltd., and	Department of				conduct in supplying drugs to	94/generic-
6/25/2007	Forley Generics Limited	Health		Settlement		the National Health Service	antitrust.html
						Neglecting to seek a better	http://www.lawyers
1/3/2006	Goody's Family Clothing Inc.	Class action		Settlement	\$700,000.00		andsettlements.co
						Overcharging for AdWords	http://www.lawyers
4/1/2009	Google	Class action		Settlement	\$20,000,000.00	service	andsettlements.co
							http://news.cnet.c
							om/Lawsuit-seeks-
							to-block-Google-
							settlement/2100-
			US District				1030_3-
			Court.				6071535.html?tag
7/28/2006	Google	Class action	Arkansas	Settlement	\$00,000,000	Overcharging for online clicks	=lia;rcol
1/20/2000	Ooogie	Ciass action	US District	Semement	φου,υυυ,υυυ.υυ	Overcharging for online clicks	http://securities.st
	Graham-Field Health Products						anford.edu/1002/
7/10/2003		Class setion	Court, New York	Settlement	ΦΕ GΕΩ 000 00	Convition violations	GFI98/
7/10/2003	inc.	Class action		Settlement	\$5,050,000.00	Securities violations	O
			US District				http://securities.st
	l	<u></u>	Court,				anford.edu/1002/
5/4/2001	Grand Casinos, Inc.	Class action	Minnesota	Settlement	\$9,000,000.00	Securities violations	GND96/

			1				
9/26/2001	Grand Toys International, Inc.	Class action	US District Court, New York	Settlement		Issuing false and materially misleading statements that artificially inflated stock prices	http://securities.st anford.edu/1027/ GRIN00-01/
1/7/2008	Gravity Co. Ltd.	SH class action	US District Court, New York	Settlement	\$10,000,000.00	Securities fraud	http://securities.st anford.edu/news- archive/2008/200 80107_Settlement 105107_Writer.ht ml
7/23/2003	Green Tree Financial Corp	Class action	US District Court, Minnesota	Settlement		Company's stock was artificially inflated due to questionable accounting practices on the part of the company's former officials.	http://www.lawyers andsettlements.co m/settlements/017 44/greentreefinan cialcorp.html
1/23/2009	Greenfield Online, Inc.	Class action	US District Court, Connecticut	Settlement	\$4,000,000.00	Securities violations	http://securities.st anford.edu/1037/ SRVY_01/
8/28/2004	GTECH Holdings Corp.	Class action	US District Court, Rhode Island	Settlement	\$10,250,000.00	Issuing false and misleading statements	http://securities.st anford.edu/1015/ GTK00/
9/30/2001	Guilford Mills, Inc.	Class action	US District Court, New York	Settlement	\$2,350.00	Securities violations	http://securities.st anford.edu/1007/ GFD98/
3/31/2023	Gunther International, Ltd.	Class action	US District Court, Connecticut	Settlement	\$595,000.00	Securities violations	http://securities.st anford.edu/1007/ SORT98/
3/11/1999	H.J. Meyers, Inc.	Class action	US District Court, New York	Settlement	\$5,000,000.00	Securities violations	http://securities.st anford.edu/1010/ HJM97/
0/0/0004	Halliburten Co	050		Cattlement		Failure to disclose a change in accounting practices that caused its profit statement for that year and the next year to	http://www.lawyers andsettlements.co m/settlements/023 78/halliburtonco1.
8/3/2004	Halliburton Co.	SEC		Settlement	\$7,500,000.00	pe misieading	html

							http://www.lawyers
							andsettlements.co
							m/settlements/016
		Consolidated	US District				63/halliburtonco.ht
5/30/2003	Halliburton Co.	class action	Court, Texas	Settlement	\$6,000,000.00	Improper accounting practices	ml
							http://securities.st
	l <u> </u>		US District				anford.edu/1007/
3/31/2001	Hallwood Energy Corporation	Class action	Court, Colorado	Settlement	\$673,000.00	Securities violations	HEP96/
			110 0:				http://securities.st
0/00/0005	Harrittan Danasan Ira	Olara artista	US District	0 - 111 1	<b>#0.500.000.00</b>	Issuing misleading financial	anford.edu/1016/
3/22/2005	Hamilton Bancorp, Inc.	Class action	Court, Florida	Settlement	\$8,500,000.00	resuits	HABK01/
							http://www.lawyers
							andsettlements.co m/settlements/016
							48/hanovercompr
	Hanover Compressor	Securities class	US District				essorcompany.ht
5/13/2003	•	action	Court, Texas	Settlement	00 000 000 082	Securities violations	ml
3/13/2003	Company	action	Court, Texas	Settlement	ψου,υου,υου.υυ	Securities violations	http://securities.st
			US District				anford.edu/1009/
10/19/2001	Harbinger Corporation	Class action		Settlement	\$2,250,000,00	Securities violations	HRBC99/
10/10/2001	Transmiger Corporation	Olado adilon	Court, Coorgia	Octionion	Ψ2,200,000.00	Cedanics violations	TINDOGG
			US District			Securities violationsissuing	http://securities.st
			Court,			false and misleading	anford.edu/1014/
10/29/2008	Harmonic Inc.	SH class action	California	Settlement	\$16,400,000.00	J	HLIT00/index.html
			US District		, , ,		http://securities.st
			Court,			Artificially inflating stock prices	anford.edu/1008/
6/5/1998	Harnischfeger Industries, Inc.	Class action	Wisconsin	Settlement	\$10,150,000.00	by overstating revenue	HPH98/
							http://www.lawyers
	Hartford Financial Services					Failure to disclose marketing	andsettlements.co
11/8/2006	Group Inc.	SEC		Settlement	\$55,000,000.00	and distribution funds	m/settlements/066
			US District				http://securities.st
	Hartford Financial Services		Court,			Company misled investors	anford.edu/1032/
8/28/2009	Group Inc.	Class action	Connecticut	Settlement	\$3,850,000.00	about finances	HIG04_01/
							http://securities.st
			US District				anford.edu/1013/
1/31/2003	Hastings Entertainment, Inc.	Class action	Court, Texas	Settlement	\$5,750,000.00	Securities violations	HAST00/
							http://securities.st
	Hayes Lemmerz International,		US District				anford.edu/1024/
5/10/2005	Inc.	Class action	Court, Michigan	Settlement	\$25,250,000.00	Overstating financial results	HLMMQ02-01/

			US District			Artificially inflated stock prices	http://securities.st
l			Court,			by issuing false financial	anford.edu/1035/
8/13/2007	HCA, Inc	Class action	Tennessee	Settlement	\$20,000,000.00	statements	HCA05_01/
							http://securities.st
l			US District			Stock option backdating	anford.edu/1037/
2/8/2008	HCC Insurance Holdings	SH class action	Court, Texas	Settlement	\$10,000,000.00	violations	HCC_01/
			US District				http://securities.st
l	Health Management Systems,		Court, New			Issuing false and misleading	anford.edu/1008/
2/13/2001	Inc.	Class action	York	Settlement	\$4,500,000.00	statements	HMSY97/
						Lawsuit alleged company	
l						showed favoritism towards	
l						certain vendors and allowed	http://www.news-
l						payment for direct access to	medical.net/news/
l	Healthcare Research and	Connecticut				CEOs leading to inflated health	2007/01/29/2156
1/25/2007	Development Institute	Attorney General		Settlement	\$150,000.00	_	0.aspx
	·						·
l						Massive fraud that bilked	
l						investors, employees,	
l						Medicare and other	
l						government health companies	
1/11/2007	HealthSouth	SH action		Settlement		of billions of dollars.	
10/27/2008	HealthSouth	UBS		Settlement	\$133,000,000.00	#######################################	http://www.al.com/
					, , ,		http://securities.st
l	HealthTronics Surgical		US District				anford.edu/1028/
	Services, Inc.	Class action	Court, Georgia	Settlement	\$2,285,000.00	Securities violations	HTRN03-01/
						Overvaluing mutual funds	http://securities.st
1/29/2008	Heartland Advisors Inc.	SEC		Settlement	\$3,900,000.00	causing shareholder losses	anford.edu/news-
			US District			9	http://securities.st
l			Court,				anford.edu/1016/
3/7/2006	Heartland Financial USA, Inc.	Class action	Wisconsin	Settlement	\$8.250.000.00	Securities violations	HRHYX00/
					<i>\$2,22,22,22,22,22,22,22,22,22,22,22,22,2</i>		http://securities.st
ļ			US District			Making misstatements of	anford.edu/1038/
8/8/2007	Heely's Inc.	Class action	Court, Texas	Settlement	\$7,500,000.00		HLYS_01/
3, 3, 2301		2.2.00 00000	2 2 3 1 1 2 1 3 1 3 1 3 1		ψ.,σσσ,σσσ.σσ		
						Issuing positive earnings	http://securities.st
			US District			guidance intended to artificially	anford.edu/1035/

	T				1		l. (1
							http://www.lawyers
							andsettlements.co
							m/settlements/130
							17/tulsa-county-
		Class action				Damages and lost profits	natural-gas-
		Beckham County	Tusla County			related to natural gas wells that	
1/12/2009	Helmerich & Payne Inc,	royalty owners	Court	Verdict	\$126,000,000.00	were drained in the 1980s	plaintiffs.html
							http://www.bondbu
							yer.com/article.ht
			US District				ml?id=20050112
			Court,			Fraud in the marketing of	QOCM8LWR&fro
7/12/2004	Heritage Bonds	Class action	California	Settlement	\$27,800,000.00	bonds	m=home
							Hi/fn,
			US District				Inc.http://securitie
			Court,				s.stanford.edu/10
9/30/2002	Hi/fn, Inc.	Class action	California	Settlement	\$9,500,000.00	Artificially inflating stock prices	09/HIFN99/
						Company used its monopoly	http://www.lawyers
						power in the hospital bed	andsettlements.co
		Spartanburg				market to coerce hospitals into	m/settlements/057
		Regional				renting specialty made beds	12/hillenbrand_an
		Healthcare				which hurt competition and	titrust_settlement.
6/14/2006	Hillenbrand Industries, Inc.	System		Settlement	\$316,300,000.00	raised prices.	html
		,	US District			•	http://securities.st
			Court,				anford.edu/1038/
1/23/2009	Himax Technologies, Inc.	SH action	California	Settlement	\$1,200,000.00	Securities violations	HIMX_01/
3/11/2009	ŭ :	US DOJ		Fine	\$31,000,000.00	Price fixing	http://www.lawyers
					, , ,	3	, ,
							http://www.lawyers
							andsettlements.co
							m/settlements/045
							66/vitamin_price_f
11/8/2005	Hoffmann-Laroche, BASF, Rho	Class action		Settlement	\$130,000,000,00	Antitrust violations-price fixing	ixing.html
, 5, 2500	20.00.00, 27.00, 100	2.2.30 0000				Breach of fiduciary duties by	g
						issuing a series of material	http://securities.st
			US District			misrepresentations to the	anford.edu/1029/
9/12/2007	Hollinger International	Class action	Court, Illinois	Settlement	\$30,000,000.00		HLR04-01/
3, 12,2301		0.000 000001			ψου,σου,σου.σο		

			US District				http://securities.st
	Home Health Corporation of		Court,				anford.edu/1008/
10/24/2001	America Inc.	Class action	Pennsylvania	Settlement	\$909,437.00	Securities violations	HHCA98/
							http://securities.st
	Home Solutions of America,		US District			Issuing false and misleading	anford.edu/1036/
11/6/2008	Inc.	Class action	Court, Texas	Settlement	\$3,500,000.00	statements regarding contracts	HOM_01/
							http://securities.st
	Home Solutions of America,		US District			Issuing false and misleading	anford.edu/1038/
6/15/2009	Inc.	Class action	Court, Texas	Settlement	\$5,100,000.00	statements regarding contracts	HSOA_01/
						Company filed falsified	http://www.lawyers
						earnings reports to inflate	andsettlements.co
3/17/2004	Homestore Inc	Class action		Settlement	\$93,000,000.00	revenue	m/settlements/020
							http://www.lawyers
							andsettlements.co
			US District				m/settlements/031
			Court,			Inflating revenues through	53/homestore_fra
3/14/2005	Homestore Inc.	SH action	California	Settlement	\$33,000,000.00	fraudulent transactions.	ud.html
						Issuing materially false and	
						misleading statements and	
			US District			omissions that misrepresented	http://securities.st
			Court, New			the success of the company's	anford.edu/1014/
8/18/2004	Honeywell International, Inc.	Class action	Jersey	Settlement	\$100,000,000.00		HON00/
						Issuing false and materially	
			US District			misleading statements	http://securities.st
	Horizon/CMS Healthcare	Consolidated	Court, New			regarding the company's	anford.edu/1008/
8/31/1997	Corporation	class action	Mexico	Settlement	\$17,000,000.00	business and acquisitions	HHC96/
			US District				http://securities.st
			Court,			Issuing false and misleading	anford.edu/1034/
3/3/2009	Host America Corporation	Class action	Connecticut	Settlement	\$550,000.00	statements	CAFE05_01/
							http://securities.st
	Housecall Medical Resources,		US District			Materially false and misleading	anford.edu/1008/
12/16/1999	Inc.	Class action	Court, Georgia	Settlement	\$13,000,000.00	statements and omissions	HSCL96/
		State Attorneys					
		General and				Overcharging customers for	
10/12/2002	Household International, Inc.	federal regulators		Settlement	\$484,000,000.00	home equity and other loans	

	T		1	ı			
							http://www.milberg
							.com/newsevents/
							publicationsdetail.
			US District			Antitrust violations-price fixing	aspx?pubtype=52
10/18/2005	Humana Inc.	Class action	Court, Florida	Settlement		and monopolistic behavior	80&pubid=655
			,		, , ,	,	http://www.lawyers
							andsettlements.co
							m/settlements/112
							64/kansas-
						Conspiracy to suppress	humana-blue-
3/19/2009	Humana Inc. and other insurers	Class action	Kansas City	Settlement	\$2,800,000.00	payments to physicians	cross.html
			US District				http://securities.st
			Court,				anford.edu/1008/
9/17/2002	Hybrid Networks, Inc.	Class action	California	Settlement	\$4,250,000.00	Securities violations	HYBR98/
			US District				http://securities.st
			Court, North				anford.edu/1035/
2/8/2008	HydroFlo, Inc.	Class action	Carolina	Settlement	\$425,000.00	Securities violations	HYRF05_01/
							http://www.lawyers
							andsettlements.co
4/22/2005	Huniu Cominon duotor	US DOJ		Fine	\$105,000,000,00	Antitrust violations price fiving	m/settlements/034
4/22/2005	Hynix Semiconductor	02 001		rine	\$185,000,000.00	Antitrust violations-price fixing	03/hynix.html http://securities.st
			US District				anford.edu/1018/I
9/6/2001	I.C. Isaacs & Company, Inc.	Class action	Court, Maryland	Sattlement	\$625,000,00	#######################################	
9/0/2001	i.c. isaacs & Company, inc.	Class action	Court, Maryland	Settlement		Company improperly	http://www.lawyers
						accounted for licensing deals	andsettlements.co
						that involved more than \$1	m/settlements/022
						billion in revenue over several	04/i2technologiesi
6/10/2004	i2 Technologies Inc.	SEC		Settlement	\$10,000,000.00		nc.html
0/10/2001	iz rearrielegice irie.	020		Cottomont	ψ10,000,000.00	you.o	110.114111
							http://www.busine
							sswire.com/portal/
							site/google/index.j
							sp?ndmViewId=n
							ews_view&newsId
			US District				=2004100400610
10/4/2004	i2 Technologies, Inc.	Class action	Court, Texas	Settlement	\$84,850,000.00	Securities violations	3&newsLang=en

					-		
		Consolidated	US District			Misrepresentations in financial	http://securities.st
		securities class	Court,			statements led to artificially	anford.edu/1029/I
4/26/2007	Ibis Technology Corp.	action	Massachusetts	Settlement		inflated stock prices	BIS-01/index.html
	0, 1				, , , , , , , , , , , , , , , , , , , ,	Issuing false and misleading	
			US District			statements regarding	http://securities.st
		Securities class	Court, South			company's revenue and	anford.edu/1031/I
7/31/2003	IBP, Inc.	action	Dakota	Settlement	\$8,000,000.00		BPI04-01/
							http://securities.st
		Securities class	US District			Company executives misled	anford.edu/1015/I
7/20/2006	ICG Communications, Inc.	action	Court, Colorado	Settlement	\$18,000,000.00		CGX00/
						Prospectus for the IPO failed	
						to disclose material information	
			US District			regarding the Company's	http://securities.st
			Court,			operations and financial	anford.edu/1008/I
12/13/2002	ICT Group, Inc.	Class action	Pennsylvania	Settlement	\$1,050,000.00	results.	CTG96/
							http://www.insuran
							cenewsnet.com/ar
							ticle.asp?n=1&nel
		O a mara li da ta d	US District				D=20070731290.
7/04/0007	Identity Calutions Inc	Consolidated	Court,	Cattlemannt	#0 000 000 00	Convertion fraud	2_a9ac0016a955
7/31/2007	Identity Solutions Inc.	class action	Massachusetts	Settlement	\$2,300,000.00	Securities fraud	db41
							http://securities.st
							anford.edu/news-
			US District				archive/1999/112
			Court.			Issuing false financial	999Settlement110
11/24/1999	Ikon Office Solutions Inc	SH action	Pennsylvania	Settlement	\$111,000,000.00		200.html
			,			Company materially	
						misrepresented its financial	
						condition disseminating to the	
			US District			investment community false	http://securities.st
	Imaging Technologies		Court,			and misleading financial	anford.edu/1009/I
6/30/2003	Corporation	Class action	California	Settlement	\$200,000.00	statements	TEC99/
							http://www.lawyers
						Securities violations by	andsettlements.co
			US District			misleading investors about the	m/settlements/028
			Court, New			approval status of its cancer	75/imclonesys.ht
1/24/2005	ImClone Systems Inc.	SH action	York	Settlement	\$75,000,000.00	drug, Erbitux.	ml

	T	1	1		I		1
3/24/2008	iMergent Inc.	Consolidated class action	US District Court, Utah	Settlement		Materially misleading statements and omissions, providing inaccurate financial information, and failing to make proper disclosures	http://securities.st anford.edu/1033/II G05_01/index.htm I
9/28/2007	Immucor, Inc.	Class actions	US District Court, Georgia	Settlement		Stock prices were inflated during part of 2004 and 2005 as a result of material misrepresentations or omissions in the company's financial statements and other public announcements.	http://www.lawyers andsettlements.co m/settlements/081 35/immucor.html
	,		, ,		, , ,		
		Consolidated	US District			False statements and	http://securities.st
		securities class	Court,			misrepresentations artificially	anford.edu/1019/I
11/15/2006	Immune Response Corporation	action	California	Settlement	\$9,600,000.00	inflated the price of stock	MNR01/
6/9/2005	Impath, Inc.	Securities class action	US District Court, New York	Settlement	\$22,600,000.00	Issuing materially false and misleading statements	http://securities.st anford.edu/1028/I MPH03-01/
9/19/2006	Imperial Chemical Industries,	Class action	US District Court, New York	Settlement		Issuing materially false and misleading statements and failing to disclose adverse information	http://securities.st anford.edu/1027/I Cl03-01/
6/21/2002	InaCom Corporation	Class action	US District Court, Nebraska	Settlement	\$14,000,000.00	Issuing materially false and misleading statements regarding company's growth potential	http://securities.st anford.edu/1018/I CO01/
6/21/2002	InaCom Corporation	KPMG	US District Court, Nebraska	Settlement	\$1,950,000.00	Issuing materially false and misleading statements regarding company's growth potential	http://securities.st anford.edu/1018/I CO01/
9/29/2003	Independent Energy Holdings, PLC	Class action	US District Court, New York	Settlement	\$48,000,000.00	Issuing false and materially misleading information regarding company's financial and operating condition	http://securities.st anford.edu/1015/I NDYY00/

			US District				http://securities.st
			Court,			Issuing false and misleading	anford.edu/1013/II
1/30/2001	Indus International, Inc.	Class action	California	Settlement	\$4,300,000.00	statements	NT00/
			US District			Omissions and	http://securities.st
			Court,			misrepresentations caused	anford.edu/1022/I
2/13/2005	Infonet Services Corporation	SH action	California	Settlement		artificially inflated stock prices	N01-01/index.html
						Providing materially false and	
						misleading information about	
			US District			the Company's financial	http://securities.st
	Information Management		Court,			condition, revenues and	anford.edu/1013/I
12/17/2002	Associates, Inc.	Class action	Connecticut	Settlement	\$4,100,000.00	earnings.	MAA00/
			110 5:				
			US District			Issuing false and misleading	http://securities.st
_,,			Court,			statements regarding earnings	anford.edu/1008/I
5/26/1999	Informix Corporation	Class action	California	Settlement	\$108,000,000.00	and future prospects	FMX/
			US District				http://securities.st
= /= /0000			Court,				anford.edu/1036/I
5/5/2009	InfoSonics Corporation	Class action	California	Settlement	\$3,800,000.00	Insider Trading	FO_01/
						- Oli (F	http://www.lawyers
			110 0:			Former Chief Executive made	andsettlements.co
			US District			misleading statements	m/settlements/022
0/40/0004			Court,		<b>***</b>	regarding the company's	10/infospaceinc.ht
2/19/2004	InfoSpace Inc.	Class action	Washington	Settlement	\$34,300,000.00		ml
			US District			Securities violations-insider	http://securities.st
0/00/4000			Court,			trading and artificial price	anford.edu/1009/I
6/30/1998	Insignia Solutions, PLC	Class action	California	Settlement	\$8,000,000.00	inflation	NSGY97/
			110 0:				http://securities.st
40/00/000			US District		<b>*</b> 40 <b>*</b> 50 000 00		anford.edu/1008/I
12/28/2000	Inso Corporation	Class action	Court,	Settlement	\$13,500,000.00	Securities violations	NSO99/
						leguing folce and miclocding	http://securities.st
	INChira Ingurance Caluticas		US District			Issuing false and misleading	anford.edu/1013/
0/4/0000	INSpire Insurance Solutions,	Class setion		Cattlanaant	£4,000,000,00	statements regarding financial	
6/4/2003	IIIC.	Class action	Court, Texas	Settlement	\$4,800,000.00	results and new contracts	NSPR00/
	Incurance Management		US District			Prospectus was materially	http://securities.st anford.edu/1015/l
7/40/0000	Insurance Management	Class setion		Cattleman		Prospectus was materially	
7/18/2003	Solutions Group, Inc.	Class action	Court, Florida	Settlement	\$2,100,000.00	false and misleading	NMG00/

		la	1	T	1		1
		Public					
		shareholders of					
		DSP	US District				http://securities.st
		Communications,	Court,				anford.edu/1035/
9/27/2003	Intel Corporation	Inc.	California	Settlement	\$4,500,000.00	Securities violations	DSP00_01/
						Company made false	
						representations regarding its	http://securities.st
						earnings, which caused the	anford.edu/1027/I
			US District			share price to be artificially	CPT03-
2/18/2004	InterCept	Class action	Court, Georgia	Settlement	\$5,300,000.00		01/index.html
	•		US District			Materially false statements and	http://securities.st
			Court,			omissions caused artificial	anford.edu/1035/L
1/29/2009	Interlink Electronics, Inc.	Class action	California	Settlement	\$5.000.000.00	stock price inflation	INK05_01/
	,	Consolidated				Securities violations relating to	http://www.prnews
11/18/2004	Intermix Media, Inc.	class action		Settlement	\$5,500,000.00		wire.com/cgi-
	,				. , ,	,	http://securities.st
			US District			Making false and misleading	anford.edu/1028/I
			Court,			statements about one of its key	
5/23/2005	InterMune, Inc.	Class action	California	Settlement	\$10,400,000.00	-	01/index.html
0,20,2000	monitario, mo.	Olado adilon	US District	Comornon	ψ10,100,000.00	producto	http://securities.st
	International Business		Court, New				anford.edu/1034/I
6/2/2008	Machines Corporation (IBM)	Class action	York	Settlement	920,000,000,00	Securities violations	BM05_01/
0/2/2000	Wachines Corporation (IBW)	Class action	TOIK	Settlement	Ψ20,000,000.00	Securities violations	DIVIO3_0 1/
	International Business	Employee class					http://www.lieffcab
7/1/2007	Machines Corporation (IBM)	action		Settlement	\$65,000,000,00	Unpaid overtime for IT workers	raser.com/ibm.htm
1/1/2007	Machines Corporation (IBM)	action		Settlement	\$03,000,000.00	Oripaid Overtime for 11 Workers	raser.com/ibin.num
							http://www.lawyers
							andsettlements.co
						Antitrust violations, cononiring	
		Deicata				Antitrust violations-conspiring	m/settlements/060
0/0/0000	latana dia a di Banan Os	Private		0 - 111 1		to artificially depress fiber	44/paper_fiber_se
8/8/2006	International Paper Co.	landowners		Settlement	\$12,400,000.00	prices	ttlement.html
						False and orders discon	
						False and misleading	
						statements about the	
			US District	Settlement		company's business and	http://securities.st
	International Rectifier		Court,	(proposed		financial results that led to	anford.edu/1037/I
7/31/2009	Corporation	Class action	California	agreement)	\$90,000,000.00	artificially inflated stock prices	RF_01/

							· · · · · · · · · · · · · · · · · · ·
			US District				http://securities.st
	Interneuron Pharmaceuticals.		Court,			Issuing false and misleading	anford.edu/1009/I
2/2/2000			Massachusetts	Settlement	\$725,000.00		PIC97/
	Interpublic Group	SEC	Maccachacotto	Settlement	, ,	Fraud investigation	110017
0,1,200	many areas				<b>*</b> *=,000,000		http://securities.st
			US District			Material misrepresentations	anford.edu/1025/I
			Court, New			caused the stock price to	PG02-
12/2/2003	Interpublic Group	Class action	York	Settlement	\$115,000,000.00	become artificially inflated	01/index.html
	-		US District			Issuing a false and misleading	http://securities.st
			Court,			registration statement and	anford.edu/1017/I
12/9/2005	Intershop Communications	Class action	California	Settlement	\$3,151,392.56		SHP01/
						Providing materially false and	
						misleading information	
			US District			regarding company's financial	http://securities.st
			Court,			condition causing artificial price	
7/10/2001	Interspeed, Inc.	Class action	Massachusetts	Settlement	\$7,500,000.00	inflation	SPD00/
							http://www.lawyers
							andsettlements.co
			US District			Misleading investors about	m/settlements/035
5/13/2005	Interstate Bakeries Corp.	SH action		Settlement	\$18,000,000.00	3	45/interstate_bake ries.html
3/13/2003	Interstate Bakeries Corp.	SIT action	Court, Missouri	Settlement	\$18,000,000.00	rature earnings	http://www.lawyers
	Invesco Funds Group and					Improper trading and claimed	andsettlements.co
	affiliate AIM Advisors.	SEC		Settlement		improper market timing trades	m/settlements/024
0/0/2001	armate / tilvi / tavicere.	National		Cottlement	Ψ 100,000,000.00	impropor market arming tradeo	http://www.lawyers
		Association of					andsettlements.co
		Securities Dealers					m/settlements/087
7/24/2007	InvestPrivate Inc.	(NASD)		Settlement	\$205,000.00	Misleading investors	89/misled-
			US District				http://securities.st
			Court,			Issuing false and misleading	anford.edu/1027/I
4/4/2005	Ionics Inc.	Class action	Massachusetts	Settlement	\$3,000,000.00	financial statements	ON03-01/
							http://securities.st
			US District			Company failed to disclose	anford.edu/1009/I
9/7/2004	IQ Software Corporation	Class action	Court, Georgia	Settlement	\$550,000.00	material risks and liabilities	Q97/

							l I
			US District			False and misleading	http://www.krclass
	Iridium World Communications.	Securities class	Court, District			statements and material	action.com/Defaul
10/23/2008			of Columbia	Settlement	\$23,100,000.00	omissions	t.aspx?tabid=1486
					. , ,	Material misrepresentations	
			US District				http://securities.st
			Court,			information led to stock price	anford.edu/1023/I
6/7/2004	Irvine Sensors Corporation.	SH action	California	Settlement	\$3,500,000.00		RSN02-01/
			US District		¥ = / = = = / = = = =		http://securities.st
		Securities class	Court,				anford.edu/1009/I
6/3/1999	Itron, Inc.	action	Washington	Settlement	\$12,000,000.00	Securities violations	TRI97/
						Involvement with Enron's	http://securities.st
6/1/2005	J.P. Morgan Chase & Co, Inc.	Enron SH		Settlement	\$2,200,000,000.00	collapse	anford.edu/1023/J
						Involvement with Enron's	http://securities.st
6/1/2005	J.P. Morgan Chase & Co, Inc.	Enron Liquidators		Settlement	\$350,000,000.00	collapse	anford.edu/1023/J
						Failing to preserve e-mails in	http://www.lawyers
		SEC, NASD,				alleged conflicts with Wall	andsettlements.co
2/17/2005	J.P. Morgan Chase & Co.	NYSE		Settlement	\$2,100,000.00	Street investment houses.	m/settlements/030
						Compensation for bank's	
						wartime records and dealings	
11/7/1999	J.P. Morgan Chase & Co.	Holocaust victims		Settlement	\$2,750,000.00	with the Nazis.	nytimes.com
		Hyundai					
		Investment Trust					http://www.koreah
4/10/2001	J.P. Morgan Chase & Co.	Securities Co.		Settlement	\$2,750,000.00	Compensation for the failure of	
							http://www.nytime
							s.com/2002/04/02
							/business/final-
						Compensation for a copper	lawsuit-is-settled-
						-	in-copper-
4/2/2002	J.P. Morgan Chase & Co.	Sumitomo Corp.		Settlement	\$125,000,000.00	unauthorized cooper trades	loss.html

	I		1	Г	1		
		New York State				Company will buy back billions	
		and Federal				in troubled auction rate	
8/14/2008	J.P. Morgan Chase & Co.	Regulators		Penalty	\$25,000,000.00	securities and pay a penalty	
		Ĭ		Í		. , . ,	
						Advisers and directors should	http://www.lawyers
						have discovered WorldCom's	andsettlements.co
						\$11 billion accounting fraud	m/settlements/031
3/20/2005	J.P. Morgan Chase & Co.	WorldCom SH		Settlement		and disclosed it to investors	92/jpmorgan.html
	<u> </u>						,, ,
							http://www.busine
							sswire.com/portal/
							site/google/index.j
							sp?ndmViewId=n
							ews_view&newsId
			Delaware Court				=2007012200600
1/30/2007	Jacuzzi Brands, Inc.	SH action	of Chancery	Settlement	\$725,000,00	Challenge to proposed merger	4&newsLang=en
.,00,2001		0	US District	Cottacinoni	φ. 20,000.00	Gridinerige to proposed merger	http://securities.st
			Court, New				anford.edu/1032/J
10/19/2004	JAKKS Pacific, Inc.	Class action	York	Settlement	\$3,900,000,00	Securities violations	AKK04_01/
10/10/2001	o to	0.000 00.00		Cottacinon	\$5,555,555.55		http://www.lawyers
							andsettlements.co
						Failing to notify regulators	m/settlements/081
						when his stake in a company	34/james-
5/21/2007	James D. Dondero	US DOJ		Fine		hit \$94 million.	dondero.html
3/21/2007	Donacio	00 000	US District	1 1110	Ψ200,000.00	THE WOT THINDIT.	http://securities.st
			Court, New			Company allowed abusive	anford.edu/1028/J
4/19/2004	Janus Capital Group Inc	Class action	York	Settlement		trading of mutual funds	NS03-01/
7/13/2004	Carias Capital Group IIIC	Ciass action	TOTA	Cottloriont		Materially misleading	http://securities.st
			US District			statements caused stock price	anford.edu/1013/J
1/20/2004	JDN Realty Corporation	Class action	Court, Georgia	Settlement	\$43,000,000.00		DN00/
1/20/2004	JUN Realty Corporation	Class action	Court, Georgia	Settlement	φ <del>4</del> 3,000,000.00	IIIIIauvii	DINUU/

6/9/2003	Jennifer Convertibles	Class action		Settlement	\$2,250,000.00	Securities violations	http://www.lawyers
							http://www.lowe.cro
							http://www.lawyers andsettlements.co
						Inflating contact lens prices on	m/settlements/033
						purpose in order to force visits	96/contactlensanti
5/22/2001	Johnson and Johnson	Class action		Settlement	\$60,000,000.00		trust.html
3/22/2001	Somison and Somison	Olass action		Octionion	Ψ00,000,000.00	to optometrists	http://securities.st
			US District			Issuing false and misleading	anford.edu/1009/J
7/17/2003	Jones Intercable, Inc.	Class action	Court, Colorado	Settlement	\$6,200,000.00		OIN99/
			,		, , , , , , , , , , , , , , , , , , , ,		http://securities.st
			US District				anford.edu/1034/
3/4/2002	Just For Feet, Inc.	Class action	Court, Alabama	Settlement	\$24,500,000.00	Artificial stock price inflation	FEET99_01/
						Company had raised	
						approximately \$102,000 by	
						promoting fictitious	
						investments on the Internet	http://www.lawyers
			US District			through making false claims in	andsettlements.co
_,,_,_			Court,			nine million spam emails and	m/settlements/016
5/12/2003	K.C. Smith	SEC	Tennessee	Settlement	\$100,000.00	on three websites.	34/kcsmith.html
			US District				http://securities.st anford.edu/1031/
3/31/2009	Key Energy Services, Inc.	Class action	Court, Texas	Settlement	\$16,600,000,00	Artificial stock price inflation	KEG04-01/
3/3 1/2000	Rey Energy Services, Inc.	Class action	US District	Settlement	\$10,000,000.00	Dissemination of materially	http://securities.st
			Court, New			false and misleading	anford.edu/1020/
9/30/2005	KeySpan Corporation	Class action	York	Settlement	\$13,800,000.00	_	KSE01/
2,22,222					<b>*</b> * * * * * * * * * * * * * * * * * *	Securities violations-common	http://securities.st
			US District			law fraud and negligent	anford.edu/1014/
5/20/2005	Kinder Morgan, Inc.	Class action	Court, Colorado	Settlement	\$5,250,000.00	misrepresentation	KMI00/
							http://www.lawyers
							andsettlements.co
			US District				m/settlements/060
0 = 10 5 5 =			Court,		***************************************	Misrepresentations led to stock	<b>U</b>
8/7/2007	King Pharmaceuticals Inc.	SH class action	Tennesee	Settlement	\$38,000,000.00	price inflation	_settlement.html
			LIC District				http://securities.st
9/20/2005	Kitty Howk Inc	Class action	US District	Cottlement	\$2.750.000.00	Securities violetiens	anford.edu/1014/
8/29/2005	Kitty Hawk, Inc.	Class action	Court, Texas	Settlement	\$2,750,000.00	Securities violations	KTTYE00/

9/26/2008	KLA-Tencor Corporation	Class action	US District Court, California	Settlement		Options backdating and material misrepresentations	http://securities.st anford.edu/1036/ KLAC_01/
9/14/2005	KongZhong Corporation	Class action	US District Court, New York	Settlement	\$3,500,000.00	Securities violations during the 2004 IPO	http://www.lawyers andsettlements.co m/settlements/042 78/kongzhong_se curities.html
7/28/2006	Koninklijke Ahold NV	Securities class action	US District Court, New York	Settlement	\$1,100,000,000.00	Materially misrepresented financial results and performance	http://securities.st anford.edu/1027/ AHO03-01/
5/26/2008	,	Class action	US District Court, North Carolina	Settlement	\$33,000,000.00	Antitrust violations	http://www.uslaw.c om/lawsuits/?itemi d=708
	KPMG	Mercury Finance Company of Illinois SH action	US District Court, Illinois	Settlement		Involvement in securities violations as auditor for Mercury Finance Company of	http://securities.st anford.edu/1000/ mfn97/
5/28/2003	KPMG	Sabratek SH	US District Court, Illinois	Settlement		Liability for Sabratek misleading financial statements as its outside auditor	http://securities.st anford.edu/1009/ SBTK99/
	KPMG International	Class action		Settlement		Accounting malpractice related to the collapse of Belgian speech-recognition software company Lernout & Hauspie	http://www.lawyers andsettlements.co m/settlements/024 80/kpmginternatio nal.html
1/31/2007	KPNQwest NV	Securities class	US District Court, New York	Settlement	\$9,675,000.00	Misleading accounting practices	http://securities.st anford.edu/1034/ KQIPQPK02_01/
	Krispy Kreme Doughnuts, Inc.	Securities class action	US District Court, North Carolina	Settlement		Securities violations	http://www2.journa lnow.com/content/ 2009/mar/15/chap ter-closes-as- reality-settles-in- on-krispy-kre/

		ERISA class	US District Court, North			Kreme Doughnut Corporation	http://www.lawyers andsettlements.co m/settlements/055 23/krispykreme_e risa settlement.ht
5/15/2006			Carolina	Settlement	\$4,750,000.00	•	ml
3/13/2000	Trispy Weine Bodginus, inc.	dollon	US District	Octionent	ψ+,130,000.00		http://www.bio-medicine.org/medicine-news-1/KV-Pharmaceutical-Company-Announces-Proposed-Settlement-in-Principle-of-Derivative-Shareholder-
4/9/2008	KV Pharmaceutical Co.	SH action		Settlement	\$12,000,000.00	Improper stock option practices	1/
		Consolidated	US District Court, Rhode Island, Rhode Island Superior			Violating federal norms pertaining to corporate governance policies and	http://securities.st anford.edu/1031/ KVHI04-
1/25/2008	KVH Industries Inc.		Court	Settlement	\$5,300,000.00	practices	01/index.html
5/20/2002		Securities class action	US District Court, California	Settlement		Securities violations	http://securities.st anford.edu/1023/L NTY02-01/
8/11/2006	LaBranche & Co, Inc	EEOC		Settlement	\$500,000.00	Disability discrimination	
3/31/2004	LaBranche & Co.	SEC		Settlement	\$64,000,000.00	Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million settlement with the SEC	

						Securities fraudissuing false	
						and misleading financial	
			US District			statements omitting adverse	http://securities.st
			Court, New			facts in a scheme to drive up	anford.edu/1028/L
1/22/2009	LaBranche & Co. LLC	SH action	York	Settlement	\$13,000,000.00	•	AB03-01/
			US District				http://securities.st
	Laidlaw Environmental	Securities class	Court, South			Issuing false and misleading	anford.edu/1025/
8/22/2002	Services, Inc.	action	Carolina	Settlement	\$42,875,000.00	financial statements	SK00-02/
						Materially false and misleading	http://www.lawyers
						statements and	andsettlements.co
			US District			recommendations caused	m/settlements/068
			Court, New			stocks to be traded at artificially	72/lantronix-
12/29/2006	Lantronix, Inc.	SH action	York	Settlement	\$15,200,000.00	inflated prices	shareholders.html
						False and misleading	http://securities.st
		Securities class	US District			statements led to artificial stock	anford.edu/1009/L
10/6/1999	Laser Technology, Inc.	action	Court, Colorado	Settlement	\$850,000.00	price inflation	SR99/
							http://securities.st
		Securities class	US District			False and misleading	anford.edu/1013/L
4/3/2003	Lason, Inc.	action	Court, Michigan	Settlement	\$12,680,000.00	statements	SON99/
						Issuing materially false and	http://securities.st
	Lattice Semiconductor	Securities class	US District			misleading statements	anford.edu/1032/L
3/16/2006	Corporation	action	Court, Oregon	Settlement	\$3,500,000.00	regarding the company's grown	SCC04-01/
			US District			Issuing a false and misleading	http://securities.st
		Securities class	Court,			registration statement and	anford.edu/1033/L
1/6/2008	Leadis Technology, Inc.	action	California	Settlement	\$4,200,000.00		DIS05_01/
						Issuing false and misleading	
			US District			information and failing to	http://securities.st
			Court,			disclose material adverse	anford.edu/1029/L
10/21/2003	LeapFrog Enterprises, Inc.	Class action	California	Settlement	\$2,300,000.00	information	F03-01/
			US District				http://securities.st
0/00/0000		Securities class	Court,		007 700 000 00	Issuing materially false and	anford.edu/1013/L
6/30/2003	Legato Systems, Inc.	action	California	Settlement	\$87,700,000.00	misleading statements	GTO00/

10/29/2004	Lehman Brothers	Enron SH-led by the University of California		Settlement	\$222,500,000.00	Securities violations in connection with Enron's collapse	http://www.univers ityofcalifornia.edu/ news/article/2016 0
3/10/2005	Lehman Brothers	Class action-led by New York State comptroller		Settlement		Bank should have known about WorldCom's fraudulent activities and cover up	
	Lernout & Hauspie Speech Products, N.V.	Securities class action	US District Court, Massachusetts	Settlement	\$5,270,000.00	Securities fraud-false and misleading statements	http://securities.st anford.edu/1015/L HSP00/
10/23/2007	Leucadia National Corp.,	SH action		Settlement	\$13,700,000.00	Price offered in buyout of MK Resources Co. was too low	http://www.lawyers andsettlements.co m/settlements/097
8/12/2008	Levi Strauss & Co	Securities class action	US District Court, California	Settlement		Disseminating false and misleading statements to the public	http://securities.st anford.edu/1030/L SC04-01/
	LG Display Co. Ltd, Sharp		US District				http://www.lawyers andsettlements.co m/settlements/128 31/lcd- manufacturers-to-
11/12/2008	Corp, and Chunghwa Picture	Justice Department	Court, California	Fines	\$585 000 000 00	Price fixing of LCD monitors	pay-585-million-in- price-fixing.html
	Liberate Technologies, Inc.	Securities class action	US District Court, California	Settlement		Issuing materially false and misleading statements	http://securities.st anford.edu/1025/L BRT02-01/
	Liberty Media Corp.	SH action	Delaware Court of Chancery	Settlement		Breach of fiduciary duty by approving the AT&T deal.	http://www.lawyers andsettlements.co m/settlements/066 99/liberty- media.html

			US District			Issuing materially false and	http://securities.st
			Court, New			misleading statements	anford.edu/1013/L
3/4/2005	Life Financial Corporation	Class action	York	Settlement	\$825,000.00	concerning financial results	FCO99/
			US District				http://securities.st
			Court,			Misrepresenting material	anford.edu/1031/L
10/18/2006	Ligand Pharmaceuticals, Inc.	Class action	California	Settlement	\$8,000,000.00	adverse facts	GND04-01/
							http://securities.st
						Material misrepresentations	anford.edu/1030/L
		Consolidated	US District			about financial results issued	QMTE04-
10/20/2006	Liquidmetal Technologies, Inc.	class action	Court, Florida	Settlement	\$7,500,000.00	to the market	01/index.html
			US District				http://securities.st
			Court,				anford.edu/1009/L
5/25/2002	Little Switzerland, Inc.	Class action	Delaware	Settlement	\$1,050,000.00	Securities violations	SVI99/
						Violations of the Federal False	
		James Carton on				Claim Act-overcharging the	
		behalf of the US				government for computer	
1/16/1994	Litton Industries	Military		Settlement	\$86,000,000.00	services	
			US District				http://securities.st
			Court, New			Issuing a series of false and	anford.edu/1009/L
2/9/2005	Livent, Inc.	Class action	York	Settlement	\$23,333,146.00	misleading statements	VNTF98/
						False and material	http://www.reuters.
						misstatements concerning its	com/article/press
						projected fourth quarter and	Release/idUS172
			US District			year-end 2006 earnings and	452+30-Jan-
			Court,			net income, causing inflated	2009+PRN20090
1/30/2009	LJ International, Inc.	SH action	California	Settlement	\$2,000,000.00	stock prices	130
			US District				http://securities.st
			Court, Rhode			Issued materially false and	anford.edu/1021/L
8/11/2004	Log On America, Inc.	Class action	Island	Settlement	\$950,000.00	misleading statements	OAX01-01/
						Insider Trading (as part of	http://www.lawyers
7/30/2008	Lou Pai (Enron ex CEO)	SEC		Settlement	\$31,500,000.00	larger Enron case)	andsettlements.co
			US District			Company issued common	http://securities.st
			Court,			stock without disclosing	anford.edu/1020/L
7/22/2003	Loudcloud, Inc.	Class action	California	Settlement	\$1,200,000.00	material information	DCL01/index.html

							http://www.lawyers
							andsettlements.co
							m/settlements/123
			US District				16/louisianna-
			Court,				pacific-settles-44-
7/17/2008	Louisiana-Pacific	Class action	Pennsylvania	Settlement	\$44,500,000.00	Antitrust violations	5-million.html
			US District				http://securities.st
			Court, New			Federal and state securities	anford.edu/1013/L
12/15/2003	Lucent Technologies	Class action	Jersey	Settlement	\$600,000,000.00		U00/
						Fraud allegations that the	http://www.lawyers
						company failed to cooperate in	andsettlements.co
						an investigation of its	m/settlements/015
5/18/2004	Lucent Technologies Inc	SEC		Fine	\$25,000,000.00	accounting	92/lucenttechnolo
							http://www.lawyers
							andsettlements.co
							m/settlements/133
			Canadian and				04/lufthansa-price-
0/04/0000		01 "	American	0 " '	#05.000.000.00		fixing-airlines-
3/31/2009	Lufthansa	Class action	courts	Settlement	\$85,000,000.00	Cargo price-fixing	cargo.html
						Materially false and misleading	
			US District			statements artificially inflated	http://securities.st
		Securities class	Court, New			market prices of the company's	'
2/11/2008	Lumenis Ltd.	action	York	Settlement	\$20,100,000.00		UME02-01/
2/11/2000	Euriciis Eta.	action	US District	Octucinent	Ψ20,100,000.00	Securics	http://securities.st
	Luminent Mortgage Capital,		Court,				anford.edu/1037/L
1/20/2009		Class action	California	Settlement	\$8,000,000,00	Securities violations	UM 01/
1/20/2000		0.000 00.00	US District		40,000,000.00	Coodmillo Violations	http://securities.st
			Court, New				anford.edu/1018/
11/15/2005	Luxottica Group S.p.A.	Class action	York	Settlement	\$18,250,000.00	Securities violations	RAYS01/
			US District			Issued a series of materially	http://securities.st
			Court,			misleading information and	anford.edu/1009/L
7/15/2003	Lycos, Inc.	Class action	Massachusetts	Settlement	\$5,675,000.00	omissions	COS99/
			US District				http://securities.st
			Court,			Issuing false financial	anford.edu/1016/
1/5/2001	M&A West, Inc.	Class action	California	Settlement	\$2,615,000.00	statements	MAWIOB00/

			US District				http://securities.st
			Court, New			Materially false and misleading	anford.edu/1024/
4/6/2005	M.H. Meyerson & Co.	Class action	Jersey	Settlement	\$1,200,000.00	statements	MHMY02-01/
			US District			False and misleading	http://securities.st
			Court,			statements inflated stock	anford.edu/1002/
10/17/2001	MacRomedia, Inc.	Class action	California	Settlement	\$48,000,000.00	prices	MACR97/
			US District	Settlement			http://securities.st
			Court,	(pending			anford.edu/1012/
8/1/2008	Magma Design Automation	Class action	California	approval)	\$13,500,000.00	Securities fraud	MCU96/
							http://securities.st
							anford.edu/news-
							archive/2007/200
			US District				71018_Settlement
		Securities class	Court. New				104387 Everitt.ht
10/19/2007	Majesco Entertainment Co.	action	Jersey	Settlement	\$2,500,000,00	Securities fraud	ml
10/13/2001	Wajesco Efficitaliment Co.	action	ociscy	Octionion	Ψ2,300,000.00	Occurries made	1111
						Issuing materially false and	
						misleading press releases and	http://securities.st
						other statements regarding	anford.edu/news-
						Mamma.com's financial	archive/2006/200
			US District			condition in order to artificially	61109_Settlement
		Securities class	Court, New			inflate the value of	102076_Writer.ht
3/8/2007	Mamma.com Inc.	action	York	Settlement		Mamma.com's common stock	ml
0,0,2001	Warmana.com mo.	adilon	US District	Cottlomont	φο, του, σου.σο	Warming Common Clock	http://securities.st
			Court, New				anford.edu/1003/
6/15/1998	Manchester Equipment Co.	Class action	York	Settlement	\$1,350,000,00	Securities violations	MANC97/
0, 10, 1000		0.000 00.00		Common		Company failed to disclose	
			US District			material accounting	http://securities.st
	Manhattan Bagel Company,		Court, New			improprieties and other	anford.edu/1003/
12/28/2000		Class action	Jersey	Settlement	\$1,000,000.00		BGLS96/
_,,	-		,			Issuing false or misleading	
			US District			statements concerning the	http://securities.st
			Court, New			Company's business and	anford.edu/1035/
3/10/2009	Mannatech, Inc.	Class action	Mexico	Settlement	\$11,250,000.00		MTEX05_01/
							http://securities.st
		Securities class	US District			Issuing materially false and	anford.edu/1016/
3/4/2008	marchFIRST, Inc.	action	Court, Illinois	Settlement	\$18,000,000.00	misleading statements	MRCH00/

10/31/2003	Marconi	Class action	US District Court, Pennsylvania	Settlement	\$7,100,000.00	Securities violations	http://www.lawyers andsettlements.co m/settlements/019 01/marconi1.html
6/1/2006	Mario Gabelli	US DOJ		Settlement		Civil-fraud claims arising from alleged use of sham small-business affiliates in federal auctions of cellphone spectrum.	http://online.wsj.co m/article/SB1149 12839555068300 .html?mod=home _whats_news_us
2/25/2000	Marriott Companies	SH action	Texas court	Settlement	\$400,000,000.00	Allegations company defrauded investors in the late 1980s	http://www.nytime s.com/2000/02/25 /business/marriott- companies-in- settlement-of- lawsuit-brought-by- investors.html?sc p=15&sq=lawsuit %20and%20settle ment&st=Search
10/17/2007	Martek Biosciences Corp	OmegaTech shareholders	US District Court, Maryland	Settlement		Delayed payments included in the April 2002 merger agreement between the companie	http://www.reuters. com/article/compa nyNewsAndPR/id USWNAS646620 071016
	Martek Biosciences Corporation	SH class action	US District Court, Maryland	Settlement	\$6,000,000.00	Securities violations	http://securities.st anford.edu/news- archive/2008/200 80407_Settlement 106130_Writer.ht ml

							http://www.lawyers
							andsettlements.co
							m/settlements/066
			US District			Making false and misleading	42/martha-stewart-
	Morth o Stowart Living		Court, New			Making false and misleading statements about the sale of	
	Martha Stewart Living	Class action	· · · · · · · · · · · · · · · · · · ·	Cattlanaant	#20,000,000,00		living-
11/8/2006	Omnimedia Inc.	Class action	York	Settlement	\$30,000,000.00	ImClone shares.	settlement.html
							http://news.prnew
							swire.com/Display
							ReleaseContent.a
							spx?ACCT=104&
							STORY=/www/sto
			US District				ry/06-09-
			Court,				2009/000504129
	Marvell Technology	SH action	California	Settlement		Backdating stock options	1&EDATE=#
	Marvell Technology	SEC		Settlement	\$10,000,000.00	Backdating stock options	http://www.lawyers
	Massachusetts Financial						http://www.lawyers
1/23/2004	Services Co	US Regulators		Settlement	\$250,000,000.00	Improper trading of funds	andsettlements.co
							http://www.lawyers
						Making overly optimistic	andsettlements.co
						statements about the	m/settlements/052
			US District			company's fortunes in order to	97/mastec_settle
4/13/2006	MasTec, Inc.	Class action	Court, Florida	Settlement	\$10,000,000.00	boost the stock price	ment.html
						·	http://www.lawyers
6/26/2008	Mastercard	American Express		Settlement	\$1,800,000,000.00	Antitrust violations	andsettlements.co
		Arizona					http://www.azinves
		Corporation					tor.gov/News/200
6/23/2006	Mathon Management	Commission		Settlement	\$76.400.000.00	Operating a ponzi scheme	7/feb02-07.pdf
2, _ 2, _ 300			US District		Ţ: 2,:22,300.00		http://securities.st
		Securities class	Court,				anford.edu/1009/
3/25/2004	Mattel, Inc.	action	California	Settlement	\$122,000,000,00	Securities violations	MAT99/
5,25,2504			Camorria		ψ122,000,000.00	Cooking Violation	http://securities.st
			US District				anford.edu/1015/
11/5/2002	Max Internet Communications	Class action	Court, Texas	Settlement	\$2,200,000,00	Securities violations	MXIP00/
11/0/2002	The America Communications	JIAGO AOLIOTI	US District	Comornion	Ψ2,200,000.00	Issuing false and misleading	http://securities.st
			Court,			statements and concealing	anford.edu/1032/
0/27/2006	Maxim Pharmaceuticals, Inc.	Class action	California	Settlement	\$2 300 000 00	material adverse information	MAXM04-01/
3/21/2000	iviaxiiii Filaiiiiaceulicais, IIIC.	Ciass action	Calliullia	Demenient	φ2,300,000.00	material auverse information	IVIAAIVIU4-U I/

						Securities fraud and misuse of	http://www.busine ssinsurance.com/
		CEC Name Vante					
2/12/2007	MDIA	SEC, New York		Cattleman		finite reinsurance leveled by	apps/pbcs.dll/articl
2/12/2007	MBIA Inc.	Attorney General	HO District	Settlement	\$75,000,000.00	state and federal regulators.	e?AID=99992000
			US District				http://securities.st
= // /0000			Court,	S	***		anford.edu/1034/
5/1/2009	MBNA	Class action	Delaware	Settlement	\$25,000,000.00	Securities violations	KRB05_01/
						Consumers with credit cards	
						issued by MBNA bank took	
		Consumer class				cash advances in response to	
4/11/2003	MBNA	action		Settlement	\$22,800,000.00	an MBNA promotion.	
		Class action-				Company violated fiduciary	
	MBNA	ERISA		Settlement	\$4,500,000.00	duties	
						Questionable accounting	http://www.mcafee
12/27/2007	McAfee, Inc.	SEC		Fine	\$50,000,000.00	practices	.com/us/about/pre
			US District				http://securities.st
			Court, District			Misleading shareholders	anford.edu/1008/
1/3/2006	MCI Communications	Class action	of Columbia	Settlement	\$4,500,000.00	regarding its merger	WCOMM97/
			US District				http://www.accoun
			Court, New				tingweb.com/item/
7/8/2003	MCI/WorldCom	SEC	York	Fine/Settlement	\$750,000,000.00	Securities violations	97796
1/17/2005	McKesson Corp.	Class action		Settlement	\$90,000,000.00	Financial restatements	http://www.lawyers
	·		US District				http://securities.st
			Court,				anford.edu/1004/
2/14/2008	McKesson HBOC, Inc.	SH class action	California	Settlement	\$960,000,000.00	Securities violations	MCK99/
	,		US District				http://securities.st
			Court,			Misrepresentations and stock	anford.edu/1036/
40/40/0007	Meade Instruments Corporation	Class action	California	Settlement		options backdating	MEAD 01/

		I					1 7
		Securities class	US District Court, New			Company issued false and misleading statements regarding its financial condition, and misrepresented and omitted material facts in its Registration Statement and	http://securities.st anford.edu/1023/
3/29/2005	Measurement Specialties, Inc.	action	Jersey	Settlement	\$1,453,401.00	Prospectus	MSS02-01/
7/21/2004	Measurement Specialties, Inc.	Class action	US District	Settlement		Securities violations	http://www.prnews wire.com/cgi- http://securities.st
8/1/2005	Medical Resources, Inc.	Class action	Court, New Jersey	Settlement		Misrepresenting and omitting material facts about the company	anford.edu/1004/ MRII97/
	Medical Staffing Network		US District				http://www.lawyers andsettlements.co m/settlements/069 57/medical- staffing-
	Holdings, Inc.	SH action	Court, Florida	Settlement	\$5,000,000.00	Securities fraud	network.html
	Medical Transportation Manager	Missouri Attorney General		Settlement		Antitrust violations	http://www.lawyers andsettlements.co m/settlements/043 38/mtm_medicaid. html
	, J		US District Court, New			Issuing false and misleading statements regarding the company's business, operations and financial	http://securities.st anford.edu/1023/
6/3/2004	Medi-Hut Co. Inc.	Class action	Jersey	Settlement	\$400,000.00	performance	MHUT02-01/
3/22/2004	Medirisk Inc.	Class action	US District Court, New Jersey	Settlement		Issuing false and materially misleading statements	http://securities.st anford.edu/1008/ SturmVMedirisk/
11/1/1999	MedPartners, Inc.	Class action	US District Court, California	Settlement		Issuing false and materially misleading statements	http://securities.st anford.edu/1009/ MDM97/

г	ı	1	1	1		I	
4/2/2007	MedQuist Inc.	Securities class action	US District Court, New Jersey US District	Settlement		False and misleading statements and fraudulent financial manipulations resulted in the overstatement of the Company's revenue and earnings by a material amount. Issuing false and misleading statements concerning	http://securities.st anford.edu/1032/ MEDQPK04_01/i ndex.html http://securities.st
			Court,			company's revenue, expenses	anford.edu/1015/
10/20/2002	Mercator Software, Inc.	Class action	Connecticut	Settlement	\$8,200,000.00	and earnings	MCTR00/
6/29/1999	Mercury Finance Company of Illinois	Consolidated class action	US District Court, Illinois	Settlement		Securities violations-issuing false and misleading statements regarding company's operations, earnings, financial statements and future prospects	http://securities.st anford.edu/1000/ mfn97/
	Mercury Interactive	Class action	US District Court, California	Settlement		Options backdating	http://www.bizjour nals.com/sanjose/ stories/2007/10/1 5/daily8.html
5/1/2007	Mercury Interactive	SEC		Settlement	\$28,000,000.00	Options backdating	http://www.bizjour
5/26/2008	Merge Technologies, Inc.	class action	US District Court, Wisconsin	Settlement		Violation of federal securities laws by issuing materially false and misleading statements during the Class Period that artificially inflated the Company's stock price	http://securities.st anford.edu/1035/ MRGE_01/
4/7/2009	Merrill Lynch	Employees whose retirement plans invested in Merrill Lynch (ERISA class action)	US District Court, New York	Settlement (pending court approval)		Losses sustained due to investments in Merrill which were encouraged although they were imprudent due to Merrill's exposure to toxic debts and subprime mortgages	http://www.bizjour nals.com/albuquer que/stories/2009/ 04/06/daily15.html

	City of Springfield,			Making unauthorized	http://www.lawyers
1/31/2008 Merrill Lynch	MA	Settlement	\$13,900,000.00	investments	andsettlements.co
	N	0			
	New York State	Settlement-			//
	and Federal	buyback of		Company will buy back billions	http://www.highbe
0/4.4/0000 14.5	Regulators and	auction rate	<b>#</b> 40,000,000,00	in troubled auction rate	am.com/doc/1G1-
8/14/2008 Merrill Lynch	Retail Customers	securities	\$10,000,000.00	securities and pay a penalty	184312198.html
				Company advised investors to	
				buy stock in now-bankrupt	
9/2/1977 Merrill Lynch	SH action	Settlement	\$1,400,000,00	Scientific Control Corp	
9/2/1977 Wellin Lylich	Of Faction	Settlernent	\$1,400,000.00	Compensation for a \$250	
				million loss incurred while	
	Consolidated SH			trading mortgage-backed	
8/28/1990 Merrill Lynch	action	Settlement	\$17,500,000.00		
			<b>*</b> · · · , · · · · · · · · · · · · · · ·		
				Merrill in statements on	http://www.lawyers
	Class action-led			collateralized debt obligations	andsettlements.co
	by Ohio State			and related assets had inflated	m/settlements/130
	Teachers'			the market price for its own	50/merrill-lynch-
	Retirement			shares, causing investors to	settlement-ohio-
1/16/2009 Merrill Lynch	System	Settlement	\$475,000,000.00		teachers.html
				Customers who sold certain	
4/23/1993 Merrill Lynch	Class action	Settlement	\$15,000,000.00	securities were underpaid	

			Compensation for investors in
			its failed rare-coin and ancient-
8/23/1994 Merrill Lynch	Class action	Settlement	\$30,000,000.00 art limited partnerships
			http://www.nytime
			s.com/1995/07/1
			Improper trades artificially /business/florida-
			inflated the value of Guarantee gets-100-million-
			Security Life Insurance insurer-
7/17/1995 Merrill Lynch	Florida regulators	Settlement	\$100,000,000.00 Company which later failed failure.html
			Settlement of a criminal
			investigation into the firm's role
			in underwriting bond offerings
			for Orange County, Calif.,
0/00/4007/44	Orange County,	0 "1	before the county filed for
6/20/1997 Merrill Lynch	California	Settlement	\$30,000,000.00 bankruptcy in 1994
			Componentian for
			Compensation for shareholders of the Short-
			Term Global Income Fund and
			Short-Term World Income
			Portfolio-company did not
			properly inform investors of the
10/2/1997 Merrill Lynch	SH action	Settlement	\$90,000,000.00 investment strategy
10,2,1007 WOTHII LYTION	OT LOCION	Octionicit	ψου,σου,σου.σο πτοσατιστά σταίος
			Firm helped push the affluent
			county into bankruptcy four
	Orange County,		years ago with reckless
6/3/1998 Merrill Lynch	California	Settlement	\$400,000,000.00 investment advice.
			Negligence relating to the
8/25/1998 Merrill Lynch	SEC	Fine	\$2,000,000.00 bankruptcy of Orange County

		1		ı			T
						Compensation for losses	
		Futures traders				suffered in the 1996 Sumitomo	
11/16/1998	Merrill Lynch	class action		Settlement		Corp copper-trading scandal;	
11,10,1000		0.000 00.011		0010	ψ.ο,.οο,οοο.οο	corp coppor trading coarraat,	
							http://www.nytime
							s.com/2001/12/07
							/business/merrill-
						Negligence and	to-pay-110-million-
12/7/2001	Merrill Lynch	Unilever		Settlement	\$110,000,000.00	mismanagement of funds	to-unilever.html
							http://www.nytime
							s.com/2002/04/02
							/business/final-
						Compensation for a copper	lawsuit-is-settled-
= // /0.000							in-copper-
5/1/2000	Merrill Lynch	Sumitomo Corp.		Settlement	\$275,000,000.00	unauthorized cooper trades	loss.html
						Camanani iaawad wananta whiah	
						Company issued reports which falsely recommended that	
						investors buy the underlying	
		Consolidated	US District			securities of numerous Internet	http://www.merrillly
			Court, New			companies which caused injury	
9/5/2007	Merrill Lynch & Co.	action	York	Settlement	\$125,000,000.00		m/
	, , , , , , , , , , , , , , , , , , , ,		-			Issuing misleading financial	
			US District			reports on Tyco while working	http://securities.st
		Class action, Tyco	Court, New			as an independent director for	anford.edu/1028/
11/16/2008	Merrill Lynch & Co.	SH	York	Settlement	\$4,900,000.00	Merrill Lynch	TYC03-01/
							http://www.lawyers
							andsettlements.co
		0 15 - 1 1	US District			In a color or color or allor or buffers	m/settlements/049
0/47/0000	Managari Laurada O O a		Court, New	0-11		Issuing misleading information	91/merrill_lynch_s
2/17/2006	Merrill Lynch & Co.	class action	York	Settlement	\$164,000,000.00	on internet companies	ettlement.html
			US District			Securities violations-improper	http://securities.st
		Consolidated	Court, New			business practices artificially	anford.edu/1024/
7/20/2007	Merrill Lynch & Co., Inc.	class action	York	Settlement			
7/20/2007	Merrill Lynch & Co., Inc.	class action	York	Settlement	\$15,000,000.00	inflated stock prices	MER02-02/

	1	T	ı				1
2/1/2007	Merrill Lynch & Co., Inc.	Shareholders in three Merrill mutual funds: the Internet Strategies Fund, the Global Technology Fund and the Focus Twenty Fund.	US District Court, New York	Settlement	\$40,300,000.00	Company failed to disclose material adverse facts about companies they promoted	http://securities.st anford.edu/1024/ MER02-01/
	Merrill Lynch and Lazard Freres Company LLC	Commonwealth of MA		Fines, restitution, administrative payments and investigative costs		Breach of fiduciary duties, conflicts of interest	http://findarticles.c om/p/articles/mi_ m0EIN/is_1995_ Oct_26/ai_17445 240/?tag=content; col1
8/21/2009	Merrill Lynch/Bank of America	Class action-led by 2 Louisiana pension funds	US District Court, New York	Settlement	\$150,000,000.00	Misleading investors with respect to the sale of bonds and preferred stock.	http://www.dailyma rkets.com/stocks/ 2009/08/25/bank- of-america-to- resolve-merrill- lawsuit/
5/26/2000	Metal Recovery Technologies, Inc.	Class action	US District Court, Delaware	Settlement	\$3,050,000.00	Artificial stock price inflation through false and misleading statements	http://securities.st anford.edu/1008/L evinevMetalReco/
12/22/2005	Metromedia Fiber Network	Class action	US District Court, New York	Settlement	\$8,750,000.00	Issuing false and materially misleading statements	http://securities.st anford.edu/1020/ MFNX01/
10/28/2005	Metropolitan Mortgage	Class action	US District Court, Washington	Settlement	\$7,250,000.00	Securities fraud	http://www.lawyers andsettlements.co m/settlements/044 76/metro_mortgag e.html
12/4/2007	MF Global	Philadelphia Alternative Asset Fund (PAAF) Investors		Settlement	\$75,000,000.00	Violations related to of its mishandling of hedge fund accounts.	http://securities.st anford.edu/1036/ VTSS_01/

· ·		T					1
						E-West Commission	la tta a // a a a a a la la la la la
						Failing to implement	http://www.highbe
0/5/2002	MEC Investment Management	SEC		F:		safeguards to prevent insider	am.com/doc/1G1-
9/5/2003	MFS Investment Management	SEC		Fines	\$1,000,000.00	trading	107263523.html http://articles.latim
							es.com/2004/apr/
						Company allowed improper	01/business/fi-
3/1/2004	MFS Investment Management	LIS Pogulators		Settlement		trading- market timing	wrap1.2
3/1/2004	Wil 3 investment Management	OS Regulators		Settlement	Ψ331,000,000.00	trading-market timing	http://articles.latim
						Company made hidden	es.com/2004/apr/
						payments to brokers who	01/business/fi-
4/1/2004	MFS Investment Management	US Regulators		Settlement		promoted its funds	wrap1.2
1, 1, 200 1	W C III C III C III Wanagemen	o o regulatoro		- Common of the	<del>+ + + + + + + + + + + + + + + + + + + </del>	promoted no ramae	http://www.reuters.
							com/article/press
							Release/idUS942
							73+17-Jun-
						False and misleading	2009+PRN20090
						statements inflating reported	617
			US District			revenue from the Company's	http://securities.st
			Court,			energy business segment,	anford.edu/1039/
3/9/2009	Michael Baker Corporation	SH action	Pennsylvania	Settlement	\$4,500,000.00	causing inflated stock prices	BKR_01/
			US District				http://securities.st
		Consolidated	Court,			Issuing false and misleading	anford.edu/1004/
12/19/2002	Micro Focus Group, PLC	class action	California	Settlement	\$4,250,000.00		MIFGY98/
			US District			Misrepresenting material	http://securities.st
		Consolidated	Court,			information concerning	anford.edu/1010/
12/31/1998	Micro Warehouse, Inc.	class action	Connecticut	Settlement	\$30,000,000.00	financial statements	MWHS96/
			US District				http://securities.st
			Court, New			Issuing false and misleading	anford.edu/1020/
12/29/2001	Microfield Graphics, Inc.	Class action	York	Settlement	\$455,000.00	statements	MICG00/

							http://www.lawyers andsettlements.co m/settlements/109 87/microsoft-
2/20/2000	Missassit		Brussels,	Fine		Failing to comply with a	windows-
2/28/2008	MICROSOTT	European Union	Belgium	Fine	\$1,300,000,000.00	European antitrust order	antitrust.html
10/23/2006	Microsoft Corp.	Class action- Arkansas customers		Settlement		Overcharging customers for some of its products	http://www.lawyers andsettlements.co m/settlements/065 47/microsoftantitr ustar.html
10/20/2006	Microsoft Corp.	Class action- Wisconsin customers	US District Court, Wisconsin	Settlement		Overcharging customers for some of its products	http://seattle.bizjou rnals.com/seattle/ stories/2006/10/1 6/daily35.html?jst =b_ln_hl
	·	California local government entities		Settlement		·	https://secureweb. rustconsulting.co m/MSCAGovEntit
11/11/2005	Microsoft Corp.	RealNetworks, Inc.		Settlement	\$761,000,000.00	Antitrust violations	http://www.lawyers andsettlements.co m/settlements/044 82/media_player_ antitrust.html
10/29/2005	Microsoft Corp.	Daum Communications		Settlement	\$30,000,000.00	Antitrust violations	http://www.lawyers andsettlements.co m/settlements/045 54/microsoft_antic ompetitive.html
7/2/2005		International Business Machines (IBM)		Settlement			http://www.lawyers andsettlements.co m/settlements/038 39/microsoft_ibm. html

			1				
6/23/2005	Microsoft Corp.	State of North Carolina		Settlement	\$41,000,000.00	Antitrust violations	http://www.lawyers andsettlements.co m/settlements/037 88/microsoft_ncsc hool.html
6/23/2005	Microsoft Corp.	8 states		Settlement	\$28,600,000.00	Antitrust violations	http://www.lawyers andsettlements.co m/settlements/037 82/microsoft_mon opoly.html
		Class action-					http://www.i-
		Nebraska	Nebraska				newswire.com/pr1
4/30/2005	Microsoft Corp.	consumers	District Court	Settlement	\$22,600,000.00	Violation of Nebraska's antitrus	7871.html
4/11/2005	Microsoft Corp.	Gateway, Inc.		Settlement	\$150,000,000.00	Antitrust violations	http://www.lawyers andsettlements.co m/settlements/033 37/gateway.html
11/24/2004	Microsoft Corp.	Communications Industry Association (CCIA)		Settlement	\$19,750,000.00	Antitrust violations	http://www.msnbc. msn.com/id/65763 42/
11/12/2004	Microsoft Corp.	Class action- California consumers		Settlement	\$1,100,000,000.00		http://www.lawyers andsettlements.co m/settlements/015 44/microanti.html
11/8/2004	Microsoft Corp.	Novell Inc.		Settlement	\$536,000,000.00	Antitrust violations	http://www.lawyers andsettlements.co m/settlements/015 25/microsoft.html http://www.edn.co
		Consolidated	US District				m/article/CA4835
12/8/2004	Microtune Inc.	class action	Court, Texas	Settlement	\$5,625,000.00	Securities violations	78.html

	Ī	1	I	1	1		T
12/31/2002	MidAmerica Bank	US DOJ officials in Chicago		Settlement	\$10,000,000.00	Redlining-failing to provide services in minority communities	
							http://securities.st
			US District				anford.edu/1035/
6/12/2007	Mikohn Gaming Corporation	Class action	Court, Nevada	Settlement	\$2,800,000.00	Securities violations	PGIC05_01/
							http://www.lawyers
		SEC and NYS					andsettlements.co
12/2/2005	Millennium Partners	Attorney General		Settlement	\$180,000,000.00	Market timing	m/settlements/047
		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	US District		,,,	Jan 1911	http://securities.st
	Miller Johnson Steichen		Court,				anford.edu/1025/
4/5/2005	Kinnard, Inc.	Class action	Minnesota	Settlement	\$5,600,000.00	Securities violations	STOKQ02-01/
						Misleading SH as to the	http://www.lawyers
						viability of ethanol and high-	andsettlements.co
2/13/2005	Minnesota Corn Processors	SH action		Settlement	\$5,750,000.00	fructose corn syrup.	m/settlements/029
						, ,	http://www.lawyers
							andsettlements.co
							m/settlements/047
						Price gouging during the	42/mirant_energy.
12/30/2005	Mirant Corp.			Settlement	\$31,800,000.00		html
	·						http://securities.st
		Consolidated	US District			Issuing materially false and	anford.edu/1004/
1/31/2003	Mitcham Industries	class action	Court, Texas	Settlement	\$2,700,000.00	misleading information	MIND98/
			US District				http://securities.st
			Court,				anford.edu/1016/
3/19/2002	Mitek Systems, Inc.	Class action	California	Settlement	\$2,000,000.00	Securities violations	MITK00/
		Class action-led				Bank should have known	
	Mitsubishi Securities	by New York State				about WorldCom's fraudulent	
3/10/2005	International	comptroller		Settlement	\$75,000,000.00	activities and cover up	

	T	1		1	1		
		Class action-led				Bank should have known	
		by New York State				about WorldCom's fraudulent	
3/10/2005	Mizuho International	comptroller		Settlement	\$37,500,000.00	activities and cover up	
		'	US District		, , ,	Material misrepresentations	http://securities.st
	MobileMedia Communications,		Court, New			and omissions caused artificial	anford.edu/1004/
2/24/2000	Inc.	Class action	Jersey	Settlement	\$26,950,000.00	stock price inflation	MBLM96/
						Breaching of fiduciary duty in	
						connection with certain alleged	http://www.lawyers
						accounting adjustments and in	andsettlements.co
						connection with alleged	m/settlements/074
			US Circuit			misdating of certain stock	83/molex-
3/22/2007	Molex Inc.	SEC	Court, Illinois	Fine	\$500,000.00	option grants	stocks.html
							http://securities.st
			US District			Company misrepresented	anford.edu/1033/
11/2/2006	Molex Incorporated	Class action	Court, Illinois	Settlement	\$10,500,000.00	material adverse facts	MOLXE05_01/
			US District				http://securities.st
	Molson Coors Brewing	O1 11	Court,			Failure to disclose material	anford.edu/1034/
11/17/2008	Company	Class action	Delaware	Settlement	\$6,000,000.00	adverse information	TAP05_01/
			US District				http://securities.st
	Molten Metal Technologies,		Court,			Deception and fraud caused	anford.edu/1008/ AxlervMoltenMeta
8/6/2001	<b>5</b> ,	Class action	Massachusetts	Cottlement		artificial stock price inflation	/
6/0/2001	IIIC.	Class action	Massacriuseus	Settlement	\$11,035,000.00	artificial stock price imiation	/
						Company, along with	http://www.answer
						competitors, charged hidden	s.com/topic/mone
		Consolidated	US District			fees who needed their money	ygram-
12/1/2000	MoneyGram International	class action	Court, Illinois	Settlement		converted from dollars to pesos	
1_, ,,_300	,		US District		Ţ::::,:::;::::;::::::::::::::::::::::::		http://securities.st
			Court, New				anford.edu/1037/
10/7/2008	Monster Worldwide, Inc.	Class action	York	Settlement	\$47,500,000.00	Backdating stock options	MNST_01/

			ı				
							http://www.lawyers
							andsettlements.co
	Morgan Crucible, Carbone of		US District				m/settlements/062
	America Industries, Schunk		Court, New				48/carbon_antitru
9/6/2006	GmBH and SGL Carbon	Class action	Jersey	Settlement	\$21,900,000.00	Conspiring to fix prices	st.html
							http://www.reuters.
						Failure to supervise four former	com/article/bankin
						financial advisers who are	gFinancial/idUSN
	Morgan Stanley & Company					accused of engaging in	18517468200712
12/19/2007	Inc.	SEC		Settlement	\$17,000,000.00	deceptive trading practices.	19
							http://www.lawyers
						Company failed to disclose to	andsettlements.co
						its customers that the firm	m/settlements/019
	Morgan Stanley & Company					received payments to promote	27/morganstanley.
11/17/2003		SEC		Settlement		certain mutual funds.	html
							http://www.eeoc.g
	Morgan Stanley & Company						ov/press/7-12-
7/14/2004		Class action		Settlement	\$54,000,000.00	Sex discrimination	04.html
		New York State				Company will buy back billions	
	Morgan Stanley & Company	and Federal				in troubled auction rate	
8/14/2008	, , ,	Regulators		Penalty		securities and pay a penalty	

		New York State and Federal	Settlement- buyback of		Company will buy back billions	
	Morgan Stanley & Company	Regulators and	auction rate		in troubled auction rate	
8/14/2008	Inc.	Retail Customers	securities	\$4,500,000,000.00	securities and pay a penalty	
	Marray Ctarley & Campany	General American			involvement with General	
	Morgan Stanley & Company Inc.	Life SH	Settlement	\$95,000,000.00	American Life's collapse in 1999	
					Company artificially inflated	
	Morgan Stanley & Company	050	0 - 111 1	<b>#40.000.000.00</b>	shares of newly floated dot-	
1/26/2005	Inc.	SEC	Settlement	\$40,000,000.00	com stocks	

4/23/2005	Morgan Stanley & Company	Class action		Settlement	\$41,500,000,00	Overvaluing investor's assets	http://www.lawyers andsettlements.co
4/23/2003	1116.	Class action		Settlement	\$41,300,000.00	Overvaluing investors assets	anusettiernents.co
	Morgan Stanley & Company	Orange County,				Liability for firm's involvement	
10/24/1998	, , ,	California		Settlement	\$69 600 000 00	in Orange County's bankruptcy	
10/2 1/1000		Camorria		Cottlement	ψου,σου,σου.σο	in Grange County & Banki aptoy	http://www.lawyers
						Company failed to get retail	andsettlements.co
						stock investors the best prices	m/settlements/080
	Morgan Stanley & Company					possible on more than 1 million	01/retail-stock-
5/10/2007		SEC		Settlement	\$8,000,000.00	over-the-counter transactions	investors.html
						Failed investment strategy cost	
		State of West				the state \$280 million and	
8/15/1996	Morgan Stanley Group, Inc.	Virginia		Settlement	\$20,000,000.00	forced it to raise taxes	
						Company made false and	
						misleading statements and	
			US District			intentionally concealed material	· ·
0/00/0000	L		Court,		*********	negative information in the	anford.edu/1008/
3/20/2000	Mossimo, Inc.	Class action	California	Settlement	\$13,000,000.00	Prospectus and afterward	FrenkilvMossimol/
						Materially foliogened migleoding	http://www.lawyers andsettlements.co
						Materially false and misleading statements regarding Motive's	m/settlements/098
						operations and financial	111/settlements/096
			US District			condition caused artificially	securities-
11/6/2007	Motive, Inc.	SH action	Court, Texas	Settlement	\$7,000,000,00	inflated stock prices	settlement.html
11/0/2001	iviouvo, iiio.	OT ACTION	US District	Cottlorit	ψ1,000,000.00	initiod stock prices	http://securities.st
	Motorcar Parts & Accessories.		Court,				anford.edu/1004/
6/9/2000	,	Class action	California	Settlement	\$7,500,000.00	Overstating earnings	MPAA99/
					, , , , , , , , , , , , , , , , ,	Improperly funneling cash to	http://www.lawyers
						Adelphia Communications in	andsettlements.co
						2001, which used the money to	m/settlements/079
						falsify its earnings for 2000	73/motorola-
5/8/2007	Motorola	SEC		Settlement	\$25,000,000.00	and 2001.	accounting-

		US General				Company charged too much	
		Services				for computers and	washingtonpost.c
2/27/1992	Motorola	Administration		Settlement	\$15,100,000.00		om
						misrepresentations and	
			US District			omissions regarding the Iridium	
		Securities class	Court, District			satellite communications	anford.edu/1009/I
5/16/2008	Motorola, Inc.	action	of Columbia	Settlement	\$20,000,000.00		RID99/
						Motorola misled investors	
						about its financial performance	http://www.motorol
						related to its business with a	asecuritiessettlem
		Securities class	US District			Turkish customer known as	ent.com/motorola/
4/16/2007	Motorola, Inc.	action	Court, Chicago	Settlement	\$190,000,000.00	Telsim.	default.htm
			US District				http://securities.st
		Securities class	Court,				anford.edu/1015/
6/30/2001	MP3.com, Inc.	action	California	Settlement	\$35,000,000.00	Securities violations	MPPP00/
			US District				http://securities.st
	Mpower Communications	Consolidated	Court, New			Issuing false and misleading	anford.edu/1015/
10/1/2003	Corporation	class action	York	Settlement	\$1,300,000.00	statements and press releases	MPWR00/
							http://securities.st
		Consolidated	US District			Issuing false and misleading	anford.edu/1038/
10/16/2009	MRT, LLC	class action	Court, Florida	Settlement	\$50,000,000.00	statements	ML_01/
		Consolidated	US District				http://securities.st
		securities class	Court, New			Issuing false and misleading	anford.edu/1025/
2/28/2004	MSC Industrial Direct Co., Inc.	action	York	Settlement	\$1,250,000.00	statements	MSM02-01/
			US District				http://securities.st
			Court,			Issuing false and misleading	anford.edu/1004/
11/30/1999	MTI Technology Corporation	Class action	California	Settlement	\$900,000.00	statements	MTIC98/
			US District			Misrepresentations and	http://securities.st
			Court,			omissions artificially inflated	anford.edu/1019/
10/26/2004	Muse Technologies, Inc.	Class action	Massachusetts	Settlement	\$565,000.00		MUZE01/
						Material omissions and false	
			US District			and misleading statements	http://securities.st
			Court,			caused artificial stock price	anford.edu/1013/
10/9/2002	MusicMaker.com	Class action	California	Settlement	\$13,750,000.00	inflation	HITS00/
							http://securities.st
			US District				anford.edu/1031/
10/22/2007	Mutual Benefits Corp.	Class action	Court, Florida	Settlement	\$1,684,624.00	##############################	MBC04-01/

7/22/2004	Mutual Risk Management, Ltd.		US District Court, California	Settlement		Issuing materially false and misleading statements	http://securities.st anford.edu/1024/ MLRM02-01/
	Nabors Industries, Ltd.		US District Court, Texas	Settlement	\$2,850,000.00	Misdating stock option grants	http://www.reuters. com/article/press Release/idUS220 253+19-Mar- 2008+PRN20080 319
6/25/2003	Nanophase Technologies	Class action	US District Court, Illinois	Settlement	\$2,500,000.00		http://securities.st anford.edu/news- archive/2003/200 31202_Settlement 04_Staff.htm
3/27/2001	Nanophase Technologies	Class action	US District Court, Illinois	Settlement	\$4,025,000.00	Making false and misleading statements about sales and earnings	http://securities.st anford.edu/1012/ NANX98/
			US District				
12/30/1997	Nasdaq-30 brokerage firms		Court, New York	Settlement		Conspiracy to rig prices on the Nasdaq stock market	

	<u> </u>			1			http://findarticles.c
							om/p/articles/mi
			US District				m0EIN/is 2008
						Falsa and midles discussions	
E/44/0000	N 1 5: 1 0	011 1	Court,	0 "1 "	Фо 750 000 00	False and misleading revenue	March_11/ai_n24
5/11/2008	Nash Finch Co	SH action	Minnesota	Settlement	\$6,750,000.00	statements	386590/
			US District				http://securities.st
			Court,				anford.edu/1031/
8/17/2005	Nassda Corporation	Class action	California	Settlement	\$9,000,000.00	Securities violations	NSDA04-01/
							http://securities.st
			US District				anford.edu/1010/
11/28/2000	National Auto Credit, Inc.	Class action	Court, Ohio	Settlement	\$6,500,000.00	Securities violations	NAK98/
							http://www.lawyers
							andsettlements.co
							m/settlements/120
							20/national-city-
							mortgage-
						Company submitted ineligible	ineligible-
5/24/2008	National City Mortgage	US DOJ		Settlement	\$4,600,000.00	mortgages for federal backing	loans.html
	, 5		US District				http://securities.st
			Court,				anford.edu/1023/
10/6/2004	National Golf Properties, Inc.	Class action	California	Settlement	\$4.175.000.00	Securities violations	TEE02-01/
					, , , , , , , , , , , , , , , , , , , ,	Issuing false and misleading	http://securities.st
			US District			statements concerning	anford.edu/1011/
6/21/2000	National Steel Corporation	Class action	Court, Indiana	Settlement	\$150,000,00	financial results	NS98/
0/21/2000	Transfer Stool Solperation	Olado adalon	Odart, maiana	Cottionion	ψ100,000.00	I I I I I I I I I I I I I I I I I I I	http://securities.st
	National TechTeam.	Consolidated	US District			Misrepresentations and	anford.edu/1004/
	Incorporated	class action	Court, Michigan	Settlement	\$11,000,000,00	omissions of material fact	TEAM97/
0/21/1331	Incorporated	ciass action	Oourt, Micrigan	Octionent	ψ11,000,000.00	ornissions of material fact	TEAWIST/
	Nationwide Insurance					Lending discrimination against	
9/21/1998		Class action		Settlement	\$5,300,000,00	minorities in Ohio	
3/21/1330	Ompany	Ciass action		Comement	ψυ,υυυ,υυυ.υυ	Issuing materially false and	
						misleading information	http://securities.st
	Natural Health Trends		US District			regarding company's business	anford.edu/1036/
2/20/2000		Class action		Settlement	\$2,750,000.00		BHIP_01/
3/30/2009	Corporation		Court, Texas	Settlement	\$2,750,000.00	model	
		Consolidated	LIO District			O	http://securities.st
0/40/0655	N	securities class	US District		#00 000 ccc cc	Company manipulated reported	
2/19/2003	Navigant Consulting, Inc.	action	Court, Illinois	Settlement	\$23,000,000.00	growth rate	NCI99/

						Improper accounting practices	
						forced a restatement of	http://securities.st
		Consolidated	US District			previously released financial	anford.edu/1017/
10/30/2004	NCI Building Systems Inc.	class action	Court, Texas	Settlement	\$7,000,000.00	l',	NCS01/
	3 ,		US District			Issuing false and misleading	http://securities.st
		Securities class	Court,			statements regarding	anford.edu/1021/
10/29/2003	Nesco, Inc.	action	Oklahoma	Settlement	\$1,050,000.00	company's financial results	NESC01/
			US District				http://securities.st
			Court, New			Securities violations-improper	anford.edu/1020/
5/19/2003	NetEase.Com, Inc	Class action	York	Settlement	\$1,450,000.00	reporting of revenue	NTESE01/
						Insider trading and false and	
			US District			misleading financial statements	•
			Court,			caused artificial stock price	anford.edu/1004/
12/31/2000	NetManage, Inc.	Class action	California	Settlement	\$5,100,000.00	inflation	NETM97/
							http://securities.st
			US District				anford.edu/1032/
		Securities class	Court,				NTPA04-
4/18/2007	Netopia, Inc.	action	California	Settlement	\$11,250,000.00	##############################	01/index.html
						Company engaged in a	
						fraudulent scheme by issuing	latter //a a a curiti a a at
			US District			false and materially misleading statements regarding its	http://securities.st anford.edu/1015/
12/17/2001	NetSolve, Inc.	Class action	Court, Texas	Settlement	\$2,750,000.00		NTSC00/
12/11/2001	NetSolve, IIIC.	Class action	Court, Texas	Settlement	\$2,750,000.00	business	N13C00/
			US District			Failing to disclose and	http://securities.st
			Court,			misrepresenting financial	anford.edu/1004/
5/21/2001	Network Associates, Inc.	Class action	California	Settlement		results and business prospects	
0/2 1/2001	recivent recoclates, inc.	Class delicit	Gamorria	Cottlomont	φου,σου,σου.σο	results and business prospects	http://securities.st
			US District			Company artificially inflated	anford.edu/1016/
			Court,			their share price by improperly	NETA00/index.ht
9/30/2003	Network Associates, Inc.	Class action	California	Settlement		booking revenues.	ml
<i>3.</i> 2 2 2 <b>0 0</b>					Ţ: 3,223,3 <b>00.00</b>	3.2.2.2.	
						Company made and failed to	
			US District			correct false and misleading	http://securities.st
	Network Computing Devices,		Court,			statements regarding net	anford.edu/1000/
5/2/1997	Inc.	Class action	California	Settlement	\$12,000,000.00	income, revenue and assets	NCDI96/

						Misrepresenting revenue and	
			US District				http://securities.st
			Court.			to disclose material adverse	anford.edu/1029/
7/25/2006	Network Engines, Inc.	Class action		Settlement	\$2,875,000.00		NENG03-01/
11201200			aooaoaooao	00		Securities violations-material	http://securities.st
			US District			misrepresentations and	anford.edu/1016/
2/25/2002	New Era of Networks, Inc.	Class action	Court, Colorado	Settlement	\$5,000,000.00		NEON01/
						Participation in a scheme to	http://securities.st
	New York Life Insurance		US District			defraud purchasers into buying	
1	Company	Class action	Court. Florida	Settlement		units of partnerships	NYLife/
.,_,		0.000 00.011	Journ, Frontia	Comment		Disseminating false and	
						misleading information about	http://securities.st
			US District			company's operations and	anford.edu/1034/
10/20/2006	Newmont Mining Corporation	Class action	Court, Colorado	Settlement	\$15.000.000.00		NEM05_01/
. 6/26/2666	realist in many corporation	0.000 00.011	Gourn, Gororado	Comment	ψ.ο,οοο,οοο.οο	a.reaare	
							http://securities.st
							anford.edu/news-
						Potential irregularities in the	archive/2007/200
			US District			processing and payment of	70413_Settlement
		SH class action	Court.			invoices at one of its	102976_Writer.ht
4/30/2007	Newpark Resources, Inc.	and derivative suit		Settlement	\$9,850,000.00		ml
1700/2001	rtonpant rtoocarooc, me.	and donvaire out	Louidiana	Cottlornorn	φο,σσο,σσο.σσ		
			US District				http://www.lawyers
			Court, New				andsettlements.co
			York; US				m/settlements/020
			Bankruptcy				87/newpowerholdi
5/4/2004	NewPower Holdings, Inc	Class action		Settlement	\$26,000,000,00	Securities violations	ngsinc1.html
3/4/2004	rvewr ower riolangs, me	Olass action	Court, Ocorgia	Octionicht	Ψ20,000,000.00	Occurries violations	rigonic r.ritirii
						Company disseminated false	
			US District			and misleading information	http://securities.st
		Securities class	Court,			regarding revenue and growth	anford.edu/1021/
7/25/2007	NextCard. Inc.	action	California	Settlement	\$635,000.00		NXCD01/
1/20/2001	TOMOGIA, IIIO.	404011	Camorria	Comornon	ψοσο,σοσ.σο	prospesso	10.0001/
			US District			False and misleading	http://securities.st
		Securities class	Court, New			statements caused artificially	anford.edu/1017/
3/26/2004	Nice Systems Ltd.	action	Jersey	Settlement		inflated stock prices	NICE01/
5,25,2004	Cyclonic Etc.		3,00,	- Janoinon		•	http://www.idseme
						the accounting for natural gas	rgencymanageme
7/17/2006	Nicor Inc	SEC		Settlement	\$10,000,000.00	-	nt.com/emergency
1/11/2000	111001 1110.	10-0	ļ	Cottonion	ψ10,000,000.00	00010	nt.com/criticingency

7/13/2004	Nicor Inc.	Securities class action	US District Court, Illinois	Settlement	\$39,000,000.00	Overstating the results of its operations	http://securities.st anford.edu/1025/J NJ02-01/
1/31/2005	Nicor Inc.	SH derivative action	US District Court, Illinois	Settlement	\$3,500,000.00	Securities violations	http://www.busine sswire.com/portal/ site/google/index.j sp?ndmViewld=n ews_view&newsld =2005012500616 2&newsLang=en
2/24/2003	Nike, Inc.	Class action	US District Court, Oregon	Settlement	\$8,900,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.st anford.edu/1017/ NKE01/
10/24/1998	Nomura Securities	Orange County, California		Settlement		Liability for company's involvement in Orange County's bankruptcy	
3/30/2002	Norland Medical Systems, Inc.	Class action	US District Court, New York	Settlement		Disseminating false and misleading information	http://securities.st anford.edu/1012/ NRLD98/
7/31/2007 5/1/2008	Norstan Nortel (former VPs)	Unsecured Creditors SEC	US Bankruptcy Court, New York	Settlement Settlement	\$67,000,000.00 \$381,512.00		http://www.sys- con.com/node/40 9906 http://securities.st
1/22/2007	Nortel Networks Corp.	Consolidated class actions	Ontario Superior Court of Justice	Settlement		Violating U.S. and Canadian securities laws after it misled investors and issued revised financial expectations for the 2001 fiscal year.	http://www.abcmo ney.co.uk/news/9 200799755.htm
	Nortel Networks Corp.	Ontario Securities Commission.		Settlement		Company engaged in misleading accounting practices and regulatory filings	http://www.lawyers andsettlements.co m/settlements/081 42/nortel-
	North Country Financial Corporation	Class action	US District Court, Michigan	Settlement	\$750,000.00	Issuing false and misleading statements and omitting material adverse information	http://securities.st anford.edu/1031/ NCFC03-01/

		1		1			
							http://findarticles.c
							om/p/articles/mi_q
							n4189/is_200608
						SH action challenging the	08/ai_n16660548/
8/8/2006	North Fork Bancorp	SH action		Settlement	\$20,000,000.00	merger with Capital One	?tag=content;col1
	•		US District				http://securities.st
	NorthPoint Communications		Court,				anford.edu/1017/
1/29/2004	Group, Inc.	Class action	California	Settlement	\$20,000,000.00	Securities violations	NPNTQ01/
	-		US District			Disseminating false and	http://securities.st
			Court,			materially misleading	anford.edu/1000/
3/31/1998	Northstar Health Services, Inc.	Class action	Pennsylvania	Settlement	\$6,450,000.00	information	NSTRE96/
							http://www.rapidcit
							yjournal.com/articl
			US District				es/2004/12/14/ne
			Court, South				ws/state/top/state
1/4/2005	NorthWestern Corp.	Class action	Dakota	Settlement	\$41,000,000.00	Securities violations	01.txt
						Montana Power Company	
						(which was purchased by	
						Northwestern) issued false and	http://securities.st
						material misrepresentations,	anford.edu/1024/
		Montana Power	US District			artificially inflating the price of	TAA02-
7/14/2004	NorthWestern Corporation	Company SH	Court, Montana	Settlement	\$67,000,000.00	its securities	01/index.html
						Failing to pay dividends on	
			US District			certain term life policies and	http://www.jsonline
	Northwestern Mutual Life		Court,			using improper sales and	.com/business/34
11/13/2008	Insurance Co.	Class action	California	Settlement	\$92,000,000.00	marketing practices	373844.html
						The company misled investors	
						by pointing to an increased	
						number of brokerage offices	
						throughout the country, some	http://www.bizjour
						of which were not properly	nals.com/kansasci
			US District			registered or didn't actually	ty/stories/2008/12
12/11/2008	NovaStar Financial Inc	SH action	Court, Missouri	Settlement	\$7,250,000.00	•	/08/daily27.html
							http://securities.st
			US District				anford.edu/1034/
			Court,			Financial manipulation aimed	NOVL98_01/inde
5/27/2005	Novell	Class action	California	Settlement	\$13,900,000.00	at inflating revenues	x.html

	T	1	-	1	T	<u></u>	1
			US District			False and misleading statements about the effectiveness of its osteoporosis drug that led to	http://securities.st anford.edu/1036/
3/20/2009	NPS Pharmaceuticals	SH action	Court, Utah	Settlement	\$15,000,000.00	inflated stock prices and sales.	NPSP_01/
2/15/2007	NTL, Inc.	Securities class action	US District Court, New York	Settlement	\$9,000,000.00	Securities fraud	http://securities.st anford.edu/1023/ NTLD02-01/
	NTN Communications	Class action	US District Court, California	Settlement	\$3,250,000.00	False statements and omissions regarding liabilities	http://securities.st anford.edu/1012/ NTN97/
12/31/2003	Nuance Communications, Inc.	Class action	US District Court, California	Settlement		False and misleading statements caused artificially inflated stock prices	http://securities.st anford.edu/1017/ NUAN01/
10/12/2005	NUI Corporation	Class action	US District Court, New York	Settlement	\$3,500,000.00	Issuing materially false and misleading statements to the market	http://securities.st anford.edu/1026/ NUI02-01/
5/23/1997	Nuko Information Systems, Inc.	Class action	US District Court, California	Settlement		Disseminating false and misleading press releases	http://securities.st anford.edu/1005/ NUKO97/
	Number Nine Visual Technology Corporation	Class action	US District Court, Massachusetts	Settlement	\$3,000,000.00	Company misrepresented the nature of its product line and future prospects	http://securities.st anford.edu/1005/ NINE96/
1/15/2002	NutraMax Products, Inc.	Class action	US District Court, Massachusetts	Settlement		Issuing false and misleading financial statements	http://securities.st anford.edu/1014/ NMPC00/
9/30/1997	Nutrition for Life International, Inc.	Class action	US District Court, Texas	Settlement		Misrepresenting and/or omitting material information concerning business, marketing efforts, sales and earnings	http://securities.st anford.edu/1012/ NFLI/
9/10/2004	Nx Networks, Inc.	Class action	US District Court, Massachusetts	Settlement		Company overstated financial results and profit revenues	http://securities.st anford.edu/1016/ NXWX00/

			US District Court, New			Artificially inflating the price of	http://securities.st anford.edu/1024/
7/31/2003	Nyack Hospital	Class action	York	Settlement	\$620,000.00	hospital bonds	NH02-01/
3/28/2001	Oakley, Inc.	Class action	US District Court, California	Settlement	\$17,500,000,00	Securities violations- misrepresentations	http://securities.st anford.edu/1012/ OO97/
3/20/2001	Carrier, me.	Olass action	Galifornia	Octionich	Ψ17,300,000.00	misroprosentations	00317
						Wrongdoing and fraud in its	http://thedealsleut h.wordpress.com/ 2008/01/22/chapa rral-resources- shareholders- have-last-laugh-as
		Chapparel	Delaware			takeover of Chaparral	lukoil-settles-
1/27/2008	OAO Lukoil	Shareholders	Chancery Court	Settlement	\$37,600,000.00	Resources Inc.	litigation/
			US District Court,			Securities violations- misrepresentations and	http://securities.st anford.edu/1034/
2/10/2009	OCA, Inc.	Class action	Louisiana	Settlement	\$6,500,000.00	accounting practices	OCA05_01/
9/10/2009	Occam Networks, Inc.	Class action	US District Court, California	Settlement	\$12,660,000.00	Securities violations	http://securities.st anford.edu/1037/ OCNW_01/
	Offshore Energy Development Corporation	Class action	US District Court, Texas	Settlement	\$3,000,000.00	Company artificially inflated stock prices	http://securities.st anford.edu/1027/ OEDC98-01/
8/31/2001	Olsten Corporation	Class action	US District Court, New York	Settlement		Material misstatements and omissions artificially inflated	http://securities.st anford.edu/1005/ OLS97/
7/21/2001	Olympic Financial	Class action	US District Court, Minnesota	Settlement		Company failed to disclose material information	http://securities.st anford.edu/1012/ OLM97/
	OM Group Inc.	Class action	US District Court, Ohio	Settlement	\$92,400,000.00	Securities fraud allegations when the price dropped	http://securities.st anford.edu/1026/ OMG02-01/
	·		US District Court,			Material misrepresentations caused artificially inflated stock	http://securities.st anford.edu/1031/
12///2007	OmniVision Technologies, Inc.	Class action	California US District Court, New	Settlement	\$13,750,000.00	prices  Company knowingly or	OVTI04-01/ http://securities.st anford.edu/1013/
7/6/2001	Omtool, Ltd.	Class action	Hampshire	Settlement		recklessly misled investors	OMTL99/

	On-Point Technology Systems,		US District Court,			Publishing false and	http://securities.st anford.edu/1017/
12/31/2002		Class action	California	Settlement	\$50,000.00	misleading financial statements	ONPTE00/
			US District			-	http://securities.st
			Court, New				anford.edu/1018/
6/30/2003	Onvia.com	Class action	York	Settlement	\$1,000,000,000.00	Material misrepresentations	ONVI01/
			US District			False and misleading financial	http://securities.st
			Court,			statements caused artificially	anford.edu/1020/
6/10/2004	Onyx Software Corporation	Class action	Washington	Settlement	\$1,185,000.00	inflated stock prices	ONXS01/
			US District				http://securities.st
			Court,			Revenues and income were	anford.edu/1005/
5/10/1999	Open Environment Corporation	Class action	Massachusetts	Settlement	\$6,000,000.00		OPEN96/
						Reporting inaccuracies to the	http://www.lawyer
						Municipal Securities	andsettlements.co
10/4/2006	Oppenheimer & Co.	NASD		Fine	\$800,000.00	Rulemaking Board	m/settlements/064
							http://securities.st
			US District				anford.edu/1022/
10/25/2002	Optical Cable Corporation	Class action	Court, Virginia	Settlement	\$700,000.00	Securities violations	OCCF01-01/
						Registration Statement and	
						Prospectus contained false	
			US District			and misleading information and	
4044-10000			Court, New		<b>^</b>	failed to disclose material	anford.edu/1017/
10/15/2003	Opus360 Corporation	Class action	York	Settlement	\$550,000.00	adverse information	OPUS01/
							http://www.nytime s.com/2005/09/16
							/business/16norri .html?scp=36&sq
							=lawsuit%20and
			California State				%20settlement&s
9/16/2005	Oracle CEO	SH action	Court	Settlement	\$100,000,000.00	Insider trading	=Search
3/10/2003	Cracic GEG	OTT action	Oddit	Octuoment	ψ100,000,000.00	insider trading	http://securities.st
		Consolidated	US District				anford.edu/1033/
		securities class	Court,			Company failed to disclose	ORNG05_01/inde
5/7/2007	Orange 21 Inc.	action	California	Settlement		adverse information	x.html
	<u> </u>		US District			Registration Statement and	http://securities.st
			Court, New			Prospectus contained false	anford.edu/1038/
10/30/2006	ORBCOMM, Inc.	Class action	Jersey	Settlement		and misleading information	ORBC_01/

12/13/2000	Orbital Sciences Corporation	Class action	US District Court, Virginia	Settlement	\$11,000,000.00	Disseminating false and misleading financial information which caused artificial stock price inflation	http://securities.st anford.edu/1013/ ORB99/
3/18/2005	Organon USA Inc. and Akzo No	Class action- Nebraska consumers		Settlement	\$36,000,000.00	Improperly monopolizing the US drug market	http://www.lawyers andsettlements.co m/settlements/031 89/remeron_settle ment.html
7/11/2008	Ortho Biotech Products L.P.	Amgen	US District Court, New Jersey	Settlement	\$200,000,000.00	Lawsuit alleged Ortho Biotech (Johnson and Johnson) offered discounts to oncology clinics in violation of antitrust	http://www.drugs.c om/news/ortho- biotech-amgen- resolve-antitrust- litigation- 8397.html
	OSI Pharmaceuticals, Inc.	Class action	US District Court, New York	Settlement	. , ,	Misstatements and material omissions caused artificial stock price inflation	http://securities.st anford.edu/1033/ OSIP04 01/
	OSI Restaurant Partners		Hillsborough			Improperly pressuring board members and misleading shareholders in order to	http://www.sptime s.com/2007/07/10 /Business/OSI_ho pes_5M_will_eas. shtml
	Osicom Technologies, Inc.	SH actions  Class action	County Court US District Court, California	Settlement Settlement		support an acquisition deal False and misleading statements caused artificial stock price inflation	http://securities.st anford.edu/1006/ FIBR99/
4/10/2007	Owens Corning, Inc.	Class action	US District Court, Massachusetts	Settlement		Disseminating false and misleading statements	http://securities.st anford.edu/1006/ FIBR99/
	Oxford Health Care Insurance Inc. and KPMG LLP	Class action	US District Court, New York	Settlement	\$300,000,000.00	Securities violations	http://www.lawyers andsettlements.co m/settlements/016 92/oxfordhealthca reinsuranceincand kpmgllp.html

						Companies failed to disclose	
						their use of fund assets to pay	http://www.lawyers
						, ,	andsettlements.co
	DA Fund Management DFA					brokerages for steering customers to Pimco stock	
	PA Fund Management, PEA	050		0 " 1			m/settlements/024
9/15/2004	Capital and PA Distributors.	SEC		Settlement	\$20,600,000.00	mutual funds.	60/pafund.html
			US District			False and misles die s	hattan //o o o uniti o o ot
						False and misleading	http://securities.st
F/F/4000	Desirie Osiestifie Ossesses	Olara artista	Court,	0 - 111 1	<b>©4.4.000.000.00</b>	statements caused artificially	anford.edu/1006/
5/5/1999	Pacific Scientific Company	Class action	California	Settlement		inflated stock prices	PSX96/
			LIO District			Price stock inflation and	http://securities.st
- / /			US District			restatement of historical	anford.edu/1035/
9/15/2008	PainCare Holdings Inc.	SH action	Court, Florida	Settlement	\$2,000,000.00	financial statements	PRZ_01/
						Common diagonalizate difele	hattan //o o o o witi o o o ot
	Danasalawa Haalibaana		US District			Company disseminated false	http://securities.st
	Paracelsus Healthcare	01 "		0 " '		and misleading information and	
7/23/1999	Corporation	Class action	Court, Texas	Settlement	\$14,000,000.00	omitted material facts	PLS96/
							http://www.lawyers
							andsettlements.co
							m/settlements/030
	Paradigm Medical Industries,	Consolidated	US District				72/paradigm_secu
2/28/2005	Inc.	class action	Court, Utah	Settlement	\$4,600,000.00	Securities fraud	rities.html
						Violations of anti-fraud	http://securities.st
			US District			provisions of the federal	anford.edu/1016/
10/15/2003	Paradyne Networks, Inc.	Class action	Court, Florida	Settlement	\$3,000,000.00	securities laws	PDYN00/
							http://securities.st
						Participated in a fraudulent	anford.edu/news-
						financial scheme, resulting in	archive/2009/200
			US District			the understatement of	90303_Settlement
			Court, New			Parmalat's debt and the	109621_Lipman.h
3/3/2009	Parmalat	Class action	York	Settlement	\$70,000,000.00	overstatement of its net assets	tml
					<u> </u>	Participation in a fraudulent	
						financial scheme, resulting in	
						the understatement of	http://www.lawyers
						Parmalat's debt by nearly \$10	andsettlements.co
			US District				m/settlements/075
			Court, New			its net assets by over \$16	16/parmalat-
3/22/2007	Parmalat Finanziaria	Class action	York	Settlement	\$50,000,000.00	•	settlement.html

9/30/2002	PartsBase.com, Inc.	Class action	US District Court, Florida	Settlement		Misrepresentations and omissions harmed shareholders	http://securities.st anford.edu/1017/ PRTS01/
F/00/0000	Double City Commonstion	Class satism	US District Court, New			Company issued materially false and misleading	http://securities.st anford.edu/1012/ PCTY99/index.ht
5/20/2003	Party City Corporation	Class action	Jersey	Settlement	\$3,800,000.00	Securities violations relating to	ml
11/30/2005	Patriot American Hospitality, Inc.	Consolidated class action	US District Court, California	Settlement	\$17,650,000.00	a merger and the dissemination of false and misleading	http://securities.st anford.edu/1006/ PAH99/
6/25/2003	Peak International, Ltd.	Consolidated class action	US District Court, New York	Settlement	\$4,000,000.00	Securities violations-short selling	http://securities.st anford.edu/1012/ PTT99/
1/6/2004	Pediatric Services of America, Inc.	Class action	US District Court, Georgia	Settlement		Disseminating false and misleading information	http://securities.st anford.edu/1006/ PSAI99/
5/3/2002	Pediatrix Medical Group, Inc.	Class action	US District Court, Florida	Settlement	\$12,000,000.00	Securities violations	http://securities.st anford.edu/1012/ PDX99/
	Pegasus Communications Corporation	Class action	US District Court, Pennsylvania	Settlement	\$2,950,000.00	Securities violations	http://securities.st anford.edu/1035/ PGTV05_01/
12/20/2000	Pegasystems, Inc.	Class action	US District Court, Massachusetts	Settlement	\$12,250,000.00	Issuing false and materially misleading statements	http://securities.st anford.edu/1017/ PEGA98/
7/5/2007	Pemstar Inc.	Securities class action and SH derivative suit	US District Court, Minnesota	Settlement		Misleading investors in order to inflate stock prices	http://securities.st anford.edu/1025/ PMTR02-01/
	Penn Treaty American Corporation	Class action	US District Court, Pennsylvania	Settlement		Issuing false and materially misleading statements	http://securities.st anford.edu/1018/ PTA01/
5/24/2000	PennCorp Financial Group	Class action	US District Court, New York	Settlement		Issuing false and materially misleading statements	http://securities.st anford.edu/1012/ PFG98/
5/15/2007	Penthouse International Inc.	SEC		Fine	\$120,000.00	Accounting fraud and financial reporting violations	http://www.lawyers andsettlements.co

6/30/2004	Penton Media, Inc.	Class action	US District Court, New York	Settlement	\$7,000,000,00	Securities violations	http://securities.st anford.edu/1012/ PME98/
0.00.00			US District		41,000,000.00		http://securities.st
	Pepsi Cola Puerto Rico Bottling		Court, New				anford.edu/1000/
8/15/1997	Company	Class action	York	Settlement	\$2,500,000.00	Accounting irregularities	PPO96/
7/12/2000	Peregrine Systems	Class action	US District Court, California	Settlement		its revenues and earnings	http://securities.st anford.edu/news- archive/2009/200 90716_Settlement 110152_LaCroix.
7/13/2009	Peregrine Systems	Class action	US District	Settlement	\$61,550,000.00	False and misleading	http://securities.st
	Performance Technologies,		Court, New			statements caused artificial	anford.edu/1014/
7/9/2003	Inc.	Class action	York	Settlement	\$1,000,000.00	stock price inflation	PTIX00/
2/28/2000	Peritus Software Solutions	Class action	US District Court, Massachusetts	Settlement	\$2,800,000.00		
			US District Court, New			Registration Statement and Prospectus contained false	http://securities.st anford.edu/1019/
6/30/2006	Perot Systems Corporation	Class action	York	Settlement	\$1,000,000,000.00	and misleading information	PER01/
4/2/2001	PETCO	Class action	US District Court, California	Settlement		False and materially misleading statements caused artificial stock price inflation	http://securities.st anford.edu/1012/ PETC98/
9/2/2008	PETCO	Class action	US District Court, California	Settlement	\$20,250,000.00	False and materially misleading statements caused artificial stock price inflation	http://securities.st anford.edu/1034/ PETC05_01/
	PharmaNet Development Group, Inc.	SH securities class action	US District Court, New Jersey	Settlement		Materially false and misleading statements and failure to disclose adverse information caused PharmaNet common stock to trade at artificially	http://www.lawyers andsettlements.co m/settlements/088 68/pharmanet- securities- settlement.html

			US District				http://securities.st
			Court, New				anford.edu/1033/
11/13/2007	Pharmos Corporation	Class action	Jersey	Settlement	\$7,000,000.00	Insider trading	PARS05_01/

9/5/2007	Philadelphia Stock Exchange	Class action		Settlement	\$80,000,000.00	Improper deal struck with six	http://www.lawyers andsettlements.co
3/3/2001	l liex)	Class action	US District	Octionicht	ψου,οου,οου.ου	wan street mins	http://securities.st
	Philips Medical Acquisition		Court,				anford.edu/1027/
	Corporation	Class action	California	Settlement	\$2 550 000 00	Securities violations	AL03-01/
6/14/2004	Corporation	Class action	California	Settlement	\$3,550,000.00	Securities violations	AL03-01/
			0				
			Oregon				h-ttm.//
			Supreme Court,			Daniel and the state of a	http://www.msnbc.
0/00/4000	Di ili		affirmed by US	\ / P /	<b>#</b> 00 000 000 00	Damages for death of a	msn.com/id/29973
3/30/1999	Phillip Morris	Mayola Williams	Supreme Court	Verdict	\$80,300,000.00	smoker from lung cancer	316/
			Los Angeles			Failure to warn of the dangers	
6/6/2001	Phillip Morris	Richard Boeken	Superior Court	Verdict	\$3,000,000,000.00		
						Misleading accounting	http://securities.st
			US District			principles caused artificial	anford.edu/1014/
5/8/2002	Phoenix International, Ltd.	Class action	Court, Florida	Settlement	\$4,225,000.00	stock price inflation	PHXX99/
			US District				http://securities.st
			Court,			Issuing false and misleading	anford.edu/1000/
12/23/1998	Photran Corporation	Class action	Minnesota	Settlement	\$2,050,000.00	statements	PTRNE97/
	·		US District			False and misleading	http://securities.st
			Court,			statements caused artificial	anford.edu/1006/
4/27/2004	PHP Healthcare Corporation	Class action	California	Settlement	\$4,500,000,00	stock price inflation	PPH98/
			US District		<b>+</b> 1,000,000	Misrepresentations and	http://securities.st
		Securities class	Court,			omissions of material adverse	anford.edu/1006/
7/26/2002	PhyCor, Inc.	action	Tennessee	Settlement	\$10,000,000.00		PHYC98/
1/20/2002	i riyoor, me.	action	TCHICOSCC	Octionicht	Ψ10,000,000.00	Information	1111030/
							http://www.lawyers
							andsettlements.co
						Company had inflated its stock	
	n					share by more than \$5 through	29/physicianscorp
	Physicians Corporation of		US District			the dissemination of false and	orationofamericain
11/24/2003	America Inc.	Class action	Court, Florida	Settlement	\$10,200,000.00	misleading statements	c.html
			US District			Material statements and	http://securities.st
			Court,			omissions caused artificial	anford.edu/1006/
11/4/1000	Dieture Tel Corporation	Class setion	1	Cottlement	¢42,000,000,00		
11/4/1999	PictureTel Corporation	Class action	Massachusetts	Settlement	\$12,000,000.00	stock price inflation	PCTL97/

							http://www.lawyers
						Improper market timing diluted	andsettlements.co
9/13/2007	Pilgrim Baxter & Associates	SEC		Settlement	\$267,000,000.00	the value of mutual funds	m/settlements/093
						Regulators alleged that they	http://www.lawyers
	Pilgrim Baxter and Associates					allowed and profited from	andsettlements.co
11/23/2004	Ltd.	Regulators		Fine	\$80,000,000.00	abusive fund trading	m/settlements/015
						Material misrepresentations	http://securities.st
			US District			caused artificially inflated stock	
2/6/2003	Pinnacle Holdings, Inc.	Class action	Court, Florida	Settlement	\$8,200,000.00	prices	BIGT01/
						Company engaged in improper	
			US District			accounting practices and	http://securities.st
			Court,			issued false and misleading	anford.edu/1000/
11/10/1997	Pinnacle Micro, Inc.	Class action	California	Settlement	\$2,325,000.00	statements	PNCL96/
							http://www.tampab
9/9/1995	Piper Jaffray Cos.	Class action		Settlement	\$1,950,000.00	Firm misled investors about the	
							http://www.tampab
9/9/1995	Piper Jaffray Cos.	Class action		Settlement	\$70,000,000.00	Firm misled investors about the	
			US District				http://securities.st
			Court, New			Company reported false and	anford.edu/1036/
4/18/2006	Pixelplus Company, Ltd.	Class action	York	Settlement	\$1,000,000.00	misleading financial information	PXPL_01/
						Company issued false and	http://securities.st
			US District			misleading statements about its	
12/31/2001	Plains All American Pipeline LP	Class action	Court, Texas	Settlement	\$30,000,000.00	business and internal policies	PAA99/
						Company issued materially	
						false and misleading	
						statements and omitted	
						material facts concerning the	
			US District			safety, efficacy and clinical trial	
			Court,			results of the Heart Laser TMR	anford.edu/1006/
12/6/1999	PLC Systems, Inc	Class action	Massachusetts	Settlement	\$1,500,000.00	system.	PLC97/
						Company's top officials	
						liquidated their stock using	
						insider information regarding	http://securities.st
			US District			the timeline of the company's	anford.edu/1015/
			Court, New			plans for supplying General	PLUG00/index.ht
5/17/2004	Plug Power Inc	Class action	York	Settlement	\$5,000,000.00	Electric Co	ml

			US District Court,			Public statements were	http://securities.st anford.edu/1029/
12/12/2007	PMA Capital Corporation	Class action	Pennsylvania	Settlement		materially false and misleading	PMACA03-01/
	PNC Financial	SH class action	US District Court, Pennsylvania	Settlement		Company had used improper insurance contracts to hedge against volatile earnings.	http://www.lawyers andsettlements.co m/settlements/027 24/pnc_financial.h tml
3/3/2009	PNC Financial Services Group Inc.	Class action		Settlement	\$10,250,000.00	Securities violations-artificial stock price inflation	
6/3/2003	PNC Financial Services Group, Inc.	SEC	US District Court, Pennsylvania	Settlement		Inflating earnings by removing bad loans from financial statements	
8/13/2005	PNC Financial Services Group, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$193,000,000.00	Inflating earnings by removing bad loans from financial statements	
6/25/2009	Point Blank Solutions	SH action and SEC suit	US District Court, New York	Settlement	\$34,900,000.00		http://www.lawyers andsettlements.co m/settlements/121 73/point-blank- class-action- settled-34-9- million-plus.html
0/25/2008	FULL DIATIK SUIULIOTIS	SEC SUIL	US District	Settlement	\$34,900,000.00		http://securities.st
	Policy Management Systems,		Court, South				anford.edu/1014/
3/13/2002		Class action	Carolina	Settlement	\$7,125,000.00	Securities violations	PMS00/

						Securities violations-issuing	
						materially false or misleading	http://securities.st
			US District			statements concerning	anford.edu/1016/
			Court.			PolyMedica's billing and	PLMD00/index.ht
5/20/2007	PolyMedica Corp.	SH class action		Settlement		marketing practices	ml
3/29/2007	Folywedica Corp.	STI Class action	เพลรรสตานระแร	Settlement	\$3,300,000.00	marketing practices	1111
						Securities violations-company	http://securities.st
			US District			disseminated false and	anford.edu/1012/
4/15/2003	PowerCerv Corporation	Class action	Court. Florida	Settlement		misleading statements	PCRV97/
4/13/2003	1 owercerv Corporation	Class action	US District	Settlement	\$1,500,000.00	misieading statements	http://securities.st
		Securities class	Court.				anford.edu/1006/
6/7/2000	Powerwave Technologies, Inc.	action	California	Settlement	\$3,000,000,00	Securities violations	PWAV98/
0/1/2000	1 owerwave recrimologies, inc.	action	US District	Settlement	\$3,000,000.00	Securities violations-	http://securities.st
		Securities class	Court,			misrepresenting adverse	anford.edu/1037/
10/19/2009	Powerwave Technologies, Inc.	action	California	Settlement	\$3,150,000.00	-	PWAV_01/
10/19/2009	1 owerwave recrinologies, inc.	action	US District	Settlement	ψ3,130,000.00	Information	http://securities.st
		Securities class	Court, North			Company failed to disclose	anford.edu/1031/
12/17/2007	POZEN, Inc.	action	Carolina	Settlement		material adverse facts	POZN04-01/
12/11/2001	I OZLIN, IIIC.	action	Carolina	Settlement	\$11,230,000.00	material adverse racts	1 021104-01/
						Liability for asbestos products	
						manufactured, distributed, or	
5/20/2002	PPG and Pittsburgh Corning	Class action		Settlement	\$2,700,000,000.00		
3/20/2002	11 G and 1 masburgh coming	Olass action		Octionion	Ψ2,700,000,000.00	3010	http://www.lawyers
							andsettlements.co
			US District				m/settlements/051
			Court.			Engaging in a conspiracy to	22/ppg_procefixin
2/27/2006	PPG Industries Inc.	Class action	Pennsylvania	Settlement		overcharge for its products	g.html
2/21/2000	i i o mausines mc.	Olass action	i Gillisylvania	Octionic	ΨΟΟ,ΟΟΟ,ΟΟΟ.ΟΟ	overendinge for its products	9.10111
							http://quitamlawye
							r.clarislaw.com/set
							tlements-and-
						Violations of the false claims	verdicts/pratt-
						act-companies deliberately sold	
						defective turbine blade	pay-more-than-52-
						replacements for jet engines	million-in-
8/7/2009	Pratt & Whitney	US Government		Settlement		used in military aircraft.	settlement.php
0/1/2008	riali o Willilley	03 Government		Semement	φ5∠,000,000.00	useu in military antrait.	semement.hub

7/15/2002	Premiere Technologies, Inc.	Class action	US District Court, Georgia	Settlement	\$20,750,000.00	Company issued false and misleading statements and omitted material facts	http://securities.st anford.edu/1007/ PTEK98/
			US District Court, New				http://securities.st anford.edu/1007/
2/27/1996	Presstek, Inc.	Class action	Hampshire	Settlement	\$22,000,000.00	Securities violations	PRST96/
			US District				http://securities.st
			Court, New				anford.edu/1036/
3/11/2009	Presstek, Inc.	Class action	Hampshire	Settlement	\$1,250,000.00	Securities violations	PRST_01/
7/24/2002	PRI Automation, Inc.	Class action	US District Court, Massachusetts	Settlement	\$3.250.000.00	Securities violations	http://securities.st anford.edu/1016/ PRIA00/
.,,_	The state of the s	0.000 00.011	···accacii acciic	Comment	<del>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</del>	Financial statements were	
						materially false and misleading	http://www.jpclassl
			US District			because the Company's	aw.com/currentca
			Court,			financial results were materially	ses/priceline-
5/8/2007	Priceline.com Inc	Class action	Connecticut	Settlement	\$80,000,000.00	inflated.	securities-litigation
			US District			Securities fraud-	http://securities.st
			Court,			misrepresentation of financial	anford.edu/1029/
6/17/2005	PriceSmart, Inc.	Class action	California	Settlement	\$2,350,000.00	situation	PSMT03-01/
			US District			Compensation for the purchase of Telxon securities which were subject to	http://www.prnews wire.com/cgi- bin/stories.pl?AC CT=104&STORY =/www/story/10- 27- 2005/000419809
10/27/2006	PricewaterhouseCoopers	Telxon SH action	Court, Ohio	Settlement		securities violations	0&EDATE=
	PriceWaterhouseCoopers	Metropolitan Mortgage and Securities Co.	US District Court, Washington	Settlement		Accounting mistakes led to company's demise	http://www.spokes manreview.com/s ections/Met/?ID=2 34333
7/6/2007	PricewaterhouseCoopers	Tyco International SHs	US District Court, New Hampshire	Settlement	\$225,000,000.00	Failure to uncover fraud in its audit of Tyco	http://www.lawyers andsettlements.co m/settlements/086 12/pwc-securities- settlement.html

							andsettlements.co m/settlements/047
1/6/2006	PricewaterhouseCoopers	Class action		Settlement	\$8,500,000.00	i ·	d.html
	·						http://www.lawyers
						should have known about	andsettlements.co
						improper accounting at Safety-	m/settlements/031
3/20/2005	PricewaterhouseCoopers	Safety-Kleen SH		Settlement	\$19,200,000.00	Kleen.	91/pricewaterhous
			US District				http://www.reuters.
	Pricewaterhousecoopers LLP	Ohio state class	Court, New				com/article/americ
	(as a defendant in AIG action)	action	York	Settlement	\$97,500,000.00	Securities violations	asDealsNews/idU
			US District				http://securities.st
			Court,			Company misrepresented	anford.edu/1007/
2/13/2001	Prison Realty Trust, Inc.	Class action	Tennessee	Settlement	\$47,500,000.00	material adverse information	PZN99/

	I		1	1	T		
			US District			Material misrepresentations	http://securities.st
			Court, New			and omissions caused	anford.edu/1028/
6/25/2008	Pro Net Link Corporation	Class action	York	Settlement	\$1 225 000 00	artificially inflated stock prices	PNLKQ03-01/
0,20,2000	rond zmm os poramon	0.000 00.0	TOIR	Cottlornorn	ψ1,220,000.00	artificially filliated eteck prices	http://securities.st
			US District			Company overstated revenues	anford.edu/1027/
			Court, New			and failed to disclose adverse	PRCM03-
9/10/2004	Procom Technology, Inc.	Class action	York	Settlement	\$2,700,000.00	information	01/index.html
	, , , , , , , , , , , , , , , , , , ,		1		<del>+-,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</del>		http://securities.st
			US District			Issuing false and misleading	anford.edu/1013/
12/21/2001	Procter & Gamble Company	Class action	Court, Ohio	Settlement	\$49,000,000.00		PG00/
	. ,						http://securities.st
	Profit Recovery Group		US District				anford.edu/1014/
5/26/2005	International, Inc.	Class action	Court, Georgia	Settlement	\$6,750,000.00	Securities violations	PRGX00/
							http://www.reuters
	Progress Capital Holdings, Inc.,						com/article/press
	Florida Progress Corporation,					Purchase of common stock of	Release/idUS176
	and Potomac Capital	Calgon				Advanced Separation	953+21-Jan-
1/22/2008	Investment Corporation	Corporation		Settlement	\$9,250,000.00	Technologies	2008+PRN20080
							http://www.busine
							sswire.com/portal/
							site/google/index.j
							sp?ndmViewId=n
							ews_view&newslo
	Progressive Gaming	Securities Class	US District				=2007030200513
3/5/2007	International Corp.	action	Court, Nevada	Settlement	\$3,000,000.00	Securities violations	6&newsLang=en
							http://securities.st
		Securities class	US District				anford.edu/1007/
9/30/1997	ProNet, Inc.	action	Court, Texas	Settlement	\$8,250,000.00	Securities violations	PNET96/
						Securities violations-issuing	http://securities.st
			US District			false and misleading	anford.edu/1035/
11/20/2008	ProQuest Company	Class action	Court, Michigan	Settlement	\$20,000,000.00	statements	PQE_01/
			HO D: 4 : 4				
			US District			Issuing materially misleading	http://securities.st
			Court,			statements concerning	anford.edu/1012/
	Protection One, Inc.	Class action	California	Settlement		business prospects	POI99/
10/17/2005	Provenco	SEC		Settlement	\$622,000.00	Insider trading	http://www.lawyers

8/25/2005	Provident Financial Group, Inc.	Class action	US District Court, Ohio	Settlement		Company materially overstated its returns on its assets	http://securities.st anford.edu/1027/ PFGI03-01/
4/25/2005	Providian Financial	Securities class action	US District Court, New York	Settlement		Inflating earning by charging customers extra fees	http://www.lawyers andsettlements.co m/settlements/034 14/providiansecuri tiesfraud.html
	Providian Financial Corporation.	Class action	US District Court, California	Settlement		Disseminating false and misleading statements related to company's operations	http://securities.st anford.edu/1021/ PVN01/
6/28/2000	Providian National Bank	US Comptroller	US District Court, New Hampshire	Settlement		restitution to consumers who transferred balances from other creditors to the Bank pursuant to the Guaranteed Savings Rate program	
7/1/2002	Providian National Bank	Class action		Settlement		restitution to consumers who transferred balances from other creditors to the Bank pursuant to the Guaranteed Savings Rate program	
			US District				http://securities.st
	Proxima Corporation	Class action	Court, California	Settlement	\$6,500,000.00	Company artificially inflated stock prices	anford.edu/1000/ PRXM96/
8/28/2006	Prudential Financial Inc.	DOJ, SEC		Settlement	\$600,000,000.00	Market timing	http://www.bloomb
8/31/1995	Prudential Insurance	John Key	Florida State Court	Verdict		Lapsed life insurance policy	http://www.tampab ay.com/
10/15/1993	Prudential Securities	Consolidated SH action		Settlement		Compensation to shareholders of oil and gas partnerships that failed	washingtonpost.c

		1		1			1
		Consolidated class actions brought by dozens				Nonprofit groups were bilked in the collapse of the Foundation for New Era Philanthropy, which had promised to double the organizations' investments with contributions from	
11/16/1996	Prudential Securities Inc.	of nonprofits		Settlement		anonymous donors.	
	Prudential Securities Inc.	Class action	US District Court, New York	Settlement		Securities fraud-Company engaged in a racketeering conspiracy in its fraudulent sale of limited partnerships in	http://www.nytime s.com/1995/08/31 /business/prudenti al-pact-110-million- to-settle-suit.html
1/10/1995	Prudential Securities Inc.	SEC, class action		Settlement		Securities fraud-Company engaged in a racketeering conspiracy in its fraudulent sale of limited partnerships in the 1980's	http://articles.latim es.com/1995-01- 10/business/fi- 18362_1_prudenti al-securities
7/2/2003	PSINet, Inc.	Securities class action	US District Court, Virginia	Settlement		Registration Statement and Prospectus were false and misleading	http://securities.st anford.edu/1016/ PSIX00/
9/12/2005	PSS World Medical Inc.	Class action	US District Court, Florida	Settlement	\$16,500,000.00	Overstating the earnings of the company it merged with in preparation of the merger Material misrepresentations	http://www.lawyers andsettlements.co m/settlements/042 63/pss_sharehold ers.html http://securities.st
6/19/2004	PSS World Medical, Inc.	Class action	US District Court, Florida	Settlement		caused artificial stock price inflation	anford.edu/1019/ PSSI01/
10/10/2006	PurchasePro.com, Inc.	Class action	US District Court, Nevada US District	Settlement	\$24,000,000.00	Securities violations- overstating revenues Securities violations-	http://securities.st anford.edu/1018/ PPRO01/ http://securities.st
	Qiao Xing Universal		Court, New			misstatements of financial	anford.edu/1037/
	Telephone, Inc.	Class action	York	Settlement	\$2,400,000.00		XING_01/
1/13/2000	rolophono, ino.	Ciass action	TOIR	Cottlernerit	Ψ2,400,000.00	TOOGING	/::NO_01/

QuadraMed Corporation		LIO District			Issuing false and misleading	
•	Class action	US District Court, California	Settlement		statements concerning company's financial situation and business prospects	http://securities.st anford.edu/1025/ QMDCE02-01/
Quality Distribution, Inc.	Class action	US District Court, Florida	Settlement	\$8,150,000.00	Securities violations	http://securities.st anford.edu/1030/ QLTY04-01/
	Class action	US District Court, California	Settlement		Securities violations-making false statements regarding revenue and causing artificially	http://securities.st anford.edu/1008/ QSII97/
	Class action	US District Court, New York	Settlement		Company misrepresented	http://securities.st anford.edu/1008/ QTEL98/
Quintiles Transnational Corporation	Class action	US District Court, North Carolina	Settlement		Making material	http://securities.st anford.edu/1008/ QTRN99/
Quintus Corporation	Class action	US District Court, California	Settlement	\$13,000,000.00	Securities violations	http://www.prnews wire.com/cgi- bin/stories.pl?AC CT=109&STORY =/www/story/12- 07- 2004/000258805 9&EDATE=
	Oleanantina	US District Court,	0	<b>#40.400.000.00</b>	A	http://securities.st anford.edu/1016/
		California			During the 2001 privatization, company failed to pay fair market value for public shares when majority owners took the	http://www.lawyers andsettlements.co m/settlements/021 97/quiznos1.html
	Quality Systems, Inc. Quintel Entertainment, Inc. Quintiles Transnational	Quality Systems, Inc.  Class action  Quintel Entertainment, Inc.  Class action  Class action  Class action  Class action  Cuintus Corporation  Class action  Class action  Class action  Class action  Class action	Quality Distribution, Inc.  Class action  Court, Florida  US District Court, California  US District Court, New York  Quintel Entertainment, Inc.  Quintiles Transnational Corporation  Class action  US District Court, North Carolina  US District Court, North Carolina  US District Court, California  US District Court, California  US District Court, Court, Court, California  US District Court, California  US District Court, California  Court, California  Class action  Class action	Quality Distribution, Inc.  Class action  Court, Florida  US District Court, California  Settlement  US District Court, New Quintel Entertainment, Inc.  Class action  Class action  Class action  Class action  US District Court, North Court, North Carolina  Settlement  US District Court, North Carolina  Settlement  US District Court, North Carolina  Settlement  Carolina  Settlement  Court, California  Settlement  Court, California  Class action  C	Quality Distribution, Inc.  Class action  Court, Florida  US District Court, California  US District Court, New Quality Systems, Inc.  Class action  Class a	Quality Distribution, Inc.  Class action  Court, Florida  US District Court, California  Quintel Entertainment, Inc.  Class action  Class acti

	T		1	1		<u></u>	1
9/27/2004	Quizno's	Class action	US District Court, Colorado	Settlement	\$1,900,000.00	Breach of fiduciary duty and other charges	http://www.lawyers andsettlements.co m/settlements/025 08/quiznos2.html
			· ·			<u> </u>	·
							http://securities.st
							anford.edu/news-
							archive/2006/200
		Class action and					60405_Settlement
		SH derivative	US District			Restatement of historical	101389_Writer.ht
5/7/2007	Quovadx Inc.	suits	Court, Colorado	Settlement	\$7,800,000.00	financial results	ml
							http://securities.st
4/5/0000	Overviende de la	01	US District	0 - 111 1	<b>#40.000.000.00</b>	Restatement of historical	anford.edu/1030/
4/5/2006	Quovadx, Inc.	Class action	Court, Colorado	Settlement	\$10,600,000.00	financiai results	QVDX04-01/
							http://www.reuters. com/article/ousiv/i
			US District				dUSTRE54T05B
8/8/2008	Owest Communications	class action	Court, Colorado	Settlement	\$625.000.000.00	Securities fraud	20090530
0/0/2000		oldoo dolloii	Court, Colorado	Common	<b>4020,000,000.00</b>	Coodcoaaa	
						Former and current executives	
						and directors earned millions of	http://www.lawyers
						dollars from misusing	andsettlements.co
						nonpublic information to profit	m/settlements/022
		Consolidated				from insider trading in the stock	
6/16/2004	Qwest Communications	class actions		Settlement	\$25,000,000.00	of Qwest and other companies	cations.html
						Compensation for losses	http://securities.st
	0					incurred after purchasing	anford.edu/news-
	Qwest Communications	Ctata of Alaska		Cattleman		stocks that plummeted due to	archive/2007/200
11/22/2007	International Inc.	State of Alaska		Settlement	\$19,000,000.00	Compensation for losses	71122_Settlement http://www.lawyers
		Teacher				incurred after purchasing	andsettlements.co
	Owest Communications	Retirement				stocks that plummeted due to	m/settlements/102
	International Inc.	System of Texas		Settlement	\$61,600,000.00	•	19/qwest-trs-
	Qwest Communications	System of Toxas		Comornone	φοι,σοσ,σοσ.σο	eccuoo naaa	http://securities.st
9/11/2004	International Inc.	SEC		Settlement	\$250,000,000.00	Federal fraud allegations	anford.edu/news-
	Qwest Communications				. , , , , , , , , , , , , , , , , , , ,	Avoiding quarterly dividend	http://www.lawyers
6/27/2005	International Inc.	Class action		Settlement	\$50,000,000.00	_ , ,	andsettlements.co

1	T		1		T		1
3/8/2008	R&G Financial Corp.	SH action	US District Court, New York	Settlement		Failure to disclose and misrepresentation of material adverse facts, leading to a material overstatement of company's financial results.	http://securities.st anford.edu/1034/ RGF05_01/
7/15/2009	RAIT Financial Trust	Class action	US District Court, Pennsylvania	Settlement		Company issued false and materially misleading statements that failed to disclose adverse information	http://securities.st anford.edu/1037/ RAS_01/
9/11/2007	Rambus Inc.	consolidated class	US District Court, California	Settlement	\$18,300,000.00	Illegally backdating stock options	http://securities.st anford.edu/news- archive/2008/200 80523_Settlement 106843_Writer.ht ml
3/13/2008	Rambus Inc.	SEC		Settlement	\$200,000,000.00	Stock options backdating	http://www.lawyers
4/26/2007	Ramp Corporation	Class action	US District Court, New York	Settlement		Company issued false and materially misleading statements that failed to disclose adverse information	http://securities.st anford.edu/1034/ RCOCQOB05_01 /
4/2/2005	Ranbaxy Laboratories	UK Department of Health	US District	Settlement	\$7,290,000.00	Price fixing Securities violations-	http://www.lawyers andsettlements.co m/settlements/032 79/ranbaxy.html http://securities.st
			Court,			disseminating false and	anford.edu/1008/
3/5/1999	Raster Graphics, Inc.	Class action	California	Settlement		misleading statements	RGFX98/
4/25/2005	Ravisent Technologies	Class action	US District Court, Pennsylvania	Settlement	\$7,000,000.00	Artificially inflating revenues and profits	http://securities.st anford.edu/1013/ RVST00/
3/26/2004	Rayovac Corp	Class action	US District Court, Wisconsin	Settlement		Issuing a series of material misrepresentations to the market which artificially inflated the price of Rayovac securities.	http://securities.st anford.edu/1024/ ROV02-01/

							http://www.love.cro
							http://www.lawyers andsettlements.co
			LIO District				
			US District				m/settlements/022
			Court,			Company mislead investors	53/raytheonco1.ht
5/14/2004	Raytheon Co.	Class action	Massachusetts	Settlement		regarding its financial situation	ml
						Improper accounting practices	http://www.lawyers
6/29/2006	Raytheon Company	SEC		Settlement	\$12,000,000.00	at its aircraft unit	andsettlements.co
							http://www.lawyers
						Securities fraud-	andsettlements.co
						misrepresenting the financial	m/settlements/033
4/18/2005	Raytheon Corporation	Class action		Settlement	\$39,000,000.00	condition of one of its divisions	62/raytheon.html
						Breach of fiduciary duty and	http://securities.st
						securities laws by	anford.edu/1012/
						disseminating false and	NationalPartner99
4/4/2003	Real Estate Associates	SH action		Verdict	\$92,500,000.00	misleading information	/
						Ğ	http://securities.st
			US District				anford.edu/1028/
6/18/2007	Recoton Corporation	Class action	Court, Florida	Settlement	\$3,000,000,00	Securities violations	RCOTQ03-01/
0, 10, 2001					<b>\$</b> 0,000,000.00	Issuing false and misleading	http://securities.st
	Red Robin Gourmet Burgers,		US District			statements and engaging in	anford.edu/1034/
5/24/2007		Class action	Court, Colorado	Settlement		improper self-dealing	RRGB05_01/
0/2 1/2001		Cidoo dollori	US District	Cottlomont	ψ1,000,000.00	Issuing a materially false and	http://securities.st
			Court, New			misleading registration	anford.edu/1017/
3/1/2007	Rediff.com India Ltd.	Class action	York	Settlement		statement and prospectus	REDF01/
3/1/2007	Rediff.com fridia Eta.	Class action	TOIK	Settlement	\$2,500,000.00	statement and prospectus	IXEDI 01/
			US District			The Prospectus issued in	http://securities.st
			Court, New			connection with the IPO was	anford.edu/1035/
7/25/2006	Defeates	Class setion	York	Settlement	\$407 F00 000 00		
7/25/2006	Reico inc.	Class action	YORK	Settlement	\$127,500,000.00	materially false and misleading	RFX05_01/
			LIO District			0	latter //a a a contilla a lat
	Danis Dha		US District			Company manipulated results	http://securities.st
	Regeneron Pharmaceuticals,	O	Court, New			of drug trials which caused	anford.edu/1027/
11/14/2005	Inc.	Class action	York	Settlement	\$4,700,000.00	artificial stock price inflation	REGN03-01/
			l				http://securities.st
	Reliance Acceptance Group,		US District			3	anford.edu/1009/
3/29/2001	Inc.	Class action	Court, Texas	Settlement	\$39,000,000.00		RACC98/
			US District			Issuing misleading statements	http://securities.st
			Court, New			regarding growth and	anford.edu/1014/
12/19/2005	Reliance Group Holdings, Inc.	Class action	York	Settlement	\$15,000,000.00	profitability	REL00/

	T						1
							http://www.lawyers andsettlements.co
							m/settlements/041
0/45/2005	Deliant Francisco	The California Atta		Cattlanaant	ФЕОЕ 000 000 00		61/reliant_energy
8/15/2005	Reliant Energy Inc.	The California Atto	rney Generals O	Settlement	\$525,000,000.00	Liability for refunds, penalties, a	_settlement.html
						Violation of federal securities	
							http://securities.st
							anford.edu/1024/
			US District				REI02-
0/2/2005	Delient Energy Inc	Class action	Court, Texas	Settlement			01/index.html
6/2/2005	Reliant Energy Inc.	Class action	Court, rexas	Settlement	\$75,000,000.00	volumes and revenues	01/Index.num
							http://securities.st
							anford.edu/news-
							archive/2008/200
			US District			Engaging in sham transactions	
			Court, New			that artificially inflated the	105264_Dankwa.
1/22/2008	RenaissanceRe Holdings	SH class action	York	Settlement		_	html
1/22/2000	Trenaissancerre Holdings	OTT Class action	TOIK	Settlement			http://www.sec.go
							v/divisions/enforc
8/2/2006	RenaissanceRe Holdings Ltd.	SEC		Settlement	\$16,000,000.00		e/claims/renaissa
0/2/2000	Tremaissameerre molalings Eta.	020		Octionion			http://securities.st
			US District				anford.edu/1023/
2/6/2008	Rent-A-Center	SH action	Court, Texas	Settlement	\$3,600,000.00		RCII02-01/
2/0/2000	Trem / Come	CTT GOLIOTT	Court, Toxac	Cottlement	φο,σσο,σσο.σσ		http://goerie.com/a
							pps/pbcs.dll/article
			US District				?AID=/20031223/
		Securities class	Court,				BUSINESS05/11
12/23/2003	Rent-Way Inc	action	Pennsylvania	Settlement	\$25,000,000,00		2230214
,,	rtein rray iiie			Comment			http://securities.st
1			US District			investors over the level of its oil	
		Securities class	Court, New			and gas reserves, principally in	
5/7/2008	Repsol YPF SA	action	York	Settlement	\$8,000,000.00		ml
	,	-			+-,,-		http://uk.reuters.c
							om/article/idUKN0
		Ironworks Ontario	Ontario				52583782007100
40/5/0007	Research In Motion	Pension Fund	Superior Court	Settlement	\$5,000,000,00	Backdating stock options	5

	Retirement Care Associates,		US District			False and misleading statements artificially inflated	http://securities.st anford.edu/1009/
11/25/1997	Inc.	Class action	Court, Georgia	Settlement	\$9,000,000.00		RCA97/
			US District			Company defrauded investors	http://securities.st
			Court, New			by recognizing improper	anford.edu/1009/
7/1/2003	Revlon, Inc.	Class action	York	Settlement	\$2,475,000.00	accounting results	REV99/
						Being party to a huge	http://www.lawyers
4/25/2007	Richard Scrushy (HealthSouth)	SEC		Settlement	\$81,000,000.00	accounting fraud.	andsettlements.co
			US District				http://securities.st
			Court,			Company issued incorrect	anford.edu/1009/
3/31/1999	Ride, Inc.	Class action	Washington	Settlement	\$3,000,000.00	financial statements	RIDE97/
						Failure to comply with anti	
3/4/2005	Riggs and PNC	SH action		Settlement	\$2,700,000.00	money laundering laws	
							http://securities.st
			US District			Issuing false and misleading	anford.edu/1009/
	RISCORP, Inc.	Class action	Court, Florida	Settlement	\$21,000,000.00	statements	RISC96/
	Ritchie Multi-Strategy Global	SEC and New					http://www.reuters.
	Trading, Ltd. and Ritchie	York Attorney				Discrepancies in the trading in	com/article/press
2/5/2008	Capital Management, LLC,	General		Settlement	\$42,000,000.00	shares of mutual funds	Release/idUS214
			US District			Fologond miglooding	http://securities.st
			Court.			False and misleading statements caused artificially	anford.edu/1009/
2/20/2005	Rite Aid Corporation	Class action	Pennsylvania	Settlement		inflated stock prices	RAD99/
3/30/2003	Rite Aid Corporation	Class action	rennsylvania	Settlement	\$120,000,000.00	Inhated Stock prices	http://securities.st
			US District			Issuing false and misleading	anford.edu/1025/
			Court,			statements regarding financial	RSTN02-
5/3/2004	Riverstone Networks	Class action	California	Settlement		conditions and sales	01/index.html
3/3/2004	Triversione retworks	Olass action	Galifornia	Octionicht	ψ10,000,000.00	conditions and sales	http://www.lawyers
							andsettlements.co
							m/settlements/111
			US District				81/enterasys-
	Riverstone Networks and		Court,				riverstone-
	Enterasys Networks	SEC	California	Settlement	\$1,600,000.00	Inflating revenue	fraud.html
	<b>,</b>	-			+ ,===,>00100	Failure to disclose material	
						information related to its	washingtonpost.c
2/27/1992	RJR Nabisco	SH action		Settlement	\$72,500,000.00	takeover	om

			1				
2/28/2006	Robertson Stephens, Inc.	Class action	US District Court, New York	Settlement		False and misleading statements caused artificially inflated stock prices	http://securities.st anford.edu/1026/ CORV03-01/
7/14/2003	Robotic Vision Systems Inc.	SH action	US District Court, Massachusetts	Settlement		Company reported misleading financial results	http://securities.st anford.edu/1018/ ROBV01/
2/6/2003	Roche Holding, Ltd.	Class action	US District Court, New Jersey	Settlement	\$6,350,000.00	Illegal price-fixing and anti- competitive practices caused artificial stock price inflation	http://securities.st anford.edu/1037/ RHHBY98_01/
	Roche, BASF and Aventis	The Australian Competition and Consumer Commission (ACCC) c		Fine	\$26,000,000.00	Price fixing and market sharing	http://www.lawyers andsettlements.co m/settlements/058 83/roche_basf_av entis_antitrust_set tlement.html
7/18/2006	Roche, BASF and Aventis	Class action		Settlement	\$30,500,000.00	Price fixing	http://www.lawyers andsettlements.co m/settlements/058 83/roche_basf_av entis_antitrust_set tlement.html
F /07 /0000	Dethershild become			C-W		Selling securities without being	
	Rothschild Investment,  Royal Ahold	Class action	US District Court, Maryland	Settlement  Settlement		Compensation for an accounting scandal which caused shares to drop by 2/3	http://www.lawyers andsettlements.co m/settlements/046 31/royal_ahold.ht ml
8/19/2003	Royal Bank of Canada	Enron SH and creditors		Settlement	\$195,000,000.00	Liabillity for bank's involvement with Enron	http://milwaukee.bi zjournals.com/tria ngle/stories/2003/ 08/18/daily15.html

							http://myfloridaleg
	1						al.com/852562
	'						220065EE67.nsf/
	'						0/B17DF81AE0F
	'						53A048525741D
	'						00791884?Open
	Royal Caribbean Cruise Lines	Florida Attorney				Antitrust violations-Charging	&Highlight=0,carni
3/10/2008	and Celebrity Cruises	General	Florida	Settlement	\$21,000,000.00	retroactive fuel charges	val
	1						http://www.lawyers
	'	SEC and UK's					andsettlements.co
	'	Financial Services					m/settlements/024
8/25/2004	Royal Dutch/Shell	Authority		Settlement	\$150,000,000.00	Misleading the market	13/royaldutchshell
	'		US District				http://securities.st
			Court, New			Issuing material	anford.edu/1029/
9/26/2008	Royal Dutch/Shell	Class action	Jersey	Settlement	\$89,500,000.00	misrepresentations	RD04-01/
			LIO District			Falsa and originalisms	h 11 - 1/2 10 1
	'		US District			False and misleading	http://securities.st
40/0/0007	David Oraca Taska davisa Jas		Court, New	0 - 111 1	<b>#0.000.000.00</b>	statements caused artificially	anford.edu/1033/
10/3/2007	Royal Group Technologies. Inc.	Class action	York	Settlement	\$8,260,000.00	inflated stock prices Violations of state and federal	RYG04_01/
	'						http://oppurition.ot
	'		US District			securities laws, breach of	http://securities.st anford.edu/1009/
0/40/2002	Rural/Metro Corporation.	Class action	Court, Arizona	Settlement	\$15,000,000.00	contract, and common law	RURL98/
6/12/2003	Rura/Metro Corporation.	Class action	Court, Arizona	Settlement	\$15,000,000.00	iraud.	http://www.lawyers
	'						andsettlements.co
	'						m/settlements/090
	'						11/ryland-
			US District				securities-
8/16/2007	Ryland Group, Inc.	Class action	Court, Texas	Settlement	\$1,200,000,00	Securities fraud	settlement.html
0/10/2001	regional Group, mo.	Ciado aotion	US District	Comornon	ψ1,200,000.00	- Codingo Hada	http://securities.st
		Consolidated	Court,			Company fraudulently or	anford.edu/1008/
9/30/2002	S3 Incorporated	class action	California	Settlement		recklessly inflated earnings	SIII98/
3,33,2302					ψ .,σσσ,σσσ.σσ	go	http://securities.st
			US District			Issuing false and misleading	anford.edu/1009/
5/28/2003	Sabratek Corporation	Class action	Court, Illinois	Settlement		financial statements	SBTK99/
	,		,			Company made material	http://securities.st
			US District			misrepresentations and	anford.edu/1010/L
10/4/2000	Saf T Lok, Inc.	Class action	Court, Florida	Settlement	\$850,000.00	omissions	OCK98/

2/4/2005	Saf T Lok, Inc.	Class action	US District Court, Florida	Settlement	\$1,200,000,00	Material misrepresentations artificially inflated stock prices	http://securities.st anford.edu/1023/L OCK02-01/
9/30/2002	Safeguard Health Enterprises,	Class action	US District Court, California	Settlement	\$1,250,000.00	Issuing false and misleading	http://securities.st anford.edu/1036/ SHEI 01/
		Securities and SH	US District Court,			Company issued false and misleading statements concerning revenues and	http://securities.st anford.edu/1009/
	Safeskin Corporation Safety Components International, Inc.	derivative suits Securities class action	California US District Court, New Jersey	Settlement Settlement		business prospects Issuing false and misleading statements which artificially increased stock prices	SFSK99/ http://securities.st anford.edu/1014/ ABAG99/
5/3/2005	Safety-Kleen Corp.	class action	US District Court, South Carolina	Settlement	\$284,000,000.00	Internal financial fraud	http://dallas.bizjour nals.com/dallas/st ories/2005/04/25/ daily8.html
4/29/2003	Sagent Technology, Inc	Securities class action	US District Court, California	Settlement	\$6,000,000.00	Misrepresenting revenues and prospects	http://securities.st anford.edu/1016/ SGNT00/
6/11/1993	Salomon Inc.	SH action		Settlement	\$54,500,000.00	Compensation for misdeeds at Treasury auctions 1989-1991	
5/19/2006	Salomon Smith Barney Inc.	Class action	US District Court, District of Columbia	Settlement	\$50,000,000.00	Breaches of fiduciary duty and contract, securities violations	http://securities.st anford.edu/1036/ C03_01/
8/17/2006	Salomon Smith Barney Inc.	Consolidated class action-AT&T SH	US District Court, New York	Settlement	\$74,750,000.00	Company recommended the purchase of AT&T common stock without regard to the factual basis and without disclosing its conflicts of interest	http://securities.st anford.edu/1025/ T02-01/
9/29/2006	Salomon Smith Barney Inc.	Level 3 SH	US District Court, New York	Settlement	\$10,250,000.00	#######################################	
10/2/2006	Salomon Smith Barney Inc.	Williams Communications Group, Inc. SH	US District Court, New York	Settlement	\$12,500,000.00	#######################################	http://securities.st anford.edu/1026/ WCG02-01/

		XO Communications,	US District Court, New			Issuing false and misleading information, recovery for	http://securities.st anford.edu/1026/
5/19/2006	Salomon Smith Barney Inc.	Inc. SH	York	Settlement	\$9,125,000.00	defrauded SHs	XOXOQ02-01/
3/22/1991	Salomon Smith Barney, Inc.	State of West Virginia		Settlement		Securities fraud-bank improperly allowed the state's investment fund to pursue a risky trading strategy from 1985 through 1988 in violation of state law.	
3/23/2006	Salton, Inc.	Securities class action	US District Court, Illinois	Settlement		Quarterly reports and financial statements were false and materially misleading	http://securities.st anford.edu/1030/ SFP04-01/

10/14/2005	Samsung Electronics Co Ltd.		US District Court, California	Fine	\$300,000,000.0	http://www.cbronli ne.com/news/sam sung_fined_300m _for_price_fixing_ settles_with_hp
	Samsung Semiconductor, Inc. and Samsung Electronics Company Ltd.	Class action		Settlement	\$90,000,000.00	http://www.highbe am.com/doc/1P3- 1212380991.html

10/10/2007	Sandell Asset Management	SEC		Settlement		that were designed to benefit from the turmoil following	http://www.lawyers andsettlements.co m/settlements/096 10/hedge-fund-
10/10/2007	Candon Asset Management	OLO		Octionicht	ψο,σσο,σσο.σσ	Trancare Raima.	To/ficage faria
							http://www.nytime
							s.com/1992/09/04
							/business/compan
							y-news-sandoz-
							and-caremark-in-
							suit-
							settlement.html?s
	Sandoz Pharmaceuticals					Operating an illegal tie-in which	
	Corporation and the Caremark	00	US District	0		, , , , , , , , , , , , , , , , , , , ,	%20and%20settle
9/4/1992	unit of Baxter International	33 states	Court, Chicago	Settlement	\$21,000,000.00	for schizophrenia treatment	ment&st=Search
						Company defrauded Medicaid	http://www.news- medical.net/news/
							2007/09/11/2968
0/11/2007	Sanofi-aventis	USDOJ		Settlement	\$182,820,000.00		4.aspx
3/11/2001	Carion avenus	00000		Octionicht	Ψ102,020,000.00	arug prices	http://www.lawyers
							andsettlements.co
							m/settlements/115
							93/savvides-
							partners-
							aremissoft-
4/21/2008	Savvides & Partners/PKF Cypru	SEC		Settlement	\$261,565.00	Company engaged in fraud in co	fraud.html
			US District				http://securities.st
	SCB Computer Technology,		Court,				anford.edu/1014/
4/15/2002	Inc.	Class action	Tennessee	Settlement	\$2,100,000.00	are a committee of the	SCBI00/
						Material misstatements and	
						omissions concerning the	
			US District				http://securities.st
10/10/0555			Court, New	0 "		3	anford.edu/1010/
12/12/2000	Schein Pharmaceutical, Inc.	Class action	Jersey	Settlement	\$8,000,000.00	manufacturing process	SHP98/

		ı				http://www.bio-
						medicine.org/medi
						cine-news-
					False and misleading	1/Settlement-
					<u> </u>	Hearing-on-
						•
					failed to disclose serious	Schering-Plough-
						Corporation-
					serious risk that the FDA would	
					delay approval of the	Litigation-to-be-
		US District	Settlement		company's New Drug	Held-on-June-1
		Court, New	(proposedcourt		Application its allergy drug	2009-at-2-3A00-P-
3/13/2009 Schering-Plough	SH action	Jersey	approval pending)	\$165,000,000.00	Clarinex(R).	M39496-1/
		US District				http://securities.st
		Court, New			Misrepresenting company's	anford.edu/1010/
2/13/2002 Schick Technologies	Class action	York	Settlement		financial condition	SCHK98/
					Stock prices were artificially	http://securities.st
		US District			inflated due to the	anford.edu/1010/
6/30/2002 Schlotzsky's, Inc.	Class action	Court, Texas	Settlement	\$2,000,000.00	overstatement of income	BUNZ98/
		US District			Issuing false and misleading	http://securities.st
		Court, New			statements regarding sales of	anford.edu/1010/
4/8/2003 Scholastic Corporation	Class action	York	Settlement	\$7,500,000.00	one of its books	SCHL97/
						http://www.lawyers
						andsettlements.co
					Violating the law related to	m/settlements/055
		US District			credit card business	53/sears_settleme
5/18/2006 Sears, Roebuck and Co.	Class action	Court, Illinois	Settlement	\$215,000,000.00	disclosures	nt.html
		US District				http://securities.st
		Court,			Misstatements caused	anford.edu/1010/
12/31/2002 Secure Computing Corporation	Class action	California	Settlement	\$10,100,000.00	artificially inflated stock prices	SCUR99/
		US District			•	http://securities.st
		Court,			Misstatements caused	anford.edu/1037/
6/26/2009 Secure Computing Corporation	Class action	California	Settlement	\$3,600,000.00	artificially inflated stock prices	SCUR_01/
		US District			,	http://securities.st
SeeBeyond Technology		Court,			Misstatements caused	anford.edu/1024/

						Misstatements and material	
						misrepresentations of financial	http://securities.st
			US District			data caused artificially inflated	anford.edu/1024/
8/29/2006	Seitel Inc	Class action	Court, Texas	Settlement	\$5,250,000.00	•	SEI02-01/
0/23/2000	Gener, me.	Class action	US District	Octionion		Company issued materially	http://securities.st
			Court.			false and misleading	anford.edu/1010/
2/28/2003	Select Comfort Corporation	Class action	Minnesota	Settlement	\$5,750,000.00	•	AIRB99/
2/20/2003	Gelect Common Corporation	Olass action	US District	Octionion	ψ3,7 30,000.00	Statements	http://securities.st
			Court,				anford.edu/1038/
4/17/2009	Select Medical Corporation	Class action	Pennsylvania	Settlement	\$5,000,000,00	Securities fraud	SEM04_01/
4/11/2000	Colour Wedicar Corporation	Ciaco action	1 Cilibyivania	Cottlernent	ψο,σσο,σσο.σσ	Occurrics ridge	OLIVIO+_O I/
							http://www.lawyers
							andsettlements.co
						Violations relating to the	m/settlements/047
						Western energy crisis of 2000,	78/sempra_energ
1/10/2006	Sempra Energy	Class action		Settlement	\$377,000,000.00		y_settlement.html
17:072000	Compra Energy	0.000 00.01		- Cottagnioni	40.1,000,000.00		http://securities.st
	Sensormatic Electronics		US District			Issuing false and misleading	anford.edu/1018/
10/31/2003		Class action	Court, Florida	Settlement	\$4,950,000.00		SRM01/
70.00.00						Concealing safety concerns	http://www.lawyers
						surrounding its new	andsettlements.co
						antihistamine candidate,	m/settlements/092
		consolidated	US District			Soltara, that was rejected by	69/sepracor-
		securities class	Court,			the FDA for marketing approval	
9/10/2007	Sepracor Inc.	actions	Massachusetts	Settlement	\$52,500,000.00		settlement.html
	•		US District				http://securities.st
			Court,			Issuing material	anford.edu/1035/
12/17/2008	SeraCare Life Sciences, Inc.	Class action	California	Settlement		misrepresentations	SRLSE05_01/
	,				. , ,	•	http://www.lawyers
							andsettlements.co
							m/settlements/021
						Fraud related to SCI's 1999	03/servicecorporat
	Service Corporation		US District			purchase of smaller rival Equity	
1	International	Class action	Court, Texas	Settlement	\$65,000,000.00		tml
						•	http://securities.st
			US District			Misrepresenting business	anford.edu/1035/
10/20/2008	SFBC International, Inc.	Class action	Court, Florida	Settlement	\$28,500,000.00	conditions and prospects	SFCC_01/

11/8/2007	Shelby Gravel	Class action		Settlement	\$4,700,000.00	Concrete price fixing	http://www.lawyers andsettlements.co m/settlements/099 21/concrete-price- fixing.html
	,						http://www.pittsbur
	Sherwin-Williams Co. and PPG Industries, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$39,000,000.00	Inflating prices for auto refinishing paint	ghlive.com/x/pittsb urghtrib/business/ briefs/s_487321.h tml
10/29/1999	Shiva Corporation	Class action	US District Court, Massachusetts	Settlement		Misrepresenting business conditions and prospects	http://securities.st anford.edu/1011/ SHVA97/
	·		US District				http://securities.st
0/00/0004	Chanka Ctarea Ina	Class setion	Court,	Settlement	<b>#4 000 000 00</b>	Issuing materially false and	anford.edu/1020/ SKO01/
8/23/2004	Shopko Stores, Inc.	Class action	Wisconsin US District	Settlement	\$4,900,000.00	misleading statements	http://securities.st
			Court,				anford.edu/1011/I
7/17/2002	Shopping.com, Inc.	Class action	California	Settlement	\$4,500,000.00	Securities violations	BUY98/
						Company had violated company rules for granting stock options by exceeding the cap set on the number of options it was allowed to grant and issuing options at below	http://www.lawyers andsettlements.co
						market value without	m/settlements/017
0/00/0000	0:1.10	O		0		expensing the difference in	97/siebelsystems.
8/26/2003	Siebel Systems	Class action		Settlement	\$900,000.00	price	html
10/17/2001	Silian Craphica Inc	Class action	US District Court, California	Sottlement		False and misleading statements about company's financial results in order to	http://securities.st anford.edu/1027/ SGI97-01/
10/17/2001	Silicon Graphics, Inc.	Class action	US District	Settlement	\$4,000,000.00	artificially inflate stock prices.	http://securities.st
6/4/2001	Simulation Sciences, Inc.	Class action	Court, California	Settlement	\$6,500,000.00	Securities violations	anford.edu/1011/ SMCI98/

4/13/2004	Singing Machine Company, Inc.	Securities class action	US District Court, Florida	Settlement	\$1,250,000.00	its net income in its financial	http://securities.st anford.edu/1028/ SMD03-01/
			US District			Failure to disclose and	http://securities.st
1/10/2006	Sin ay Carn	SH action	Court, California	Settlement	\$6,000,000.00	misrepresentation of material	anford.edu/1033/ SIPX05 01/
1/16/2006	Sipex Corp.	SH action	US District	Settlement	+ - , ,	Company issued materially	http://securities.st
			Court,			false and misleading financial	anford.edu/1011/
6/22/2001	Sirena Apparel Group, Inc.	Class action	California	Settlement	\$1,550,000.00		SIRN99/
2/4/2008	SiRF Technology Holdings, Inc.	Class action	US District Court, California	Settlement	\$2,900,000.00	Company issued materially false and misleading statements regarding financial results and business prospects	http://securities.st anford.edu/1039/ SIRF_01/
8/31/2006	Sirius Satellite Radio, Inc.	Class action	US District Court, Vermont	Settlement		Materially false and misleading statements caused artificially inflated stock prices	http://securities.st anford.edu/1020/ SIRI01/
2/4/2000	Sirrom Capital Corporation	Class action	US District Court, Tennessee	Settlement		Company concealed information in order to artificially inflate stock prices	http://securities.st anford.edu/1011/ SIR98/
10/31/2007	SIRVA, Inc.	Securities class action	US District Court, Illinois	Settlement	\$53,300,000.00	False and misleading statements in press releases	http://securities.st anford.edu/1033/ SIR04_01/index.h tml
12/2/2003	SkillSoft	Class action		Settlement	\$32,000,000.00	Securities violations	http://www.lawyers
8/28/2003	Skymall Inc.	Class action	US District Court, Arizona	Settlement	\$1,750,000.00	Securities violations	http://securities.st anford.edu/1011/ SKYM99/
	Smallworldwide PLC	Class action	US District Court, Colorado			Material misrepresentations artificially inflated stock prices	http://securities.st anford.edu/1011/ SWLDY99/
	Smart Choice Automotive	Class action	US District Court, Florida	Settlement	\$2,500,000.00	False and misleading quarterly reports artificially inflated stock	http://securities.st anford.edu/1011/ SMCH99/

					1		I
						Issuing misleading statements	
			US District			about future business	http://securities.st
			Court, New			prospects and concealing	anford.edu/1011/
4/7/2004	SmarTalk Teleservices, Inc.	Class action	York	Settlement	\$11,000,000.00	material adverse information	SMTK98/
			US District				http://securities.st
			Court, New			Company issued false and	anford.edu/1026/
7/5/2005	SmartForce PLC	Class action	Hampshire	Settlement	1 1	misleading financial statements	SKIL02-01/
						Compensation for its merger	
5/23/1990	SmithKline Beckman	SH action		Settlement	\$13,000,000.00	with Beecham Group PLC	nytimes.com
							http://securities.st
			US District			fraudulent accounting practices	
11/30/2001	Software AG Systems, Inc.	Class action	Court, Virginia	Settlement	\$3,500,000.00	artificially inflated stock prices	AGS99/
			US District			False and misleading	http://securities.st
			Court,			statements caused artificially	anford.edu/1027/
3/3/2006	Solectron Corporation	Class action	California	Settlement	\$15,000,000.00	inflated stock prices	SLR03-01/
8/21/2003	Solutia Inc. and the Monsanto Company	20,000 Anniston residents	St. Louis court	Settlement		PCB Contamination	http://www.nytime s.com/2003/08/21 /business/700- million-settlement- in-alabama-pcb- lawsuit.html?scp= 12&sq=lawsuit%2 0and%20settleme nt&st=Search
						Material misrepresentations	http://securities.st
40/07/0000	Cania han avatiana ha	Olara artisa	US District	0 - 111 1		and omissions caused stock	anford.edu/1016/
10/27/2003	Sonic Innovations Inc.	Class action	Court, Utah	Settlement	\$7,000,000.00	prices to be artificially inflated	SNCI00/
			LIO District			Company issued false and	latter //a a a contti a
			US District			misleading statements about	http://securities.st
0/10/05 = =			Court,			financial results and business	anford.edu/1025/
6/16/2009	Sonus Networks, Inc.	Class action	Massachusetts	Settlement	\$9,500,000.00	prospects	SONS02-01/

			US District			Company issued false and misleading statements about	http://securities.st
			Court.			financial results and business	anford.edu/1029/
3/8/2008	Sonus Networks, Inc.	Class action	Massachusetts	Settlement	\$40,000,000.00		SONS04-01/
0,0,200			US District	•		Material misrepresentations	http://securities.st
			Court,			•	anford.edu/1011/
11/20/2000	Sonus Pharmaceuticals, Inc.	Class action	Washington	Settlement	\$4,000,000.00		SNUS98/
							http://www.lawyers
							andsettlements.co
		Michigan State					m/settlements/099
	),	AG's office and 43				Antitrust violations-CD price	49/cd-price-
11/12/2007	Group	States		Settlement	\$67,400,000.00		fixing.html
						Company issued materially	http://securities.st
1/0/0000			US District			false and misleading	anford.edu/1011/
4/2/2003	Source Media, Inc.	Class action	Court, Texas	Settlement	\$3,250,000.00	statements	SRCM98/
			US District				http://securities.st anford.edu/1032/
7/22/2000	COLIDOTOODD In corporated	Class setion		Cottlement	¢2 000 000 00	Securities violations	
7/23/2009	SOURCECORP Incorporated	Class action	Court, Texas	Settlement	\$3,000,000.00	Securities violations	SRCP04_01/
							http://www.lawyers
							andsettlements.co
							m/settlements/056
		Florida Attorney				Price gouging after Hurricane	34/hurricane_char
5/25/2006	Southeastern Restoration, Inc.	General		Settlement	\$569,500		ges.html
	·		US District			Securities violations-issuing	http://securities.st
			Court,			false and misleading	anford.edu/1015/
9/30/2001	Southwall Technologies, Inc.	Class action	California	Settlement	\$4,200,000.00	statements	SWTX00/
			US District				http://securities.st
			Court,				anford.edu/1013/
9/13/2001	Spanlink Communications, Inc.	Class action	Minnesota	Settlement	\$537,500.00	Securities violations	SPLK00/
						Materially false and misleading	http://securities.st
E /4 4 /0003	0	01	US District	0-111		information caused artificially	anford.edu/1030/
5/14/2007	Spear & Jackson, Inc.	Class action	Court, Florida	Settlement	\$775,000.00	inflated stock prices	SJCKOB04-01/
			US District				http://securities.st anford.edu/1024/
			Court,				SP02-
6/14/2004	Specialty Laboratories, Inc.	Class action	California	Settlement	\$12,000,000,00	Securities violations	01/index.html
0/ 14/2004	opecially Laboratories, IIIC.	Ciass action	CallUllia	Serricilient	φ12,000,000.00	Decunites violations	U I/IIIUEX.IIIIIII

10/18/2004	SpectraLink Corporation.	Class action		Settlement		Material misrepresentations artificially inflated the price of stocks	http://securities.st anford.edu/1023/ SLNK02-01/
	·		US District				
			Court,			Securities violations-insider	http://www.gilardi.c
11/2/2000	Spectrian Corporation	Class action	California	Settlement	\$2,975,000.00		om/pdf/spenot.pdf
						Company issued false and	
						misleading statements	
						concerning its financial	http://securities.st
			US District			condition and business	anford.edu/1026/
3/7/2007	Spiegel, Inc.	Class action	Court, Illinois	Settlement	\$17,500,000.00		SPGLA/
						Company reported artificially	
						inflated earnings via false and	
						misleading press releases and	
						filings with the Securities and	http://securities.st
			US District			Exchange Commission	anford.edu/1021/
4/29/2004	Sport-Haley, Inc	Class action	Court, Colorado	Settlement	\$1,000,000.00		SPOR/
						Company made false and	
						misleading statements	http://securities.st
0/05/0004	On sint Ones and the	01	US District	0 - 111 1		concerning Sprint and	anford.edu/1018/
6/25/2001	Sprint Corporation	Class action	Court, Kansas	Settlement	\$50,000,000.00	vvoridCom	FON01/
							http://securities.st
						Understating the value of the	anford.edu/news-
						wireless stock and	archive/2007/200
			Johnson			manipulating the wireline	71213_Settlement
			County District			business to the detriment of	104929_Taub.ht
12/13/2007	Sprint Nextel	Class action	Court, Kansas	Settlement		the wireless division	ml
12/10/2001	Oprilit Nextor	Class action	Court, rearious	Cottlernent	ψον,000,000.00	are whereas arrision	http://www.allbusin
							ess.com/legal/lega
							I-services-
			US District				litigation/1204562
9/11/2007	Sprint Nextel	Class action	Court, Kansas	Settlement	\$57,000,000.00	Age discrimination	7-1.html
			, , , , , , , , , , , , , , , , , , , ,		, , , , , , , , , , , , , , , , , , ,		http://www.lawyers
						Antitrust violations-the two	andsettlements.co
						companies overcharged	m/settlements/092
						customers for assessments	84/subsidized-
	Sprint Nextel Corp and AT&T		US District			that help pay for subsidized	phone-
9/12/2007	Corp	Class action	Court, Kansas	Settlement	\$30,000,000.00	phone services	antitrust.html

							http://www.lawyers
		SH and employee				Misleading investors to inflate	andsettlements.co
1/10/2007	SPX Corp.	class action		Settlement	\$13,600,000.00	the value of stock	m/settlements/069
						Failure to disclose material	
						information regarding	http://securities.st
			US District			company's operations and	anford.edu/1011/
5/31/2000	Spyglass Inc.	Class action	Court, Illinois	Settlement	\$1,550,000.00	financial condition	SPYG99/
							http://securities.st
			US District			Material misrepresentations	anford.edu/1022/
6/4/2002	SRI/Surgical Express	Class action	Court, Florida	Settlement	\$1,900,000.00	artificially inflated stock prices	STRC01-01/
						Making false and misleading	
						representations in the	
			US District			Registration Statement and	http://securities.st
		Consolidated	Court,			Prospectus prepared and	anford.edu/1011/
6/19/2000	SS&C Technologies	class action	Connecticut	Settlement	\$8,600,000.00	disseminated by Defendants	SSC97/index.html
			US District				http://securities.st
			Court,			Company issued false and	anford.edu/1010/
4/24/2000	St. John Knits Inc.	Class action	California	Settlement	\$5,000,000.00	misleading statements	SJK98/
							http://www.lawyers
						Violating antitrust laws by	andsettlements.co
4/4/2009	St. Johns Health	Class action		Settlement	\$13,500,000.00	exchanging information on pay.	m/settlements/133
							h (1//
							http://www.lawyers
			HO District				andsettlements.co
			US District			Securities violations-failure to	m/settlements/023
0/00/0004	Ot Book Commenter to	Olara artisa	Court,	0 - 111 1		disclose material adverse	11/stpaulcompani
6/29/2004	St. Paul Companies, Inc.	Class action	Minnesota	Settlement	\$6,325,000.00	Information	esinc.html
							http://www.lawyers
		01 "					andsettlements.co
		Class action				Securities fraud-disseminating	m/settlements/104
		(State of New	US District			false and misleading financial	18/st-paul-
4/4/0000	0. B 17 1 0 .	Mexico lead	Court,		077.000.000.00	statements that were artificially	travelers-
1/4/2008	St. Paul Travelers Companies	plaintiff)	Minnesota	Settlement	\$77,000,000.00		securities.html
			US District			Company issued a false and	http://securities.st
10100100-	St. Paul Travelers Companies,		Court,			misleading Registration	anford.edu/1032/
12/28/2005	Inc.	Class action	Minnesota	Settlement	\$67,500,000.00	Statement and Prospectus	STA04-01/

			US District			Company issued public	http://securities.st
			Court, New			statements that were materially	anford.edu/1032/
9/28/2006	STAAR Surgical Company	Class action	Mexico	Settlement	\$3,700,000.00	false and misleading	STAA04-01/
			US District			Revenue, earnings and	http://securities.st
			Court,			financial condition were	anford.edu/1017/
7/8/2003	Stan Lee Media, Inc.	Class action	California	Settlement	\$1,820,633.34	misrepresented	SLEE01/
			US District				http://securities.st
			Court, New			Company materially overstated	anford.edu/1022/
7/18/2002	StarMedia Network, Inc.	Class action	York	Settlement	\$3,150,000.00	revenues and earnings	STRM01-01/
							http://securities.st
0/0/0000	O. T. I.	0, "	US District	0 111	Φ <del>-</del>	Company issued false and	anford.edu/1034/
9/3/2009	StarTek, Inc.	Class action	Court, Colorado	Settlement	\$7,500,000.00	misleading statements	SRT05_01/
8/29/1998	State Farm Insurance	Class action		Settlement	\$200,000,000,00	Using misleading sales tactics	washingtonpost.c om
0/29/1990	Сопрану	Class action		Settlement	\$200,000,000.00	Using misleading sales tactics	OIII
						Company issued false and	http://securities.st
			US District			misleading statements about	anford.edu/1027/
11/3/2000	STB Systems, Inc.	Class action	Court, Texas	Settlement	\$4,700,000,00	business and growth prospects	
			,		, , ,	3 1 1	
			US District			Company issued false and	http://securities.st
			Court,			misleading statements about	anford.edu/1028/
11/16/2005	Stellent, Inc.	Class action	Minnesota	Settlement	\$12,000,000.00	business and growth prospects	STEL03-01/
							http://www.busine
							sswire.com/portal/
							site/google/index.j
			Louisiana and				sp?ndmViewId=n ews_view&newsId
			Texas State				=2005111700574
11/17/2005	Stericycle, Inc.	Class action	Courts	Settlement	\$32,500,000.00	Socurities fraud	0&newsLang=en
11/11/2003	Stericycle, Iric.	Class action	Courts	Settlement	\$32,300,000.00	Securilles fraud	OdilewsLang-en
			US District			False and misleading	http://articles.lanc
			Court,			statements led to inflated stock	asteronline.com/lo
3/5/2009	Sterling Financial	SH action	Pennsylvania	Settlement		prices and sales.	cal/4/234638
			US District				http://securities.st
			Court, New				anford.edu/1014/
5/26/2004	Steve Madden, Inc.	Class action	York	Settlement	\$9,000,000.00	Securities fraud	SHOO00/

			US District				http://securities.st
		Consolidated	Court,			caused artificially inflated stock	
12/12/2007	Stillwater Mine	class actions	Montanta	Settlement	\$2,600,000.00	prices	SWC02-01/
							http://securities.st
		Consolidated	US District				anford.edu/1034/
		securities class	Court, New			False and misleading press	STKR05_01/inde
7/2/2007	StockerYale, Inc.	action	Hampshire	Settlement	\$3,400,000.00	releases	x.html
			US District				http://securities.st
			Court,			Securities fraud-issuing false	anford.edu/1012/
9/13/2002	Storm Technology, Inc.	Class action	California	Settlement	\$2,750,000.00	and misleading information	EASY97/
			US District				http://securities.st
			Court,				anford.edu/1012/
11/22/2000	StorMedia, Inc.	Class action	California	Settlement		Securities fraud	STMD97/
						Company manipulated stock	http://securities.st
			US District			prices through the	anford.edu/1012/
			Court,			dissemination of false and	STREAMLOGIC9
5/24/1999	Streamlogic Corporation	Class action	California	Settlement	\$650,000.00	misleading information	7/
						Company allowed a New	http://www.lawyers
						Jersey hedge fund to engage	andsettlements.co
						in market-timing trades despite	m/settlements/022
						telling other investors such	73/strongcapitalm
5/20/2004	Strong Capital Management	SEC		Settlement	\$140,000,000.00	trading wasn't allowed	anagement.html
							http://securities.st
			US District			Company made false and	anford.edu/1013/
11/21/2001	Styling Technology Corporation	Class action	Court, Arizona	Settlement	\$3,625,000.00	misleading statements	STYLE99/
							http://www.busine
							sswire.com/portal/
							site/google/index.j
							sp?ndmViewId=n
			US District				ews_view&newsId
			Court,				=2004091000538
9/10/2004	Summit Medical Systems, Inc.	Class action	Minnesota	Settlement	\$475,000.00	Securities violations	7&newsLang=en
						Company made false and	
			US District			misleading statements and	http://securities.st
			Court,			omissions which caused	anford.edu/1012/
4/27/2001	Summit Technology, Inc.	Class action	Massachusetts	Settlement	\$3,330,000.00	artificial stock price inflation	BEAM96/

	1				1		
	Sumrall Oil, Prince Oil, Spaceway, Southern Oil, and Moak Petroleum	Mississippi Attorney General		Settlement	\$293,254.61	Price gouging after Hurricane Katrina	http://www.lawyers andsettlements.co m/settlements/081 82/gas-price- gouging.html
			US District				http://securities.st
			Court, New			Company failed to disclose	anford.edu/1012/
12/13/2004	Sun Healthcare Group, Inc.	Class action	Mexico	Settlement	\$5,000,000.00	material adverse information	SHG99/
11/15/1999	SunAmerica Securities, Inc.	Class action	US District Court, Washington	Settlement	\$7,000,000.00	Securities fraud	http://securities.st anford.edu/1012/ SUNAMERICA99 /
			US District				http://www.nytime s.com/2002/08/10 /business/judge- approves- sunbeam-
9/30/2002	Sunbeam Corporation	Class action	Court, Florida	Settlement	\$31,000,000.00	Securities fraud	settlement.html
7/16/2001	Sunglass Hut International	Class action	US District Court, Florida	Settlement	\$4,500,000.00	Company issued materially false and misleading statements	http://securities.st anford.edu/1012/ RAYS97/
	Sunrise Senior Living, Inc.	Class action	US District Court, District of Columbia	Settlement	\$13,500,000.00		SRZ_01/
3/4/2005	Sunterra Corporation	SH action		Settlement	\$4,450,000.00	Securities violations	http://www.lawyers
7/04/0537	Con Thomas Marks Conn.	Consolidated	US District Court, Illinois,	Outlean		Misleading disclosures and omissions regarding certain non-competition payments and the payment of allegedly	http://securities.st anford.edu/news- archive/2007/200 70731_Settlement 103800_Writer.ht
1/31/2007	Sun-Times Media Group Inc.	class action	Canada	Settlement	φ30,000,000.00	excessive management fees.	ml

						Company inflated revenue	
			US District			projections and failed to	http://securities.st
	Superconductor Technologies,		Court.			disclose and misrepresented	anford.edu/1030/
10/1/2005		Class action	California	Settlement	\$4.050.000.00	material adverse facts	SCON04-01/
					, , ,	Company issused false and	
			US District			misleading statements	http://securities.st
			Court,			concerning its business and	anford.edu/1024/
9/11/2004	Supervalu, Inc.	Class action	Minnesota	Settlement	\$4,000,000.00	financial condition.	SVU02-01/
			US District				http://securities.st
			Court,			Company issued false and	anford.edu/1033/
10/2/2007	Supportsoft, Inc.	Class action	California	Settlement	\$10,700,000.00	misleading statements	SPRT04_01/
						Company knowingly or	
			US District			recklessly disseminated false	http://securities.st
			Court, New			and misleading information	anford.edu/1023/
7/28/2008	Suprema Specialties, Inc.	Class action	Jersey	Settlement	\$19,000,000.00	concerning revenues	CHEZ02-01/
						Company issued false and	
			US District			misleading statements	http://securities.st
40/00/4000			Court, North	0.00	<b>A-</b> 40 400 00	concerning financial results	anford.edu/1013/
10/20/1999	Swisher International Inc.	Class action	Carolina	Settlement	\$742,100.00	and business	SWSH98/
			US District				http://securities.st anford.edu/1013/
2/7/2002	Culton Enterprises Inc	Class action		Settlement	¢20,000,000,00	Securities violations	SYKE00/
3/1/2003	Sykes Enterprises, Inc.	Class action	Court, Florida	Settlement	\$30,000,000.00	Securities violations	STREUU/
							http://www.symbol
						Securities violations by its	.com/news/pressr
			US District			wholly owned subsidiary,	eleases/misc_telx
2/12/2004	Symbol Technologies Inc.	Class action	Court, Ohio	Settlement	\$37,000,000.00		on_final.html
2/12/2001	eymber reemielegiee me.	Olado dollori	Court, Criic	Cottlornont	ψον,σοσ,σοσ.σο	ТСІХОП	OTI_TITIOL.TICTII
							http://www.lawyers
							andsettlements.co
			US District				m/settlements/023
			Court, New				10/symboltechnol
7/6/2004	Symbol Technologies, Inc.	Class action	York	Settlement	\$102,000,000.00	Securities violations	ogiesinc2.html
							http://securities.st
	Symons International Group,		US District				anford.edu/1013/
1/21/2004	Inc	Class action	Court, Indiana	Settlement	\$1,303,750.00	Securities violations	SIGC00/

			US District Court,				http://securities.st anford.edu/1026/
12/3/2008	Syncor International	Class action	California	Settlement	\$15,000,000.00	Securities violations	SCOR02-01/
		Cadence Design				Criminal trade-secrets	
11/14/2002	Synopsis	Systems, Inc.		Settlement	\$196,900,000.00	allegations	nytimes.com
		Cadence Design					
11/14/2002	Synopsis	Systems, Inc.		Settlement	\$265,000,000.00	Civil trade-secrets allegations	nytimes.com
8/29/2003	Synsorb Biotech Inc.	Class action	US DIstrict Court, New York	Settlement		Company issued misleading information causing the stock prices to be artificially inflated and decline dramatically upon revelation of actual information	http://securities.st anford.edu/1023/ SYBB02-01/
2/28/2002	SystemSoft Corporation	Class action	US District Court, Massachusetts	Settlement	\$1,000,000.00	Securities violations	http://securities.st anford.edu/1013/ SYSE98/
	·		US District				http://securities.st
	Take-Two Interactive Software,		Court, New			Company materially	anford.edu/1022/
10/15/2002	Inc.	Class action	York	Settlement	\$7,500,000.00	represented financial results	TTWO01-01/
						Reclassification and	http://investor.talx.
						restatement of prior financial	com/phoenix.zhtm
5/4/2004	TALX Corporation	Class action		Settlement	\$5,750,000.00	earnings	l?c=74399&p=irol-
	TAP Pharmaceutical Products, Abbott Laboratories and Takeda Pharmaceutical	Illinois Attorney General	US District Court, Illinois	Settlement	\$1,860,000.00	Companies published fraudulent prices for the drug, causing government programs to overpay hundreds of millions of dollars.	http://www.lawyers andsettlements.co m/settlements/062 26/lupron_illinois. html
	Tarrant Apparel Group	IRS		Settlement/Penalt	\$14,000,000.00	Past taxes owed	http://www.lawyers andsettlements.co m/settlements/112 45/tarrant-irs-past- taxes.html
	1.			ĺ	. , ,		http://www.globen
						False and misleading	ewswire.com/new
			US District			information led to stock price	sroom/news.html?
8/10/2016	TASER International, Inc.	SH class action	Court, Arizona	Settlement	\$20,000,000.00	inflation	d=103578

			US District			Company artificially inflated	http://securities.st anford.edu/1005/
12/18/2000	TCC Industries, Inc.	Class action	Court, Colorado	Settlement	\$7,500,000.00		TELC99/
	,				<b>*</b> **,555,555		http://www.bloomb
							erg.com/apps/new
							s?pid=20601082
							&sid=aNT194TQt
			Delaware			Fairness of buyout challenged	Ogw&refer=canad
4/21/2009	TD Banknorth Inc.	SH action	Chancery Court	Settlement	\$50,000,000.00	(price accepted was too low)	a
			US District				http://securities.st
	Team Telecom International		Court, New				anford.edu/1032/
9/11/2008	Ltd. (TTI)	Class action	Jersey	Settlement	\$4,300,000.00	Securities violations	TTIL04-01/
							http://securities.st
	Technical Chemicals &		US District			Company made false and	anford.edu/1007/
8/25/2003	Products, Inc.	Class action	Court, Florida	Settlement	\$1,000,000.00	misleading statements	TCPI98/
							h. 11 //
							http://www.lawyers
							andsettlements.co m/settlements/072
			US District				52/teco-securities-
2/27/2007	TECO Energy Inc.	Class action	Court, Florida	Settlement	\$17.350,000,00	Securities violations	settlement.html
2/21/2001	TEGO Energy Inc.	Class action	Court, Florida	Settlernerit	ψ17,330,000.00	Securities violations	Settleriterit.ritirii
						Company disseminated false	
			US District			and misleading statements and	http://securities.st
			Court,			failed to disclose material	anford.edu/1007/
11/2/2000	Tee-Comm Electronics	Class action	Connecticut	Settlement	\$1.800.000.00	adverse information	TENXF98/
					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
						False and misleading	http://securities.st
			US District			statements caused artificially	anford.edu/1035/
7/11/2003	Teletek, Inc.	Class action	Court, Nevada	Settlement	\$1,120,000.00	inflated stock prices	TLTK97_01/
						Issuing false and misleading	
			US District			statements concerning its	http://securities.st
			Court, New			business and financial	anford.edu/1026/
9/11/2006	Tellium, Inc.	Class action	Jersey	Settlement	\$5,500,000.00	condition	TELM02-01/

	T.	1		1	1	I	1
						Company issued false and	
						misleading statements to the	
			US District			investing public regarding its	http://securities.st
			Court,			business, operations, financial	anford.edu/1007/
11/9/2001	Tel-Save Holdings	Class action	Pennsylvania	Settlement		condition and performance.	TALK98/
	l con				<del></del>		http://www.australi
							anit.news.com.au/
						Failure to disclose material	story/0,24897,227
			Federal Court,			information caused artificially	42934-
11/12/2007	Telstra	SH action	Sydney	Settlement	\$5,000,000.00	inflated stock prices	15306,00.html
						·	http://www.lawyers
						Materially false and misleading	andsettlements.co
						statements artificially inflated	m/settlements/069
1/12/2007	Telxon Corp.	Class action		Settlement	\$67,900,000.00	the price of Telxon securities	27/telxon-
							http://www.lawyers
							andsettlements.co
			US District				m/settlements/048
			Court,				00/tenet_securitie
1/12/2006	Tenet Healthcare Corp.	SH class action	California	Settlement	\$215,000,000.00		s_settlement.html
						Company made false and	http://securities.st
			US District			materially misleading	anford.edu/1015/
12/10/2002	TenFold Corporation	Class action	Court, Utah	Settlement	\$5,900,000.00		TENF00/
			US District			Company made false and	http://securities.st
	Terayon Communications		Court,			materially misleading	anford.edu/1014/
7/6/2006	Systems, Inc.	Class action	California	Settlement	\$15,000,000.00		TERN00/
			US District			Quarterly and annual financial	http://securities.st
	Terayon Communications		Court,			results were false and	anford.edu/1036/
9/18/2008	Systems, Inc.	Class action	California	Settlement	\$2,730,000.00	materially misleading	TERN_01/
						Illa mally in analysis dia m. Catting to	
						Illegally persuading Getty to	
12/1/1985	Toyooo	Donnzoil		Vardiat	\$11,100,000,000.00	abandon a merger with	
12/1/1985	I EXACU	Pennzoil		Verdict	φ11,100,000,000.00	FEIIIZUII	

							http://securities.st
			US District			Issuing material	anford.edu/1024/
0 /= /0 0 0 0			Court, Rhode			misrepresentations causing	TXT02-
3/7/2006	Textron Inc.	SH action	Island	Settlement		artificially inflated stock prices	01/index.html
						Company published	http://securities.st
0/0/0004			US District			manipulative and deceptive	anford.edu/1020/
9/3/2004	The Lincoln Company LLC	Class action	Court, Illinois	Settlement	\$2,000,000.00	press releases	HMX01/
			US District				http://securities.st
0/04/0000			Court, New		40 -00 000 00	Securities violations and	anford.edu/1027/
6/21/2006	The MIIX Group, Inc.	Class action	Jersey	Settlement	\$2,520,000.00	breaches of fiduciary duties	MHU03-01/
						False and misles din a	letter. //o o o curiti o o ot
			US District			False and misleading statements caused artificially	http://securities.st anford.edu/1007/
0/20/2004	The respection Componenties	Class asting		Cattlanaant	\$40,000,000,00	,	
9/30/2004	Theragenics Corporation	Class action	Court, Georgia	Settlement	\$10,000,000.00	inflated stock prices	TGX99/
						False and misleading	
			US District			statements about earnings and	http://eacurities.st
			Court, New			revenues caused artificially	anford.edu/1007/
9/19/2002	Think New Ideas, Inc	Class action	York	Settlement		inflated stock prices	THNK98/
3/13/2002	THIRK IVEW Ideas, ITE	Olass action	US District	Octucinent	Ψ000,000.00	imated stock prices	http://securities.st
			Court,			Company issued false and	anford.edu/1015/
5/7/2000	Thomas & Betts Corporation	Class action	Tennesee	Settlement		misleading information	TNB00/
0/1/2000	Themae & Botto Corporation	Olado adalon	101110000	Comornone	ψ 10,000,000.00	Thiologaning information	111200/
			US District			False and misleading	http://securities.st
			Court,			statements caused artificially	anford.edu/1031/
11/21/2006	Thoratec Corporation	Class action	California	Settlement	\$3,375,000.00	inflated stock prices	THOR04-01/
	'				, , ,	Company falsely represented	
						the state of its business,	
			US District			financial results, and prospects	http://securities.st
			Court,			causing its stock price to be	anford.edu/1013/
7/1/2003	THQ, Inc.	Class action	California	Settlement	\$10,150,000.00		THQI00/
						Material misrepresentations	http://securities.st
			US District			and omissions regarding the	anford.edu/1021/
7/6/2004	Tidel Technologies, Inc.	Class action	Court, Texas	Settlement	\$3,000,000.00	company's financial situation	ATMS/index.html
			US District				http://securities.st
			Court, New			Company made false and	anford.edu/1007/
11/8/2001	TIG Holdings, Inc.	Class action	York	Settlement	\$1,000,000.00	misleading statements	TIG98/

		E: 01:					
		Five Ohio pension					
		funds and the					
		Ohio Bureau of					
		Workers				The value of AOL stock was	
		Compensation				inflated before the 2001 AOL-	
1/9/2007	Time Warner	Fund		Settlement	\$144,000,000.00	Time Warner merger	
		University of					http://www.dailycal
		California, Los					.org/article/23781/
3/12/2007	Time Warner	Angeles		Settlement	\$246,000,000.00	#######################################	uc_may_settle_ov
							http://securities.st
		AOL and Time				Material misrepresentations	anford.edu/1024/
8/3/2005	Time Warner	Warner SHs		Settlement	\$3,000,000,000.00	artificially inflated stock prices	AOL02-01/
						Altering financial statements	http://www.lawyers
						from advertising revenues and	andsettlements.co
						subscriber counts at its AOL	m/settlements/032
3/25/2005	Time Warner Inc	SEC and DOJ		Settlement	\$510,000,000.00	unit	35/timewarner.htm
			US District				http://securities.st
			Court,				anford.edu/1030/
12/21/2005	Titan Corporation	Class action	California	Settlement	\$3.000.000.00	Securities violations	TTN04-01/
					+ - , ,		http://www.lawyers
							andsettlements.co
						Security breach in which	m/settlements/114
						customers' credit and debit	13/tix-discount-
		MasterCard				card data was stolen from its	stores-data-
4/2/2008	TJX Companies	International Inc.		Settlement		computer systems	breach.html
17272000	10% Companies	international inter		Cottionion	Ψ2 1,000,000.00		http://securities.st
			US District				anford.edu/1035/
6/20/2008	TNS Inc	Class action	Court, Virginia	Settlement	\$3,600,000,00	Securities violations	TNS_01/
0/20/2000	1110, 1110.	Olass action	US District	Comement		Failure to disclose and	http://securities.st
			Court, New			misrepresenting material	anford.edu/1032/
6/18/2009	Tommy Hilfiger Corporation	Class action	York	Settlement	\$16,000,000.00		TOM04-01/
0/10/2006	Torring Fillinger Corporation	Ciass action	TOIK	Settlement	φ10,000,000.00	auverse racis	http://www.b2i.cc/
			US District				Document/1938/T
		Securities class	Court, New				opship_080608.p
7/31/2000	Top Ships Inc.	action	York	Settlement	¢1 200 000 00	Accounting fraud	df
1/31/2008	rup snips inc.	action	TUIK	Settlement	ֆ1,∠∪∪,∪∪∪.∪∪	Accounting traud	uı

			US District				http://securities.st
			Court, New			Company published false and	anford.edu/1036/
7/31/2008	TOP Tankers, Inc.	Class action	York	Settlement	\$1,200,000.00	misleading statements	TOPT_01/
			US District				http://securities.st
			Court,			Company made false and	anford.edu/1007/
5/9/2002	Total Renal Care Holdings, Inc.	Class action	California	Settlement	\$25,000,000.00	misleading statements	TRL99/
			US District			-	http://securities.st
			Court, New				anford.edu/1007/
4/12/2000	Tower Semiconductor Ltd.	Class action	York	Settlement	\$16,275,000.00	Securities violations	TSEMF96/
							http://www.lawyers
							andsettlements.co
		Class action-					m/settlements/062
	Tower Tours and Coach	concierges and					15/sanfran_sights
8/30/2006	USA/Gray Line	bellmen		Settlement	\$3,100,000.00	Price fixing	eeing.html
						Company made false and	http://securities.st
			US District			misleading statements were	anford.edu/1011/
7/23/2003	Towne Services, Inc	Class action	Court, Georgia	Settlement	\$2,000,000.00	disseminated to investors	TWNE99/
			US District				http://securities.st
	Transaction Systems		Court,			Company represented its	anford.edu/1026/
3/7/2007	Architects, Inc.	Class action	Nebraska	Settlement	\$24,500,000.00	historical financial condition	TSAIE02-01/
			US District				http://securities.st
			Court,				anford.edu/1007/
3/27/2000	Transcrypt International, Inc.	Class action	Nebraska	Settlement	\$3,850,000.00	Securities violations	TRII98/
						Company made	
						misrepresentations and	
						nondisclosures of material fact	
						to the investing public	
						concerning TKTX's prospects	
						for FDA approval for the	
			US District			marketing of TKTX's Replagal	http://securities.st
			Court,			enzyme therapy for the	anford.edu/1026/
8/15/2008	Transkaryotic Therapies, Inc.	Class action	Massachusetts	Settlement	\$50,000,000.00	treatment of Fabry disease.	TKTX03-01/

	I				I		T
12/31/2003	Transmeta Corporation	Class action	US District Court, California	Settlement		Company issued false and misleading statements about Transmeta's business and its principal line of microprocessors, the Crusoe.	http://securities.st anford.edu/1018/ TMTA01/
3/31/2008		SH action	Camorna	Settlement	\$17,000,000.00		http://www.lawyers
	Trico Marine Services, Inc	Class action	US District Court, Louisiana	Settlement		Securities violations	http://securities.st anford.edu/1031/ TMAR04-01/
WELLEGOO	The maine golviese, inc	State delicit	Louisiana	Continue		Billing and collecting unauthorized charges from consumers for products or memberships that consumers never requested or consented	http://www.consu meraffairs.com/ne ws04/2008/07/trile
7/21/2008	Trilegiant	Class action	Illinois court	Settlement	\$25,000,000.00		giant_class.html
7/14/2005	Tripath Technology Inc.	Securities class action	US District Court, California	Settlement	\$200,000.00	Securities fraud	http://www.lawyers andsettlements.co m/settlements/039 26/tripath_securiit es.html
8/9/2006	Tripos, Inc.	Class action	US District Court, Missouri	Settlement		Company issued false and misleading statements	http://securities.st anford.edu/1028/ TRPS03-01/
5/22/2007	Tri-S Security Corp.	Paragon Systems, Inc. SH		Settlement	\$1,250,000.00	Securities fraud	http://www.busine sswire.com/portal/ site/google/index.j
3/23/1999	TriTeal Corporation	Class action	US District Court, California	Settlement		Company made material misstatements and omissions	http://securities.st anford.edu/1007/ TEAL97/
9/24/2002	Triton Energy Limited	Class action	US District Court, Texas	Settlement		Making materially false and misleading statements	http://securities.st anford.edu/1007/ OIL98/
7/10/2006	Tropical Sportswear International Corporation	Class action	US District Court, Florida	Settlement		Company materially misled the public by making false and misleading statements and omitting adverse information	http://securities.st anford.edu/1028/ TSIC03-01/

				T	I		1
12/18/2008	Tube Media Corporation	Class action	US District Court, Florida	Settlement		Company made false public statements and omitted material information about its failure to pay employee payroll taxes to the government	http://securities.st anford.edu/1038/ TUBMPK_01/
			US District Court.			Issuing false and misleading	http://securities.st anford.edu/1007/
4.4/4.0/00000		01 "	,			statements regarding	
11/12/2002	Turbodyne Technologies, Inc.	Class action	California	Settlement		company's financial condition	TRBD99/
						Misleading the investing public	
		Consolidated	US District			in registration statements filed	http://securities.st
		securities class	Court, New			with the SEC prior to the	anford.edu/1016/
4/20/2004	Turkcell lletisim Hizmetler	action	York	Settlement	\$19,500,000.00	offering.	TKC00/
							http://securities.st
			US District			Securities violations-	anford.edu/1017/
			Court,			misrepresentations and	TSTN01/index.ht
10/3/2003	Turnstone Systems, Inc.	Class action	California	Settlement	\$7,000,000.00	omissions	ml
							http://sanfrancisco
							.bizjournals.com/s
			US District				anfrancisco/storie
			Court,				s/2004/01/05/daily
1/5/2004	Tut Systems Inc	Class action	California	Settlement	\$10,000,000.00	Securities violations	7.html
						Securities violations-issuing a	
						series of material	http://www.lawyers
						misrepresentations to the	andsettlements.co
			US District			market, thereby artificially	m/settlements/082
		Consolidated SH	Court, New			inflating the price of the	16/tv-azteca-
5/25/2007	TV Azteca	class actions	York	Settlement	\$1,200,000.00	company's securities.	securities.html
			US District			Company issued materially	http://securities.st
			Court,			false and misleading revenue	anford.edu/1036/
2/6/2008	TVIA, Inc.	Class action	California	Settlement	\$2,850,000.00		TVIA_01/
			US District				http://securities.st
			Court, New			Company made materially false	anford.edu/1007/
4/1/2002	Twinlab Corporation	Class action	York	Settlement	\$26,000,000.00	and misleading statements	TWLB98/
	•		US District		. , , ,	<b>5</b>	http://securities.st
							anford.edu/1016/
7/20/2005	Twinlab Corporation	Class action	York	Settlement	\$3,000,000.00	Accounting irregularities	TWLB00/
	Twinlab Corporation  Twinlab Corporation		Court, New York US District Court, New		\$26,000,000.00	Company made materially false and misleading statements	http://securities.st anford.edu/1007/ TWLB98/ http://securities.st anford.edu/1016/

1		ı	ı				1
1/24/2005	TXU Corp	Class action	US District Court, Texas	Settlement		investors about the company's ability to prosper in deregulated	http://www.lawyers andsettlements.co m/settlements/028 68/txu.html
8/17/2000	Tyco International	Retirement plan participants	US District Court, New Hampshire	Settlement		acquisitions, engaging in numerous improper accounting	http://www.izardno bel.com/cases- current- detail.php?case=2
6/17/2009	Tyco international	participarits	папрыне	Settlement			http://www.lawyers
						•	andsettlements.co
						,	m/settlements/134
						• .	99/tyco-settlement-
5/20/2009	Tyco International	Michigan State		Settlement	\$24,500,000.00	plummeted	2.html
		Massachusetts State Pension Fund (Pension					
		Reserves					http://www.bizjour
		Investment				inflated stock prices (opted out	
4/00/0000	Torre betom effected	Management		0-11			tories/2009/01/19/
1/23/2009	Tyco International	Trust)		Settlement	\$1111,000,000	order to get a larger settlement)	http://www.lawyers
						compensation for losses due to	
						•	m/settlements/116
4/30/2008	Tyco International	New Jersey		Settlement		, 3,	89/tyco-nj-
	•	,				Investors were harmed by	, ,
			US District			fraudulent accounting practices	http://securities.st
			Court, New			, , ,	anford.edu/1023/
7/16/2007	Tyco International	Class action	York	Settlement	\$2,975,000,000.00	top executives	TYC02-01/

	Tyco International Ltd.		US District Court, New	Settlement	\$250,000,000.00	Securities fraud in connection with company's acquisition of	http://www.reuters. com/article/busine ssNews/idUSN11 19728920080412 http://securities.st anford.edu/1037/
8/8/2008	Tyco International, Ltd.	AMP SH action	York	Settlement	\$36,000,000.00	AMP, Inc.	TYC04_01/ http://securities.st anford.edu/news- archive/2008/200
1/21/2008	Tyson Foods Inc.	SH action	Delaware Chancery Court	Settlement		Spring loading stock options and improper consulting contracts	80121_Settlement 105274_Harris.ht ml
1/6/2006	U.S. Aggregates, Inc.		US District Court, California	Settlement	\$3,500,000.00	Value of shares dropped when company restated prior earnings reports	http://securities.st anford.edu/1018/ AGA01/index.html
						Investigation into whether investment bank misled the governments into believing that their investments were	press/2008/05/07/
5/7/2008	UBS	Massachusetts		Settlement		sufficiently low-risk to make them permissible for cities and towns under state law.	mass-govts- agencies-to- recover-35m-in-
3/10/2005	UBS	Class action-led by New York State comptroller		Settlement		Bank should have known about WorldCom's fraudulent activities and cover up	
						Compensation for a copper trading scandal and	http://www.nytime s.com/2006/04/08 /business/worldbu siness/08copper.h
4/8/2006	INR?	Sumitomo Corp.		Settlement	\$85,000,000.00	unauthorized cooper trades	tml?_r=1

	I		1				1
4/11/2005	LIBC	Laura Zublake		Verdict	¢20,000,000,00	Cov discrimination	
4/11/2005	UBS	Laura Zubiake		verdict	\$29,000,000.00	Sex discrimination	
						Firm misled investors into	
						buying bonds that were far	
		State and federal				riskier than advertised,	
0/0/0000	LIDC Financial Consists Inc.	- 10.10 - 11.10 - 10.10		Settlement/Fine		according to people briefed on	
8/8/2008	UBS Financial Services, Inc.	regulators		Settlement/Fine	\$150,000,000.00	Firm misled investors into	
						buying bonds that were far	
		State and federal		Cattle as a st/le : :: le a		riskier than advertised,	
0/0/0000	UDO Financial Condess Inc	- 10.10		Settlement/buyba		according to people briefed on	
8/8/2008	UBS Financial Services, Inc.	regulators		ck of bonds	\$19,200,000,000.00		
						Company maintained a "buy"	
			LIO District			rating on Interspeed stock	latta : //a a a contiti a a a a t
			US District			while privately sending emails	http://securities.st
0/40/0005	LIDO Westernes LLO	Interspeed SH	Court, New	0 - 111 1		that the company should be	anford.edu/1034/
8/10/2005	UBS Warburg LLC	action	York	Settlement	\$5,000,000.00	snorted	UWL03_01/
						Company violated federal	
						securities laws by concealing	
						its participation in an illegal	
						price-fixing scheme, thereby	l l
			US District			causing the price of the its	http://securities.st
			Court,			common stock to be artificially	anford.edu/1007/
1/9/2001	UCAR International, Inc.	Class action	Connecticut	Settlement	\$40,500,000.00	inflated	UCR98/
							http://securities.st
			US District			Company issued false and	anford.edu/1031/
10/12/2007	JUICI	Class action	Court, Texas	Settlement	\$6,900,000.00	misleading information	UCI04-01/

11/16/2009 Fragrances, Inc.  Class action  Court, Illinois  Settlement  \$3,750,000.00 information  UL  Company issued false and insteading statements and information information.	tp://securities.st nford.edu/1039/ LTA_01/ tp://securities.st nford.edu/1027/
11/16/2009 Fragrances, Inc.  Class action  Court, Illinois  Settlement  \$3,750,000.00 information  UL  Company issued false and http  US District  US District  US District	LTA_01/ tp://securities.st
11/16/2009 Fragrances, Inc.  Class action  Court, Illinois  Settlement  \$3,750,000.00 information  UL  Company issued false and http  us District  US District  US District	tp://securities.st
US District Company issued false and http US District misleading statements and and	tp://securities.st
	ford adu/1007/
	1101a.eau/1027/
	LTE03-01/
	tp://securities.st
Court, New and	nford.edu/1007/
2/3/2004 Ultralife Batteries, Inc. Class action Jersey Settlement \$280,000.00 Securities violations UL	LBI98/
	tp://securities.st
	nford.edu/1014/
9/30/2004 UniCapital Corporation Class action Court, Florida Settlement \$5,200,000.00 Securities violations UC	CP00/
Settlement of claims related to	
Settlement/Payme its its role in Parmalat's	
Unicredit SpA Parmalat SpA nt \$423,000,000.00 collapse	
	tp://securities.st
	nford.edu/1015/
	NFY00/
9/10/2002 Offing Corporation Class action California Settlement \$5,400,000.00 Improper accounting practices for	INI TOO/
Misrepresenting material	
information concerning the	
safety and efficiency of the	
	tp://securities.st
	nford.edu/1007/
	NP97/
	tp://www.canada
	om/vancouversu
	news/business/s
	ry.html?id=e736
	76f-5912-406a-
	69e-
	53fcde5367&p=
7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	tp://securities.st
	nford.edu/1024/
	TCI02-01/

							http://securities.st
			US District			Financial statements materially	anford.edu/1008/
5/17/1999	Unison HealthCare Corporation	Class action	Court, Arizona	Settlement	\$4,250,000.00	overstated financial results	UNHC97/
							http://securities.st
			US District			Company issued false and	anford.edu/1008/
3/28/2001	Unistar Financial Service Corp	Class action	Court, Texas	Settlement	\$1,100,000.00	misleading financial statements	UAI99/
	·		US District				http://securities.st
			Court,			Artificial stock price inflation	anford.edu/1011/
12/11/1999	Unisys Corporation	Class action	Pennsylvania	Settlement	\$5,750,000.00	and insider trading	UIS99/
							http://securities.st
			US District			Misrepresenting material	anford.edu/1008/
11/34/98	Unitech Industries, Inc.	Class action	Court, Arizona	Settlement	\$900,000.00	information	UTIIQ96/
							http://securities.st
							anford.edu/news-
							archive/2009/200
			US District			False and misleading financial	90420_Settlement
		3 class actions	Court,			statements led to inflated stock	109878_McKenzi
10/7/2008	United Rentals	(consolidated)	Connecticut	Settlement	\$27,500,000.00	prices.	e.html
							https://www.united
			US District				healthgroupsettle
			Court,				ment.com/ssl/Def
8/11/2009	UnitedHealth Group	SH Action	Minnesota	Settlement	\$925,000,000.00	Backdating of stock options	ault.aspx
							http://securities.st
			US District				anford.edu/1024/
1/21/2005	Universal Access, Inc.	Class action	Court, Texas	Settlement	\$11,000,000.00	Securities violations	UAXS02-01/
							http://securities.st
			US District			Issuing false and misleading	anford.edu/1011/
6/28/2002	UNUMProvident Corp., Inc.	Class action	Court, Maine	Settlement	\$45,000,000.00	statements	UNM99/
							http://securities.st
							anford.edu/news-
							archive/2008/200
			US District				80528_Settlement
			Court,				106897_Writer.ht
5/28/2008	UnumProvident Group	SH class action	Tennessee	Settlement	\$40,000,000.00	Artificially inflating stock prices	ml

10/10/2008	US Auto Parts Network	SH action	US District Court, California	Settlement	\$10,000,000.00	A false and misleading Registration Statement led to inflation of stock prices and sales	http://securities.st anford.edu/1037/ PRTS_01/
1/27/2005	US Corrections Corp. ESOP	Class action	US District Court, Kentucky	Verdict	\$21,669,927.00	Breach of fiduciary duty and prohibited transactions under ERISA	http://www.lawyers andsettlements.co m/settlements/028 84/esop.html
4/17/2002	US Franchise Systems, Inc.	Class action	US District Court, Georgia	Settlement	\$3,750,000.00	Issuing false and materially misleading statements	http://securities.st anford.edu/1014/ USFS00/
10/21/2003	US Interactive, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$3,250,000.00	Company issued a series of material misrepresentations to the market causing artificially inflated stock prices	http://securities.st anford.edu/1017/ USITQ01/
5/26/2006	US Liquids, Inc.	Class action	US District Court, Texas	Settlement	\$600,000.00	Company made materially false and misleading statements and omitted adverse information	
10/9/2000	US Tobacco Company	Conwood		Verdict	\$1,050,000,000.00	Antitrust violations-UST used tactics including theft and vandalism of display racks and advertising to keep competing products out of stores.	http://www.funding universe.com/com pany- histories/UST-Inc- Company- History.html
	USA Detergents, Inc.	Consolidated class action	US District Court, New Jersey	Settlement	\$10,000,000.00	Company concealed material adverse information	http://securities.st anford.edu/1011/ USAD97/
9/3/2007		Class action	US District Court, Wisconsin	Settlement	\$1,060,000,000.00	Antitrust violations-engaging in acts designed to monopolize	http://www.lawyers andsettlements.co m/settlements/092 01/snuff- antitrust.html
9/18/2009	UTStarcom, Inc.	Class action	US District Court, California	Settlement	\$9,500,000.00	Company backdated stock options	http://securities.st anford.edu/1038/ UTSI_01/

8/23/2004	Value America, Inc.	Class action	US District Court, Virginia	Settlement	\$4,600,000.00	Company made material misrepresentations and omissions	http://securities.st anford.edu/1013/ VUSA00/
11/9/2009	ValueClick, Inc.	Class action	US District Court, California	Settlement	\$10,000,000.00	Company issued false and misleading statements	http://securities.st anford.edu/1038/ VCLK_01/
10/28/1999	ValuJet Airlines, Inc.	Class action	US District Court, Georgia	Settlement	\$2,500,000.00	Company made material misrepresentations and omissions	http://securities.st anford.edu/1007/ VJET96/
3/31/2004	Van der Moolen	SEC		Settlement	\$58,000,000.00	Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million settlement with the SEC	
			US District Court, New				http://www.lawyers andsettlements.co m/settlements/067 97/vander-moolen-
7/10/2006	Van der Moolen Holding  Van Der Moolen Specialists USA	Class action  New York Stock Exchange (NYSE)		Settlement	\$8,000,000.00	Stock drop securities fraud Company's stock loan department had violated the regular stock loan transaction and committed related violations and that the company had failed to reasonably supervise the department	http://www.lawyers andsettlements.co m/settlements/058 79/van_der_mool en_specialists_us a_stock_loan.html
6/25/2004	Vans, Inc.	Class action	US District Court, California	Settlement	\$4,600,000.00	Issuing false and misleading financial statements	http://securities.st anford.edu/1029/ VANS04-01/
7/29/1999	VanStar Corporation	Class action	US District Court, California	Settlement	\$5,000,000.00		http://securities.st anford.edu/1007/ VST98/
12/31/2002	VantageMed Corporation	Class action	US District Court, California	Settlement	\$2,500,000.00	Registration Statement and Prospectus contained false and misleading information	http://securities.st anford.edu/1013/ VMDC00/

	Various manufacturers of		US District Court,				http://www.osbsett
5/5/2008		Class action	Pennsylvania	Settlement	\$120,730,000.00	Price Fixing	lement.com/
	, ,		ĺ		, , ,	3	
			US District			False and misleading	http://securities.st
	Vaso Active Pharmaceuticals		Court,			statements regarding key	anford.edu/1030/
6/3/2005	Inc.	Class action	Massachusetts	Settlement	\$1,100,000.00	products.	VAPH04-01/
							http://www.lawyers
							andsettlements.co
						Violations of the federal	m/settlements/086
			US District			securities laws, for allegedly	65/veeco-
=/40/000=		Securities class	Court, New			making a series of false and	securities-
7/10/2007	Veeco Instruments	action	York	Settlement	\$5,500,000.00	misleading statements	settlement.html
						Participation in a fraudulent	http://www.lawyers
2/22/2007	Variation Mantage Free district	000		Settlement		market timing and late trading	andsettlements.co
3/22/2007	Veras Capital Master Fund et al	SEC	US District	Settiement	\$38,000,000.00	scheme	m/settlements/075 http://securities.st
			Court,				anford.edu/1024/
4/23/2007	VeriSign, Inc.	Class action	Court, California	Settlement	\$80,000,000,00	Securities violations	VRSN02-01/
4/20/2001	Voneign, me.	Oldoo dollori	US District	Cottlornont	ψου,οου,οου.ου	Cedariaes violations	http://securities.st
			Court,			Improper accounting	anford.edu/1026/
2/25/2008	Veritas Software Corporation	Class action	California	Settlement	\$35,000,000.00		VRTS03-01/
	·		US District		, , ,		http://securities.st
			Court,			Issuing misleading statements	anford.edu/1031/
7/31/2008	Veritas Software Corporation	Class action	Delaware	Settlement	\$21,500,000.00	regarding contract negotiations	VRTS04-01/
						False statements,	
			US District			misrepresentations and	http://securities.st
			Court,			omissions caused artificially	anford.edu/1017/
1/31/2003	Versata, Inc.	Class action	California	Settlement	\$10,450,000.00	inflated stock prices	VATA01/
			110 5:				
			US District			Securities violations-	http://securities.st
0/47/4000	Varactility In a	Class setion	Court, New	Cottlement		misrepresentations in order to	anford.edu/1011/
9/17/1998	Versatility, Inc.	Class action	York	Settlement		artificially inflate stock prices.	VERS98/
			US District			Misleading investors by issuing materially false and misleading	anford.edu/1007/
0/30/2002	Vesta Insurance Group, Inc.	Class action	Court, Alabama	Settlement	\$61,000,000.00	,	VTA98/
3/30/2002	vesia irisurance Group, Inc.	Ciass action	Court, Alabama	Settlement	φο 1,000,000.00	IIIIOIIIIallOII	A 1 V 30/

			US District			Company issued false and	http://securities.st
	Veterinary Centers of America,		Court,			misleading statements about its	'
10/22/1998		Class action	California	Settlement		business plan and operations	VCA97/
			US District			Company artificially inflated	http://securities.st
			Court,			stock prices by concealing	anford.edu/1031/
5/17/2007	Vicuron Pharmaceuticals, Inc.	Class action	Pennsylvania	Settlement	\$12,750,000.00	material adverse information	MICU04-01/
			US District				http://securities.st
		Consolidated	Court,				anford.edu/1033/
7/31/2007	Viisage Technology, Inc.	class action	Massachusetts	Settlement	\$2,300,000,00	Securities violations	VISG05_01/
170172001	t neago i comiciogy, mei	0.000 00.0	····accaci.iacciic		<u> </u>		110000_01/
						Materially false and misleading	http://securities.st
			US District			statements caused overstated	anford.edu/1029/
12/1/2005	Virbac Corporation	Class action	Court, Texas	Settlement	\$3,125,000.00	financial results	VBACE03-01/
						Company made highly positive	http://securities.st
			US District			statements about one of its	anford.edu/1023/
44/00/0004	Visa Disassas Inc.	Olasa astissa	Court,	0-41		drugs and failed to disclose	VPHM02-
11/23/2004	ViroPharma Inc.	Class action	Pennsylvania	Settlement	\$9,000,000.00	adverse information	01/index.html
							http://blogs.wsj.co
							m/law/2008/06/26/
							amex-boies-land-
							another-huge-
							antitrust-
							settlement/?mod=
6/26/2008	Visa	American Express		Settlement	\$2,250,000,000.00	Antitrust violations	googlenews_wsj
							http://www.allbusin
							ess.com/retail-
							trade/food-
		Class action-					stores/4254377-
5/1/2003	Visa and Mastercard	retailers		Settlement	\$3,000,000,000.00	Lawsuit over debit card fees	1.html
							http://www.lawyers
						Overcharging cardholders	andsettlements.co
						when converting foreign	m/settlements/059
	Visa USA, MasterCard and					transactions into dollars and	89/credit_card_se
7/26/2006	Diners Card	Class action		Settlement	\$336,000,000.00	failing to disclose all fees.	ttlement.html
10/28/2008	Visa, MasterCard	Discover		Settlement	\$2,750,000,000.00	Antitrust violations	http://www.lawyers

0/2/2000	Viseon, Inc.	Class action	US District Court, Texas	Settlement	\$550,000,00	Securities violations	http://securities.st anford.edu/1037/ VSNI_01/
9/2/2009	Viseon, inc.	Ciass action	Court, Texas	Settlement	\$550,000.00	Securities violations	http://securities.st
			US District				anford.edu/1007/
9/22/2003	Vision Twenty-One, Inc.	Class action	Court, Florida	Settlement	\$2,500,000,00	Securities violations	EYES99/
0/22/2000	Vicion 1 Wenty One, me.	Class asilon	Court, Fronta	Comornoni	Ψ2,000,000.00	Coodinios violations	212000/
			US District			Company materially overstated	http://securities.st
			Court,			earnings by failing to disclose	anford.edu/1013/
8/29/2003	VisionAmerica, Inc.	Class action	Tennessee	Settlement	\$3,400,000.00	adverse information	VSNA00/
							http://securities.st
			US District			Revenue and earnings reports	anford.edu/1007/
3/14/1997	VISTA 2000, Inc.	Class action	Court, Georgia	Settlement	\$300,000.00	were false and misleading	VISTE96/
							http://securities.st
			US District			,	anford.edu/1031/
9/29/2006	VistaCare, Inc.	Class action	Court, Arizona	Settlement	\$4,600,000.00	revenue and earnings	VSTA04-01/
			Melbourne,				http://www.lawyers andsettlements.co m/settlements/095 91/recycling-price-
10/10/2007	Visy Industries pays	Class action	Australia	Settlement	\$26,800,000.00	Antitrust violations-price fixing	fixing.html
	Vitamin makers-Hoffman LaRoche, BASF AG, Rhone- Poulenc; Daiichi Pharmaceutical Co., Eisai Co., and Takeda Chemical						http://www.lawyers andsettlements.co m/settlements/058 33/hoffman_laroc he_basf_rhone_et
7/1/2006	Industries Ltd.	Class action		Settlement	\$310,000,000.00	International price fixing	al.html
		Consolidated	US District Court,			Stock options backdating and	http://securities.st anford.edu/1036/
	Vitesse Semiconductor Corp.	class action	California	Settlement		accounting manipulations	VTSS_01/
2/27/2007	Vivendi Universal	SEC		Settlement		Securities violations	http://www.lawyers
			US District Court,			False and misleading statements led to artificial stock	http://securities.st anford.edu/1007/
5/4/1999	Vivus, Inc.	Class action	California	Settlement	\$6,000,000.00	price inflation	VVUS98/

							http://www.lawyers
			US District				andsettlements.co
			Court, New				m/settlements/032
3/25/2005	Vodafone Group	Class action	York	Settlement	\$24,500,000.00	Securities violations	36/vodafone.html
	·					Material misrepresentations	http://securities.st
			US District			caused artificially inflated stock	anford.edu/1027/
3/30/2007	VoiceFlash Networks, Inc.	Class action	Court, Florida	Settlement	\$600,000.00	prices	VFNX03-01/
							http://www.lawyers
						Securities fraud and violations	andsettlements.co
						of the SEC's broker-dealer	m/settlements/077
						books and records and	62/vonage-
4/20/2007	Vonage	SEC		Settlement	\$22,500,000.00	reporting provisions	ceo.html
							http://www.lawyers
							andsettlements.co
							m/settlements/116
						Company knowingly ignored a	48/wachovia-
						third-party telemarketers' use	telemarketer-
						of bank accounts to steal from	check-
4/25/2008	Wachovia	Class action		Settlement	\$125,000,000.00		cashing.html
1/20/2000	TTGGTGTG	SEC and multiple		Cottionicine	ψ120,000,000.00		odorii ig.i id.iii
		states, led by				Company misled investors in	
8/16/2008	Wachovia	Missouri		Settlement/Fine		auction-rate securities	
		SEC and multiple			<b>* , ,</b>		
		states, led by				Company misled investors in	
8/16/2008	Wachovia	Missouri		Stock buyback		auction-rate securities	
				·			http://www.creditc
							ards.com/credit-
							card-
							news/wachovia-
		SEC and					telemarketer-
		consolidated class					settlement-occ-
9/25/2009	Wachovia	action		Settlement	\$150,000,000.00	Liability for inappropriate acts co	
						Failure to adequately supervise	
						its fee-based brokerage	andsettlements.co
6/28/2007	Wachovia	NASD		Settlement	\$2,000,000.00	business	m/settlements/085

	T				1		1
							http://www.lawyers
							andsettlements.co
							m/settlements/127
							13/wachovia-
							settles-
			US District			Involvement with telemarketers	telemarketing-
			Court,			who removed money from	suits-200-
10/10/2008	Wachovia	Class action	Pennsylvania	Settlement	\$200,000,000.00	customer accounts	million.html
						Failing to prevent conflicts of	http://www.lawyers
						interests for its stock analysts,	andsettlements.co
		<b>US Government</b>				which could have resulted in	m/settlements/058
7/11/2006	Wachovia Corp.	and DC		Settlement	\$25,000,000.00	biased research	44/wachovia_corp
	·					False and misleading	
			US District			statements about strong sales	http://securities.st
			Court, New			caused artificially inflated stock	anford.edu/1015/
2/20/2004	Warnaco Group, Inc	Class action	York	Settlement	\$12,850,000.00	prices	WAC00/
	·	Class action					
		involving 34					
6/12/2007	Warner Chilcott	states and DC		Settlement	\$5,500,000.00		
							http://www.courtho
							usenews.com/200
							9/07/14/Judge_Ap
			US District			Making false and misleading	proves_\$16_5M_
		Employee class	Court, New			statements regarding the	Warner_Chilcott_
4/29/2008	Warner Chilcott Ltd.	action	York	Settlement	\$16,500,000.00	company's birth control pills.	Deal.htm
							http://www.lawyers
							andsettlements.co
							m/settlements/089
							40/contraceptive-
8/9/2007	Warner Chilcott Ltd.	Class action		Settlement	\$10,000,000.00	Antitrust violations	antitrust.html
							http://www.lawyers
							andsettlements.co
							m/settlements/031
							85/wastemanage
			US District			Securities violations related to	mentsettlement.ht
3/17/2005	Waste Management Inc.	Class action	Court, Texas	Settlement	\$457,000,000.00	a merger	ml

			US District			Company disseminated	http://securities.st
	WatchGuard Technologies,		Court,			materially false and misleading	anford.edu/1034/
8/6/2007	Inc.	Class action	Washington	Settlement	\$1,750,000.00	statements	WGRD05_01/
			US District			Company issued materially	http://securities.st
			Court, New			false and misleading	anford.edu/1029/
1/10/2007	Wave Systems Corporation	Class action	Jersey	Settlement	\$1,750,000.00	statements	WAVX04-01/
	•		US District			Financial statements did not	http://securities.st
	Wellcare Management Group,		Court, New			accurately reflect financial	anford.edu/1007/
12/31/1999	Inc.	Class action	York	Settlement	\$2,500,000.00		WELL96/
		Wells Fargo	US District				http://securities.st
		Family of Mutual	Court,			Failure to disclose material	anford.edu/1035/
2/5/2008	Wells Fargo & Company	Funds SH	California	Settlement	\$1,150,000.00	information	WFC05_01/
							http://www.lawyers
							andsettlements.co
							m/settlements/041
		Class action-					32/wells_fargo_pr
		California					ocessing_fees.ht
8/12/2005	Wells Fargo & Company	residents		Settlement	\$34,000,000.00	Overcharging customers	ml
							http://www.legalne
							wswatch.com/422/
							wells-fargo-settles-
							class-action-
							lawsuit-for-67-
8/30/2004	Wells Fargo & Company	Class action		Settlement	\$6,700,000.00	Illegally selling customers' person	million
							http://www.allbusin
			US District				ess.com/legal/litig
		Class action-	Court,			Violations of the Fair Labor	ation/3926105-
10/9/2006	Wells Fargo & Company	employees	California	Settlement	\$12,800,000.00	Standards Act	1.html
		Wells Fargo	US District				
		Family of Mutual	Court,			Failure to disclose material	
2/5/2008	Wells Fargo & Company	Funds SH	California	Settlement	\$1,150,000.00	information	
		Class action-					
		California					
8/12/2005	Wells Fargo & Company	residents		Settlement	\$34,000,000.00	Overcharging customers	

	1		,				
8/30/2004	Wells Fargo & Company	Class action		Settlement	\$6,700,000.00	Illegally selling customers' personal financial data to telemarketers	
10/9/2006	Wells Fargo & Company	Class action- employees	US District Court, California	Settlement	\$12,800,000.00	Violations of the Fair Labor Standards Act	
10/30/2008	Wells Fargo & Company	Class action	US District Court, California	Settlement		Reimbursement for home loan consultants that they are owed amounts for unreimbursed business expenses and amounts improperly deducted from their commissions to cover third party fees and wages and salaries or bonuses of Sales Associates.	
4/26/2007	Wells Fargo & Company	Class action-led by ACORN	US District Court, California	Settlement		Improper subprime mortgage lending practices-company failed to properly disclose points and prepayment penalties to borrowers.	
9/2/2009	Wells Fargo & Company	Individuals-Reed and Mary Ann Fischer	California Court of Appeals	Verdict		An uncorrected pattern of false and inaccurate credit reporting damaged the credit scores and resulted in credit denials.	
4/27/2007	Wells Fargo & Company	Class action		Settlement	\$2,400,000.00	Unlawful subprime lending practices	

							1
							http://articles.latim
						Settlement of charges of	es.com/1992-04-
						conspiring to fix interest rates	04/business/fi-
4/4/1992	Wells Fargo & Company	Class action		Settlement	\$37,500,000.00	on credit cards	326_1_wells-fargo
							http://www.lawyers
							andsettlements.co
		Class action and				Securities fraud-former CEO	m/settlements/033
		SH derivative	US District			and chief strategic officer	69/westar_securiti
9/2/2005	Westar Energy Inc.	suits	Court, Kansas	Settlement	\$32,500,000.00	looted company	es.html
							http://securities.st
			US District			Securities violations-	anford.edu/1021/
11/16/2004	WestPoint Stevens, Inc.	Class action	Court, Georgia	Settlement	\$4,250,000.00	misrepresentations	WXS01/
							http://www.lawyers
							andsettlements.co
							m/settlements/020
							81/weyerhaeuser
3/10/2004	Weyerhaeuser.	Class action		Settlement	\$34,500,000.00	Company had manipulated alde	
							http://securities.st
	White Electronic Designs		US District			Issuing false and misleading	anford.edu/1031/
1/26/2007	Corporation	Class action	Court, Arizona	Settlement	\$5,700,000.00	statements	WEDC04-01/
							http://www.lawyers
10/25/2004	Whitehall Jewellers Inc.	SEC		Settlement	\$13,000,000.00	Fraud and conspiracy charges	andsettlements.co
							http://securities.st
			US District			Misrepresentations caused	anford.edu/1030/J
7/24/2006	Whitehall Jewellers, Inc.	Class action	Court, Illinois	Settlement	\$7,500,000.00	artificially inflated stock prices	WL04-01/
						Bribing government officials in	
						Bolivia, Nigeria, and Ecuador	
			l			to win work causing delays and	
			US District			restatements of financial	anford.edu/1034/
2/26/2007	Willbros Group	Class action	Court, Texas	Settlement	\$10,500,000.00	results	WG05_01/

	T		1	I	ı		
7/10/2007	Williams Companies	Class action	US District Court, Nevada	Settlement		trades and reported false price and volume information to trade publications that compile	http://www.lawyers andsettlements.co m/settlements/086 45/natural-gas- price-fraud.html
1/17/2007	Williams Cos. Inc.	Class action	US District Court, Oklahoma	Settlement		Conspiracy to inflate the	http://securities.st anford.edu/news- archive/2007/200 70117_Settlement 102381_Price.ht ml
.,,			US District				http://securities.st
			Court,				anford.edu/1037/
12/19/2008	Wireless Facilities, Inc.	Class action	California	Settlement			WFII 01/
12/13/2000	Wireless Facilities, Irie.	Olass action	US District	Octionicit	Ψ+,500,000.00		http://securities.st
			Court,				anford.edu/1031/
3/30/2008	Wireless Facilities, Inc.	Class action	California	Settlement	\$12,000,000.00		WFII04-01/
3/30/2000	Wireless Facilities, Iric.	Class action	California	Settlement		Company issued materially	VVI 1104-01/
9/24/1998	Wonderware Corporation	Class action	US District Court, Pennsylvania	Settlement		false and misleading statements and omitted	http://securities.st anford.edu/1011/ WNDR96/
11/27/2016	World Health Alternatives Inc.	Class action	US District Court, Pennsylvania	Settlement		False and materially misleading statements artificially inflated company stock prices	http://securities.st anford.edu/1035/ WHAIE05_01/
						Company issued materially	http://securities.st
	WorldPort Communications,		US District			false and misleading	anford.edu/1007/
3/29/2001	Inc.	Class action	Court, Georgia	Settlement	\$5,100,000.00		WRDP99/
			US District Court, New			Statements were materially false and misleading and in violation of accounting	http://securities.st anford.edu/1017/
8/24/2005	Worldwide Xceed Group, Inc.	Class action	York	Settlement	\$4,400,000.00	3	XCED01/

10/14/2008	WSB Financial		US District Court, Washington	Settlement	\$4,850,000.00	#######################################	
	Wyeth and Wyeth Pharmaceuticals, Inc.	Class action		Settlement	\$5,200,000.00	Antitrust violations	http://www.lawyers andsettlements.co m/settlements/084 06/premarin- economic- fraud.html
			US District Court,	_			
10/21/2005	Wyndham International	employee SH	California	Settlement		Misleading SH prior to merger	
						Price gouging during Hurricane	
9/22/2007	Wyndham Worldwide	Class action		Settlement	\$190,000.00		
1/17/2005	Xcel Energy		US District Court, Minnesota	Settlement	\$70,500,000.00	Securities violations	http://www.lawyers andsettlements.co m/settlements/028 14/xcel_energy.ht ml
2/13/2006	Xchange, Inc.		US District Court, Massachusetts	Settlement		Company issued material misrepresentations	http://securities.st anford.edu/1017/ EXAP01/
12/12/2008	Xerium Technologies		US District Court, Massachusetts	Settlement	\$3,600,000.00	Securities violations	http://securities.st anford.edu/1036/ XRM_01/
6/6/2003	Xerox Corp.	SEC		Penalty	\$19,500,000.00	Misleading investors about earnings	http://www.lawyers andsettlements.co

	1	1	1	T			
5/28/2028	Xerox Corporation	Class action	US District Court, Connecticut	Settlement	\$670,000,000.00	False and materially misleading statements caused artificially inflated stock prices	http://www.xerox.c om/go/xrx/templat e/inv_rel_newsroo m.jsp?app=Newsr oom&ed_name=N R_2008March27_ Xerox_Settles_Se curities_Lawsuit&f ormat=article&vie w=newsrelease& Xcntry=USA&Xla ng=en_US
8/26/2008	Xethanol Corporation	SH action	US District Court, New York	Settlement		Misrepresentation of financial situation, management, and ethics of the company leading to inflated prices	http://www.trading markets.com/.site/ news/Stock%20N ews/1845789/
0,20,2000	7 total and Golperation	0.1.404.01.	US District	Cottlement	ΨΞ,000,000.00	to illiated prices	http://securities.st
2/20/2008	Xybernaut Corporation	Consolidated class action	Court, Delaware	Settlement	\$6,300,000.00	Company issued false and misleading information	anford.edu/1034/ XYBRE05_01/
7/10/1998	Yes! Entertainment Corporation	Securities class	US District Court, California	Settlement		Company made false and misleading statements	http://securities.st anford.edu/1007/ YESS97/
7/10/2009	Zale Corporation	Class action	US District Court, New York	Settlement		Reported results of operations were materially overstated	http://securities.st anford.edu/1036/ ZLC_01/
7/10/2008	Zaie Corporation	Class action	TOIR	Settlement	\$5,900,000.00	were materially overstated	http://pubs.acs.org /cen/news/83/i04/
1/1/2005	Zeon Chemicals	US DOJ		Settlement/Fine	\$10,500,000.00		8304dupontdow.h tml
3/1/2001	Zila, Inc.	Class action	US District Court, Arizona	Settlement	\$5,750,000.00	False and misleading statements artificially inflated	http://securities.st anford.edu/1012/ ZILA99/
			US District			Company disseminated false	http://securities.st anford.edu/1032/
4/7/2008	Zix Corporation	Class action	Court, Texas	Settlement	\$5,600,000.00	and misleading statements	ZIXI04-01/
5/2/2005	Zomax Incorporated	Securities class action		Settlement	\$2,500,000.00	Securities fraud	http://www.lawyers andsettlements.co

						Company disclosed false and	
			US District			misleading statements and	http://securities.st
			Court,			omitted material adverse	anford.edu/1033/
9/6/2005	Zomax, Inc.	Class action	Minnesota	Settlement	\$2,250,000.00	information	ZOMX04_01/
						Helping four market-timing	http://www.lawyers
						hedge funds that defrauded	andsettlements.co
5/7/2007	Zurich Capital Markets	SEC		Settlement	\$16,800,000.00	mutual funds	m/settlements/079
	Zurich Financial Services and insurance broker Arthur J. Gallagher & Co.	Class action- California, Florida, Hawaii, Maryland, Massachusetts, Oregon, Pennsylvania, Texas, Virginia and West Virginia	US District Court, New Jersey	Settlement Total	\$150,000,000.00 \$422,923,178,479.88	Price-Fixing	http://www.law.co m/newswire/cache /1202433710566. html