

Date	Defendant	Plaintiff	Court	Settlement / Verdict	Amount	Reason	More Information
12/20/2002	10 of the top 10 major Wall Street Firms	SEC		Settlement	\$1,400,000,000.00	Global Settlement-Conflicts of interest in most prestigious banks	http://www.globalpolicy.org/component/content/article/21/46806.html
4/7/2000	17 brokerage firms	US Government-US Treasury and state and local governments		Settlement	\$139,000,000.00	Yield burning-overcharging on bond deals	
7/26/2005	21st Century Holding Company	Class action	US District Court, New York	Settlement	\$525,000.00	Securities violations	http://securities.stanford.edu/1014/TCHC00/
9/9/2009	21st Century Holding Company	Class action	US District Court, Florida	Settlement	\$2,240,000.00	False and misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1037/TCHC_01/
7/27/2006	26 banks including Canadian Imperial Bank of Commerce and Goldman Sachs	Global Crossing SH		Settlement	\$84,000,000.00	Companies were involved in Global Crossing's bankruptcy	

7/8/2002	2TheMart.com	Class action	US District Court, California	Settlement	\$2,700,000.00	Securities violations-disseminating false and misleading information	http://securities.stanford.edu/1009/TMRT99/
5/18/2006	360Networks, Inc.	Class action	US District Court, New York	Settlement	\$7,000,000.00	Accounting fraud and material omissions about company's financial condition	http://securities.stanford.edu/1024/SIXQPK02-01/
12/9/2006	38 banks including Bank of America	Adelphia Communications SH action	US District Court, New York	Settlement	\$245,000,000.00	Banks were involved with Adelphia Communications which collapsed due to fraudulent accounting	http://www.big4.com/view_letter/365/deloitte_pays_210_million_to_settle_adelphia_case_45_of_total_sum.html
11/28/2000	3Com Corporation	Class action	US District Court, California	Settlement	\$259,000,000.00	Company's false and misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1009/COMS97/
8/28/2006	3M	Class action-large volume retailers	US District Court, Pennsylvania	Settlement	\$28,800,000.00	Antitrust violations-price fixing and monopolistic behavior	http://twincities.bizjournals.com/twincities/stories/2006/08/28/daily1.html?q=3M%20sued
10/1/1999	3M	LaPage		Verdict	\$68,000,000.00	Antitrust violations-monopolizing the market for transparent tape	http://www.law.com/jsp/article.jsp?id=1179479105233&pos=ataglance
	3M	Class action-direct purchasers and retailers		Settlement	\$40,000,000.00	Antitrust violations--abusing its monopoly power in the market for transparent tape by driving a competitor out of business.	http://www.law.com/jsp/article.jsp?id=1179479105233&pos=ataglance
2/9/2007	99 Cents Only Stores	Class action	US District Court, California	Settlement	\$2,200,000.00	Company's false and misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1034/NDN04_01/

5/2/2007	A.G. Edwards	SEC		Settlement	\$3,860,000.00	Financial services holding company failed to reasonably supervise brokers who placed market timing of mutual funds.	http://stlouis.bizjournals.com/stlouis/stories/2007/04/30/daily41.html
8/4/2009	A.T. Cross Company	Class action	US District Court, Rhode Island	Settlement	\$1,500,000.00	Making material misstatements and omissions in public filings and statements	http://securities.stanford.edu/1034/ATX00_01/index.html
7/25/2007	aiiPharma, Inc.	Securities class action	US District Court, North Carolina	Settlement	\$7,550,000.00	Misrepresentation and failure to disclose adverse information	http://securities.stanford.edu/1029/AAI04-01/
2/22/1999	Ames Financial Corp.	Consolidated class action	US District Court, California	Settlement	\$4,625,000.00	Securities violations	http://securities.stanford.edu/1009/AAM97/
8/12/2005	Abatix Corporation	Consolidated class actions	US District Court, Texas	Settlement	\$900,000.00	Issuing false and misleading statements and omitting adverse information	http://securities.stanford.edu/1030/ABIX04-01/
10/19/1999	Abbott Laboratories	FDA		Fine	\$100,000,000.00	Problematic audit by the FDA, quality control deficiencies	http://securities.stanford.edu/1009/ABT99/
6/6/2005	Abbott Laboratories and Geneva Pharmaceuticals	Class action		Settlement	\$30,700,000.00	Company paid to delay the introduction of the generic version of its drug, Terazosin, causing high drug prices	http://www.lawyersandsettlements.com/settlements/03686/hytrin.html
1/30/2007	Abercrombie and Fitch	Consolidated class actions	US District Court, Ohio	Settlement	\$6,050,000.00	Issuing materially false and misleading information and failing to disclose materially adverse information	http://securities.stanford.edu/1009/ANF99/
3/10/2005	ABN Amro	Class action-led by New York State comptroller		Settlement	\$278,400,000.00	Bank should have known about WorldCom's fraudulent activities and cover up	

4/19/2001	Accelerated Networks, Inc.	Consolidated class actions	US District Court, California	Settlement	\$8,000,000.00	Initial public offering contained untrue statements of material fact and omitted to state material facts	http://securities.stanford.edu/1034/ACCLE01_01/
5/16/2007	Acclaim Entertainment	Consolidated securities class actions	US District Court, New York	Settlement	\$13,650,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1027/AKLM03-01/
3/16/2007	Accredited Home Lenders Holding Company	Class action	US District Court, California	Settlement	\$22,000,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1037/LEND_01/
4/8/2003	Accredo Health, Inc.	Class action	US District Court, Tennessee	Settlement	\$33,000,000.00	Material misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1027/ACDO03-01/
10/18/2004	ACE Limited	Class action	US District Court, Pennsylvania	Settlement	\$1,950,000.00	Revenues and earnings were inflated by affirmative statements and omissions	http://securities.stanford.edu/1032/ACE04_01/
12/21/2001	ACLN, Ltd.	Class action	US District Court, New York	Settlement	\$10,000,000.00	Securities violations	http://securities.stanford.edu/1023/ASW01-01/
7/26/2001	Acrodyne Communications, Inc.	Class action	US District Court, Maryland	Settlement	\$750,000.00	Overstating inventory and gross profits	http://securities.stanford.edu/1015/ACRO00/
12/29/1998	ADAC Laboratories, Inc.	Consolidated class actions	US District Court, California	Settlement	\$20,000,000.00	Financial results were materially false and misleading	http://securities.stanford.edu/1010/ADAC98/
12/12/2003	Adaptive Broadband Corp.	Class action	US District Court, California	Settlement	\$8,212,500.00	Company provided materially false and misleading information which led to artificially inflated stock prices	
4/27/2005	Adelphia Communications	SEC and DOJ		Settlement	\$715,000,000.00	Management fraud	http://www.lawyers

3/9/2007	ADESA, Inc.	SH action	Delaware Court of Chancery	Settlement	\$340,000.00	Breach of duty in connection to merger	http://www.busineswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20070309005583&newsLang=en
2/9/2009	Advance America, Cash Advance Centers, Inc	Class action	Georgia State Court	Settlement	\$2,000,000.00	Multiple claims in connection with originating, marketing, or servicing any loan in Georgia.	http://www.earthtimes.org/articles/show/advance-america-announces-settlement-in,709951.shtml
7/2/1998	Advanced Fibre Communications, Inc.	Class action	US District Court, California	Settlement	\$20,000,000.00	Misleading investors with false information about the company's financial condition and prospects	http://securities.stanford.edu/1010/AFCI98/
4/9/1999	Advanced Lighting Technologies, Inc.	Class action	US District Court, Ohio	Settlement	\$8,400,000.00	False and misleading information about strong sales caused artificially inflated stock prices	http://securities.stanford.edu/1010/ADLT99/
5/22/2006	Advanced Marketing Services	Class action	US District Court, California	Settlement	\$6,000,000.00	Fraud and accounting scandal based on an overstatement of pre-tax earnings	http://www.lawyersandsettlements.com/settlements/05576/ams_settlement.html
3/1/2005	Advanced Neuromodulation Systems, Inc.	Class action	US District Court, Texas	Settlement	\$3,000,000.00	Quarterly press releases were false and misleading	http://securities.stanford.edu/1033/ANSI05_01/
11/27/2002	Advanced Technical Products, Inc.	Class action	US District Court, Georgia	Settlement	\$2,950,000.00	Securities violations by issuing false and misleading statements	http://securities.stanford.edu/1014/ATPX00/

2/25/2009	Advocate Health Care	Class action	US District Court, Illinois	Settlement	\$3,500,000.00	Overcharging uninsured patients	http://www.lawyersandsettlements.com/settlements/13177/advocate-settlement-approved.html
11/12/2003	Aerosonic Corporation	Consolidated class actions	US District Court, Florida	Settlement	\$5,350,000.00	False and material misrepresentations artificially inflated stock prices	http://securities.stanford.edu/1029/AIM03-01/
10/7/2002	AES Corporation	Class action	US District Court, Virginia	Settlement	\$5,000,000.00	Materially false and misleading statements omitted adverse information	http://securities.stanford.edu/1025/AES02-02/
11/5/1997	Aetna Inc.	Securities class action	US District Court, Pennsylvania	Settlement	\$83,000,000.00	Material misrepresentations and omissions regarding matters including a merger	http://securities.stanford.edu/1010/AET97/
4/25/2005	AFC Enterprises Inc.	Class action	US District Court, Georgia	Settlement	\$15,000,000.00	Improper accounting artificially increased stock prices	http://securities.stanford.edu/1027/AFCE03-01/
12/1/1998	Aid Auto Stores, Inc.	Class action	US District Court, New York	Settlement	\$525,000.00	Officers materially overstated the financial earnings of the company	http://securities.stanford.edu/1010/AIDA98/
10/29/2008	AIG	Class action		settlement	\$97,500,000.00	Compensation for damages due to securities violations	http://www.lawyersandsettlements.com
12/18/2008	AIG	Teachers Retirement System of Louisiana	Delaware Court of Chancery	Settlement	\$115,000,000.00	Company paid millions of dollars in hidden commissions and fees, for unnecessary work, to a financial services firm which the CEO was an officer.	http://www.upi.com/Business_News/2008/12/18/Former-AIG-execs-settle-suit-for-115m/UPI-28091229633321/
2/6/2006	AIG	US regulators-SEC and New York Attorney General		Settlement	\$1,640,000,000.00	Regulatory issues including improper accounting, bid-rigging and skipped payments to state workers' compensation funds.	http://www.sec.gov/news/press/2006-19.htm

12/24/2004	AIG	Consolidated class action	US District Court, Pennsylvania	Settlement	\$4,000,000.00	Involvement with PNC Financial Services Group	
1/30/2008	AIG	Class action-9 states and the District of Columbia		Settlement	\$12,500,000.00	Price fixing	http://archives.starbulletin.com/2008/01/30/news/story08.html
11/24/2004	AIG	SEC		Fine	\$10,000,000.00	Company fraudulently helped th	http://www.sec.gov/news/press/2003-111.htm
1/10/2005	AIG	SEC and DOJ		Settlement	\$126,000,000.00	Company had helped clients illegally boost profits by selling insurance products that shifted losses off of client balance sheets	http://www.lawyersandsettlements.com/settlements/02759/aig_securities.html
10/19/2007	Ainsworth	Class action		Settlement	\$8,600,000.00	Antitrust Violations	http://www.lawyersandsettlements.com/settlements/09728/ainsworth-antitrust.html

12/5/2003	Ajinomoto Co., Inc. and Ajinomoto	Class action		Settlement	\$8,200,000.00	Price fixing	http://www.lawyersandsettlements.com/settlements/01972/msglitigation.html
4/28/2000	AK Steel Holding Corporation	Class action	US District Court, Ohio	Settlement	\$4,000,000.00	Securities violations-Company made false and misleading statements about its ability to renegotiate its contracts	http://securities.stanford.edu/1014/AKS00/
4/21/2008	Alaron Trading Corp.	Commodity Futures Trading Commission		Settlement	\$299,295.00	Unauthorized trading and failing to properly supervise brokers dealing with customer accounts.	http://www.chicagobusiness.com/cgi-bin/news.pl?id=29082
9/18/1998	Alcatel S.A.	Class action	US District Court, Texas	Settlement	\$75,000,000.00	Securities violations by concealing poor results and inflating stock prices	http://securities.stanford.edu/1015/ALA98/
9/16/2004	Aldo Labiad, A.C.L.N. Ltd. and Scandinavian Car Carriers A/S.	SEC		Settlement	\$27,600,000.00	Securities violations	http://www.prnewswire.com/cgi-bin/stories.pl?AC
8/5/2003	Allaire Corporation.	Class action	US District Court, Massachusetts	Settlement	\$12,026,000.00	Securities violations	http://securities.stanford.edu/1015/ALLR00/
9/30/2005	Allegheny Energy Inc.	Consolidated SH class action	US District Court, Maryland	Settlement	\$15,050,000.00	Violation of federal securities laws by failing to disclose weaknesses in the energy marketing and trading business that it purchased from Merrill Lynch, as well as other internal control and accounting deficiencies	http://www.lawyersandsettlements.com/settlements/04347/allegheny_settlement.html

6/15/2004	Alliance Gaming Corp.	Class action	US District Court, Nevada	Settlement	\$16,000,000.00	Officers and directors caused shares to trade at artificially inflated levels through the issuance of false and misleading statements regarding the Company's business prospects	http://securities.stanford.edu/1031/AGI04-01/
2/23/2001	Alliance Pharmaceutical Corporation	Class action	US District Court, New York	Settlement	\$4,750,000.00	Company violated federal securities laws by issuing materially false and misleading registration statements in connection with its merger with Molecular Biosystems.	http://securities.stanford.edu/1017/ALLP01/
7/19/2006	Allied Irish Banks, PLC	Class action	US District Court, New York	Settlement	\$2,500,000.00	Financial reports fraudulently failed to include material losses	http://securities.stanford.edu/1023/AIB02-01/
5/28/1999	Allied Products Corporation	Class action	US District Court, Illinois	Settlement	\$3,700,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1010/ADP99/
4/16/2007	Allied World Health Assurance Co. Holdings Ltd.	Texas Attorney General		Settlement	\$2,100,000.00	Company illegally coordinated bids on excess casualty placements with principal shareholder American International Group Inc.	
1/4/2005	Alloy, Inc.	SH class action	US District Court, New York	Settlement	\$6,750,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1027/ALOY03-01/index.html

9/11/2009	Allstate	Class action/EEOC		Settlement	\$4,500,000.00	Age discrimination	http://blog.taragana.com/law/2009/09/11/allstate-agrees-to-45-million-settlement-with-about-90-former-employees-in-age-bias-case-12267/
3/16/1998	Altris Software	Securities class action	US District Court, California	Settlement	\$2,500,000.00	Company publicly touted the Company's financial growth and its record revenues, while failing to disclose numerous accounting irregularities that caused the Company to substantially overstate its earnings	http://securities.stanford.edu/1010/ALTSE98/
3/12/2005	Amazon.com	SH action	US District Court, Washington	Settlement	\$27,500,000.00	Company misled investors about inflated stock prices during the internet boom	http://www.lawyersandsettlements.com/settlements/03139/amazon_securities.html
10/20/2005	Amazon.com	Purchasers of 6.875% Premium Adjustable Convertible Securities	US District Court, Washington	Settlement	\$20,000,000.00	Providing materially false and misleading information regarding the revenues to be received by Amazon under agreements reached between the Company and its partners	http://securities.stanford.edu/1038/AMZN_02/
	Ambac Financial Group Inc.	Citigroup		Settlement/Payment	\$850,000,000.00	Company insured Citigroup CDOs that were backed by subprime mortgages	

4/16/2007	Amerada Hess Corporation	Class action	US District Court, New Jersey	Settlement	\$9,000,000.00	Securities violations related to the acquisition of Triton Energy Ltd.	http://securities.stanford.edu/1025/AHC02-01/
1/28/2003	AMERCO, Inc.	Class action	US District Court, Nevada	Settlement	\$7,000,000.00	Company violated the federal securities laws by issuing false and misleading statements	http://securities.stanford.edu/1026/UHAL03-01/
5/8/2008	America Online	State of New Mexico		Settlement	\$16,000,000.00	Compensation for lost investments that stemmed from Time Warner being acquired in 2001 by America Online.	http://www.lawyersandsettlements.com/settlements/07954/aol-stocks.html
2/24/1997	America Online, Inc.	Class action	US District Court, Virginia	Settlement	\$10,500,000.00	Securities violations	http://securities.stanford.edu/1010/AOL97/
2/13/2005	America West Airlines	SH action	US District Court, Arizona	Settlement	\$15,000,000.00	Artificially inflated value of the company.	http://www.lawyersandsettlements.com/settlements/02966/america_west.html
8/15/2008	American Airlines	FAA		Fines	\$7,100,000.00	Violating employee drug- and alcohol-testing procedures and knowingly flying airplanes that broke maintenance regulations.	http://www.corpwatcch.org/article.php?id=15158
1/19/1999	American Bank Note Holographics, Inc.	Class action	US District Court, New York	Settlement	\$14,850,000.00	Disseminating a materially false and misleading Registration Statement, press releases and public statements	http://securities.stanford.edu/1011/ABH99/
1/18/2005	American Business Financial Services, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$16,767,500.00	Statements and press releases omitted materially adverse information	http://securities.stanford.edu/1034/ABFI05_01/
3/4/2004	American Express Financial Advisors, Inc.	Class action	US District Court, New York	Settlement	\$100,000,000.00	Violation of federal securities laws and breached fiduciary duties by perpetrating a scheme to defraud its investing clients.	http://securities.stanford.edu/1030/AXP04-01/

6/17/2002	American Express Financial Advisors, Inc.	Class action	US District Court, District of Columbia	Settlement	\$31,000,000.00	Age and sex discrimination	http://www.allbusin ess.com/legal/civil-procedure-consent-decrees-orders/5962501-1.html
5/16/1997	American Image Motor Company, Inc.	Class action	US District Court, New York	Settlement	\$400,000.00	Company sold unregistered securities, made materially false and misleading statements and otherwise manipulated the market for American Image stock	http://securities.stanford.edu/1011/USMC97/
2/12/2008	American Italian Pasta Co.	SH action	Kansas City, MO	Settlement	\$25,000,000.00	Misrepresentation of fiscal data and providing misleading information showing that the company was doing well even though it was not.	http://www.flex-news-food.com/pages/14216/Pasta/USA/american-italian-pasta-company-announces-final-court-approval-federal-securities-class-action.html
8/29/2000	American Management Systems, Inc.	State of Mississippi		Settlement	\$185,000,000.00	Company failed to deliver on its	http://news.cnet.com/American-Management-Systems-gains-on-settlement-news/2100-12_3-263749.html
12/5/2006	American Stock Exchange, et. al.	Class action	US District Court, New York	Settlement	\$46,900,000.00	Antitrust violations-restricting the listing and trading of some stock option contracts to a single exchange which limited competition and increased trading costs	http://www.lawyersandsettlements.com/settlements/06792/stock-option-antitrust.html

8/22/2008	American Tower Corp.	Class action	US District Court, Massachusetts	Settlement	\$14,000,000.00	Violating the federal securities laws in connection with public statements by the Company relating to its stock option practices and related accounting.	http://securities.stanford.edu/1036/AMT_01/
9/12/2008	AmeriDebt, Inc. and DebtWorks, Inc.	FTC and class action		Settlement	\$19,700,000.00	Engaging in deceptive practices in offering and promoting credit counseling and debt management plans	http://www.ftc.gov/opa/2008/09/ameridebt.shtm
2/13/2007	Amerigroup	Class action	US District Court, Virginia	Settlement	\$5,000,000.00	Materially false and misleading statements caused shares to be traded at artificially inflated levels	http://securities.stanford.edu/1035/AGP05_01/
4/9/2008	Ameriprise	New Hampshire State Regulators		Settlement	\$4,000,000.00	Failing to report significant information about securities violations, including forgeries, as required by a previous settlement three years ago.	http://www.money-analyse.com/story/finance/asset-management/18835.html
1/23/2006	Ameriquest	New York Attorney General		Settlement	\$325,000,000.00	Using a variety of unlawful lending practices	
6/25/2004	AMF Bowling	Class action	US District Court, New York	Settlement	\$20,000,000.00	Disseminating inaccurate and misleading disclosures in connection with and following the Initial Public Offering.	http://securities.stanford.edu/1011/PIN99/

1/23/2006	Amkor Technology, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$11,250,000.00	Issuing a series of materially false and misleading statements regarding the Company's increasing financial performance	http://securities.stanford.edu/1035/AMKR_01/
3/20/1998	AML Communications, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$2,250,000.00	Company made overly optimistic statements regarding its financial performance	http://securities.stanford.edu/1011/AMLJ98/
9/16/1999	Amplidyne, Inc.	Class action	US District Court, New Jersey	Settlement	\$750,000.00	Company issued materially false and misleading statements by announcing that the Company had introduced new technology that would offer Internet subscribers high speed wireless Internet access at less cost than wired Internet access.	http://securities.stanford.edu/1011/AMPD99/
11/18/2004	Amylin Pharmaceuticals, Inc.	Class action	US District Court, California	Settlement	\$2,100,000.00	Securities violations	http://securities.stanford.edu/news-archive/2004/20041020_Settlement100090_Staff.html
3/2/1998	Anadigics, Inc.	Class action	US District Court, New Jersey	Settlement	\$11,900,000.00	Company knew yet failed to disclose adverse information and materially misrepresented the Company's financial position	http://securities.stanford.edu/1011/ANAD98/

2/2/2000	Analytical Surveys, Inc.	Class action	US District Court, Indiana	Settlement	\$4,000,000.00	Company issued materially false and misleading financial statements that violated generally accepted accounting principles (GAAP) and other financial reporting standards by materially overstating the Company's revenues, income and earnings.	http://securities.stanford.edu/1013/ANLT00/
11/23/2004	Anchor Glass Container Corporation	Class action	US District Court, Florida	Settlement	\$5,500,000.00	Statements issued during the Class Period were materially false and misleading	http://securities.stanford.edu/1033/AGCC04_01/
7/24/1997	Ancor Communications	Class action	US District Court, Minnesota	Settlement	\$1,650,000.00	Securities violations-making false and misleading statements	http://securities.stanford.edu/1011/ANCR97/
10/11/2005	Andrx Corporation	Class action	US District Court, Florida	Settlement	\$8,000,000.00	Company failed to disclose materially adverse information	http://securities.stanford.edu/1035/ADRX05_01/
7/20/2000	Anicom, Inc.	Class action	US District Court, Illinois	Settlement	\$11,000,000.00	Company issued a series of false and misleading statements during the Class Period that materially overstated Anicom's revenues, income and earnings growth.	http://securities.stanford.edu/1014/ANIC00/
6/8/2000	Anika Therapeutics, Inc.	Class action	US District Court, Massachusetts	Settlement	\$1,250,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1014/ANIK00/
4/25/1996	AnnTaylor Stores Corporation	Class action	US District Court, New York	Settlement	\$7,000,000.00	Securities violations	http://securities.stanford.edu/1011/ANN96/
7/20/2004	Annuity and Life Re (Holdings), Ltd.	Consolidated class action	US District Court, Connecticut	Settlement	\$16,500,000.00	Securities violations-issuing false and misleading information artificially inflating the price of securities.	http://securities.stanford.edu/1026/ANR02-01/

8/8/2002	Aon Corporation	Class action	US District Court, Illinois	Settlement	\$7,250,000.00	Company issued a series of materially misleading information	http://securities.stanford.edu/1025/AOC02-01/
5/2/2008	Apollo	SH action	US District Court, Arizona	Settlement	\$2,750,000.00	Stock options backdating	http://www.reuters.com/article/rbssConsumerGoodsAndRetailNews/idUSN0229749020080503
9/22/1997	Apple South, Inc.	Class action	US District Court, Georgia	Settlement	\$2,500,000.00	Fraudulent misrepresentations of company's financial position	http://securities.stanford.edu/1011/APSO97/
1/4/2008	Applica Incorporated	Class action	US District Court, Florida	Settlement	\$2,000,000.00	Issuing materially false and misleading statements that failed to include adverse information	http://securities.stanford.edu/1035/APN_01/
10/4/2007	Applied Digital Solutions, Inc.	Pacific Decision Sciences Corp. SH		Settlement	\$2,100,000.00	Breach of contract and the implied covenant of good faith and fair dealing	http://www.lawyersandsettlements.com/settlements/095
3/19/2004	Applied Digital Solutions, Inc.	Class action	US District Court, Florida	Settlement	\$5,600,000.00	Company issued a series of materially false and misleading information	http://securities.stanford.edu/1024/ADSXE02-01/
4/12/2001	Applied Micro Circuits Corporation	Class action	US District Court, California	Settlement	\$60,000,000.00	Company misrepresented its operations and prospects	http://securities.stanford.edu/1017/AMCC01/
3/11/2005	Applied Signal Technology, Inc.	Class action	US District Court, California	Settlement	\$2,700,000.00	Company failed to disclose and misrepresented material adverse facts	http://securities.stanford.edu/1033/APSG05_01/
11/14/2002	Apria Healthcare, Inc.	Class action	US District Court, California	Settlement	\$42,000,000.00	Involvement in a fraudulent scheme involving the merger that formed the company	http://securities.stanford.edu/1011/AHG98/
3/1/2004	Apropos Technology, Inc	Class action	US District Court, California	Settlement	\$4,500,000.00	Making false statements during company's IPO	http://securities.stanford.edu/1021/APRS01/

7/25/2007	Aquila Inc.	Securities class action	US District Court, Kansas	Settlement	\$10,500,000.00	Company should have recommended dumping its stock from employees' retirement funds during its risky boom years.	http://www.lawyersandsettlements.com/settlements/08796/aquila-retirement-settlement.html
2/9/2006	Aquila Inc.	Class action	US District Court, Missouri	Settlement	\$1,000,000.00	Failure to form an audit committee allowed UtiliCorp to buy back the stock at a less than optimum price per share.	http://securities.stanford.edu/1024/LA02-01/
4/13/2007	Aramark Corp.	SH class action	Delaware Chancery Court	Settlement	\$222,000,000.00	Price of shares bought were too low	http://www.eurekaprivateequity.com/All_News.asp?id=193
3/22/1996	Archer-Daniels-Midland Company	Class action	US District Court, Illinois	Settlement	\$45,000,000.00	Price fixing	http://www.nytimes.com/1996/05/22/business/judge-backs-settlement-in-archer-lawsuit.html?scp=19&sq=lawsuit%20and%20settlement&st=Search
8/28/2008	Aristocrat Leisure Limited	SH action	Australian federal court	Settlement	\$144,500,000.00	False and misleading profit statements led to dramatic stock declines	http://www.mauriceblackburn.com.au/areas/class_actions/current/aristocrat.asp
5/17/2006	ARM Financial Group Inc.	Class action	US District Court, Kentucky	Settlement	\$3,000,000.00	Investors were misled about the financial stability of ARM, a publicly traded financial services company	http://sanantonio.bizjournals.com/louisville/stories/2006/05/15/daily8.html

6/18/2003	Artemis International Solutions Corporation	Class action	US District Court, New York	Settlement	\$550,000.00	Securities violations	http://securities.stanford.edu/news-archive/2003/20030618_Settlement06_Staff.htm
10/16/1984	Arthur Andersen & Company	Chase Manhattan Bank		Settlement	\$45,000,000.00	Liability for its involvement with Drysdale Government Securities which went bankrupt	nytimes.com
10/16/1984	Arthur Andersen & Company	Fund of Funds		Verdict	\$80,700,000.00	Improperly auditing the mutual fund's books in the late 1960's	nytimes.com
11/9/2001	Arthur Andersen LLP	Waste Management SH	US District Court, Texas	Settlement	\$220,000,000.00	Auditor for Waste Management failed to discover securities violations	http://www.lawyersandsettlements.com/settlements/03185/wastemanagementsettlement.html
6/27/2002	Arthur Andersen, LLP	WorldCom SH	US District Court, Mississippi	Settlement	\$65,000,000.00	Auditor for WorldCom violated accounting principles and failed to disclose fraud	http://securities.stanford.edu/1025/WCOM02-04/
2/14/2008	Arthur Andersen, LLP	McKesson SH action	US District Court, California	Settlement	\$72,500,000.00	Auditor for McKesson HBOC	http://securities.stanford.edu/1004/MCK99/
9/30/2002	Arthur Andersen, LLP	Class action	US District Court, Florida	Settlement	\$110,000,000.00	Auditor for Sunbeam failed to discover securities fraud	http://www.nytimes.com/2002/08/10/business/judge-approves-sunbeam-settlement.html
12/2/1997	Ascend Communications	Class action	US District Court, California	Settlement	\$5,450,000.00	Securities violations-involvement with a fraudulent scheme to artificially inflate stock prices	http://securities.stanford.edu/1011/ASND97/
2/3/2000	Ashanti Goldfields Company Limited	Class action	US District Court, New York	Settlement	\$15,000,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1013/ASL00/

7/7/2004	Ashworth, Inc.	Class action	US District Court, California	Settlement	\$15,250,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/02307/ashworthinc.html
3/3/2006	Asia Pulp & Paper Company	Class action	US District Court, New York	Settlement	\$46,000,000.00	Material misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1020/PAP01/
11/23/2005	Aspen Technologies Inc.	Class action	US District Court, Massachusetts	Settlement	\$5,600,000.00	Overstating financial results	http://www.lawyersandsettlements.com/settlements/04613/aspen_tech.html
4/28/2005	Assisted Living Concepts Inc	Securities class action	US District Court, Oregon	Settlement	\$30,000,000.00	Restatements of previously released financial information	http://www.lawyersandsettlements.com/settlements/03442/assistedlivingscurities.html
11/2/2007	AstraZeneca and Bristol-Myers Squibb	Class action	US District Court, Massachusetts	Settlement	\$14,000,000.00	Drug price inflation	http://www.lawyersandsettlements.com/settlements/09878/az-bms-drug-pricing.html
3/7/2003	AstroPower, Inc.	Class action	US District Court, Delaware	Settlement	\$1,000,000.00	Securities fraud	http://securities.stanford.edu/1027/APWR03-01/
2/9/2005	AT&T	Class action-Massachusetts customers		Settlement	\$585,000.00	Overbilling	http://www.lawyersandsettlements.com/settlements/03004/att_overbilling.html
4/25/2005	AT&T Corporation	Class action	US District Court, New Jersey	Settlement	\$100,000,000.00	Misinformation caused artificially inflated stocks	http://securities.stanford.edu/1016/T00/

10/20/2006	AT&T Corporation	Wireless group SH	US District Court, New York	Settlement	\$150,000,000.00	Registration Statement and Prospectus for the Tracking Stock which failed to disclose ongoing business problems	http://securities.stanford.edu/1016/AWE00/
7/10/2002	Atchison Casting Corporation	Class action	US District Court, Kansas	Settlement	\$1,800,000.00	Securities violations	http://securities.stanford.edu/1016/FDY2001/
5/23/2001	ATI Technologies	Class action	US District Court, Pennsylvania	Settlement	\$8,000,000.00	Issuing a series of material misrepresentations	http://securities.stanford.edu/1018/ATYT01/
4/9/2005	ATI Technologies Inc.	Class action		Settlement	\$900,000.00	Inadequately warning SH of a weak quarter	http://www.lawyersandsettlements.co
1/20/1985	Atlantic Richfield Co.	Department of Energy		Settlement	\$315,000,000.00	Overpricing	
10/18/2002	Atlas Air Worldwide Holdings	Consolidated class action	US District Court, New York	Settlement	\$5,950,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1025/CGO02-01/
7/15/2008	Atlas Cold Storage Income Trust	Class action	Ontario Superior Court of Justice	Settlement	\$40,000,000.00	Company overstated income causing trust units to trade at artificially high prices	http://www.newswire.ca/en/releases/archive/July2008/15/c4667.html
7/9/2009	Atlas Mining Company	Class action	US District Court, Idaho	Settlement	\$1,250,000.00	Accounting falsities and uncertainties led to artificially inflated stock prices	http://www.idahobusiness.net/archive.htm/2009/07/10/Atlas-Mining-Co-settles-class-action-lawsuit
4/28/1997	Aura Systems	Class action	US District Court, California	Settlement	\$15,000,000.00	Securities violations	http://securities.stanford.edu/1011/AURA97/
3/31/2001	Aurora Foods, Inc.	Class action	US District Court, California	Settlement	\$36,000,000.00	Securities violations	http://securities.stanford.edu/1041/AUTH_01/
10/30/2006	Autobytel, Inc.	Class action	US District Court, California	Settlement	\$6,750,000.00	Failure to disclose material information, misrepresentation	http://securities.stanford.edu/1032/ABTL04_01/

5/30/1997	Avant! Corporation	Class action	US District Court, California	Settlement	\$47,500,000.00	Securities fraud	http://securities.stanford.edu/1011/AVNT97/
2/13/2008	Aventis	Consolidated action-state attorney generals		Settlement	\$22,700,000.00	Company defrauded Medicaid programs by illegally inflating drug prices	http://www.lawyersandsettlements.com/settlements/10854/anzemet-pricing.html
7/5/2005	Aventis Pharmaceuticals Inc. and Andrx Corp	Class action		Settlement	\$24,000,000.00	Antitrust violations-company paid to delay the manufacture of the generic version of Cardizem CD, causing inflated drug prices	http://www.lawyersandsettlements.com/settlements/03855/cardizem_antitrust.html
10/8/1997	Aviation Distributors, Inc.	Class action	US District Court, California	Settlement	\$740,000.00	False and misleading registration and prospectus	http://securities.stanford.edu/1012/ADIN97/
6/11/2007	Avista Corp.	SH class action	US District Court, Washington	Settlement	\$9,500,000.00	Company and senior executives engaged in fraud and other securities law violations	http://www.lawyersandsettlements.com/settlements/08353/avista-securities-settlement.html
11/17/1998	AvTel Communications, Inc.	Class action	US District Court, California	Settlement	\$998,000.00	Securities fraud	http://securities.stanford.edu/1011/AVCO98/index.html
10/15/2004	AXT, Inc.	Securities class action	US District Court, California	Settlement	\$2,575,000.00	Securities violations	http://securities.stanford.edu/1032/AXTI04_01/
7/23/2003	Baan Company	Class action	US District Court, DC	Settlement	\$32,500,000.00	Company misled investors by issuing false and misleading information about Baan's income and earnings	http://securities.stanford.edu/1011/BAANF98/
11/26/2003	BancBoston Mortgage Corp.,	Consumer class action		Settlement	\$40,000,000.00	Banking company set aside more than the law allows for mortgage escrow accounts of Florida homeowners.	

2/2/2004	Bancolumbia S.A.	Minority SH action	Arbitration court	Arbitration award	\$10,029,448.86	Securities violations	http://www.secinfo.com/dsvr4.113y.htm
9/12/2008	Bank of America	Massachusetts		Settlement	\$4,500,000,000.00	Buy back auction rate securities from consumers who bought flawed investment instruments	http://amlawdaily.typepad.com/amlawdaily/2008/09/bank-of-
9/12/2008	Bank of America	Massachusetts		Settlement	\$43,000,000.00	Buy back auction rate securities from state and municipal agencies who bought flawed investment instruments	http://amlawdaily.typepad.com/amlawdaily/2008/09/bank-of-america.html
7/28/2009	Bank of America	Parmalat SpA		Settlement	\$100,000,000.00	Various claims relating to Parmalat's 2003 bankruptcy	http://newsroom.bankofamerica.com/index.php?s=43&item=8507
3/4/2005	Bank of America	WorldCom SH class action	US District Court, New York	Settlement	\$460,500,000.00	Bank's involvement with WorldCom-failing to engage in proper due diligence	http://securities.stanford.edu/news-archive/2005/20050304_Settlement100420_Press.html
12/9/2000	Bank of America	SH action	Delaware Chancery Court	Settlement	\$2,500,000.00	\$5.7-million in gifts to former board members amounted to a waste of corporate funds	
1/5/1988	Bank of America	Class action	US District Court, California	Settlement	\$39,250,000.00	Mismanagement and concealing deteriorating financial condition in the early 80s	

11/13/1998	Bank of America	California state and local governments		Settlement	\$187,500,000.00	Bank mishandled hundreds of millions of dollars in bond payments between 1978 and 1995	
8/3/2009	Bank of America	Class action	US District Court, California	Settlement	\$35,000,000.00	Company used improper means in the form of overdraft fees to boost revenues	http://www.mymoneyblog.com/archives/2009/02/bank-of-america-overdraft-fee-class-action-settlement.html
2/19/2004	Bank of America	Class action	US District Court, California	Settlement	\$111,500,000.00	Company overcharged trustee fees	http://www.allbusiness.com/legal/trial-procedure-suits-claims/5630915-1.html
11/18/2000	Bank of America	US DOJ		Fine/Settlement	\$18,250,000.00	Governmental probe into sales practices in the early 1990s.	
8/10/2009	Bank of America (Countrywide Financial)	Class action	US District Court, California	Settlement	\$55,000,000.00	Employees accused the mortgage	http://www.bloomberg.com/apps/news?pid=20601103&sid=a7dmQ1anCQtE
10/6/2008	Bank of America (Countrywide Financial)	Class action-led by Illinois and California Attorneys General		Settlement	\$8,700,000,000.00	Predatory lending	
7/2/2004	Bank of America Corp	Class action		Settlement	\$69,000,000.00	Liability for its role as an underwriter for Enron and Enron-related debt offerings	http://www.ucop.edu/news/archives/2004/jul02.htm

10/15/1998	Bank of America Corp	Class action	US District Court, New York	Settlement	\$490,000,000.00	Company hid losses from loans to a hedge fund before combining with the NationsBank Corporation in 1998.	http://securities.stanford.edu/1011/BAC98/
5/24/2000	Bank of America Securities	Class action	US District Court, Alabama	Settlement	\$17,750,000.00	Securities violations	http://securities.stanford.edu/1037/FEET00_01/
9/16/2009	Bank of New York Mellon	Russian Federal Customs Service	Moscow Arbitration Court	Settlement	\$22,500,000.00	Bank executive accused of moving \$7.5 billion out of the country	http://dealbook.blogs.nytimes.com/2009/09/16/bank-of-new-york-settles-225-billion-russian-lawsuit/?scp=2&sq=lawsuit%20and%20settlement&st=Search
5/12/2007	Bank One	US Regulators		Settlement	\$90,000,000.00	Company allowed improper trading through its hedge fund	http://www.thestar.com/
12/14/1999	Bank One Corporation	Class action	US District Court, Illinois	Settlement	\$45,000,000.00	Securities violations	http://securities.stanford.edu/1013/ONE99/
3/16/2006	Bank One Corporation	Class action	US District Court, Illinois	Settlement	\$39,900,000.00	Securities violations relating to its 1998 merger	http://securities.stanford.edu/1034/ONE05_01/
1/25/1996	Bankers Trust Company	Air Products and Chemicals Inc.		Settlement	\$67,000,000.00	to settle a dispute over money-losing derivatives contracts, the companies announced yesterday.	

11/4/2006	Barclays	Enron SH		Settlement	\$144,000,000.00	Liability for involvement in Enron's collapse	http://business.timesonline.co.uk/tol/business/industry_sectors/banking_and_finance/article1086818.ece
5/30/2007	Barclays Bank	SEC	US District Court, New York	Settlement	\$11,650,000.00	Bank and a former trader for the bank engaged in securities fraud through a pattern of insider trading	http://www.lawyersandsettlements.com/settlements/08254/barclays-bank-settlement.html
2/25/2008	Barr Pharmaceuticals	Class action involving 34 states and DC		Settlement	\$5,900,000.00	Lawsuit alleged that Barr took at \$20M payment to keep a cheaper generic form of Ovcon off the market	http://www.lawyersandsettlements.com/settlements/10958/generic-oral-contraception.html
6/12/2006	Barr Pharmaceuticals Inc.	Invamed, Inc. and Apothecon, Inc		Settlement	\$22,500,000.00	Unlawfully blocking access to th	http://www.lawyersandsettlements.com/settlements/05690/barr_antitrust.html
12/27/2007	Basin Water, Inc.	Class action	US District Court, California	Settlement	\$7,000,000.00	False and misleading statements regarding the company's financial condition	http://securities.stanford.edu/1039/BWTR_01/
8/3/2004	Bausch & Lomb	Class action	US District Court, New York	Settlement	\$12,500,000.00	Company misled investors about its financial expectations	http://www.thestreet.com/stocks/healthcare/10176003.html
6/29/2007	Bawag PSK	SH securities class action	US District Court, New York	Settlement	\$140,000,000.00	Compensation for losses related to the collapse of commodities broker Refco	http://www.lawyersandsettlements.com/settlements/08551/refco-investors-settlement.html

2/3/1998	Bayard Drilling Technologies, Inc.	Class action	US District Court, Oklahoma	Settlement	\$3,100,000.00	False and misleading information disseminated in company's registration statement and prospectus	http://securities.stanford.edu/1011/BDI98/
10/18/2006	Bayer	Class action	US District Court, Kansas	Settlement	\$18,000,000.00	Antitrust violations-Price fixing	http://www.lawyersandsettlements.com/settlements/06525/bayerantitrust.html
3/6/2003	Bayer (AG) Aktiengesellschaft	Class action	US District Court, New York	Settlement	\$18,500,000.00	Company misrepresented Bayer AG's success in marketing it's Baycol cholesterol lowering drug.	http://securities.stanford.edu/1027/BAY03-01/
4/28/2008	BDO Seidman et al.	Consolidated class action	US District Court, New Jersey	Settlement	\$19,000,000.00	As former auditor, should have known about a massive fraud that doomed Suprema Specialties	http://securities.stanford.edu/1023/CHEZ02-01/
3/30/2007	Beacon Rock Capital	US Attorney's Office in Philadelphia	US District Court, Pennsylvania	Settlement	\$475,000.00	Market-timing	http://www.finalalternatives.com/node/1411
6/29/1999	Bear Stearns	SEC		Settlement/Fine	\$25,000,000.00	Reimbursement for victims A.R. Baron, a defunct stock brokerage that defrauded investors of about \$ 75 million.	
1/8/2001	Bear Stearns	Henryk de Kwiatkowski		Verdict	\$171,000,000.00	Canadian-based investor lost more than \$300 million in investments in currency markets with Bear Stearns	http://www.nytimes.com/2001/01/03/business/judge-rebuffs-bear-stearns-effort-to-reduce-171-million-award.html

3/31/2004	Bear Wagner unit of Bear Stearns	SEC		Settlement	\$16,000,000.00	Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million settlement with the SEC	bostonglobe.com
4/28/2004	Bearing Point, Inc	Class action	US District Court, Virginia	Settlement	\$1,700,000.00	Securities violations	http://www.prnewswire.com/cgi-bin/stories.pl?ACCT=109&STORY=/www/story/04-28-2004/0002161917&EDATE=
5/5/2009	Beazer Homes USA	SH action		Settlement (proposed)	\$30,500,000.00	False and misleading statements about the company's business and financial results that led to artificially inflated stock prices	http://www.forbes.com/feeds/afx/2009/05/05/afx6381568.html
12/13/2006	BellSouth	Securities class action	US District Court, Georgia	Settlement	\$35,000,000.00	Materially false and misleading financial statements led to artificially inflated stock prices	http://securities.stanford.edu/1025/BLS02-01/
12/5/2005	Bennett Environmental Inc.	Class action	US District Court, New York	Settlement	\$9,750,000.00	False and misleading statements misrepresented the financial condition of the company	http://securities.stanford.edu/1031/BEL04-01/index.html
10/22/1999	Bergen Brunswig Corporation	Consolidated class action	US District Court, California	Settlement	\$27,972,500.00	Securities violations	http://securities.stanford.edu/1011/BBC99/
12/4/2003	Bertelsmann AG's BMG Music	Class action		Settlement	\$143,000,000.00	Price fixing	http://www.lawyersandsettlements.com/settlements/01965/musicclubs.html

10/3/2007	BigBand Networks, Inc.	Class action	US District Court, California	Settlement	\$11,000,000.00	Failure to disclose material adverse information	http://securities.stanford.edu/1038/BBND_01/
4/30/1996	Biocontrol Technology, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$3,700,000.00	Issuing false and misleading information	http://securities.stanford.edu/1012/BICO96/
6/27/2008	Cathay Pacific Airways, Martinair and SAS Cargo Group, and Air France-KLM	Class action		Settlement	\$350,000,000.00	Price fixing	http://www.lawyersandsettlements.com/settlements/121
7/21/2000	Biomatrix, Inc.	Class action	US District Court, New Jersey	Settlement	\$2,450,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1014/BXM00/
12/16/2005	Bio-One Corporation	Class action	US District Court, Florida	Settlement	\$350,000.00	Company filed false and misleading financial reports with the SEC	http://securities.stanford.edu/1035/BOC05_01/
3/24/2008	Biovail Corp.	SEC		Settlement	\$10,000,000.00	Overstating earnings and hiding losses to deceive investors and meet quarterly and annual earnings targets. Mislead investors and analysts about poor performance	http://online.wsj.com/article/SB120637152130859449.html
4/23/2008	Biovail Corp.	Class action	US District Court, New York	Settlement	\$138,000,000.00	False and misleading statements regarding the launch of one of its products.	http://www.reuters.com/article/companyNews/idUSN2329824220080423
9/21/2007	BISYS Group, Inc.	SH action	US District Court, New York	Settlement	\$66,500,000.00	Securities violations	http://securities.stanford.edu/1030/BSG04/
10/25/2004	Black Box Corporation.	Class action	US District Court, Pennsylvania	Settlement	\$2,000,000.00	Securities violations	http://www.tmcnet.com/usubmit/2004/Oct/1078824.htm
10/7/2005	Blue Rhino Corporation	Class action	US District Court, California	Settlement	\$1,250,000.00	Securities violations-participation in a fraudulent scheme	http://securities.stanford.edu/1028/RINO03-01/

8/11/2008	BlueCross BlueShield of Tennessee	US Government		Settlement	\$2,100,000.00	Violations of the false claims act	http://quitamlawyer.clarislaw.com/settlements-and-verdicts/21-million-settlement-by-bluecross-blueshield-for-false-claims-act-allegations.php
3/10/2005	BNP Paribas	Class action-led by New York State comptroller		Settlement	\$37,500,000.00	Bank should have known about WorldCom's fraudulent activities and cover up	
7/3/2006	Boeing Co.	US DOJ		Settlement	\$615,000,000.00	Improperly obtaining secret military documents in order to secure government contracts	http://www.lawyersandsettlements.com/settlements/05820/boeing_antitrust.html
9/17/2001	Boeing Company	Class action	US District Court, Washington	Settlement	\$92,500,000.00	False and misleading statements artificially inflated stock prices	http://securities.stanford.edu/1012/BA97/
3/22/1996	Bollinger Industries, Inc.	Class action	US District Court, Texas	Settlement	\$400,000.00	Securities violations-false and misleading financial statements	http://securities.stanford.edu/1012/BOLL96/
4/8/2002	Boron LePore Assoc.	Class action	US District Court, New Jersey	Settlement	\$4,750,000.00	Overstatement of assets in financial reports	http://securities.stanford.edu/1012/BLPG99/
7/28/2004	Boston Chicken Inc	Class action	US District Court, Colorado	Settlement	\$2,180,000.00	Company had concealed their true financial condition with inaccurate financial statements	http://www.lawyersandsettlements.com/settlements/02355/bostonchickeninc.html

9/6/2007	Boston Stock Exchange and James Crofwell	SEC		Settlement	\$1,075,000.00	Failure to enforce the rules designed to protect investors.	http://www.lawyersandsettlements.com/settlements/092
10/25/2007	BP Plc.	US Department of Justice and the Commodity Futures Trading Commission (CFTC)		Settlement	\$303,000,000.00	Company tried to manipulate propane prices in the United States by cornering the market	http://www.lawyersandsettlements.com/settlements/09766/propane-antitrust-settlement.html
4/8/2009	BP Prudhoe Bay Royalty Trust	Class action	US District Court, Washington	Settlement	\$43,350,000.00	Securities violations	http://securities.stanford.edu/1037/BPT_01/
3/2/2005	Bradley Pharmaceuticals	Class action	US District Court, New Jersey	Settlement	\$3,500,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1033/BDY05_01/
8/1/2007	Brantley Capital Corporation	Class action		Settlement	\$3,750,000.00	Making misrepresentations about the Company's valuation and financial statements	http://securities.stanford.edu/1036/BBDC_01/
10/23/2008	Bridgestone Corporation	SH action	US District Court, Tennessee	Settlement	\$30,000,000.00	False and material misstatements designed to conceal and cover up alleged defects in one of its products leading to artificially inflated common stock prices	http://sev.prnewswire.com/auto/20081023/NETH00523102008-1.html
11/29/2001	Brightpoint, Inc.	Securities class action	US District Court, Indiana	Settlement	\$5,250,000.00	Securities violations-restatement of prior financial results	http://securities.stanford.edu/1034/CELL01_01/
11/10/2004	Bristol Meyers Squibb	Class action		Settlement	\$50,000,000.00	Antitrust violations-maintaining a monopoly on the drug Platinol	http://www.lawyersandsettlements.com/settlements/01545/platinol.html
	Bristol-Meyers Squibb Co.	US DOJ and Massachusetts Attorney General		Settlement	\$499,000,000.00	Investigation into the company's drug pricing, sales, and marketing activities.	http://www.lawyersandsettlements.com/settlements/09478/bms-drug-pricing.html

8/4/2006	Bristol-Myers Squibb	State of Louisiana		Settlement	\$1,100,000.00	Antitrust violations-maintaining a monopoly over the sale of BuSpar	http://www.lawyersandsettlements.com/settlements/06016/bms_buspar_antitrust.html
1/25/2006	Bristol-Myers Squibb Co.	Xechem International Inc.		Settlement	\$4,200,000.00	Antitrust violations-engaging in anticompetitive conduct	http://www.lawyersandsettlements.com/settlements/04861/bristol_antitrust.html
6/20/2007	Bristol-Myers Squibb Co.	Class action	US District Court, New York	Settlement	\$125,000,000.00	Securities violations related to its drug, Plavix	http://securities.stanford.edu/1037/BMY_01/
3/14/2006	Bristol-Myers Squibb Co.	Class action	US District Court, New Jersey	Settlement	\$185,000,000.00	Failure to disclose negative information about Vanlev, its potential wonder drug for hypertension	http://www.lawyersandsettlements.com/settlements/04836/bms_securities_settlement.html
9/2/2004	Bristol-Myers Squibb Co.	Consolidated class actions	US District Court, New Jersey	Settlement	\$750,000,000.00	Company had improperly booked \$1.5 billion in revenue in 2000 and 2001 by coaxing wholesalers to buy more of its drugs than they could sell.	http://securities.stanford.edu/1023/BMY02-01/
9/2/2004	Bristol-Myers Squibb.	SEC		Settlement	\$150,000,000.00	Questionable accounting and inventory management practices	http://www.thstreet.com/_tsclsii/stocks/biotech/10181
2/14/2008	British Airways	Class action		Settlement	\$140,000,000.00	Passenger and cargo price fixing	http://www.lawyersandsettlements.com/settlements/10848/fuel-surcharges-settlement.html

2/14/2008	British Airways	USDOJ		Fine	\$300,000,000.00	Passenger and cargo price fixing	http://www.lawyersandsettlements.com/settlements/10848/fuel-surcharges-settlement.html
4/29/1987	British Petroleum Company	SH action		Settlement	\$440,000,000.00	Settlement of SH challenge of B	nytimes.com
4/22/2008	Broadcom Corp.	SEC	US District Court, California	Settlement	\$12,000,000.00	Falsifying its reported income by backdating stock option grants over a five-year period	http://www.sec.gov/news/press/2008/2008-63.htm
6/24/2005	Broadcom Corp.	Class action	US District Court, California	Settlement	\$150,000,000.00	Improperly accounting for millions of dollars in warrants given to customers	http://www.lawyersandsettlements.com/settlements/03787/broadcom.html
8/15/2006	Broadwing, Inc.	Class action	US District Court, Ohio	Settlement	\$36,000,000.00	Issuing material misrepresentations into the market	http://securities.stanford.edu/1026/BRW02-01/
5/19/2005	Brocade Communications	Class action	US District Court, California	Settlement	\$160,000,000.00	Securities violations	http://securities.stanford.edu/1034/BRCD05_01/
6/24/2008	Brooks Automation, Inc.	Consolidated class action	US District Court, Massachusetts	Settlement	\$7,750,000.00	False and misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1036/BRKS_01/
4/16/1996	BT Office Products Inc.	Class action	US District Court, New York	Settlement	\$1,480,000.00	Reporting irregularities	http://securities.stanford.edu/1002/BTF96/
1/31/2008	Buca, Inc.	Class action	US District Court, Minnesota	Settlement	\$1,600,000.00	Securities violations	http://securities.stanford.edu/1034/BUCA05_01/
12/14/2006	C.R. Bard Inc.	Rochester Medical		Settlement	\$49,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/06841/cr-bard.html

12/26/2002	Cable and Wireless plc	Class action	US District Court, Virginia	Settlement	\$7,000,000.00	Securities violations-issuing materially false and misleading statements	http://securities.stanford.edu/1026/CWP02-01/
6/5/2008	Cablevision Systems Corp.	SH action	New York State	Settlement	\$34,400,000.00	Improper options backdating	http://www.reuters.com/article/technologyNews/idUSN0544856120080605
6/13/2007	Cabot Corporation	SEC	US District Court, Massachusetts	Settlement	\$10,000,000.00	Antitrust violations in setting prices for carbon black sold in the United States	http://www.lawyersandsettlements.com/settlements/08405/carbon-black-antitrust.html
7/7/2008	Cadence Design Systems, Inc.	Employee class action	US District Court, California	Settlement	\$7,700,000.00	Unpaid overtime for IT workers	http://www.lieffcabraser.com/cadence-overtime.htm
11/15/2002	Cadence Design Systems, Inc.	Class action	US District Court, California	Settlement	\$1,250,000.00	Securities violations	http://securities.stanford.edu/1034/CDN00_01/
11/22/1996	CAI Wireless Systems, Inc.	Class action	US District Court, New York	Settlement	\$3,000,000.00	Securities violations	http://securities.stanford.edu/1002/CAWS/
10/9/2002	California Amplifier	Class action	US District Court, California	Settlement	\$1,500,000.00	Securities violations	http://securities.stanford.edu/1017/CAMP01/
8/17/2000	California Software Corporation	Class action	US District Court, California	Settlement	\$1,180,000.00	Securities violations	http://securities.stanford.edu/1017/CAMP01/
10/17/2003	Cambrex Corporation	Class action	US District Court, New Jersey	Settlement	\$3,150,000.00	Improper accounting	http://securities.stanford.edu/1028/CBM03-01/
3/13/2003	Caminus Corporation	SH action	US District Court, New York	Settlement	\$1,900,000.00	Artificially inflating stock prices	http://securities.stanford.edu/1027/CAMZ03-01/
1/7/2000	Campbell Soup corporation	SH action	US District Court, New Jersey	Settlement	\$35,000,000.00	Securities violations	http://securities.stanford.edu/1013/CPB00/

8/3/2005	Canadian Imperial Bank of Commerce	Enron SHs		Settlement	\$2,400,000,000.00	Claims based on bank's involvement with Enron and accusations that the bank hid its losses	http://www.lawyersandsettlements.com/settlements/04053/cibc_enron.ht
7/20/2005	Canadian Imperial Bank of Commerce	Canadian regulators		Fine	\$125,000,000.00	Improperly trading stocks and market timing	http://www.lawyersandsettlements.com
9/6/2005	Canadian Superior Energy Inc.	Canadian SH action		Settlement	\$2,150,000.00	Issuing false and misleading statements after a project was abandoned	http://www.lawyersandsettlements.com/settlements/042
6/12/2005	Canadian Superior Energy Inc.	US SH action		Settlement	\$3,200,000.00	Issuing false and misleading statements after a project was abandoned	
3/12/2007	Canary Capital Partners LLC	US Regulators		Settlement	\$40,000,000.00	Improper trading-market timing	http://www.thestar.com/
5/17/1999	Candie's, Inc.	SH action	US District Court, New York	Settlement	\$4,000,000.00	Securities violations	http://securities.stanford.edu/1010/CAND99/
7/26/2000	CapRock Communications Corporation	Class action	US District Court, Texas	Settlement	\$11,000,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1014/CPRK00/
7/31/2007	Cardinal Health Inc.	Class action securities suit	US District Court, Ohio	Settlement	\$600,000,000.00	Subject to complaints that it violated securities laws by issuing false or misleading statements concerning the company's financial results.	http://securities.stanford.edu/1031/CAH04-02/
9/18/2008	Career Education Corporation	Class action	US District Court, Illinois	Settlement	\$4,900,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1029/CECO03-01/
5/19/2003	CareMatrix Corporation	Class action	US District Court, Massachusetts	Settlement	\$7,850,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1014/CMDC00/

8/18/2004	CareScience, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$3,300,000.00	Issuing a materially false and misleading Prospectus and Registration Statement	http://www.lawyersandsettlements.com/settlements/02385/carescienceinc.html http://securities.stanford.edu/1020/CARE01/index.html
3/11/2003	Caribiner International, Inc.	Class action	US District Court, New York	Settlement	\$15,000,000.00	Misleading and deceiving its public investors by falsely representing the business impact and success of at least five acquisitions intended to mask internal problems and the sale by insiders of millions of dollars of Caribiner stock.	http://securities.stanford.edu/1010/CWC99/
2/28/2000	Carnival Corporation	Class action	US District Court, Florida	Settlement	\$3,400,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1013/CCL00/
3/31/2008	Carnival Cruise Lines	Florida Attorney General	Florida	Settlement	\$40,000,000.00	Antitrust violations-Charging retroactive fuel charges	http://myfloridalegal.com/_852562220065EE67.nsf/0/B17DF81AE0F53A048525741D00791884?Open&Highlight=0,carnival
10/18/2004	Carolina Investors	Class action	US District Court, South Carolina	Settlement	\$42,000,000.00	Securities violations	http://www.timesanddemocrat.com/articles/2004/06/18/business/business1.txt
4/21/2006	Carreker Corporation	Class action	US District Court, Texas	Settlement	\$5,250,000.00	Misrepresenting company's financial results	http://securities.stanford.edu/1026/CANIE03-01/

3/26/2007	Carrier Access Corp.	Securities class action	US District Court, Colorado	Settlement	\$7,400,000.00	Securities violations-making false and misleading statements	http://securities.stanford.edu/1034/CACSE05_01/index.html
6/2/2003	Casden Properties Inc.	Class action		Settlement	\$83,000,000.00	Company had made misleading and illegal statements in regards to a transfer of ownership of 98 apartment property partnerships	http://www.lawyersandsettlements.com/settlements/01668/casdenpropertiesinc.html
12/24/1996	Casino Data Systems, Inc.	SH action	US District Court, Nevada	Settlement	\$5,000,000.00	Securities violations	http://securities.stanford.edu/1010/CSDS97/
8/28/2009	Cbeyond, Inc.	SH action	US District Court, Georgia	Settlement	\$2,300,000.00	Securities violations-insider trading	http://securities.stanford.edu/1039/CBEY_01/
2/27/2004	CBT Group, PLC	Class action	US District Court, California	Settlement	\$32,000,000.00	Misrepresenting and omitting material facts	http://securities.stanford.edu/1002/CBTSY/
2/28/2005	CDR Enterprises	US DOJ		Settlement	\$225,000,000.00	Securities fraud	http://www.lawyers
2/12/1999	Cell Pathways, Inc.	Consolidated SH action	US District Court, Pennsylvania	Settlement	\$3,750,000.00	Securities fraud	http://securities.stanford.edu/1002/CLPA99/
3/10/1998	CellPro, Inc	Securities class action	US District Court, Washington	Settlement	\$1,400,000.00	Securities violations	http://securities.stanford.edu/1002/CPRO98/
11/19/1998	Cellstar Corporation	Class action	US District Court, Texas	Settlement	\$6,900,000.00	Securities violations	http://securities.stanford.edu/1010/CLST96/
7/15/1997	Cellular Technical Services Company	Class action	US District Court, Washington	Settlement	\$4,100,000.00	Misrepresentations caused stock price inflation	http://securities.stanford.edu/1002/CTSC97/
4/16/1998	Cendant Corporation	Class action	US District Court, New Jersey	Settlement	\$3,186,500,000.00	Accounting irregularities	=HYPERLINK("http://securities.stanford.edu/1002/CD98/", "http://securities.stanford.edu/1002/CD98/")

9/8/2008	Cendant Corporation	Class action	Madison County Court	Settlement	\$25,000,000.00	Making unauthorized charges to their credit cards for products such as Privacy Guard, Credit Alert, Auto Vantage, Travelers Advantage and Buyers Advantage.	http://www.lawyersandsettlements.com/settlements/12563/cendant-settlement-class-action-25-million-privacy.html
6/22/1998	Cendant Corporation	Consolidated actions by holders of PRIDES securities	US District Court, New Jersey	Settlement	\$32,500,000.00	Securities violations-accounting irregularities	http://securities.stanford.edu/1042/CD98_01/
2/11/1997	Centennial Technologies	Class action	US District Court, Massachusetts	Settlement	\$1,475,000.00	Artificially inflating revenue and stock prices	http://securities.stanford.edu/1002/CTN97/
6/25/2004	Central Freight Lines, Inc.	Class action	US District Court, Texas	Settlement	\$2,600,000.00	Securities violations	http://securities.stanford.edu/1031/CENF04-01/
1/31/2005	Central Parking Corp.	Class action	US District Court, Tennessee	Settlement	\$4,800,000.00	Misinformed SH into purchasing stock at an inflated rate by showing them false financial statements	http://www.lawyersandsettlements.com/settlements/02918/central_parking.html
1/29/1996	Cephalon, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$17,000,000.00	Securities violations	http://securities.stanford.edu/1002/CEPH96/
9/19/1997	Ceridian Corp.	Class action	US District Court, Minnesota	Settlement	\$5,175,000.00	Company artificially inflated income	http://securities.stanford.edu/1002/CEN97/
7/13/2007	Ceridian Corp.	Class action (Minneapolis Firefighters' Relief Association)	Delaware Chancery Court	Settlement	\$225,000,000.00	Payout in attempt to block sale of company which would undervalue the company and the company's directors	http://www.lawyersandsettlements.com/settlements/08687/ceridian-buyout.html

9/1/2006	Cerus Corp.	Class action	US District Court, California	Settlement	\$6,000,000.00	Misrepresenting information to investors.	http://securities.stanford.edu/1029/CERS03-01/20061117_r01n_035517.pdf
12/17/2004	Charlotte Russe	Class action	US District Court, California	Settlement	\$3,900,000.00	Securities violations	http://securities.stanford.edu/1033/CHIC04_01/
8/5/2004	Charter Communications Inc.	Consolidated class actions and SH derivative suits	US District Court, California	Settlement	\$144,000,000.00	Securities violations- Questionable accounting and business practices	http://www.tmcnet.com/usubmit/2004/Aug/1063315.htm
7/8/2005	Charter Communications, Inc.	Class action	US District Court, Missouri	Settlement	\$83,000,000.00	Securities fraud	http://www.businesswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20050708005256&newsLang=en
12/27/2006	Check Point Software Technologies Ltd.	Class action	US District Court, New York	Settlement	\$13,000,000.00	Materially false and misleading statements omitting adverse information	http://www.lawyersandsettlements.com/settlements/06865/check-point-securities-settlement.html
10/4/2006	Chemtura Corp.	Class action	US District Court, Texas	Settlement	\$51,000,000.00	Artificially boosting the price of chemicals used to make rubber	http://www.lawyersandsettlements.com/settlements/06450/chemtura.html
6/3/2008	Chicago Bridge & Iron Co.	SH action	US District Court, New York	Settlement	\$10,500,000.00	Securities violations by issuing materially false statements	http://securities.stanford.edu/1035/CBI_01/
10/21/1997	Children's Place Retail Stores, Inc.	Class action	US District Court, New Jersey	Settlement	\$1,700,000.00	Issuing a materially false and misleading registration statement and prospectus	http://securities.stanford.edu/1002/PLCE97/

10/12/2004	Chiron Corporation	Class action	US District Court, California	Settlement	\$30,000,000.00	Securities violations	http://securities.stanford.edu/1032/CHIR04_01/
1/25/2008	ChoicePoint	SH class action	US District Court, Atlanta	Settlement	\$10,000,000.00	Company was negligent in the 2004 theft of records of more than 160,000 people and subsequent stock sales by top executives	http://www.lawyersandsettlements.com/settlements/10634/choicepoint-records.html
9/11/2009	Chrysler LLC	Gilbert Mohr		Settlement	\$22,600,000.00	Design defect	http://insurance.law360.com/registrations/user_registration?article_id=121630&concurrency_check=false
1/14/2005	CIB Marine Bancshares, Inc.	Consolidated class action	US District Court, Illinois	Settlement	\$3,400,000.00	Securities violations	http://securities.stanford.edu/1033/CIBHPK05_01/
2/18/2005	CIBC Mellon Trust Co.	Consolidated class action		Fine and settlement	\$5,000,000.00	Internal breach-bribery	http://www.lawyersandsettlements.com
12/8/2006	CIGNA Corp.	The Pennsylvania State Employees' Retirement System, class action	US District Court, Pennsylvania	Settlement	\$93,000,000.00	Making misleading statements to drive up the stock price.	http://www.lawyersandsettlements.com/settlements/06806/cigna-settlement.html
11/26/2003	Cinar Corp	Class action	US District Court, New York	Settlement	\$25,000,000.00	Securities violations	http://securities.stanford.edu/news-archive/2002/20021119_Settlement01_Lee.htm
7/31/2006	Cincinnati Bell Inc.	Class action		Settlement	\$36,000,000.00	Company misrepresented its financial results to boost its stock price	http://www.lawyersandsettlements.com/settlements/059

8/18/2006	Cisco Systems Inc.	SH class action	US District Court, California	Settlement	\$91,750,000.00	Misleading statements or omitted statements that were relied on by purchasers of Cisco stock	http://www.lawyersandsettlements.com/settlements/06127/cisco_securitys_settlement.html
1/14/2005	Citadel Security Software	Class action	US District Court, Texas	Settlement	\$1,750,000.00	Securities violations	http://securities.stanford.edu/1033/CDSS05_01/
6/8/2007	Citation Corporation	Dana Corp		Settlement	\$790,000.00	Overcharging	http://www.lawyersandsettlements.com/settlements/08364/auto-parts-charges.html
2/27/2002	Citibank	27 states and Puerto Rico		Settlement	\$1,600,000.00	Deceptive telemarketing practices of its marketing partners, including billing consumers for products not ordered	
8/28/2008	Citibank	Credit card customers and state of California		Settlement	\$17,500,000.00	Bank used a computer program to sweep customers' accounts of money as payment on their cards, without notifying their customers, resulting in overpayments.	
8/8/2008	Citigroup	Class action, federal and state regulators		Fine	\$100,000,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/124
8/8/2008	Citigroup	Class action, federal and state regulators		Stock buyback	\$7,000,000,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/124
3/4/2005	Citigroup	Global Crossing SH		Settlement	\$75,000,000.00	Inflated research reports and failure to disclose conflicts of interest	http://news.bbc.co.uk/2/hi/business/4313599.stm

3/28/2007	Citigroup	Focal Communications, Inc. SH	US District Court, New York	Settlement	\$13,000,000.00	Issuing false and misleading analyst reports regarding Focal that recommended the purchase of Focal common stock and which set price targets for Focal common stock, without any reasonable factual basis.	http://securities.stanford.edu/1031/FCOM04-01/
6/13/2005	Citigroup	Enron SH-led by the University of California		Settlement	\$2,000,000,000.00	Liability for company's involvement in the Enron collapse	
9/7/2001	Citigroup	North Carolina customers of the Associates First Capital Corporation		Settlement	\$20,000,000.00	Associates illegally tricked them into buying expensive and unneeded credit insurance as part of their mortgage loans	
1/10/2008	De Beers Diamond Company	Class action		Settlement	\$295,000,000.00	Anticompetitive gem prices	
9/7/2002	Citigroup	Federal Trade Commission		Settlement	\$215,000,000.00	Abusive lending practices	
3/10/2004	Citigroup	WorldCom SH	US District Court, New York	Settlement	\$2,600,000,000.00	Involvement in WorldCom's fraudulent activities	http://news.bbc.co.uk/2/hi/business/3700871.stm
6/6/2007	Citigroup Global Markets Inc.	NASD		Settlement	\$15,000,000.00	A team of financial advisers in Charlotte misled more than 200 BellSouth employees	http://www.lawyersandsettlements.com/settlements/08327/citigroup-
6/6/2005	Citigroup Inc.	SEC		Settlement	\$208,000,000.00	Investigation into the creation and operation of an affiliated transfer agent that served the Smith Barney family of mutual funds since 1999.	http://www.lawyersandsettlements.com/settlements/03667/citigroup_settlements.html

3/26/2008	Citigroup, Inc.	Enron creditors		Settlement	\$1,660,000,000.00	Liability for company's involvement in the faulty bookkeeping that led to Enron's collapse and bankruptcy in 2001.	http://www.lawyersandsettlements.com/settlements/11350/citigroup-enron-bankruptcy-fallout.html
1/16/2001	Cityscape Financial Corp.	Class action	US District Court, New York	Settlement	\$8,000,000.00	Securities fraud - affirmatively misrepresenting and failing to disclose material information regarding the lending practices of the Company's UK subsidiary, and the impact that these lending practices would have on the Company's financial results.	http://securities.stanford.edu/1002/CTYS97/
7/15/2005	Clarent Corporation	Class action	US District Court, California	Settlement	\$6,900,000.00	Securities violations	http://securities.stanford.edu/1019/CLRN01/
10/30/2000	Clarus Corporation	Class action	US District Court, Georgia	Settlement	\$4,500,000.00	Failure to disclose material adverse information	http://securities.stanford.edu/1016/CLRS00/
12/8/2003	ClearOne Communications Inc.	Class action	US District Court, Utah	Settlement	\$5,000,000.00	Material omissions and the dissemination of materially false and misleading statements concerning ClearOne's revenue and earnings caused ClearOne's stock price to become artificially inflated	http://securities.stanford.edu/1026/CLRO03-01/
1/5/2007	CMS Energy	Class action	US District Court, Michigan	Settlement	\$200,000,000.00	Materially false and misleading statements artificially inflated stock prices	http://securities.stanford.edu/1024/CMS02-01/index.html

3/2/2006	CMS Energy Corp.	Class action	US District Court, Michigan	Settlement	\$28,000,000.00	Recording fake revenue causing artificially inflated stock prices and the restatement of previously released financial data	http://securities.stanford.edu/news-archive/2005/20050709_Settlement100754_Memba.html
7/8/2005	CMS Energy Corp.	Class action	US District Court, Michigan	Settlement	\$12,000,000.00	Breach of fiduciary duty by allowing for round trip energy trading	http://securities.stanford.edu/news-archive/2005/20050709_Settlement100754_Memba.html
2/26/2009	Coast Financial Holdings, Inc.	Class action	US District Court, Florida	Settlement	\$6,349,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1037/CFHI_01/
10/20/2008	Coca-Cola Inc.	SEC	US District Court, Georgia	Settlement	\$137,500,000.00	Former executives had forced bottlers to purchase millions of dollars of unnecessary beverage concentrate in order to artificially boost sales	http://www.news4press.com/Coca-Cola-Co-Has-Agreed-to-Settle-A-Sha_373533.html
7/2/1997	Coeur D'Alene Mines Corporation	Class action	US District Court, Colorado	Settlement	\$7,000,000.00	Securities violations	http://securities.stanford.edu/1002/CDE97/
8/7/2008	Coldwell Banker, Prudential California Realty, RE/MAX, Century 21 and ERA Real Estate	Class action	California court	Settlement	\$39,300,000.00	Hazard disclosure report company for homeowners who were selling paid kickbacks to the real estate brokers in exchange for business referrals.	http://www.inman.com/news/2008/08/7/brokers-property-id-agree-39-million-settlement
12/6/2002	Cole National Corporation	Class action	US District Court, Ohio	Settlement	\$5,375,000.00	Issuing false and misleading information	http://securities.stanford.edu/1026/CNJ02-01/
2/4/2004	Columbia HCA Healthcare Corporation	Class action	US District Court, Tennessee	Settlement	\$49,500,000.00	Engaging in massive fraud in order to inflate revenues	http://securities.stanford.edu/1002/COL97/

10/31/2006	Comcast Corp.	Ex-TCI shareholder class action		Settlement	\$44,000,000.00	Breach of fiduciary duties and indemnity for AT&T's liability in its purchase of TCI	http://www.multichannel.com/article/125828-Comcast_Settles_
7/18/2005	Comdisco, Inc.	Class action	US District Court, Illinois	Settlement	\$2,475,000.00	Issuing material misrepresentations to the market	http://securities.stanford.edu/1017/CDO01/
11/30/2005	Comerica Inc.	Imperial Bancorp SH	US District Court, Michigan	Settlement	\$6,000,000.00	Artificially inflating Comerica's revenue by overstating the value of its Munder Capital Management subsidiary and under-accruing Comerica's loan loss reserves.	http://securities.stanford.edu/1025/CMA02-01/
7/8/2005	Comerica Inc.	Class action	US District Court, Michigan	Settlement	\$15,000,000.00	Securities fraud-artificially inflating revenue	http://securities.stanford.edu/1034/CMA02_01/
5/6/1998	Command Systems	Securities class action	US District Court, New York	Settlement	\$5,750,000.00	Securities violations-making false and misleading statements	http://securities.stanford.edu/1010/CMND98/
6/19/2001	Commerce One, Inc.	Consolidated class action	US District Court, New York	Settlement	\$1,000,000,000.00	Securities violations	http://securities.stanford.edu/1018/CMRC01/
9/5/2007	Commonwealth Equity Services LLP, and Detwiler, Mitchell, Fenton & Graves Inc.	SEC		Settlement	\$550,000.00	Violation of SEC laws by failing to supervise an investment manager who stole more than \$31 million from customers	http://www.lawyersandsettlements.com/settlements/09279/supervised-broker.html
5/28/2003	Commtouch Inc	Class action	US District Court, California	Settlement	\$15,000,000.00	Violations regarding antitrust provisions in the Securities Exchange act--restatements of previously released financial data	http://securities.stanford.edu/1017/CTCH01/
11/22/2002	Compaq Computer Corporation	Class action	US District Court, Texas	Settlement	\$28,650,000.00	Securities violations	http://securities.stanford.edu/1010/CPQ98/

2/25/1999	Complete Management, Inc.	Class action	US District Court, New York	Settlement	\$10,000,000.00	Issuing material misrepresentations about the company's financial condition	http://securities.stanford.edu/1002/CPMI99/
10/14/2003	CompUSA, Inc.	Class action	US District Court, Texas	Settlement	\$6,100,000.00	Securities violations	http://securities.stanford.edu/1038/CI98_01/
4/23/1998	CompuServe Corporation	Class action	US District Court, Ohio	Settlement	\$9,500,000.00	Securities violations relating to the IPO	http://securities.stanford.edu/1002/CSR96/
12/11/2003	Computer Associates International Inc.	Class action	US District Court, New York	Settlement	\$174,000,000.00	Questionable accounting practices, misleading statements and alleged insider trading.	http://securities.stanford.edu/1010/CA98/
9/23/2004	Computer Associates.	Consolidated class action and SH derivative actions	US District Court, New York	Settlement	\$144,000,000.00	Accounting fraud	http://securities.stanford.edu/news-archive/2003/20030826_Settlement06_Staff.htm
6/15/1998	Computer Learning Centers, Inc.	Class action	US District Court, Virginia	Settlement	\$7,500,000.00	Securities violations--issuing false and materially misleading statements	http://securities.stanford.edu/1004/CLCX98/
7/1/2005	Computer Sciences Corporation (CSC)	Employee class action		Settlement	\$24,000,000.00	Unpaid overtime for IT workers	http://www.lieffcabraser.com/cscwagessuit.htm
4/25/1996	Computron Software	Class action	US District Court, New Jersey	Settlement	\$15,000,000.00	Securities violations	http://securities.stanford.edu/1010/CTRN96/
1/31/2005	ConAgra Foods Inc.	SH action	US District Court, Nebraska	Settlement	\$14,000,000.00	The accounting practices of subsidiary company, United Agri Products Inc. were questioned by plaintiffs.	http://www.lawyersandsettlements.com/settlements/02906/conagra.html
11/21/2006	Concord Camera Corporation	Class action	US District Court, Florida	Settlement	\$1,500,000.00	Securities violations-artificially inflating stock prices	http://securities.stanford.edu/1023/LENS02-01/

10/24/2005	Concord EFS, Inc.	Class action	US District Court, Tennessee	Settlement	\$13,250,000.00	Issuing material misrepresentations	http://securities.stanford.edu/1025/CEFT02-02/
4/7/2000	Conseco, Inc.	Class action	US District Court, Indiana	Settlement	\$120,000,000.00	Securities violations	http://securities.stanford.edu/1013/CNC00/
10/21/2003	CONSOL Energy, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$2,700,000.00	Failure to disclose material adverse facts	http://securities.stanford.edu/1029/CNX03-01/
3/19/1997	Consolidated Delivery & Logistics, Inc.	Class action	US District Court, New York	Settlement	\$1,500,000.00	Misrepresenting and concealing material facts	http://securities.stanford.edu/1010/CDLI97/
10/4/2004	Converium Holding AG	Class action	US District Court, New York	Settlement	\$84,600,000.00	Securities violations	http://securities.stanford.edu/1032/CHR04_01/
11/5/2003	Corel Corporation	Class action	US District Court, Pennsylvania	Settlement	\$7,000,000.00	Misrepresenting or failing to disclose material information about Corel's financial condition.	http://securities.stanford.edu/1013/CORL00/
5/20/2005	Corn Products International, Inc	Class action	US District Court, Illinois	Settlement	\$6,600,000.00	Company issued materially false and misleading statements concerning future prospects.	http://securities.stanford.edu/1034/CPO05_01/
2/14/2006	Cornell Companies, Inc.	SH action	US District Court, Texas	Settlement	\$7,000,000.00	Securities violations	http://securities.stanford.edu/1023/CRN02-01/
7/23/2007	Cornerstone Propane Partners LP	Class action	US District Court, California	Settlement	\$13,500,000.00	Artificially inflating revenue	http://securities.stanford.edu/1028/CNO03-01/
2/29/2008	Covad Communications Group Inc.	SH derivative suit	Delaware Chancery Court	Settlement	\$7,000,000.00	Directors approved lavish executive contracts and junkets and engaged in self-dealing in the company's acquisition of Blue-Star Communications Group Inc	http://www.lawyersandsettlements.com/settlements/10253/covad.html

8/12/2004	CP Ships Limited	Consolidated class action	US District Court, Florida	Settlement	\$1,300,000.00	Company issued materially false and misleading statements and/or concealed material adverse facts relating to CP Ships' financial condition, including its profits and savings	http://securities.stanford.edu/1031/TEU04-01/
6/9/2003	Crayfish Co.	Class action		Settlement	\$9,000,000.00	Company did not properly disclose facts regarding its parent company	http://www.lawyersandsettlements.com/settlements/016
2/15/2008	Credit Acceptance Corp	Class action		Settlement	\$50,000,000.00	Company overcharged customers	http://www.lawyersandsettlements.com/settlements/10862/auto-financing-settlement.html
3/10/2005	Credit Suisse	Class action-led by New York State comptroller		Settlement	\$12,540,000.00	Bank should have known about WorldCom's fraudulent activities and cover up	
10/3/2002	Credit Suisse First Boston	Class action	US District Court, Massachusetts	Settlement	\$3,000,000.00	Securities violations	http://securities.stanford.edu/1025/RAZF02-01/
6/8/2006	Credit Suisse First Boston	Consolidated securities actions	US District Court, Massachusetts	Settlement	\$8,000,000.00	#####	http://securities.stanford.edu/1028/WC1103-01/

12/12/2001	Credit Suisse First Boston	Class action		Settlement	\$100,000,000.00	Company mishandled stock offerings during the final days of the 1990s bull market	
1/22/2002	Credit Suisse First Boston	SEC		Final Judgment	\$70,000,000.00	Slllocating shares in IPOs to clients who guaranteed to split with the bank any profits made by selling those shares as soon as the market opened.	
10/24/1998	Credit Suisse First Boston	Orange County, California		Settlement	\$52,500,000.00	Liability for bank's involvement in Orange County's bankruptcy	
6/19/2002	Critical Path, Inc	Class action	US District Court, California	Settlement	\$17,500,000.00	Securities violations	http://securities.stanford.edu/news-archive/2002/20020619_Settlement11_Staff.htm
6/6/2006	Crocus Investment Fund	Class action		Settlement	\$73,000,000.00	Artificial inflation of stock prices	http://www.cbc.ca/money/story/2008
1/1/2005	Crompton	Class action		Settlement	\$97,000,000.00	Price fixing	http://pubs.acs.org/cen/news/83/i04/8304dupontdow.html

21/1/08	Crompton Corporation	Securities class actions	US District Court, California	Settlement	\$20,650,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1028/CK03-01/
12/14/2004	Crossroads Systems, Inc.	Class action	US District Court, Texas	Settlement	\$4,350,000.00	Securities violations	http://www.businesswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20041208005912&newsLang=en
2/25/2005	Cryo-Cell International	Class action	US District Court, Florida	Settlement	\$7,000,000.00	Issuing false and misleading information	http://securities.stanford.edu/1028/CCELE03-01/
7/3/2002	CryoLife, Inc.	Class action	US District Court, Georgia	Settlement	\$23,250,000.00	Issuing false and materially misleading information	http://securities.stanford.edu/1024/CRY02-01/
6/9/2006	CSK Auto Corporation	Class action	US District Court, Arizona	Settlement	\$10,000,000.00	Securities violations	http://securities.stanford.edu/1036/CAO_01/
5/21/2002	Cumulus Media, Inc.	Class action	US District Court, Wisconsin	Settlement	\$13,000,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1013/CMLS00/
4/13/1999	Curative Health Services, Inc.	Consolidated class action	US District Court, New York	Settlement	\$10,500,000.00	Securities violations	http://securities.stanford.edu/1004/CURE99/
9/12/2003	Cutter & Buck Inc.	Class action	US District Court, Washington	Settlement	\$4,000,000.00	Restatement of previously issued financial results	http://securities.stanford.edu/1025/CBUKE02-01/index.html
8/8/2003	CV Therapeutics, Inc.	Class action	US District Court, California	Settlement	\$13,500,000.00	Securities violations	http://securities.stanford.edu/1028/CVTX03-01/
9/7/2005	CVS	SH action	US District Court, Massachusetts	Settlement	\$110,000,000.00	False and misleading led to artificially inflated stock prices	http://securities.stanford.edu/1020/CVS01/index.html

8/8/2007	CVS Caremark Corp.	Consolidated class action		Settlement	\$7,500,000.00	Stock options backdating	http://www.lawyersandsettlements.co
6/9/2007	CVS Caremark Corp.	SH action	Delaware Chancery Court	Settlement	\$20,000,000.00	Challenge to the buyout that formed the company	http://www.projo.com/business/content/BZ_cvsinvestors09_06-09-07_KK5V0TB.270cbaf.html
7/11/2003	CyberGuard Corporation.	Class action	US District Court, Florida	Settlement	\$10,000,000.00	Accounting irregularities caused the company's financial statements to be materially false and misleading and caused the Company's common stock prices to rise artificially	http://securities.stanford.edu/1010/CYBG98/index.html
11/6/1998	Cylink Corporation	Class action	US District Court, California	Settlement	\$6,200,000.00	Company disseminated materially false and misleading statements regarding its current financial performance and business conditions	http://securities.stanford.edu/1004/CYLK98/
2/5/2004	D&K Healthcare Resources, Inc.	Class action	US District Court, Missouri	Settlement	\$18,700,000.00	Company published financial results which failed to disclose and/or misrepresented adverse facts	http://securities.stanford.edu/1030/DKHR04-01/
12/4/2007	DaimlerChrysler	Chrysler SH		Settlement	\$300,000,000.00	Misleading SH into approving the deal after executives from both companies portrayed it as a merger of equals	http://www.lawyersandsettlements.com/settlements/06891/daimlerchrysler-settlement.html
8/22/2006	DaimlerChrysler AG	DamlerBenz SH		Settlement	\$295,000,000.00	Shares exchanged involuntarily during the merger were undervalued	http://www.lawyersandsettlements.com/settlements/061
5/30/2003	Daisytek International	Class action	US District Court, Texas	Settlement	\$6,000,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1028/DZTK03-01/

10/18/1996	DAKA International, Inc.	Class action	US District Court, Massachusetts	Settlement	\$3,500,000.00	Misrepresenting and/or omitting material information concerning the "same store" sales results and future business prospects of Fuddruckers Inc.	http://securities.stanford.edu/1004/DKAI96/
8/21/2001	Danka Business Systems, PLC	Consolidated class action	US District Court, Florida	Settlement	\$2,700,000.00	Issuing false and misleading statements regarding its acquisition of Eastman Kodak	http://securities.stanford.edu/1004/DANKY97/
7/7/2004	Darby Lumber Inc.	Class action	US District Court, Montana	Settlement	\$5,000,000.00	Securities violations	http://www.bigskylawyers.com/Documents/hagestad/ERISA-ESOP%20\$5.022%20Million%20Settlement.htm
5/24/1999	Data Systems Network Corporation	SH action	US District Court, Michigan	Settlement	\$900,000.00	Issuing misrepresentations and misleading statements	http://securities.stanford.edu/1004/DSYS98/
1/11/1999	Datastream Systems	Consolidated securities class action	US District Court, South Carolina	Settlement	\$5,000,000.00	Improper accounting and making false and misleading statements	http://www.prnewswire.com/cgi-bin/stories.pl?ACCT=105&STORY=/www/story/10-11-2000/0001335941
6/18/2009	David Richwhite and Midavia Rail Investments Ltd.	New Zealand Securities Commission		Settlement	\$15,000,000.00	Insider trading	http://www.lawyersandsettlements.com/settlements/084
11/7/2003	Dayton Power and Light Co.	Consolidated class actions		Settlement	\$145,500,000.00	Company mismanaged investments	http://cincinnati.bizjournals.com/cincinnati
1/9/2008	De Beers Diamond Company	Class action		Settlement	\$295,000,000.00	Anticompetitive price fixing	http://www.lawyersandsettlements.com/settlements/10462/de-beers-diamond-antitrust.html

11/8/2000	Del Global Technologies Corporation	Securities class action	US District Court, New York	Settlement	\$2,000,000.00	Securities violations	http://securities.stanford.edu/1016/DGTCE00/
6/1/1999	Delia's Inc.	Securities class action	US District Court, New York	Settlement	\$2,042,500.00	Misrepresenting and omitting material information concerning earnings	http://securities.stanford.edu/1010/DLIA99/
1/13/2009	Dell Inc	34 state class action		Settlement	\$3,500,000.00	Misleading customers about financing terms, warranties, and rebates	http://www.lawyersandsettlements.com/settlements/130
3/24/2007	Deloitte & Touche	SH class action	US District Court, New York	Settlement	\$50,500,000.00	Making materially false and misleading statements in its audit of now defunct Phillip Services Corporation	http://securities.stanford.edu/1006/PHV98/
1/28/2007	Deloitte & Touche LLP	Delphi SH action	US District Court, Michigan	Settlement	\$38,250,000.00	Part of the misconduct action against Delphi (see above)	http://securities.stanford.edu/news-archive/2008/20080220_Settlement105577_Writer.html
10/21/1997	Deloitte & Touche LLP	Medaphis SH actions (consolidated)	US District Court, Georgia	Settlement	\$52,500,000.00	Issuing false and misleading statements regarding Medaphis' income in Medaphis financial statements and reports	http://securities.stanford.edu/1010/DLT97/
6/14/2006	Deloitte & Touche LLP	Symbol SH actions (consolidated)	US District Court, New York	Settlement	\$24,000,000.00	Company, acting as Symbol's auditor, made materially false statements and/or omissions regarding Symbol's financial statements	http://securities.stanford.edu/1036/SBL04_01/
3/4/2002	Deloitte & Touche, L.L.P.	Class action, Just For Feet, Inc. Shareholders	US District Court, Alabama	Settlement	\$7,400,000.00	Liability as auditors for Just For Feet, Inc.	http://securities.stanford.edu/1034/FEET99_01/

8/8/2008	Deloitte and Touche	GM SH action	US District Court, Michigan	Settlement	\$26,000,000.00	GM SH action (above)	http://blogs.wsj.com/law/2008/08/08/gm-deloitte-to-pay-combined-303m-to-settle-securities-class-action/
12/9/2006	Deloitte and Touche	Adelphia Communications SH action	US District Court, New York	Settlement	\$210,000,000.00	Auditor contributed to the fraud which caused Adelphia Communication's collapse	nytimes.com
3/15/1994	Deloitte and Touche	US Regulators		Settlement	\$312,000,000.00	Failure to properly audit several savings and loans associations, contributing to failures	http://www.encyclopedia.com/doc/1P2-880720.html
1/1/2005	Deloitte and Touche	SEC		Fine	\$50,000,000.00	Failure to properly audit Adelphia Communications, contributing to its collapse	http://www.big4.com/view_letter/365/deloitte_pays_210_million_to_settle_adelphia_case_45_of_total_sum.html
1/14/2008	Delphi Corp.	SH action	US District Court, Michigan	Settlement	\$284,000,000.00	Officials engaged in a wide-ranging fraudulent scheme that sought to inflate share prices by issuing misleading earnings statements.	http://www.lawyersandsettlements.com/settlements/10499/delphi-shareholders-settlement.html
8/28/2007	Delphi Corp.	Current and Former employees	US District Court, Michigan and New York Bankruptcy Court	Settlement	\$470,000,000.00	Officials engaged in a wide-ranging fraudulent scheme that sought to inflate share prices by issuing misleading earnings statements.	http://www.lawyersandsettlements.com/settlements/09150/delphi-securities-settlement.html
1/27/2003	Delta Financial Corp.	Class action	US District Court, New York	Settlement	\$5,600,000.00	Securities violations	http://securities.stanford.edu/1010/DFC99/

9/20/2005	Descartes Systems Group Inc.	Class action	US District Court, New York	Settlement	\$1,500,000.00	Company issued false and misleading statements and misrepresented material facts which caused artificial stock price inflation	http://securities.stanford.edu/1030/DSGX04-01/
11/2/2000	Detection Systems, Inc.	Class action	US District Court, New York	Settlement	\$1,400,000.00	Securities fraud	http://securities.stanford.edu/1010/DETC98/
11/25/1998	Deutsche Bank AG	Securities class action	US District Court, New York	Settlement	\$58,000,000.00	#####	http://securities.stanford.edu/1004/DB/
3/13/2005	Deutsche Bank AG	WorldCom SH		Settlement	\$325,000,000.00	Fraud in its dealings with WorldCom	http://www.lawyersandsettlements.co
12/3/2007	Deutsche Telekom	SH action	Regional Court of Frankfurt	Settlement	\$882,000,000.00	SH were underpaid in its purchase of T-Online Internet Unit	http://www.bloomberg.com/apps/news?pid=20601085&sid=alo3cOyazlDo
1/31/2005	Deutsche Telekom	SH action	US District Court, New York	Settlement	\$120,000,000.00	Making false statements in its acquisition of VoiceStream	http://www.lawyersandsettlements.com/settlements/02907/telekom.html
5/2/2005	Dexia	Class action		Settlement	\$518,000,000.00	Misleading investors	http://www.lawyers

5/8/2007	Dexia SA	LHSP shareholders	US District Court, Massachusetts	Settlement	\$60,000,000.00	Securities fraud	http://www.forbes.com/feeds/afx/2007/05/04/afx3686547.html
4/20/2007	Dey Inc.			Settlement	\$3,000,000.00	Inflating wholesale prices reported to national reporting services, prompting the state Medicaid program to pay more for the company's drugs	http://www.drugs.com/news/dey-lp-reaches-settlement-commonwealth-massachusetts-pricing-litigation-
12/26/2007	Dey LP	Montana Attorney General		Settlement	\$198,000.00	Inflating drug prices	http://www.lawyersandsettlements.com/settlements/10333/dey-inflated-drug-prices.html
6/25/2008	DHB Armor	Class action and SH derivative suit	US District Court, New York	Settlement	\$49,000,000.00	Disseminating false and misleading statements	http://www.uslaw.com/lawsuits/Point+Blank+Solutions?itemid=703
6/5/2001	Digital Impact, Inc.	Class action	US District Court, New York	Settlement	\$1,000,000,000.00	Securities violations	http://securities.stanford.edu/1018/DIGI01/
3/18/1998	Digital Lightwave	Securities class action	US District Court, Florida	Settlement	\$8,500,000.00	Materially misrepresenting company's financial condition, overstating revenues	http://securities.stanford.edu/1008/DIGL98/
12/19/1996	Dignity Partners, Inc.	Class action	US District Court, California	Settlement	\$3,150,000.00	Misrepresentations and omissions in the prospectus and registration statement	http://securities.stanford.edu/1004/DPNR96/
3/4/2007	Direct General Corp.	Consolidated class action	US District Court, Tennessee	Settlement	\$14,940,000.00	False and misleading statements with respect to liabilities that had been recorded for unpaid losses and loss adjustment expenses	http://www.lawyersandsettlements.com/settlements/07283/direct-general-settlement.html

5/3/2001	Direct Merchants Bank	US Comptroller		Settlement	\$3,200,000.00	Unlawful practices related to credit card marketing	
11/25/1997	Discreet Logic, Inc.	Class action	US District Court, Massachusetts	Settlement	\$10,800,000.00	Making false and misleading statements and omissions in connection with a secondary public offering	http://securities.stanford.edu/1008/DSLGF97/
6/22/2004	DJ Orthopedics, Inc.	Class action	US District Court, California	Settlement	\$5,500,000.00	Securities violations in connection with company's IPO	http://securities.stanford.edu/1022/DJO01/
3/20/2007	Dobson Communications, Inc.	Securities class action	US District Court, Oklahoma	Settlement	\$3,400,000.00	Company failed to disclose and misrepresented material adverse facts	http://securities.stanford.edu/1032/DCEL04_01/
4/30/2001	Dollar General Corporation	Class action	US District Court, Tennessee	Settlement	\$162,000,000.00	Misrepresentations concerning company's financial results	http://securities.stanford.edu/1018/DG01/
4/11/2007	Dominion Bridge Corp.	Securities class action	US District Court, Pennsylvania	Settlement	\$750,000.00	False and misleading statements	http://securities.stanford.edu/1002/CGMV96/
1/9/2006	Domtar	Canadian Federal Government		Fine	\$12,500,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/04789/domtar_antitrust.html
11/22/1996	DonnKenny, Inc.	Class action	US District Court, New York	Settlement	\$10,000,000.00	Securities violations	http://securities.stanford.edu/1004/DNKY96/
5/4/2007	Doral Financial Corp.	Class action	US District Court, New York	Settlement	\$129,000,000.00	Company misled investors about the value of some of its investments, leading to considerable losses and punitive damages	http://www.lawyersandsettlements.com/settlements/07911/doral-securities-settlement.html
4/29/2002	DOV Pharmaceutical, Inc.	Class action	US District Court, New York	Settlement	\$250,000.00	Securities violations	http://securities.stanford.edu/1024/DOVP02-01/
8/20/1997	Dove Entertainment, Inc.	Class action	US District Court, California	Settlement	\$3,750,000.00	Securities violations	http://securities.stanford.edu/1010/DOVE97/

10/18/2007	Dow Jones	Consolidated class action	Supreme Court, New York State	Settlement	\$895,000.00	Failure to properly evaluate News Corp.'s takeover bid	http://www.reuters.com/article/businessNews/idUSN1845544420071018
10/31/2005	Dow, DuPont Dow Elastomers, &	Class action	US District Court, Tennessee	Settlement	\$2,900,000.00	Price fixing	http://www.lawyersandsettlements.com/settlements/04509/neoprene_antitrust.html
8/5/2002	DPL, Inc.	Securities class action	US District Court, Ohio	Settlement	\$145,500,000.00	Company falsely represented its portfolio of financial assets	http://securities.stanford.edu/1025/DPL02-01/
10/4/2001	DQE, Inc.	Consolidated class action	US District Court, Pennsylvania	Settlement	\$12,000,000.00	Company made false and misleading statements about its financial condition and prospects	http://securities.stanford.edu/1020/DQE01/
7/14/2000	Drkoop.com	Class action	US District Court, Texas	Settlement	\$4,250,000.00	Securities violations	http://securities.stanford.edu/1014/KOOP00/
6/27/2001	Drugstore.com	Class action	US District Court, New York	Settlement	\$1,000,000,000.00	Artificial stock price inflation	http://securities.stanford.edu/1018/DSCM01/
2/26/1998	DSP Communications, Inc.	Class action	US District Court, California	Settlement	\$3,000,000.00	Securities violations	http://securities.stanford.edu/1008/DSP98/
10/25/2004	Dueling Grounds Entertainment Corp.	Class action		Verdict	\$44,000,000.00	Violations in connection with sale of bankrupt racetrack	http://www.lawyersandsettlements.com/settlements/025
9/10/2004	Dupont	Class action-West Virginia residents	US District Court, West Virginia	Settlement	\$342,000,000.00	Water pollution	http://www.nytimes.com/2004/09/10/business/10chemical.html?scp=58&sq=lawsuit%20and%20settlement&st=Search

1/21/2005	DuPont Dow Elastomers	US DOJ		Settlement/Fine	\$84,000,000.00	Price fixing	http://pubs.acs.org/cen/news/83/i04/8304dupontdow.html
9/25/2009	Duquesne Light Holdings Inc.	Class action		Settlement	\$12,000,000.00	Making false statements about the company's finances and future	http://securities.stanford.edu/1020/DQE01/
7/22/2004	Durus Life Sciences Master Fund, Ltd.	Class action	US District Court, Connecticut	Settlement	\$53,200,000.00	Securities violations	http://securities.stanford.edu/1032/AKSY04-01/
8/14/2006	Dynacq Healthcare Inc.	SH class action	US District Court, Texas	Settlement	\$1,500,000.00	#####	http://www.lawyersandsettlements.com/settlements/06074/dynacq_securities_settlement.html
7/3/2001	Dynamex, Inc.	Class action	US District Court, Texas	Settlement	\$8,170,750.00	Issuing a series of materially false and misleading statements and omitted material facts concerning the Company's financial condition and business operations.	http://securities.stanford.edu/1010/DYMX98/
4/18/2005	Dynegy Inc.	Class action		Settlement	\$467,000,000.00	Securities fraud-hiding a loan in order to preserve its credit rating	http://www.lawyersandsettlements.com/settlements/033
8/17/2002	Dynergy	Enron		Settlement	\$25,000,000.00	Compensation for a failed merger	
7/30/2008	E*Trade	SEC		Settlement	\$1,000,000.00	Money laundering	

3/15/2002	Eagle Building Technologies, Inc.	Securities class action	US District Court, Nevada	Settlement	\$775,000.00	False and misleading statements caused stock prices to be artificially inflated	http://securities.stanford.edu/1023/EGBT02-01/
4/26/2006	Eaton Vance Corporation	Securities class action	US District Court, Massachusetts	Settlement	\$10,500,000.00	Securities violations	http://securities.stanford.edu/1020/EV01/
6/30/2008	Ebay	LVMH		Fine	\$61,000,000.00	Allowing the sale of fake luxury goods	http://www.lawyersandsettlements.com/settlements/12206/ebay-fined-61-million-by-french-court.html
4/29/2005	eBay	SH action		Settlement	\$3,000,000.00	Improper distribution of shares by Goldman Sachs in order to win investment banking bonuses	
11/15/2002	ECI Telecom, Ltd.	Securities class action	US District Court, Virginia	Settlement	\$21,750,000.00	Securities violations- Accounting violations	http://securities.stanford.edu/1018/ECIL01/
7/20/2007	eCollege.com	Class action		Settlement	\$300,000.00	Violation of fiduciary duties owed to shareholders of eCollege by its directors, with regard to the company's recent merger agreement with Pearson Education and Epsilon Acquisition Corp.	http://www.lawyersandsettlements.com/settlements/08755/ecollege-shareholder-merger.html
10/31/2001	eConnect, Inc.	Securities class action	US District Court, California	Settlement	\$350,000.00	Making false and misleading statements regarding the company's financial condition	http://securities.stanford.edu/1013/ECNC00/

5/15/2002	Edison Schools, Inc.	Securities class action	US District Court, New York	Settlement (partial)	\$5,400,000.00	Issuing materially false and misleading statements regarding the company's financial condition	http://securities.stanford.edu/1024/EDSN02-01/
1/23/2004	Edward D. Jones & Co. L.P.	Securities class action	US District Court, Missouri	Settlement	\$127,500,000.00	Securities violations	http://securities.stanford.edu/1029/EDJCL04-01/
9/15/2006	Edward Jones	9 consolidated class actions and US DOJ		Settlement	\$127,000,000.00	Questionable review sharing and sales practices	
10/8/1998	EFTC Corporation	Securities class action	US District Court, Colorado	Settlement	\$6,000,000.00	Issuing a series of materially false and misleading statements concerning the Company's business, operations and growth prospects.	http://securities.stanford.edu/1009/EFTC98/
11/27/2002	eFunds Corporation	Securities class action	US District Court, Wisconsin	Settlement	\$2,550,000.00	Issuing materially false and misleading statements to the market	http://securities.stanford.edu/1026/EFDS02-01/
12/27/2006	EIS International, Inc.	Securities class action	US District Court, Connecticut	Settlement	\$3,800,000.00	Securities fraud based upon certain alleged misleading representations regarding the Company's acquisitions of Surefind and Cybernetics and their operations	http://securities.stanford.edu/1001/EIS197/index.html
12/8/2003	El Paso Corp.	Class action		Settlement	\$1,550,000,000.00	Company drove up natural gas	http://www.lawyersandsettlements.com/settlements/01967/elpasocorp.html
8/3/2006	El Paso Corp.	Class action	US District Court, Texas	Settlement	\$285,000,000.00	Company mislead investors by inflating revenues, exaggerating profits and hiding debt	http://securities.stanford.edu/1025/EP02-01/index.html
1/3/2003	El Paso Electric Company	Class action	US District Court, Texas	Settlement	\$10,000,000.00	Securities violations-failure to disclose material adverse information	http://securities.stanford.edu/1027/EE03-01/

2/4/2002	Elan Corporation, PLC	Class action	US District Court, New York	Settlement	\$75,000,000.00	Securities violations	http://securities.stanford.edu/1023/ELN02-01/
4/22/2004	Electro Scientific Industries Inc.	Class action	US District Court, Oregon	Settlement	\$9,250,000.00	Restatement of prior earnings reports	http://portland.bizjournals.com/portland/stories/2004/04/19/daily37.html
8/6/2008	Electronic Data Systems Corp.	ERISA class action	US District Court, Texas	Settlement	\$12,500,000.00	Breach of fiduciary duties and securities fraud by providing misleading information, selling unregistered shares, and failing to properly manage the plan	http://www.kellersettlements.com/eds.html
1/30/2006	Elpida Memory Inc.	Class action	US District Court, California	Fine	\$84,000,000.00	Conspiring to fix prices	http://www.lawyersandsettlements.com/settlements/05008/elpida_antitrust.html
6/4/2001	Emex Corporation	Securities class action	US District Court, New York	Settlement	\$1,300,000.00	Issuing a false and misleading press release concerning its successful attempt to secure much-needed financing.	http://securities.stanford.edu/1018/EMEX01/
3/17/1997	Employee Solutions, Inc.	Securities class action	US District Court, Arizona	Settlement	\$15,000,000.00	Misrepresenting and/or omitting material information concerning ESI's reported operating results	http://securities.stanford.edu/1008/ESOL97/
7/24/2002	Empyrean Bioscience, Inc.	Securities class action	US District Court, Ohio	Settlement	\$1,400,000.00	Issuing a series of materially false and misleading statements to the market	http://securities.stanford.edu/1025/EMDG02-01/
2/20/2001	Emulex Corporation	Securities class action	US District Court, California	Settlement	\$39,500,000.00	Making positive but false statements to the market	http://securities.stanford.edu/1017/EMLX01/

2/2/2001	En Pointe Technologies	Securities class action	US District Court, California	Settlement	\$1,800,000.00	Participation in a scheme to artificially inflate the Company's stock price and misrepresent the condition of its business.	http://securities.stanford.edu/1016/ENPT01/
7/25/2006	Endocare Inc.	SEC		Fine	\$750,000.00	Accounting fraud and recordkeeping practices	http://www.lawyersandsettlements.co
11/18/2004	Endocare, Inc.	SH class action	US District Court, California	Settlement	\$8,950,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/01555/endocare.html
5/18/2008	Energy Transfer Partners	Commodity Futures Trade Commission		Settlement	\$10,000,000.00	Manipulating the price of gas	http://www.energy-business-review.com/news/
2/1/2008	EnerLume Energy Management Corp.	Securities class action	US District Court, Connecticut	Settlement	\$2,450,000.00	Allegations stemming from a press release	http://www.lawyersandsettlements.com/settlements/10741/enerlume-securities-settlement.html
2/25/1999	Engineering Animation, Inc.	Securities class action	US District Court, Ohio	Settlement	\$7,500,000.00	Issuing a series of materially false and misleading statements concerning the Company's financial results	http://securities.stanford.edu/1009/EAI199/
9/9/2008	Enron	SH action	US District Court, Texas	Settlement	\$7,200,000,000.00	Compensation for shareholders whose stocks became worthless after the company's collapse	http://www.chron.com disp/story.mpl/front/5991449.html
5/11/2004	Enron	Employee class action		Settlement	\$86,500,000.00	Compensation for losses due to fraud and securities violations	http://www.insurance.com/newsnet.com/article.asp?a=top_n
1/11/2005	Enron	SH action		Settlement	\$168,000,000.00	Breach of fiduciary duty- directors didn't adequately oversee the company for investors	http://www.lawyersandsettlements.com/settlements/02771/enron_securiti

7/15/2005	Enron Corporation	California Attorney General		Settlement	\$1,520,000,000.00	Market manipulation during the energy crisis of 2000 and 2001	http://www.lawyersandsettlements.com/settlements/03999/enron_ca.html
12/19/2003	Enterasys Networks Inc	Class action	US District Court, New Hampshire	Settlement	\$31,580,000.00	Restatement of prior financial reports	http://www.lawyersandsettlements.com/settlements/01986/enterasysnetworksinc1.html
1/24/2005	Enterasys Networks Inc.	Class action	US District Court, New Hampshire	Settlement	\$10,500,000.00	Misleading information regarding investments	http://securities.stanford.edu/1010/CS97/
7/28/2004	Entropin, Inc.	Securities class action	US District Court, California	Settlement	\$4,500,000.00	Making materially false and misleading statements	http://securities.stanford.edu/1032/ETPOB04-01/
8/20/1998	Envoy Corporation	Securities class action	US District Court, Tennessee	Settlement	\$11,000,000.00	Issuing materially false and misleading statements about the Company, its business, operations and financial position and failing to disclose material facts necessary to make defendants' statements not false and misleading	http://securities.stanford.edu/1001/ENVY98/
7/30/2001	Equinix, Inc.	Consolidated securities class action	US District Court, New York	Settlement	\$1,000,000.00	Securities violations	http://securities.stanford.edu/1020/EQIX01/
9/11/1997	Equisure, Inc.	Consolidated class action	US District Court, Minnesota	Damages awarded	\$45,309,176.51	Securities violations	http://securities.stanford.edu/1001/EQE97/
6/22/1998	Ergobilt, Inc.	Securities class action	US District Court, Texas	Settlement	\$3,220,000.00	Securities violations	http://securities.stanford.edu/1001/ERGBE98/

4/21/2008	Erie Insurance Exchange	SH action	US District Court, Pennsylvania	Settlement	\$5,200,000.00	Breach of fiduciary duties to the shareholders by using unfair procedures to arrive at an unfair and inadequate share price	http://securities.stanford.edu/1036/ERIE_01/index.html
6/16/2009	Ernst & Young	Shareholders of HealthSouth Corp	US District Court, Alabama	Settlement	\$109,000,000.00	Manipulating its accounting during its audits of HealthSouth Corp between 1996 and 2002, causing them to be materially false and misleading	http://www.law.com/jsp/article.jsp?id=1202429359390
12/17/1999	Ernst & Young	Cendant Corp. shareholders	US District Court, New Jersey	Settlement	\$335,000,000.00	Accounting firm was negligent	http://securities.stanford.edu/news-archive/1999/122199Settlement110200.html
12/31/2000	Ernst & Young	Class action	US District Court, California	Settlement	\$34,000,000.00	Involvement with Informix Corporation as its auditor	http://securities.stanford.edu/1008/FMX/
3/15/1994	Ernst & Young	US Regulators		Settlement	\$400,000,000.00	Failure to properly audit several savings and loans associations, contributing to failures	http://www.encyclopedia.com/doc/1P2-880720.html
1/17/2007	Ernst & Young (Williams Cos. Inc. case above)	Class action	US District Court, Oklahoma	Settlement	\$21,000,000.00	Auditor for Williams Cos.	http://securities.stanford.edu/news-archive/2007/20070117_Settlement102381_Price.html
4/12/2007	Ernst & Young LLP	Securities class action	US District Court, Pennsylvania	Settlement	\$9,080,000.00	Participation in fraudulent accounting practices with AIG and PNC	http://www.lawyersandsettlements.com/settlements/07723/ernst-young-settlement.html

5/22/2008	Ernst and Young	SH action	Kansas City, MO	Settlement	\$3,500,000.00	Audit issued false and misleading statements regarding American Italian Pasta Co.	http://www.lawyersandsettlements.com/settlements/11920/ernst-young-american-italian-pasta.html
10/23/1998	ESC Medical Systems	Securities class action	US District Court, New York	Settlement	\$4,500,000.00	Securities violations	http://securities.stanford.edu/1001/ESCMF98/
6/17/1999	ESC Strategic Funds, Inc.	Securities class action	US District Court, Tennessee	Settlement	\$800,000.00	Securities violations-making false and misleading statements	http://securities.stanford.edu/1013/STI99/
9/22/2008	Escala	Class action and SH action	US District Court, New York	Settlement	\$6,000,000.00	Making material misstatements and omitting information regarding the true nature of Escala's business and sales activities, stock prices fell dramatically after investigation for fraud	http://securities.stanford.edu/1036/ESCL_01/
7/27/2007	ESS Technology Shareholders	Class action	US District Court, California	Settlement	\$3,500,000.00	Material omissions and the dissemination of materially misleading statements regarding the nature of ESS's revenue and business prospects caused ESS's stock price to become artificially inflated	http://securities.stanford.edu/1025/ESST02-01/index.html
8/15/2007	ETHEX Corporation	Massachusetts Attorney General		Settlement	\$574,998.00	Inflated the drug prices reported to national price reporting services	http://www.lawyersandsettlements.com/settlements/09019/inflated-generic-drugs.html
5/9/2003	eUniverse Inc.	Securities class action	US District Court, California	Settlement	\$1,300,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1027/EUNI03-01/

8/29/2006	Euro Cement Group	Russian Federal Government		Settlement	\$14,550,000.00	Antitrust violations	http://www.sptimes.ru/index.php?action_id=2&story_id=18671
3/7/2006	EV Classic Senior Floating Rate Fund	SH action	US District Court, Massachusetts	Settlement	\$10,500,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/05062/ev_securities_settlement.html
8/6/2007	EVC1 Career Colleges Holding Corp.	Class action	US District Court, New York	Settlement	\$7,730,000.00	Company misrepresented its business conditions, financial results and prospects, causing stock value to plummet	http://securities.stanford.edu/1035/EVC105_01/
6/8/2009	Evergreen Investment Management Corp. (Wachovia)	SH action		Settlement	\$40,000,000.00	Overstating the value of a mutual fund and selectively telling investors about the valuation problem	http://www.bizjournals.com/triangle/stories/2009/06/08/daily7.html
9/19/2007	Evergreen Investments (Wachovia Corp.)	SEC		Settlement	\$32,650,000.00	Improper market timing diluted the value of mutual funds	http://www.sec.gov/news/press/2007/2007-186.htm
6/22/1998	Evolving Systems, Inc.	Consolidated class actions	US District Court, Colorado	Settlement	\$10,000,000.00	Securities violations	http://securities.stanford.edu/1001/EVOL98/
9/26/2007	Executive Risk Indemnity	AFC Enterprises (AFCE)	US District Court, Georgia	Verdict	\$24,000,000.00	Insurance carrier attempted to rescind coverage of executives when unfavorable disclosures were made	http://www.reuters.com/article/inPlay/Briefing/idUSIN20070926170541AFCE20070926
5/1/1998	Exide Corporation	Class action	US District Court, Michigan	Settlement	\$10,250,000.00	Securities violations	=HYPERLINK("http://securities.stanford.edu/1001/EX98/", "http://securities.stanford.edu/1001/EX98/")

6/15/2005	Exide Technologies	Class action	US District Court, New Jersey	Settlement	\$13,700,000.00	Securities violations-company deceived investors	http://securities.stanford.edu/1034/XIDE05_01/
7/12/2001	Exodus Communications Inc.	Class action	US District Court, California	Settlement	\$5,000,000.00	Securities violations	http://securities.stanford.edu/1019/EXDS01/
6/5/2001	Expedia.com	Class action	US District Court, New York	Settlement	\$1,000,000,000.00	Artificial stock price inflation	http://securities.stanford.edu/1018/EXPE01/
1/20/1986	Exxon	Class action	US Supreme Court	Settlement	\$2,100,000,000.00	Overcharging for crude oil	
5/20/2005	Exxon Mobil Corp.	Class action		Verdict	\$1,300,000,000.00	Overcharging on gasoline	http://www.lawyersandsettlements.com/settlements/03600/exxon_settlement.html
1/29/2004	Exxon Valdez	Class action		Verdict	\$6,750,000,000.00	Damages for 1989 oil spill	http://www.nytimes.com/2004/01/29/national/29VALD.html
5/19/2003	EyeCashNetworks Incorporated	SEC		Settlement	\$70,719.00	Securities violations	http://www.lawyersandsettlements.com
7/27/2001	Fairmarket, Inc.	Securities class action	US District Court, New York	Settlement	\$1,000,000,000.00	Registration statement and prospectus were materially false and misleading	http://securities.stanford.edu/1019/FAIM01/
6/26/2007	Family Dollar Stores Inc.	SH derivative action		Settlement	\$3,500,000.00	Stock options backdating	http://www.lawyersandsettlements.com
12/8/2003	FAO, Inc.	Securities class action	US District Court, Pennsylvania	Settlement	\$1,750,000.00	Issuing a series of material misrepresentations to the market	http://securities.stanford.edu/1030/FAOO04-01/
2/19/2003	FAO, Inc.	Securities Class action	US District Court, Pennsylvania	Settlement	\$2,250,000.00	Misrepresentations caused stock price inflation	http://securities.stanford.edu/1036/FAOO03_01/

12/17/2007	Farmers & Merchants Bank of Long Beach	Class action and derivative lawsuit	Superior Court, California	Settlement	\$86,000,000.00	Securities class action	http://findarticles.com/p/articles/mi_m0EIN/is_2007_Oct_20/ai_n21054429/?tag=content-inner;col1
	Farmers Insurance Company	Mollet		Verdict	\$5,000,000.00	Company utilized a racially discriminatory	http://www.taylorring.com/CM/Custom/Verdicts-and-Settlements.asp
7/14/2008	Faro Technologies, Inc.	Securities class action	US District Court, Florida	Settlement	\$6,875,000.00	Securities violation by issuing materially false statements	http://securities.stanford.edu/1035/FARO05_01/
6/9/2000	Federal-Mogul Corporation	Securities class action	US District Court, Michigan	Settlement	\$1,500,000.00	Company's material omissions and the dissemination of materially false and misleading statements regarding the nature of acquisition integration, revenue and earnings, artificially inflated Federal-Mogul's stock price	http://securities.stanford.edu/1014/FMO00/
11/29/2005	Federated Investors	SEC and NYS Attorney General		Settlement	\$100,000,000.00	Late trading and market timing violations	http://www.lawyersandsettlements.com/settlements/046
8/20/2007	Ferro Corp.	Class action		Settlement	\$6,250,000.00	Antitrust violations in the heat stabilizer industry.	http://www.lawyersandsettlements.com/settlements/08754/heat-stabilizer-antitrust.html
8/23/2000	Fidelity Holdings, Inc.	Securities class action	US District Court, New York	Settlement	\$4,450,000.00	Financial results were materially false and misleading	http://securities.stanford.edu/1015/FDHG00/
5/6/2008	Fidelity investments	SEC		Settlement	\$8,000,000.00	Accepting improper gifts	http://www.pbn.co

3/5/2007	Fieldstone Investment Corp.	SH action		Settlement	\$10,600,000.00	Redeeming of shares purchased by former Fieldstone SH in a 2003 equity offering	http://www.prnews.wire.com/cgi-bin/stories.pl?ACCT=104&STORY
4/4/2005	Fifth Third Bancorp	Class action	US District Court, Ohio	Settlement	\$17,000,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/03291/5th3rd.html
4/14/2009	Fifth Third Securities	Financial Industry Regulatory Authority		Fine	\$1,750,000.00	Unsuitable Variable Annuities Transactions	
11/14/2003	Finova Capital Corporation	Class action	US District Court, South Carolina	Settlement (partial)	\$9,350,000.00	Securities fraud	http://securities.stanford.edu/1033/FNVOB03_01/
3/6/2007	First BanCorp	SH class action	US District Court, Puerto Rico	Settlement	\$74,300,000.00	Restating results after finding it had wrongly accounted for mortgage-related transactions with Doral Financial Corp. and R&G Financial Corp. since 1999	http://www.lawyersandsettlements.com/settlements/07293/first-bancorp-settlement.html
4/4/2008	First Charter Corporation	The state Department of Revenue		Settlement	\$15,000,000.00	Improper tax practices	http://www.lawyersandsettlements.com/settlements/11461/first-charter-reit-tax-shelter.html
1/31/2005	First Command Financial Planning, Inc.	Securities class action	US District Court California	Settlement	\$12,000,000.00	Securities violations	http://securities.stanford.edu/1033/FCFPI04_01/
9/24/2008	First Horizon Pharmaceutical Corporation	Securities class action	US District Court, Georgia	Settlement	\$4,650,000.00	Issuing a series of false and misleading statements to the market	http://securities.stanford.edu/1025/FHRX02-01/

4/4/1992	First Interstate Bank of California	Class action		Settlement	\$11,500,000.00	Settlement of charges of conspiring to fix interest rates on credit cards	http://articles.latimes.com/1992-04-04/business/fi-326_1_wells-fargo
10/5/2006	First Montauk Securities	New Jersey state securities regulators		Settlement	\$475,000.00	Misleading customers into buying junk bonds	http://www.lawyersandsettlements.com/settlements/064
1/20/1981	First Pennsylvania Corporation	SH action		Settlement	\$11,500,000.00	Settlement of 17 shareholder suits related to bank's financial troubles	nytimes.com
8/12/2003	First Union National Bank	Class action	US District Court, Florida	Settlement	\$5,000,000.00	Company aided and abetted Cyprus Funds, a company that ran a multi-million dollar securities investment scheme	http://www.lawyersandsettlements.com/settlements/01774/firstunionnationalbank.html
8/29/1996	First Union National Bank of Florida	Class action		Settlement	\$24,000,000.00	Reimbursement for Floridians who borrowed money to buy cars and were overcharged for related insurance	http://www.tampabay.com/
5/28/1999	First USA Bank/Bank One	Class action	US District Court, Illinois	Verdict	\$39,900,000.00	Reimbursement for faulty finance charges and late fee payments made to consumer credit card accounts.	
10/10/1997	First USA Paymentech	Class action	US District Court, Texas	Settlement	\$2,000,000.00	Misrepresenting material information concerning growth	http://securities.stanford.edu/1001/PTI97/
8/25/2004	First Virtual Communications, Inc.	Securities class action	US District Court, California	Settlement	\$1,600,000.00	Issuing materially false and misleading information	http://securities.stanford.edu/1032/FVCCPK04-01/
7/28/2004	FirstEnergy Corp	Class action		Settlement	\$25,000,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/064
10/29/1998	FirstPlus Financial Group, Inc.	Class action	US District Court, Texas	Settlement	\$5,000,000.00	Violation of accounting principles	http://securities.stanford.edu/1009/FP98/

1/24/2005	FirstWorld Communications	SH action		Settlement	\$25,900,000.00	Securities violations	http://www.lawyers
12/16/1993	Fleet Financial, Inc.	Class action-led by Georgia Attorney General		Settlement	\$100,000,000.00	Loan bias-exploiting poor minorities	
3/31/2004	FleetBoston Financial	SEC	US District Court, Massachusetts	Settlement	\$59,400,000.00	Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million settlement with the SEC	http://www.lawyersandsettlements.com/settlements/02231/fleetbostonfinancial.html
12/7/2007	FleetBoston Financial Corporation	Class action	US District Court, Massachusetts	Settlement	\$9,600,000.00	Improper trading in Columbia mutual funds	http://securities.stanford.edu/1029/ FBF04-01/
8/29/2002	Fleming Companies, Inc.	Securities class action	US District Court, Texas	Settlement	\$93,950,000.00	Securities violations	http://securities.stanford.edu/1025/ FLM02-01/
11/2/2004	Flextronics International, Ltd.	Class action	US District Court, New York	Settlement	\$4,250,000.00	Issuing false and material misrepresentations	http://securities.stanford.edu/1024/ FLEX02-01/
11/16/2007	Flight Safety Technologies	Securities class action	US District Court, Connecticut	Settlement	\$1,200,000.00	False and misleading statements artificially inflated the market price of securities	http://securities.stanford.edu/1031/ FLT04-01/
11/6/2006	FLIR Systems, Inc.	Class action	US District Court, Oregon	Settlement	\$6,000,000.00	Materially false and misleading information	http://securities.stanford.edu/1013/ FLIR00/
6/30/2005	Fluor Corporation	Securities class action	US District Court, California	Settlement	\$18,000,000.00	Company lied to shareholders about financial condition in order to temporarily boost its stock price to trigger executive bonuses	http://securities.stanford.edu/1009/ FLR97/

11/28/2006	FMF Capital Group	Class action	Ontario superior court	Settlement	\$29,000,000.00	Prospectus and statements contained misrepresentations	http://www.classaction.ca/content/actions/FMF_Capital_Group_Securities.asp
9/15/2005	Footstar, Inc.	Class action	US District Court, New York	Settlement	\$5,000,000.00	Issuing material misrepresentations to the market	http://securities.stanford.edu/1026/FTS02-01/
9/25/2003	FORE Systems, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$11,700,000.00	Securities violations	http://securities.stanford.edu/1001/FORE97/
11/16/1998	Four largest tobacco companies	Attorneys General of 46 states		Settlement	\$206,000,000.00	compensation for medical costs of caring for persons with smoking related illnesses	
6/9/2005	Foxmeyer Health Corporation	Class action	US District Court, Texas	Settlement	\$13,750,000.00	Making materially false and misleading statements and failing to disclose adverse information	http://securities.stanford.edu/1001/FOX96/
7/25/2003	FPA Medical Management, Inc.	Class action	US District Court, California	Settlement	\$4,880,000.00	Securities violations	http://securities.stanford.edu/1001/FPAM98/
8/13/2004	FPL Group	SH action		Settlement	\$22,250,000.00	Securities violations related to a proposed merger	http://www.fplgroup.com/news/content
1/17/2005	Franklin Templeton Distributors Inc	Californian officials	US District Court, California	Settlement	\$18,000,000.00	Paying millions to investment brokers who secured shelf space and lead clients towards purchasing Franklin's mutual funds	http://www.lawyersandsettlements.com/settlements/02817/inverstors_ca.html
9/27/2007	Freddie Mac	SEC		Settlement	\$50,000,000.00	Securities fraud-fraudulently misstating earnings	http://securities.stanford.edu/news-
8/26/2006	Freddie Mac	ERISA class action		Settlement	\$4,650,000.00	Restatement of prior financial results	http://www.lawyersandsettlements.co

7/15/2008	Freddie Mac	Consolidated class actions	US District Court, New York	Settlement	\$410,000,000.00	Materially false and misleading statements issued to the market and caused artificially inflated stock prices	http://securities.stanford.edu/1028/FRE03-01/
8/22/2005	FreeMarkets Inc.	Class action	US District Court, Pennsylvania	Settlement	\$3,400,000.00	Inflating revenue	http://www.lawyersandsettlements.com/settlements/04158/freemarkets.html
4/27/2005	Friedman Billings Ramsey	SEC and NASD		Settlement	\$7,500,000.00	Insider trading	http://www.lawyers
3/22/2006	Fruit of the Loom Inc.	Consolidated SH action	US District Court, Kentucky	Settlement	\$19,100,000.00	Top officeholders lied to analysts to boost company stock, causing purchases to be made at inflated prices	http://www.lawyersandsettlements.com/settlements/05152/fruitoftheloom_settlement.html
12/15/2005	Fruit of the Loom, Inc.	Class action	US District Court, Kentucky	Settlement	\$23,200,000.00	Securities violations	http://securities.stanford.edu/1014/FTL98/
4/25/2008	Gabelli Group Capital Partners	SEC	US District Court, New York	Settlement	\$16,000,000.00	Engaging in market-timing, the practice of short-term buying, selling, and exchanging of mutual funds to exploit pricing inefficiencies.	http://www.bloomberg.com/apps/news?pid=20601127&refer=law&sid=aEVPm3V0.Qfk
2/13/2007	Gainsco, Inc.	Class action	US District Court, Florida	Settlement	\$4,000,000.00	Issuing false and misleading statements that artificially inflated market price of stock	http://securities.stanford.edu/1027/GNAC03-01/
5/18/2000	Gametech International, Inc.	Class action	US District Court, Arizona	Settlement	\$3,456,000.00	Securities violations	http://securities.stanford.edu/1009/GMTC98/
2/5/2004	Gaming Lottery Corporation	Class action	US District Court, Washington	Settlement	\$1,000,000.00	Securities violations	http://securities.stanford.edu/1001/GLCCF96/

9/30/2003	Gateway, Inc.	Class action	US District Court, California	Settlement	\$10,250,000.00	Issuing false and misleading statements regarding company's revenues and prospects	http://securities.stanford.edu/1016/GTW00/
9/14/2004	Gemstar-TV Guide International Inc.	Class action	US District Court, California	Settlement	\$67,500,000.00	Company's past accounting and financial reporting practices had misled investors.	http://www.lawyersandsettlements.com/settlements/02502/gemstartvguideinternationalinc1.html
8/9/2007	General American Life Insurance Co.	SEC		Settlement	\$3,400,000.00	Late trading diluted the value of the underlying mutual funds	http://www.bizjournals.com/stlouis/stories/2007/08/06/
8/29/2008	General Dynamics	US Government	US District Court, New York	Settlement	\$4,060,000.00	Fraudulent billing to the government for parts used in military aircraft	http://quitamlawyer.clarislaw.com/settlements-and-verdicts/general-dynamics-to-pay-4-million-to-settle-false-claims-act-allegations.php
7/29/1990	General Electric	US Regulators		Settlement	\$27,800,000.00	Overcharging the government	
8/10/2007	Genzyme Corp.	SH class action	US District Court, New York	Settlement	\$64,000,000.00	Timing of consolidating three publicly traded units into one stock hurt the value of holdings	http://securities.stanford.edu/news-archive/2007/20070809_Settlement103863_Writer.html
11/2/1998	Geographics, Inc.	Class action	US District Court, Washington	Settlement	\$1,500,000.00	material misrepresentations or omissions which deceived the public about the Company's financial condition and prospects	http://securities.stanford.edu/1001/GGITE/

5/31/2007	Gilat Satellite Networks, Ltd.	Class action	US District Court, New York	Settlement	\$20,000,000.00	Securities violations	http://securities.stanford.edu/1023/GILTF02-01/
8/27/2003	GIO	Class action		Settlement	\$112,000,000.00	Securities violations related to company's takeover bid	http://www.lawyersandsettlements.co
8/10/2006	GlaxoSmithKline	State Attorneys General		Settlement	\$70,000,000.00	Antitrust violations-overcharging for drugs	http://www.lawyersandsettlements.com/settlements/06064/gsk_antitrust_settlement.html
7/8/2004	GlaxoSmithKline	Class action	US District Court, Virginia	Settlement	\$92,000,000.00	Antitrust violations relating to its drug Augmentin	http://www.contractormisconduct.org/ass/contractors/138/cases/746/862/glaxosmithkline-augmentin-antitrust-litigation_pr.pdf
3/29/2006	GlaxoSmithKline	Class action-NY Attorney General on behalf of 49 states		Settlement	\$14,000,000.00	Creating a monopoly of its anti-d	http://www.lawyersandsettlements.com/settlements/05187/paxil_monopoly.html
9/19/2005	GlaxoSmithKline	US DOJ		Settlement	\$150,000,000.00	Inflating cancer drug prices in order to maximize profits	http://www.lawyersandsettlements.com/settlements/04307/zofran_antitrust.html
12/1/2004	GlaxoSmithKline	Class action		Settlement	\$75,000,000.00	Distributors unlawfully obtained	http://www.lawyersandsettlements.com/settlements/02638/relafen.html
5/13/2003	Gliatech, Inc.	Class action	US District Court, Ohio	Settlement	\$4,500,000.00	Securities violations	http://securities.stanford.edu/1015/gila/

3/19/2004	Global Crossing	SH Class action	US District Court, New York	Settlement	\$325,000,000.00	Officers of the company had defrauded the investors by distorting the company's financial reports with questionable dealings and deceptive comments	http://www.lawyersandsettlements.com/settlements/02347/globalcrossing1.html
10/2/2006	Globalstar Telecommunications	Class action	US District Court, New York	Settlement	\$20,000,000.00	Issuing false and misleading statements regarding company's revenues and growth opportunities	http://securities.stanford.edu/1017/GSTRF01/
2/7/2008	GlobeTel Communications Corp.	Securities class action and SH action	US District Court, Florida	Settlement	\$2,300,000.00	Issuing statements touting a fraudulent and deceptive deal	http://securities.stanford.edu/1036/GTE_01/
3/31/2005	Globix Corporation	Class action	US District Court, New York	Settlement	\$3,500,000.00	Issuing false and misleading statements regarding company's financial condition and growth prospects	http://securities.stanford.edu/1023/GBIX02-01/
8/8/2008	GM	SH action	US District Court, Michigan	Settlement	\$277,000,000.00	Issuing false and misleading statements to investors regarding its financial status	http://blogs.wsj.com/law/2008/08/08/gm-deloitte-to-pay-combined-303m-to-settle-securities-class-action/
4/28/2008	GMH Communities Trust Securities.	SH action	US District Court, Pennsylvania	Settlement	\$8,750,000.00	False and misleading financial statements artificially inflated the earnings of the Company and dividends issued in violation of loan covenants drove the price of its stock higher.	http://securities.stanford.edu/1035/GCT_01/
3/28/2000	Golden Bear Golf, Inc.	Class action	US District Court, Florida	Settlement	\$3,500,000.00	Overstating revenues	http://securities.stanford.edu/1001/JACK98/
12/25/1999	Golden Books Family Entertainment, Inc.	Class action	US District Court, New York	Settlement	\$5,250,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1002/GBFE98/

5/15/2009	Goldman Sachs	Massachusetts		Settlement	\$60,000,000.00	Compensation for losses sustained due to Goldman Sachs' involvement with subprime mortgages and the packing of securities	http://www.financialpost.com/story.html?id=1596192
11/11/2008	Goldman Sachs	General American Life SH		Settlement	\$100,000,000.00	involvement with General American Life's collapse in 1999	
11/5/2004	Goldman Sachs	Ford Motor Company SH action	Delaware Chancery Court	Settlement	\$13,400,000.00	Breach of fiduciary duties-Ford executives usurped a corporate opportunity	

10/20/1998	Goldman Sachs	Orange County Transportation Authority		Settlement	\$4,800,000.00	Failure to warn of a risky investment
3/10/2005	Goldman Sachs	Class action-led by New York State comptroller		Settlement	\$12,540,000.00	Bank should have known about WorldCom's fraudulent activities and cover up
1/26/2005	Goldman Sachs	SEC		Settlement	\$40,000,000.00	Company artificially inflated shares of newly floated dot-com stocks

11/4/1994	Goldman Sachs	SEC		Settlement	\$250,000.00	Charges stemming from Treasury bond trades with Salomon Bros. in the 1980s that were designed to save both companies taxes
3/27/1975	Goldman Sachs	Getty Oil		Settlement	\$1,400,000.00	Selling \$2 million of notes in Penn Central Transportation Co only 5 months before it went bankrupt
7/12/2004	Goldman Sachs	AMF Bowling Inc. SH action		Settlement	\$12,000,000.00	Company's involvement in AMF's bankruptcy
3/31/2004	Goldman Sachs	SEC		Settlement	\$45,000,000.00	Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million settlement with the SEC

5/3/1994	Goldman Sachs	Maxwell Communications Corp	New York State Supreme Court	Settlement	\$254,000,000.00	Fraud, negligence and breach of contract	
8/9/1997	Goldman Sachs	California		Settlement	\$28,500,000.00	Wrongdoing by the securities firm left a state agency responsible for \$142 million in bonds issued by a nonprofit corporation that has since gone into bankruptcy	
8/15/1996	Goldman Sachs & Company	State of West Virginia		Settlement	\$8,000,000.00	Failed investment strategy cost the state \$280 million and forced it to raise taxes	nytimes.com
6/25/2007	Goldshield Group, Goldshield Pharmaceuticals Ltd., and Forley Generics Limited	Department of Health		Settlement	\$4,000,000.00	Price fixing and anticompetitive conduct in supplying drugs to the National Health Service	http://www.lawyersandsettlements.com/settlements/08494/generic-antitrust.html
1/3/2006	Goody's Family Clothing Inc.	Class action		Settlement	\$700,000.00	Neglecting to seek a better buyout offer	http://www.lawyersandsettlements.co
4/1/2009	Google	Class action		Settlement	\$20,000,000.00	Overcharging for AdWords service	http://www.lawyersandsettlements.co
7/28/2006	Google	Class action	US District Court, Arkansas	Settlement	\$90,000,000.00	Overcharging for online clicks	http://news.cnet.com/Lawsuit-seeks-to-block-Google-settlement/2100-1030_3-6071535.html?tag=lia;rcol
7/10/2003	Graham-Field Health Products Inc.	Class action	US District Court, New York	Settlement	\$5,650,000.00	Securities violations	http://securities.stanford.edu/1002/GFI98/
5/4/2001	Grand Casinos, Inc.	Class action	US District Court, Minnesota	Settlement	\$9,000,000.00	Securities violations	http://securities.stanford.edu/1002/GND96/

9/26/2001	Grand Toys International, Inc.	Class action	US District Court, New York	Settlement	\$1,975,000.00	Issuing false and materially misleading statements that artificially inflated stock prices	http://securities.stanford.edu/1027/GRIN00-01/
1/7/2008	Gravity Co. Ltd.	SH class action	US District Court, New York	Settlement	\$10,000,000.00	Securities fraud	http://securities.stanford.edu/news-archive/2008/20080107_Settlement105107_Writer.html
7/23/2003	Green Tree Financial Corp	Class action	US District Court, Minnesota	Settlement	\$12,500,000.00	Company's stock was artificially inflated due to questionable accounting practices on the part of the company's former officials.	http://www.lawyersandsettlements.com/settlements/01744/greentreefinancialcorp.html
1/23/2009	Greenfield Online, Inc.	Class action	US District Court, Connecticut	Settlement	\$4,000,000.00	Securities violations	http://securities.stanford.edu/1037/SRVY_01/
8/28/2004	GTECH Holdings Corp.	Class action	US District Court, Rhode Island	Settlement	\$10,250,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1015/GTK00/
9/30/2001	Guilford Mills, Inc.	Class action	US District Court, New York	Settlement	\$2,350.00	Securities violations	http://securities.stanford.edu/1007/GFD98/
3/31/2023	Gunther International, Ltd.	Class action	US District Court, Connecticut	Settlement	\$595,000.00	Securities violations	http://securities.stanford.edu/1007/SORT98/
3/11/1999	H.J. Meyers, Inc.	Class action	US District Court, New York	Settlement	\$5,000,000.00	Securities violations	http://securities.stanford.edu/1010/HJM97/
8/3/2004	Halliburton Co.	SEC		Settlement	\$7,500,000.00	Failure to disclose a change in accounting practices that caused its profit statement for that year and the next year to be misleading	http://www.lawyersandsettlements.com/settlements/02378/halliburtonco1.html

5/30/2003	Halliburton Co.	Consolidated class action	US District Court, Texas	Settlement	\$6,000,000.00	Improper accounting practices	http://www.lawyersandsettlements.com/settlements/01663/halliburtonco.html
3/31/2001	Hallwood Energy Corporation	Class action	US District Court, Colorado	Settlement	\$673,000.00	Securities violations	http://securities.stanford.edu/1007/HEP96/
3/22/2005	Hamilton Bancorp, Inc.	Class action	US District Court, Florida	Settlement	\$8,500,000.00	Issuing misleading financial results	http://securities.stanford.edu/1016/HABK01/
5/13/2003	Hanover Compressor Company	Securities class action	US District Court, Texas	Settlement	\$80,000,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/01648/hanovercompressorcompany.html
10/19/2001	Harbinger Corporation	Class action	US District Court, Georgia	Settlement	\$2,250,000.00	Securities violations	http://securities.stanford.edu/1009/HRBC99/
10/29/2008	Harmonic Inc.	SH class action	US District Court, California	Settlement	\$16,400,000.00	Securities violations--issuing false and misleading statements	http://securities.stanford.edu/1014/HLIT00/index.html
6/5/1998	Harnischfeger Industries, Inc.	Class action	US District Court, Wisconsin	Settlement	\$10,150,000.00	Artificially inflating stock prices by overstating revenue	http://securities.stanford.edu/1008/HPH98/
11/8/2006	Hartford Financial Services Group Inc.	SEC		Settlement	\$55,000,000.00	Failure to disclose marketing and distribution funds	http://www.lawyersandsettlements.com/settlements/066
8/28/2009	Hartford Financial Services Group Inc.	Class action	US District Court, Connecticut	Settlement	\$3,850,000.00	Company misled investors about finances	http://securities.stanford.edu/1032/HIG04_01/
1/31/2003	Hastings Entertainment, Inc.	Class action	US District Court, Texas	Settlement	\$5,750,000.00	Securities violations	http://securities.stanford.edu/1013/HAST00/
5/10/2005	Hayes Lemmerz International, Inc.	Class action	US District Court, Michigan	Settlement	\$25,250,000.00	Overstating financial results	http://securities.stanford.edu/1024/HLMMQ02-01/

8/13/2007	HCA, Inc	Class action	US District Court, Tennessee	Settlement	\$20,000,000.00	Artificially inflated stock prices by issuing false financial statements	http://securities.stanford.edu/1035/HCA05_01/
2/8/2008	HCC Insurance Holdings	SH class action	US District Court, Texas	Settlement	\$10,000,000.00	Stock option backdating violations	http://securities.stanford.edu/1037/HCC_01/
2/13/2001	Health Management Systems, Inc.	Class action	US District Court, New York	Settlement	\$4,500,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1008/HMSY97/
1/25/2007	Healthcare Research and Development Institute	Connecticut Attorney General		Settlement	\$150,000.00	Lawsuit alleged company showed favoritism towards certain vendors and allowed payment for direct access to CEOs leading to inflated health care costs	http://www.news-medical.net/news/2007/01/29/21560.aspx
1/11/2007	HealthSouth	SH action		Settlement	\$445,000,000.00	Massive fraud that bilked investors, employees, Medicare and other government health companies of billions of dollars.	
10/27/2008	HealthSouth	UBS		Settlement	\$133,000,000.00	#####	http://www.al.com/
12/2/2005	HealthTronics Surgical Services, Inc.	Class action	US District Court, Georgia	Settlement	\$2,285,000.00	Securities violations	http://securities.stanford.edu/1028/HTRN03-01/
1/29/2008	Heartland Advisors Inc.	SEC		Settlement	\$3,900,000.00	Overvaluing mutual funds causing shareholder losses	http://securities.stanford.edu/news-
3/7/2006	Heartland Financial USA, Inc.	Class action	US District Court, Wisconsin	Settlement	\$8,250,000.00	Securities violations	http://securities.stanford.edu/1016/HRHYX00/
8/8/2007	Heely's Inc.	Class action	US District Court, Texas	Settlement	\$7,500,000.00	Making misstatements of material fact	http://securities.stanford.edu/1038/HLYS_01/
2/22/2008	Helen of Troy, Ltd.	Class action	US District Court, Texas	Settlement	\$4,500,000.00	Issuing positive earnings guidance intended to artificially inflate Company stock	http://securities.stanford.edu/1035/HELE05_01/

1/12/2009	Helmerich & Payne Inc,	Class action-- Beckham County royalty owners	Tusla County Court	Verdict	\$126,000,000.00	Damages and lost profits related to natural gas wells that were drained in the 1980s	http://www.lawyersandsettlements.com/settlements/13017/tulsa-county-natural-gas-royalties-plaintiffs.html
7/12/2004	Heritage Bonds	Class action	US District Court, California	Settlement	\$27,800,000.00	Fraud in the marketing of bonds	http://www.bondbuyer.com/article.html?id=20050112QOCM8LWR&from=home
9/30/2002	Hi/fn, Inc.	Class action	US District Court, California	Settlement	\$9,500,000.00	Artificially inflating stock prices	Hi/fn, Inc. http://securities.stanford.edu/1009/HIFN99/
6/14/2006	Hillenbrand Industries, Inc.	Spartanburg Regional Healthcare System		Settlement	\$316,300,000.00	Company used its monopoly power in the hospital bed market to coerce hospitals into renting specialty made beds which hurt competition and raised prices.	http://www.lawyersandsettlements.com/settlements/05712/hillenbrand_antitrust_settlement.html
1/23/2009	Himax Technologies, Inc.	SH action	US District Court, California	Settlement	\$1,200,000.00	Securities violations	http://securities.stanford.edu/1038/HIMX_01/
3/11/2009	Hitachi	US DOJ		Fine	\$31,000,000.00	Price fixing	http://www.lawyersandsettlements.com/settlements/04566/vitamin_price_fixing.html
11/8/2005	Hoffmann-Laroche, BASF, Rhod	Class action		Settlement	\$130,000,000.00	Antitrust violations-price fixing	http://www.lawyersandsettlements.com/settlements/04566/vitamin_price_fixing.html
9/12/2007	Hollinger International	Class action	US District Court, Illinois	Settlement	\$30,000,000.00	Breach of fiduciary duties by issuing a series of material misrepresentations to the market	http://securities.stanford.edu/1029/HLR04-01/

10/24/2001	Home Health Corporation of America Inc.	Class action	US District Court, Pennsylvania	Settlement	\$909,437.00	Securities violations	http://securities.stanford.edu/1008/HHCA98/
11/6/2008	Home Solutions of America, Inc.	Class action	US District Court, Texas	Settlement	\$3,500,000.00	Issuing false and misleading statements regarding contracts	http://securities.stanford.edu/1036/HOM_01/
6/15/2009	Home Solutions of America, Inc.	Class action	US District Court, Texas	Settlement	\$5,100,000.00	Issuing false and misleading statements regarding contracts	http://securities.stanford.edu/1038/HSOA_01/
3/17/2004	Homestore Inc	Class action		Settlement	\$93,000,000.00	Company filed falsified earnings reports to inflate revenue	http://www.lawyersandsettlements.com/settlements/020
3/14/2005	Homestore Inc.	SH action	US District Court, California	Settlement	\$33,000,000.00	Inflating revenues through fraudulent transactions.	http://www.lawyersandsettlements.com/settlements/03153/homestore_fraud.html
8/18/2004	Honeywell International, Inc.	Class action	US District Court, New Jersey	Settlement	\$100,000,000.00	Issuing materially false and misleading statements and omissions that misrepresented the success of the company's merger	http://securities.stanford.edu/1014/HON00/
8/31/1997	Horizon/CMS Healthcare Corporation	Consolidated class action	US District Court, New Mexico	Settlement	\$17,000,000.00	Issuing false and materially misleading statements regarding the company's business and acquisitions	http://securities.stanford.edu/1008/HHC96/
3/3/2009	Host America Corporation	Class action	US District Court, Connecticut	Settlement	\$550,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1034/CAFE05_01/
12/16/1999	Housecall Medical Resources, Inc.	Class action	US District Court, Georgia	Settlement	\$13,000,000.00	Materially false and misleading statements and omissions	http://securities.stanford.edu/1008/HSC96/
10/12/2002	Household International, Inc.	State Attorneys General and federal regulators		Settlement	\$484,000,000.00	Overcharging customers for home equity and other loans	

10/18/2005	Humana Inc.	Class action	US District Court, Florida	Settlement	\$40,000,000.00	Antitrust violations-price fixing and monopolistic behavior	http://www.milberg.com/newsevents/publicationsdetail.aspx?pubtype=5280&pubid=655
3/19/2009	Humana Inc. and other insurers	Class action	Kansas City	Settlement	\$2,800,000.00	Conspiracy to suppress payments to physicians	http://www.lawyersandsettlements.com/settlements/11264/kansas-humana-blue-cross.html
9/17/2002	Hybrid Networks, Inc.	Class action	US District Court, California	Settlement	\$4,250,000.00	Securities violations	http://securities.stanford.edu/1008/HYBR98/
2/8/2008	HydroFlo, Inc.	Class action	US District Court, North Carolina	Settlement	\$425,000.00	Securities violations	http://securities.stanford.edu/1035/HYRF05_01/
4/22/2005	Hynix Semiconductor	US DOJ		Fine	\$185,000,000.00	Antitrust violations-price fixing	http://www.lawyersandsettlements.com/settlements/03403/hynix.html
9/6/2001	I.C. Isaacs & Company, Inc.	Class action	US District Court, Maryland	Settlement	\$625,000.00	#####	http://securities.stanford.edu/1018/SAC01/
6/10/2004	i2 Technologies Inc.	SEC		Settlement	\$10,000,000.00	Company improperly accounted for licensing deals that involved more than \$1 billion in revenue over several years	http://www.lawyersandsettlements.com/settlements/02204/i2technologiesinc.html
10/4/2004	i2 Technologies, Inc.	Class action	US District Court, Texas	Settlement	\$84,850,000.00	Securities violations	http://www.businesswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20041004006103&newsLang=en

4/26/2007	Ibis Technology Corp.	Consolidated securities class action	US District Court, Massachusetts	Settlement	\$1,900,000.00	Misrepresentations in financial statements led to artificially inflated stock prices	http://securities.stanford.edu/1029/BIS-01/index.html
7/31/2003	IBP, Inc.	Securities class action	US District Court, South Dakota	Settlement	\$8,000,000.00	Issuing false and misleading statements regarding company's revenue and earnings	http://securities.stanford.edu/1031/BPI04-01/
7/20/2006	ICG Communications, Inc.	Securities class action	US District Court, Colorado	Settlement	\$18,000,000.00	Company executives misled investors	http://securities.stanford.edu/1015/CGX00/
12/13/2002	ICT Group, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$1,050,000.00	Prospectus for the IPO failed to disclose material information regarding the Company's operations and financial results.	http://securities.stanford.edu/1008/CTG96/
7/31/2007	Identity Solutions Inc.	Consolidated class action	US District Court, Massachusetts	Settlement	\$2,300,000.00	Securities fraud	http://www.insurance-newsnet.com/article.asp?n=1&nelD=20070731290.2_a9ac0016a955db41
11/24/1999	Ikon Office Solutions Inc	SH action	US District Court, Pennsylvania	Settlement	\$111,000,000.00	Issuing false financial statements	http://securities.stanford.edu/news-archive/1999/112999Settlement110200.html
6/30/2003	Imaging Technologies Corporation	Class action	US District Court, California	Settlement	\$200,000.00	Company materially misrepresented its financial condition disseminating to the investment community false and misleading financial statements	http://securities.stanford.edu/1009/TEC99/
1/24/2005	ImClone Systems Inc.	SH action	US District Court, New York	Settlement	\$75,000,000.00	Securities violations by misleading investors about the approval status of its cancer drug, Erbitux.	http://www.lawyersandsettlements.com/settlements/02875/imclonesys.html

3/24/2008	iMergent Inc.	Consolidated class action	US District Court, Utah	Settlement	\$2,800,000.00	Materially misleading statements and omissions, providing inaccurate financial information, and failing to make proper disclosures	http://securities.stanford.edu/1033/II/G05_01/index.html
9/28/2007	Immucor, Inc.	Class actions	US District Court, Georgia	Settlement	\$2,500,000.00	Stock prices were inflated during part of 2004 and 2005 as a result of material misrepresentations or omissions in the company's financial statements and other public announcements.	http://www.lawyersandsettlements.com/settlements/08135/immucor.html
11/15/2006	Immune Response Corporation	Consolidated securities class action	US District Court, California	Settlement	\$9,600,000.00	False statements and misrepresentations artificially inflated the price of stock	http://securities.stanford.edu/1019/IMNR01/
6/9/2005	Impath, Inc.	Securities class action	US District Court, New York	Settlement	\$22,600,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1028/IMPH03-01/
9/19/2006	Imperial Chemical Industries, PLC	Class action	US District Court, New York	Settlement	\$3,800,000.00	Issuing materially false and misleading statements and failing to disclose adverse information	http://securities.stanford.edu/1027/ICI03-01/
6/21/2002	InaCom Corporation	Class action	US District Court, Nebraska	Settlement	\$14,000,000.00	Issuing materially false and misleading statements regarding company's growth potential	http://securities.stanford.edu/1018/ICO01/
6/21/2002	InaCom Corporation	KPMG	US District Court, Nebraska	Settlement	\$1,950,000.00	Issuing materially false and misleading statements regarding company's growth potential	http://securities.stanford.edu/1018/ICO01/
9/29/2003	Independent Energy Holdings, PLC	Class action	US District Court, New York	Settlement	\$48,000,000.00	Issuing false and materially misleading information regarding company's financial and operating condition	http://securities.stanford.edu/1015/INDYY00/

1/30/2001	Indus International, Inc.	Class action	US District Court, California	Settlement	\$4,300,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1013/INT00/
2/13/2005	Infonet Services Corporation	SH action	US District Court, California	Settlement	\$18,000,000.00	Omissions and misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1022/IN01-01/index.html
12/17/2002	Information Management Associates, Inc.	Class action	US District Court, Connecticut	Settlement	\$4,100,000.00	Providing materially false and misleading information about the Company's financial condition, revenues and earnings.	http://securities.stanford.edu/1013/MAA00/
5/26/1999	Informix Corporation	Class action	US District Court, California	Settlement	\$108,000,000.00	Issuing false and misleading statements regarding earnings and future prospects	http://securities.stanford.edu/1008/FMX/
5/5/2009	InfoSonics Corporation	Class action	US District Court, California	Settlement	\$3,800,000.00	Insider Trading	http://securities.stanford.edu/1036/FO_01/
2/19/2004	InfoSpace Inc.	Class action	US District Court, Washington	Settlement	\$34,300,000.00	Former Chief Executive made misleading statements regarding the company's prospects	http://www.lawyersandsettlements.com/settlements/02210/infospaceinc.html
6/30/1998	Insignia Solutions, PLC	Class action	US District Court, California	Settlement	\$8,000,000.00	Securities violations-insider trading and artificial price inflation	http://securities.stanford.edu/1009/NSGY97/
12/28/2000	Inso Corporation	Class action	US District Court,	Settlement	\$13,500,000.00	Securities violations	http://securities.stanford.edu/1008/NSO99/
6/4/2003	INSpire Insurance Solutions, Inc.	Class action	US District Court, Texas	Settlement	\$4,800,000.00	Issuing false and misleading statements regarding financial results and new contracts	http://securities.stanford.edu/1013/NSPR00/
7/18/2003	Insurance Management Solutions Group, Inc.	Class action	US District Court, Florida	Settlement	\$2,100,000.00	Prospectus was materially false and misleading	http://securities.stanford.edu/1015/NMG00/

9/27/2003	Intel Corporation	Public shareholders of DSP Communications, Inc.	US District Court, California	Settlement	\$4,500,000.00	Securities violations	http://securities.stanford.edu/1035/DSP00_01/
2/18/2004	InterCept	Class action	US District Court, Georgia	Settlement	\$5,300,000.00	Company made false representations regarding its earnings, which caused the share price to be artificially inflated	http://securities.stanford.edu/1027/I CPT03-01/index.html
1/29/2009	Interlink Electronics, Inc.	Class action	US District Court, California	Settlement	\$5,000,000.00	Materially false statements and omissions caused artificial stock price inflation	http://securities.stanford.edu/1035/LINK05_01/
11/18/2004	Intermix Media, Inc.	Consolidated class action		Settlement	\$5,500,000.00	Securities violations relating to a merger	http://www.prnewswire.com/cgi-
5/23/2005	InterMune, Inc.	Class action	US District Court, California	Settlement	\$10,400,000.00	Making false and misleading statements about one of its key products	http://securities.stanford.edu/1028/ITMN03-01/index.html
6/2/2008	International Business Machines Corporation (IBM)	Class action	US District Court, New York	Settlement	\$20,000,000.00	Securities violations	http://securities.stanford.edu/1034/IBM05_01/
7/1/2007	International Business Machines Corporation (IBM)	Employee class action		Settlement	\$65,000,000.00	Unpaid overtime for IT workers	http://www.lieffcabraser.com/ibm.htm
8/8/2006	International Paper Co.	Private landowners		Settlement	\$12,400,000.00	Antitrust violations-conspiring to artificially depress fiber prices	http://www.lawyersandsettlements.com/settlements/06044/paper_fiber_settlement.html
7/31/2009	International Rectifier Corporation	Class action	US District Court, California	Settlement (proposed agreement)	\$90,000,000.00	False and misleading statements about the company's business and financial results that led to artificially inflated stock prices	http://securities.stanford.edu/1037/IRF_01/

2/2/2000	Interneuron Pharmaceuticals, Inc.	Class action	US District Court, Massachusetts	Settlement	\$725,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1009/PIC97/
5/1/2008	Interpublic Group	SEC		Settlement	\$12,000,000.00	Fraud investigation	
12/2/2003	Interpublic Group	Class action	US District Court, New York	Settlement	\$115,000,000.00	Material misrepresentations caused the stock price to become artificially inflated	http://securities.stanford.edu/1025/PG02-01/index.html
12/9/2005	Intershop Communications	Class action	US District Court, California	Settlement	\$3,151,392.56	Issuing a false and misleading registration statement and prospectus	http://securities.stanford.edu/1017/SHP01/
7/10/2001	Interspeed, Inc.	Class action	US District Court, Massachusetts	Settlement	\$7,500,000.00	Providing materially false and misleading information regarding company's financial condition causing artificial price inflation	http://securities.stanford.edu/1015/SPD00/
5/13/2005	Interstate Bakeries Corp.	SH action	US District Court, Missouri	Settlement	\$18,000,000.00	Misleading investors about future earnings	http://www.lawyersandsettlements.com/settlements/03545/interstate_bakeries.html
9/8/2004	Invesco Funds Group and affiliate AIM Advisors.	SEC		Settlement	\$450,000,000.00	Improper trading and claimed improper market timing trades	http://www.lawyersandsettlements.com/settlements/024
7/24/2007	InvestPrivate Inc.	National Association of Securities Dealers (NASD)		Settlement	\$205,000.00	Misleading investors	http://www.lawyersandsettlements.com/settlements/08789/misled-
4/4/2005	Ionics Inc.	Class action	US District Court, Massachusetts	Settlement	\$3,000,000.00	Issuing false and misleading financial statements	http://securities.stanford.edu/1027/ON03-01/
9/7/2004	IQ Software Corporation	Class action	US District Court, Georgia	Settlement	\$550,000.00	Company failed to disclose material risks and liabilities	http://securities.stanford.edu/1009/Q97/

10/23/2008	Iridium World Communications, Inc.	Securities class action	US District Court, District of Columbia	Settlement	\$23,100,000.00	False and misleading statements and material omissions	http://www.krclassaction.com/Default.aspx?tabid=1486
6/7/2004	Irvine Sensors Corporation.	SH action	US District Court, California	Settlement	\$3,500,000.00	Material misrepresentations and omissions of adverse information led to stock price inflation	http://securities.stanford.edu/1023/IRSN02-01/
6/3/1999	Itron, Inc.	Securities class action	US District Court, Washington	Settlement	\$12,000,000.00	Securities violations	http://securities.stanford.edu/1009/TRI97/
6/1/2005	J.P. Morgan Chase & Co, Inc.	Enron SH		Settlement	\$2,200,000,000.00	Involvement with Enron's collapse	http://securities.stanford.edu/1023/J
6/1/2005	J.P. Morgan Chase & Co, Inc.	Enron Liquidators		Settlement	\$350,000,000.00	Involvement with Enron's collapse	http://securities.stanford.edu/1023/J
2/17/2005	J.P. Morgan Chase & Co.	SEC, NASD, NYSE		Settlement	\$2,100,000.00	Failing to preserve e-mails in alleged conflicts with Wall Street investment houses.	http://www.lawyersandsettlements.com/settlements/030
11/7/1999	J.P. Morgan Chase & Co.	Holocaust victims		Settlement	\$2,750,000.00	Compensation for bank's wartime records and dealings with the Nazis.	nytimes.com
4/10/2001	J.P. Morgan Chase & Co.	Hyundai Investment Trust Securities Co.		Settlement	\$2,750,000.00	Compensation for the failure of	http://www.koreaherald.co.kr/
4/2/2002	J.P. Morgan Chase & Co.	Sumitomo Corp.		Settlement	\$125,000,000.00	Compensation for a copper trading scandal and unauthorized cooper trades	http://www.nytimes.com/2002/04/02/business/final-lawsuit-is-settled-in-copper-loss.html

8/14/2008	J.P. Morgan Chase & Co.	New York State and Federal Regulators		Penalty	\$25,000,000.00	Company will buy back billions in troubled auction rate securities and pay a penalty	
3/20/2005	J.P. Morgan Chase & Co.	WorldCom SH		Settlement	\$2,000,000,000.00	Advisers and directors should have discovered WorldCom's \$11 billion accounting fraud and disclosed it to investors	http://www.lawyersandsettlements.com/settlements/03192/jpmorgan.html
1/30/2007	Jacuzzi Brands, Inc.	SH action	Delaware Court of Chancery	Settlement	\$725,000.00	Challenge to proposed merger	http://www.businesswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20070122006004&newsLang=en
10/19/2004	JAKKS Pacific, Inc.	Class action	US District Court, New York	Settlement	\$3,900,000.00	Securities violations	http://securities.stanford.edu/1032/JAKK04_01/
5/21/2007	James D. Dondero	US DOJ		Fine	\$250,000.00	Failing to notify regulators when his stake in a company hit \$94 million.	http://www.lawyersandsettlements.com/settlements/08134/james-dondero.html
4/19/2004	Janus Capital Group Inc	Class action	US District Court, New York	Settlement	\$225,000,000.00	Company allowed abusive trading of mutual funds	http://securities.stanford.edu/1028/JNS03-01/
1/20/2004	JDN Realty Corporation	Class action	US District Court, Georgia	Settlement	\$43,000,000.00	Materially misleading statements caused stock price inflation	http://securities.stanford.edu/1013/JDN00/

6/9/2003	Jennifer Convertibles	Class action		Settlement	\$2,250,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/03396/contactlensanti-trust.html
5/22/2001	Johnson and Johnson	Class action		Settlement	\$60,000,000.00	Inflating contact lens prices on purpose in order to force visits to optometrists	
7/17/2003	Jones Intercable, Inc.	Class action	US District Court, Colorado	Settlement	\$6,200,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1009/JOIN99/
3/4/2002	Just For Feet, Inc.	Class action	US District Court, Alabama	Settlement	\$24,500,000.00	Artificial stock price inflation	http://securities.stanford.edu/1034/FEET99_01/
5/12/2003	K.C. Smith	SEC	US District Court, Tennessee	Settlement	\$100,000.00	Company had raised approximately \$102,000 by promoting fictitious investments on the Internet through making false claims in nine million spam emails and on three websites.	http://www.lawyersandsettlements.com/settlements/01634/kcsmith.html
3/31/2008	Key Energy Services, Inc.	Class action	US District Court, Texas	Settlement	\$16,600,000.00	Artificial stock price inflation	http://securities.stanford.edu/1031/KEG04-01/
9/30/2005	KeySpan Corporation	Class action	US District Court, New York	Settlement	\$13,800,000.00	Dissemination of materially false and misleading statements	http://securities.stanford.edu/1020/KSE01/
5/20/2005	Kinder Morgan, Inc.	Class action	US District Court, Colorado	Settlement	\$5,250,000.00	Securities violations-common law fraud and negligent misrepresentation	http://securities.stanford.edu/1014/KMI00/
8/7/2007	King Pharmaceuticals Inc.	SH class action	US District Court, Tennessee	Settlement	\$38,000,000.00	Misrepresentations led to stock price inflation	http://www.lawyersandsettlements.com/settlements/06017/king_securities_settlement.html
8/29/2005	Kitty Hawk, Inc.	Class action	US District Court, Texas	Settlement	\$2,750,000.00	Securities violations	http://securities.stanford.edu/1014/KTTYE00/

9/26/2008	KLA-Tencor Corporation	Class action	US District Court, California	Settlement	\$65,000,000.00	Options backdating and material misrepresentations	http://securities.stanford.edu/1036/KLAC_01/
9/14/2005	KongZhong Corporation	Class action	US District Court, New York	Settlement	\$3,500,000.00	Securities violations during the 2004 IPO	http://www.lawyersandsettlements.com/settlements/04278/kongzhong_securities.html
7/28/2006	Koninklijke Ahold NV	Securities class action	US District Court, New York	Settlement	\$1,100,000,000.00	Materially misrepresented financial results and performance	http://securities.stanford.edu/1027/AHO03-01/
5/26/2008	KoSa Ltd.	Class action	US District Court, North Carolina	Settlement	\$33,000,000.00	Antitrust violations	http://www.uslaw.com/lawsuits/?itemid=708
	KPMG	Mercury Finance Company of Illinois SH action	US District Court, Illinois	Settlement	\$40,500,000.00	Involvement in securities violations as auditor for Mercury Finance Company of Illinois	http://securities.stanford.edu/1000/mfn97/
5/28/2003	KPMG	Sabratek SH	US District Court, Illinois	Settlement	\$8,975,000.00	Liability for Sabratek misleading financial statements as its outside auditor	http://securities.stanford.edu/1009/SBTK99/
10/8/2004	KPMG International	Class action		Settlement	\$115,000,000.00	Accounting malpractice related to the collapse of Belgian speech-recognition software company Lernout & Hauspie Speech Products	http://www.lawyersandsettlements.com/settlements/02480/kpmginternational.html
1/31/2007	KPNQwest NV	Securities class action	US District Court, New York	Settlement	\$9,675,000.00	Misleading accounting practices	http://securities.stanford.edu/1034/KQIPQPK02_01/
10/31/2006	Krispy Kreme Doughnuts, Inc.	Securities class action	US District Court, North Carolina	Settlement	\$75,000,000.00	Securities violations	http://www2.journalnow.com/content/2009/mar/15/chapter-closes-as-reality-settles-in-on-krispy-kre/

5/15/2006	Krispy Kreme Doughnuts, Inc.	ERISA class action	US District Court, North Carolina	Settlement	\$4,750,000.00	Breached duties with respect to the management and administration of the Krispy Kreme Doughnut Corporation Retirement Savings Plan 401k and the Krispy Kreme Profit Sharing Stock Ownership Plan.	http://www.lawyersandsettlements.com/settlements/05523/krispykreme_erisa_settlement.html
4/9/2008	KV Pharmaceutical Co.	SH action	US District Court, Missouri	Settlement	\$12,000,000.00	Improper stock option practices and accounting	http://www.biomedicine.org/medicine-news-1/KV-Pharmaceutical-Company-Announces-Proposed-Settlement-in-Principle-of-Derivative-Shareholder-Litigation-16303-1/
1/25/2008	KVH Industries Inc.	Consolidated Class action	US District Court, Rhode Island, Rhode Island Superior Court	Settlement	\$5,300,000.00	Violating federal norms pertaining to corporate governance policies and practices	http://securities.stanford.edu/1031/KVHI04-01/index.html
5/20/2002	L90, Inc.	Securities class action	US District Court, California	Settlement	\$5,000,000.00	Securities violations	http://securities.stanford.edu/1023/LNTY02-01/
8/11/2006	LaBranche & Co, Inc	EEOC		Settlement	\$500,000.00	Disability discrimination	
3/31/2004	LaBranche & Co.	SEC		Settlement	\$64,000,000.00	Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million settlement with the SEC	

1/22/2009	LaBranche & Co. LLC	SH action	US District Court, New York	Settlement	\$13,000,000.00	Securities fraud--issuing false and misleading financial statements omitting adverse facts in a scheme to drive up financial results	http://securities.stanford.edu/1028/LAB03-01/
8/22/2002	Laidlaw Environmental Services, Inc.	Securities class action	US District Court, South Carolina	Settlement	\$42,875,000.00	Issuing false and misleading financial statements	http://securities.stanford.edu/1025/SK00-02/
12/29/2006	Lantronix, Inc.	SH action	US District Court, New York	Settlement	\$15,200,000.00	Materially false and misleading statements and recommendations caused stocks to be traded at artificially inflated prices	http://www.lawyersandsettlements.com/settlements/06872/lantronix-shareholders.html
10/6/1999	Laser Technology, Inc.	Securities class action	US District Court, Colorado	Settlement	\$850,000.00	False and misleading statements led to artificial stock price inflation	http://securities.stanford.edu/1009/LSR99/
4/3/2003	Lason, Inc.	Securities class action	US District Court, Michigan	Settlement	\$12,680,000.00	False and misleading statements	http://securities.stanford.edu/1013/LSON99/
3/16/2006	Lattice Semiconductor Corporation	Securities class action	US District Court, Oregon	Settlement	\$3,500,000.00	Issuing materially false and misleading statements regarding the company's growth	http://securities.stanford.edu/1032/LSCC04-01/
1/6/2008	Leadis Technology, Inc.	Securities class action	US District Court, California	Settlement	\$4,200,000.00	Issuing a false and misleading registration statement and prospectus	http://securities.stanford.edu/1033/LDIS05_01/
10/21/2003	LeapFrog Enterprises, Inc.	Class action	US District Court, California	Settlement	\$2,300,000.00	Issuing false and misleading information and failing to disclose material adverse information	http://securities.stanford.edu/1029/LF03-01/
6/30/2003	Legato Systems, Inc.	Securities class action	US District Court, California	Settlement	\$87,700,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1013/LGTO00/

10/29/2004	Lehman Brothers	Enron SH-led by the University of California		Settlement	\$222,500,000.00	Securities violations in connection with Enron's collapse	http://www.universityofcalifornia.edu/news/article/20160
3/10/2005	Lehman Brothers	Class action-led by New York State comptroller		Settlement	\$62,710,000.00	Bank should have known about WorldCom's fraudulent activities and cover up	
3/2/2005	Lernout & Hauspie Speech Products, N.V.	Securities class action	US District Court, Massachusetts	Settlement	\$5,270,000.00	Securities fraud-false and misleading statements	http://securities.stanford.edu/1015/LHSP00/
10/23/2007	Leucadia National Corp.,	SH action		Settlement	\$13,700,000.00	Price offered in buyout of MK Resources Co. was too low	http://www.lawyersandsettlements.com/settlements/097
8/12/2008	Levi Strauss & Co	Securities class action	US District Court, California	Settlement	\$5,000,000.00	Disseminating false and misleading statements to the public	http://securities.stanford.edu/1030/LSC04-01/
11/12/2008	LG Display Co. Ltd, Sharp Corp, and Chunghwa Picture Tubes Ltd	Justice Department	US District Court, California	Fines	\$585,000,000.00	Price fixing of LCD monitors	http://www.lawyersandsettlements.com/settlements/12831/lcd-manufacturers-to-pay-585-million-in-price-fixing.html
2/28/2005	Liberate Technologies, Inc.	Securities class action	US District Court, California	Settlement	\$13,800,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1025/LBRT02-01/
11/20/2006	Liberty Media Corp.	SH action	Delaware Court of Chancery	Settlement	\$52,000,000.00	Breach of fiduciary duty by approving the AT&T deal.	http://www.lawyersandsettlements.com/settlements/06699/liberty-media.html

3/4/2005	Life Financial Corporation	Class action	US District Court, New York	Settlement	\$825,000.00	Issuing materially false and misleading statements concerning financial results	http://securities.stanford.edu/1013/LFCO99/
10/18/2006	Ligand Pharmaceuticals, Inc.	Class action	US District Court, California	Settlement	\$8,000,000.00	Misrepresenting material adverse facts	http://securities.stanford.edu/1031/LGND04-01/
10/20/2006	Liquidmetal Technologies, Inc.	Consolidated class action	US District Court, Florida	Settlement	\$7,500,000.00	Material misrepresentations about financial results issued to the market	http://securities.stanford.edu/1030/LQMT04-01/index.html
5/25/2002	Little Switzerland, Inc.	Class action	US District Court, Delaware	Settlement	\$1,050,000.00	Securities violations	http://securities.stanford.edu/1009/LSVI99/
1/16/1994	Litton Industries	James Carton on behalf of the US Military		Settlement	\$86,000,000.00	Violations of the Federal False Claim Act-overcharging the government for computer services	
2/9/2005	Livent, Inc.	Class action	US District Court, New York	Settlement	\$23,333,146.00	Issuing a series of false and misleading statements	http://securities.stanford.edu/1009/LVNTF98/
1/30/2009	LJ International, Inc.	SH action	US District Court, California	Settlement	\$2,000,000.00	False and material misstatements concerning its projected fourth quarter and year-end 2006 earnings and net income, causing inflated stock prices	http://www.reuters.com/article/pressRelease/idUS172452+30-Jan-2009+PRN20090130
8/11/2004	Log On America, Inc.	Class action	US District Court, Rhode Island	Settlement	\$950,000.00	Issued materially false and misleading statements	http://securities.stanford.edu/1021/LOAX01-01/
7/30/2008	Lou Pai (Enron ex CEO)	SEC		Settlement	\$31,500,000.00	Insider Trading (as part of larger Enron case)	http://www.lawyersandsettlements.co
7/22/2003	Loudcloud, Inc.	Class action	US District Court, California	Settlement	\$1,200,000.00	Company issued common stock without disclosing material information	http://securities.stanford.edu/1020/LDCL01/index.html

7/17/2008	Louisiana-Pacific	Class action	US District Court, Pennsylvania	Settlement	\$44,500,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/12316/louisiana-pacific-settles-44-5-million.html
12/15/2003	Lucent Technologies	Class action	US District Court, New Jersey	Settlement	\$600,000,000.00	Federal and state securities violations	http://securities.stanford.edu/1013/LU00/
5/18/2004	Lucent Technologies Inc	SEC		Fine	\$25,000,000.00	Fraud allegations that the company failed to cooperate in an investigation of its accounting	http://www.lawyersandsettlements.com/settlements/01592/lucenttechnolo
3/31/2009	Lufthansa	Class action	Canadian and American courts	Settlement	\$85,000,000.00	Cargo price-fixing	http://www.lawyersandsettlements.com/settlements/13304/lufthansa-price-fixing-airlines-cargo.html
2/11/2008	Lumenis Ltd.	Securities class action	US District Court, New York	Settlement	\$20,100,000.00	Materially false and misleading statements artificially inflated market prices of the company's securities	http://securities.stanford.edu/1023/LUME02-01/
1/20/2009	Luminent Mortgage Capital, Inc.	Class action	US District Court, California	Settlement	\$8,000,000.00	Securities violations	http://securities.stanford.edu/1037/LUM_01/
11/15/2005	Luxottica Group S.p.A.	Class action	US District Court, New York	Settlement	\$18,250,000.00	Securities violations	http://securities.stanford.edu/1018/RAYS01/
7/15/2003	Lycos, Inc.	Class action	US District Court, Massachusetts	Settlement	\$5,675,000.00	Issued a series of materially misleading information and omissions	http://securities.stanford.edu/1009/LCOS99/
1/5/2001	M&A West, Inc.	Class action	US District Court, California	Settlement	\$2,615,000.00	Issuing false financial statements	http://securities.stanford.edu/1016/MAWIOB00/

4/6/2005	M.H. Meyerson & Co.	Class action	US District Court, New Jersey	Settlement	\$1,200,000.00	Materially false and misleading statements	http://securities.stanford.edu/1024/MHMY02-01/
10/17/2001	MacRomedica, Inc.	Class action	US District Court, California	Settlement	\$48,000,000.00	False and misleading statements inflated stock prices	http://securities.stanford.edu/1002/MACR97/
8/1/2008	Magma Design Automation	Class action	US District Court, California	Settlement (pending approval)	\$13,500,000.00	Securities fraud	http://securities.stanford.edu/1012/MCU96/
10/19/2007	Majesco Entertainment Co.	Securities class action	US District Court, New Jersey	Settlement	\$2,500,000.00	Securities fraud	http://securities.stanford.edu/news-archive/2007/20071018_Settlement104387_Everitt.html
3/8/2007	Mamma.com Inc.	Securities class action	US District Court, New York	Settlement	\$3,150,000.00	Issuing materially false and misleading press releases and other statements regarding Mamma.com's financial condition in order to artificially inflate the value of Mamma.com's common stock	http://securities.stanford.edu/news-archive/2006/20061109_Settlement102076_Writer.html
6/15/1998	Manchester Equipment Co.	Class action	US District Court, New York	Settlement	\$1,350,000.00	Securities violations	http://securities.stanford.edu/1003/MANC97/
12/28/2000	Manhattan Bagel Company, Inc.	Class action	US District Court, New Jersey	Settlement	\$1,000,000.00	Company failed to disclose material accounting improprieties and other problems	http://securities.stanford.edu/1003/BGLS96/
3/10/2009	Mannatech, Inc.	Class action	US District Court, New Mexico	Settlement	\$11,250,000.00	Issuing false or misleading statements concerning the Company's business and operations	http://securities.stanford.edu/1035/MTEX05_01/
3/4/2008	marchFIRST, Inc.	Securities class action	US District Court, Illinois	Settlement	\$18,000,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1016/MRCH00/

10/31/2003	Marconi	Class action	US District Court, Pennsylvania	Settlement	\$7,100,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/01901/marconi1.html
6/1/2006	Mario Gabelli	US DOJ		Settlement	\$100,000,000.00	Civil-fraud claims arising from alleged use of sham small-business affiliates in federal auctions of cellphone spectrum.	http://online.wsj.com/article/SB114912839555068300.html?mod=home_whats_news_us
2/25/2000	Marriott Companies	SH action	Texas court	Settlement	\$400,000,000.00	Allegations company defrauded investors in the late 1980s	http://www.nytimes.com/2000/02/25/business/marriott-companies-in-settlement-of-lawsuit-brought-by-investors.html?scp=15&sq=lawsuit%20and%20settlement&st=Search
10/17/2007	Martek Biosciences Corp	OmegaTech shareholders	US District Court, Maryland	Settlement	\$10,200,000.00	Delayed payments included in the April 2002 merger agreement between the companie	http://www.reuters.com/article/companyNewsAndPR/idUSWNAS646620071016
4/7/2008	Martek Biosciences Corporation	SH class action	US District Court, Maryland	Settlement	\$6,000,000.00	Securities violations	http://securities.stanford.edu/news-archive/2008/20080407_Settlement106130_Writer.html

11/8/2006	Martha Stewart Living Omnimedia Inc.	Class action	US District Court, New York	Settlement	\$30,000,000.00	Making false and misleading statements about the sale of ImClone shares.	http://www.lawyersandsettlements.com/settlements/06642/martha-stewart-living-settlement.html
6/9/2009	Marvell Technology	SH action	US District Court, California	Settlement	\$72,000,000.00	Backdating stock options	http://news.prnewswire.com/DisplayReleaseContent.aspx?ACCT=104&STORY=/www/story/06-09-2009/0005041291&EDATE=#
5/8/2008	Marvell Technology	SEC		Settlement	\$10,000,000.00	Backdating stock options	http://www.lawyersandsettlements.com
1/23/2004	Massachusetts Financial Services Co	US Regulators		Settlement	\$250,000,000.00	Improper trading of funds	http://www.lawyersandsettlements.com
4/13/2006	MasTec, Inc.	Class action	US District Court, Florida	Settlement	\$10,000,000.00	Making overly optimistic statements about the company's fortunes in order to boost the stock price	http://www.lawyersandsettlements.com/settlements/05297/mastec_settlement.html
6/26/2008	Mastercard	American Express		Settlement	\$1,800,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com
6/23/2006	Mathon Management	Arizona Corporation Commission		Settlement	\$76,400,000.00	Operating a ponzi scheme	http://www.azinvestor.gov/News/2007/feb02-07.pdf
3/25/2004	Mattel, Inc.	Securities class action	US District Court, California	Settlement	\$122,000,000.00	Securities violations	http://securities.stanford.edu/1009/MAT99/
11/5/2002	Max Internet Communications	Class action	US District Court, Texas	Settlement	\$2,200,000.00	Securities violations	http://securities.stanford.edu/1015/MXIP00/
9/27/2006	Maxim Pharmaceuticals, Inc.	Class action	US District Court, California	Settlement	\$2,300,000.00	Issuing false and misleading statements and concealing material adverse information	http://securities.stanford.edu/1032/MAXM04-01/

2/12/2007	MBIA Inc.	SEC, New York Attorney General		Settlement	\$75,000,000.00	Securities fraud and misuse of finite reinsurance leveled by state and federal regulators.	http://www.businesinsurance.com/apps/pbcs.dll/article?AID=99992000
5/1/2009	MBNA	Class action	US District Court, Delaware	Settlement	\$25,000,000.00	Securities violations	http://securities.stanford.edu/1034/KRB05_01/
4/11/2003	MBNA	Consumer class action		Settlement	\$22,800,000.00	Consumers with credit cards issued by MBNA bank took cash advances in response to an MBNA promotion.	
	MBNA	Class action-ERISA		Settlement	\$4,500,000.00	Company violated fiduciary duties	
12/27/2007	McAfee, Inc.	SEC		Fine	\$50,000,000.00	Questionable accounting practices	http://www.mcafee.com/us/about/pre
1/3/2006	MCI Communications	Class action	US District Court, District of Columbia	Settlement	\$4,500,000.00	Misleading shareholders regarding its merger	http://securities.stanford.edu/1008/WCOMM97/
7/8/2003	MCI/WorldCom	SEC	US District Court, New York	Fine/Settlement	\$750,000,000.00	Securities violations	http://www.accountingweb.com/item/97796
1/17/2005	McKesson Corp.	Class action		Settlement	\$90,000,000.00	Financial restatements	http://www.lawyers
2/14/2008	McKesson HBOC, Inc.	SH class action	US District Court, California	Settlement	\$960,000,000.00	Securities violations	http://securities.stanford.edu/1004/MCK99/
12/10/2007	Meade Instruments Corporation	Class action	US District Court, California	Settlement	\$3,000,000.00	Misrepresentations and stock options backdating	http://securities.stanford.edu/1036/MEAD_01/

3/29/2005	Measurement Specialties, Inc.	Securities class action	US District Court, New Jersey	Settlement	\$1,453,401.00	Company issued false and misleading statements regarding its financial condition, and misrepresented and omitted material facts in its Registration Statement and Prospectus	http://securities.stanford.edu/1023/MSS02-01/
7/21/2004	Measurement Specialties, Inc.	Class action		Settlement	\$7,500,000.00	Securities violations	http://www.prnewswire.com/cgi-
8/1/2005	Medical Resources, Inc.	Class action	US District Court, New Jersey	Settlement	\$2,750,000.00	Misrepresenting and omitting material facts about the company	http://securities.stanford.edu/1004/MRI197/
1/19/2007	Medical Staffing Network Holdings, Inc.	SH action	US District Court, Florida	Settlement	\$5,000,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/06957/medical-staffing-network.html
9/29/2005	Medical Transportation Management, Inc.	Missouri Attorney General		Settlement	\$2,400,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/04338/mtm_medicaid.html
6/3/2004	Medi-Hut Co. Inc.	Class action	US District Court, New Jersey	Settlement	\$400,000.00	Issuing false and misleading statements regarding the company's business, operations and financial performance	http://securities.stanford.edu/1023/MHUT02-01/
3/22/2004	Medirisk Inc.	Class action	US District Court, New Jersey	Settlement	\$4,000,000.00	Issuing false and materially misleading statements	http://securities.stanford.edu/1008/SturmVMedirisk/
11/1/1999	MedPartners, Inc.	Class action	US District Court, California	Settlement	\$56,000,000.00	Issuing false and materially misleading statements	http://securities.stanford.edu/1009/MDM97/

4/2/2007	MedQuist Inc.	Securities class action	US District Court, New Jersey	Settlement	\$7,750,000.00	False and misleading statements and fraudulent financial manipulations resulted in the overstatement of the Company's revenue and earnings by a material amount.	http://securities.stanford.edu/1032/MEDQPK04_01/index.html
10/20/2002	Mercator Software, Inc.	Class action	US District Court, Connecticut	Settlement	\$8,200,000.00	Issuing false and misleading statements concerning company's revenue, expenses and earnings	http://securities.stanford.edu/1015/MCTR00/
6/29/1999	Mercury Finance Company of Illinois	Consolidated class action	US District Court, Illinois	Settlement	\$28,250,000.00	Securities violations-issuing false and misleading statements regarding company's operations, earnings, financial statements and future prospects	http://securities.stanford.edu/1000/mfn97/
10/15/2007	Mercury Interactive	Class action	US District Court, California	Settlement	\$117,500,000.00	Options backdating	http://www.bizjournals.com/sanjose/stories/2007/10/15/daily8.html
5/1/2007	Mercury Interactive	SEC		Settlement	\$28,000,000.00	Options backdating	http://www.bizjour
5/26/2008	Merge Technologies, Inc.	class action	US District Court, Wisconsin	Settlement	\$16,000,000.00	Violation of federal securities laws by issuing materially false and misleading statements during the Class Period that artificially inflated the Company's stock price	http://securities.stanford.edu/1035/MRGE_01/
4/7/2009	Merrill Lynch	Employees whose retirement plans invested in Merrill Lynch (ERISA class action)	US District Court, New York	Settlement (pending court approval)	\$75,000,000.00	Losses sustained due to investments in Merrill which were encouraged although they were imprudent due to Merrill's exposure to toxic debts and subprime mortgages	http://www.bizjournals.com/albuquerque/stories/2009/04/06/daily15.html

1/31/2008	Merrill Lynch	City of Springfield, MA		Settlement	\$13,900,000.00	Making unauthorized investments	http://www.lawyersandsettlements.co
8/14/2008	Merrill Lynch	New York State and Federal Regulators and Retail Customers		Settlement-buyback of auction rate securities	\$10,000,000.00	Company will buy back billions in troubled auction rate securities and pay a penalty	http://www.highbeam.com/doc/1G1-184312198.html
9/2/1977	Merrill Lynch	SH action		Settlement	\$1,400,000.00	Company advised investors to buy stock in now-bankrupt Scientific Control Corp	
8/28/1990	Merrill Lynch	Consolidated SH action		Settlement	\$17,500,000.00	Compensation for a \$250 million loss incurred while trading mortgage-backed securities	
1/16/2009	Merrill Lynch	Class action-led by Ohio State Teachers' Retirement System		Settlement	\$475,000,000.00	Merrill in statements on collateralized debt obligations and related assets had inflated the market price for its own shares, causing investors to lose money.	http://www.lawyersandsettlements.com/settlements/13050/merrill-lynch-settlement-ohio-teachers.html
4/23/1993	Merrill Lynch	Class action		Settlement	\$15,000,000.00	Customers who sold certain securities were underpaid	

8/23/1994	Merrill Lynch	Class action		Settlement	\$30,000,000.00	Compensation for investors in its failed rare-coin and ancient-art limited partnerships	
7/17/1995	Merrill Lynch	Florida regulators		Settlement	\$100,000,000.00	Improper trades artificially inflated the value of Guarantee Security Life Insurance Company which later failed	http://www.nytimes.com/1995/07/17/business/florida-gets-100-million-in-insurer-failure.html
6/20/1997	Merrill Lynch	Orange County, California		Settlement	\$30,000,000.00	Settlement of a criminal investigation into the firm's role in underwriting bond offerings for Orange County, Calif., before the county filed for bankruptcy in 1994	
10/2/1997	Merrill Lynch	SH action		Settlement	\$90,000,000.00	Compensation for shareholders of the Short-Term Global Income Fund and Short-Term World Income Portfolio-company did not properly inform investors of the investment strategy	
6/3/1998	Merrill Lynch	Orange County, California		Settlement	\$400,000,000.00	Firm helped push the affluent county into bankruptcy four years ago with reckless investment advice.	
8/25/1998	Merrill Lynch	SEC		Fine	\$2,000,000.00	Negligence relating to the bankruptcy of Orange County	

11/16/1998	Merrill Lynch	Futures traders class action		Settlement	\$18,100,000.00	Compensation for losses suffered in the 1996 Sumitomo Corp copper-trading scandal;	
12/7/2001	Merrill Lynch	Unilever		Settlement	\$110,000,000.00	Negligence and mismanagement of funds	http://www.nytimes.com/2001/12/07/business/merrill-to-pay-110-million-to-unilever.html
5/1/2000	Merrill Lynch	Sumitomo Corp.		Settlement	\$275,000,000.00	Compensation for a copper trading scandal and unauthorized cooper trades	http://www.nytimes.com/2002/04/02/business/final-lawsuit-is-settled-in-copper-loss.html
9/5/2007	Merrill Lynch & Co.	Consolidated securities class action	US District Court, New York	Settlement	\$125,000,000.00	Company issued reports which falsely recommended that investors buy the underlying securities of numerous Internet companies which caused injury to the plaintiffs.	http://www.merrillynchsettlement.com/
11/16/2008	Merrill Lynch & Co.	Class action, Tyco SH	US District Court, New York	Settlement	\$4,900,000.00	Issuing misleading financial reports on Tyco while working as an independent director for Merrill Lynch	http://securities.stanford.edu/1028/TYC03-01/
2/17/2006	Merrill Lynch & Co.	Consolidated class action	US District Court, New York	Settlement	\$164,000,000.00	Issuing misleading information on internet companies	http://www.lawyersandsettlements.com/settlements/04991/merrill_lynch_settlement.html
7/20/2007	Merrill Lynch & Co., Inc.	Consolidated class action	US District Court, New York	Settlement	\$15,000,000.00	Securities violations-improper business practices artificially inflated stock prices	http://securities.stanford.edu/1024/MER02-02/

2/1/2007	Merrill Lynch & Co., Inc.	Shareholders in three Merrill mutual funds: the Internet Strategies Fund, the Global Technology Fund and the Focus Twenty Fund.	US District Court, New York	Settlement	\$40,300,000.00	Company failed to disclose material adverse facts about companies they promoted	http://securities.stanford.edu/1024/MER02-01/
10/25/1995	Merrill Lynch and Lazard Freres Company LLC	Commonwealth of MA		Fines, restitution, administrative payments and investigative costs	\$24,020,000.00	Breach of fiduciary duties, conflicts of interest	http://findarticles.com/p/articles/mi_m0EIN/is_1995_Oct_26/ai_17445240/?tag=content;col1
8/21/2009	Merrill Lynch/Bank of America	Class action-led by 2 Louisiana pension funds	US District Court, New York	Settlement	\$150,000,000.00	Misleading investors with respect to the sale of bonds and preferred stock.	http://www.dailymarkets.com/stocks/2009/08/25/bank-of-america-to-resolve-merrill-lawsuit/
5/26/2000	Metal Recovery Technologies, Inc.	Class action	US District Court, Delaware	Settlement	\$3,050,000.00	Artificial stock price inflation through false and misleading statements	http://securities.stanford.edu/1008/LevinevMetalReco/
12/22/2005	Metromedia Fiber Network	Class action	US District Court, New York	Settlement	\$8,750,000.00	Issuing false and materially misleading statements	http://securities.stanford.edu/1020/MFNX01/
10/28/2005	Metropolitan Mortgage	Class action	US District Court, Washington	Settlement	\$7,250,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/04476/metro_mortgage.html
12/4/2007	MF Global	Philadelphia Alternative Asset Fund (PAAF) Investors		Settlement	\$75,000,000.00	Violations related to of its mishandling of hedge fund accounts.	http://securities.stanford.edu/1036/VTSS_01/

9/5/2003	MFS Investment Management	SEC		Fines	\$1,000,000.00	Failing to implement safeguards to prevent insider trading	http://www.highbeam.com/doc/1G1-107263523.html
3/1/2004	MFS Investment Management	US Regulators		Settlement	\$351,000,000.00	Company allowed improper trading- market timing	http://articles.latimes.com/2004/apr/01/business/fin-wrap1.2
4/1/2004	MFS Investment Management	US Regulators		Settlement	\$50,000,000.00	Company made hidden payments to brokers who promoted its funds	http://articles.latimes.com/2004/apr/01/business/fin-wrap1.2
3/9/2009	Michael Baker Corporation	SH action	US District Court, Pennsylvania	Settlement	\$4,500,000.00	False and misleading statements inflating reported revenue from the Company's energy business segment, causing inflated stock prices	http://www.reuters.com/article/pressRelease/idUS94273+17-Jun-2009+PRN20090617 http://securities.stanford.edu/1039/BKR_01/
12/19/2002	Micro Focus Group, PLC	Consolidated class action	US District Court, California	Settlement	\$4,250,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1004/MIFGY98/
12/31/1998	Micro Warehouse, Inc.	Consolidated class action	US District Court, Connecticut	Settlement	\$30,000,000.00	Misrepresenting material information concerning financial statements	http://securities.stanford.edu/1010/MWHS96/
12/29/2001	Microfield Graphics, Inc.	Class action	US District Court, New York	Settlement	\$455,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1020/MICG00/

2/28/2008	Microsoft	European Union	Brussels, Belgium	Fine	\$1,300,000,000.00	Failing to comply with a European antitrust order	http://www.lawyersandsettlements.com/settlements/10987/microsoft-windows-antitrust.html
10/23/2006	Microsoft Corp.	Class action-Arkansas customers		Settlement	\$37,800,000.00	Overcharging customers for some of its products	http://www.lawyersandsettlements.com/settlements/06547/microsoftantitrust.html
10/20/2006	Microsoft Corp.	Class action-Wisconsin customers	US District Court, Wisconsin	Settlement	\$223,900,000.00	Overcharging customers for some of its products	http://seattle.bizjournals.com/seattle/stories/2006/10/16/daily35.html?jst=b_in_hl
5/2/2006	Microsoft Corp.	California local government entities		Settlement	\$70,000,000.00	Engaging in anticompetitive conduct	https://secureweb.rustconsulting.com/MSCAGovEntity/
11/11/2005	Microsoft Corp.	RealNetworks, Inc.		Settlement	\$761,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/04482/media_player_antitrust.html
10/29/2005	Microsoft Corp.	Daum Communications		Settlement	\$30,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/04554/microsoft_anticompetitive.html
7/2/2005	Microsoft Corp.	International Business Machines (IBM)		Settlement	\$775,000,000.00	Violation of antitrust orders in a 2000 District Court ruling	http://www.lawyersandsettlements.com/settlements/03839/microsoft_ibm.html

6/23/2005	Microsoft Corp.	State of North Carolina		Settlement	\$41,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/03788/microsoft_ncschool.html
6/23/2005	Microsoft Corp.	8 states		Settlement	\$28,600,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/03782/microsoft_monopoly.html
4/30/2005	Microsoft Corp.	Class action-Nebraska consumers	Nebraska District Court	Settlement	\$22,600,000.00	Violation of Nebraska's antitrust	http://www.i-newswire.com/pr17871.html
4/11/2005	Microsoft Corp.	Gateway, Inc.		Settlement	\$150,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/03337/gateway.html
11/24/2004	Microsoft Corp.	Computer and Communications Industry Association (CCIA)		Settlement	\$19,750,000.00	Antitrust violations	http://www.msnbc.msn.com/id/6576342/
11/12/2004	Microsoft Corp.	Class action-California consumers		Settlement	\$1,100,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/01544/microanti.html
11/8/2004	Microsoft Corp.	Novell Inc.		Settlement	\$536,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/01525/microsoft.html
12/8/2004	Microtune Inc.	Consolidated class action	US District Court, Texas	Settlement	\$5,625,000.00	Securities violations	http://www.edn.com/article/CA483578.html

12/31/2002	MidAmerica Bank	US DOJ officials in Chicago		Settlement	\$10,000,000.00	Redlining-failing to provide services in minority communities	
6/12/2007	Mikohn Gaming Corporation	Class action	US District Court, Nevada	Settlement	\$2,800,000.00	Securities violations	http://securities.stanford.edu/1035/PGIC05_01/
12/2/2005	Millennium Partners	SEC and NYS Attorney General		Settlement	\$180,000,000.00	Market timing	http://www.lawyersandsettlements.com/settlements/047
4/5/2005	Miller Johnson Steichen Kinnard, Inc.	Class action	US District Court, Minnesota	Settlement	\$5,600,000.00	Securities violations	http://securities.stanford.edu/1025/STOKQ02-01/
2/13/2005	Minnesota Corn Processors	SH action		Settlement	\$5,750,000.00	Misleading SH as to the viability of ethanol and high-fructose corn syrup.	http://www.lawyersandsettlements.com/settlements/029
12/30/2005	Mirant Corp.			Settlement	\$31,800,000.00	Price gouging during the energy crisis	http://www.lawyersandsettlements.com/settlements/04742/mirant_energy.html
1/31/2003	Mitcham Industries	Consolidated class action	US District Court, Texas	Settlement	\$2,700,000.00	Issuing materially false and misleading information	http://securities.stanford.edu/1004/MIND98/
3/19/2002	Mitek Systems, Inc.	Class action	US District Court, California	Settlement	\$2,000,000.00	Securities violations	http://securities.stanford.edu/1016/MITK00/
3/10/2005	Mitsubishi Securities International	Class action-led by New York State comptroller		Settlement	\$75,000,000.00	Bank should have known about WorldCom's fraudulent activities and cover up	

3/10/2005	Mizuho International	Class action-led by New York State comptroller		Settlement	\$37,500,000.00	Bank should have known about WorldCom's fraudulent activities and cover up	
2/24/2000	MobileMedia Communications, Inc.	Class action	US District Court, New Jersey	Settlement	\$26,950,000.00	Material misrepresentations and omissions caused artificial stock price inflation	http://securities.stanford.edu/1004/MBLM96/
3/22/2007	Molex Inc.	SEC	US Circuit Court, Illinois	Fine	\$500,000.00	Breaching of fiduciary duty in connection with certain alleged accounting adjustments and in connection with alleged misdating of certain stock option grants	http://www.lawyersandsettlements.com/settlements/07483/molex-stocks.html
11/2/2006	Molex Incorporated	Class action	US District Court, Illinois	Settlement	\$10,500,000.00	Company misrepresented material adverse facts	http://securities.stanford.edu/1033/MOLXE05_01/
11/17/2008	Molson Coors Brewing Company	Class action	US District Court, Delaware	Settlement	\$6,000,000.00	Failure to disclose material adverse information	http://securities.stanford.edu/1034/TAP05_01/
8/6/2001	Molten Metal Technologies, Inc.	Class action	US District Court, Massachusetts	Settlement	\$11,835,000.00	Deception and fraud caused artificial stock price inflation	http://securities.stanford.edu/1008/AxlervMoltenMeta/
12/1/2000	MoneyGram International	Consolidated class action	US District Court, Illinois	Settlement	\$400,000,000.00	Company, along with competitors, charged hidden fees who needed their money converted from dollars to pesos	http://www.answers.com/topic/moneygram-international-inc
10/7/2008	Monster Worldwide, Inc.	Class action	US District Court, New York	Settlement	\$47,500,000.00	Backdating stock options	http://securities.stanford.edu/1037/MNST_01/

9/6/2006	Morgan Crucible, Carbone of America Industries, Schunk GmbH and SGL Carbon	Class action	US District Court, New Jersey	Settlement	\$21,900,000.00	Conspiring to fix prices	http://www.lawyersandsettlements.com/settlements/06248/carbon_antitrust.html
12/19/2007	Morgan Stanley & Company Inc.	SEC		Settlement	\$17,000,000.00	Failure to supervise four former financial advisers who are accused of engaging in deceptive trading practices.	http://www.reuters.com/article/bankingFinancial/idUSN1851746820071219
11/17/2003	Morgan Stanley & Company Inc.	SEC		Settlement	\$50,000,000.00	Company failed to disclose to its customers that the firm received payments to promote certain mutual funds.	http://www.lawyersandsettlements.com/settlements/01927/morganstanley.html
7/14/2004	Morgan Stanley & Company Inc.	Class action		Settlement	\$54,000,000.00	Sex discrimination	http://www.eeoc.gov/press/7-12-04.html
8/14/2008	Morgan Stanley & Company Inc.	New York State and Federal Regulators		Penalty	\$35,000,000.00	Company will buy back billions in troubled auction rate securities and pay a penalty	

8/14/2008	Morgan Stanley & Company Inc.	New York State and Federal Regulators and Retail Customers		Settlement-buyback of auction rate securities	\$4,500,000,000.00	Company will buy back billions in troubled auction rate securities and pay a penalty	
	Morgan Stanley & Company Inc.	General American Life SH		Settlement	\$95,000,000.00	involvement with General American Life's collapse in 1999	
1/26/2005	Morgan Stanley & Company Inc.	SEC		Settlement	\$40,000,000.00	Company artificially inflated shares of newly floated dot-com stocks	

4/23/2005	Morgan Stanley & Company Inc.	Class action		Settlement	\$41,500,000.00	Overvaluing investor's assets	http://www.lawyersandsettlements.co
10/24/1998	Morgan Stanley & Company Inc.	Orange County, California		Settlement	\$69,600,000.00	Liability for firm's involvement in Orange County's bankruptcy	
5/10/2007	Morgan Stanley & Company Inc.	SEC		Settlement	\$8,000,000.00	Company failed to get retail stock investors the best prices possible on more than 1 million over-the-counter transactions	http://www.lawyersandsettlements.com/settlements/08001/retail-stock-investors.html
8/15/1996	Morgan Stanley Group, Inc.	State of West Virginia		Settlement	\$20,000,000.00	Failed investment strategy cost the state \$280 million and forced it to raise taxes	
3/20/2000	Mossimo, Inc.	Class action	US District Court, California	Settlement	\$13,000,000.00	Company made false and misleading statements and intentionally concealed material negative information in the Prospectus and afterward	http://securities.stanford.edu/1008/FrenkilvMossimol/
11/6/2007	Motive, Inc.	SH action	US District Court, Texas	Settlement	\$7,000,000.00	Materially false and misleading statements regarding Motive's operations and financial condition caused artificially inflated stock prices	http://www.lawyersandsettlements.com/settlements/09899/motive-securities-settlement.html
6/9/2000	Motorcar Parts & Accessories, Inc.	Class action	US District Court, California	Settlement	\$7,500,000.00	Overstating earnings	http://securities.stanford.edu/1004/MPAA99/
5/8/2007	Motorola	SEC		Settlement	\$25,000,000.00	Improperly funneling cash to Adelphia Communications in 2001, which used the money to falsify its earnings for 2000 and 2001.	http://www.lawyersandsettlements.com/settlements/07973/motorola-accounting-

2/27/1992	Motorola	US General Services Administration		Settlement	\$15,100,000.00	Company charged too much for computers and maintenance	washingtonpost.com
5/16/2008	Motorola, Inc.	Securities class action	US District Court, District of Columbia	Settlement	\$20,000,000.00	misrepresentations and omissions regarding the Iridium satellite communications business	http://securities.stanford.edu/1009/IRID99/
4/16/2007	Motorola, Inc.	Securities class action	US District Court, Chicago	Settlement	\$190,000,000.00	Motorola misled investors about its financial performance related to its business with a Turkish customer known as Telsim.	http://www.motorola.com/motorola/asecuritiessettlement/default.htm
6/30/2001	MP3.com, Inc.	Securities class action	US District Court, California	Settlement	\$35,000,000.00	Securities violations	http://securities.stanford.edu/1015/MPPP00/
10/1/2003	Mpower Communications Corporation	Consolidated class action	US District Court, New York	Settlement	\$1,300,000.00	Issuing false and misleading statements and press releases	http://securities.stanford.edu/1015/MPWR00/
10/16/2009	MRT, LLC	Consolidated class action	US District Court, Florida	Settlement	\$50,000,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1038/ML_01/
2/28/2004	MSC Industrial Direct Co., Inc.	Consolidated securities class action	US District Court, New York	Settlement	\$1,250,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1025/MSM02-01/
11/30/1999	MTI Technology Corporation	Class action	US District Court, California	Settlement	\$900,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1004/MTIC98/
10/26/2004	Muse Technologies, Inc.	Class action	US District Court, Massachusetts	Settlement	\$565,000.00	Misrepresentations and omissions artificially inflated stock prices	http://securities.stanford.edu/1019/MUZE01/
10/9/2002	MusicMaker.com	Class action	US District Court, California	Settlement	\$13,750,000.00	Material omissions and false and misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1013/HITS00/
10/22/2007	Mutual Benefits Corp.	Class action	US District Court, Florida	Settlement	\$1,684,624.00	#####	http://securities.stanford.edu/1031/MBC04-01/

7/22/2004	Mutual Risk Management, Ltd.	Class action	US District Court, California	Settlement	\$3,000,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1024/MLRM02-01/
3/19/2008	Nabors Industries, Ltd.	SH action	US District Court, Texas	Settlement	\$2,850,000.00	Misdating stock option grants	http://www.reuters.com/article/pressRelease/idUS220253+19-Mar-2008+PRN20080319
6/25/2003	Nanophase Technologies	Class action	US District Court, Illinois	Settlement	\$2,500,000.00	Securities violations concerning the disclosure of information	http://securities.stanford.edu/news-archive/2003/20031202_Settlement04_Staff.htm
3/27/2001	Nanophase Technologies	Class action	US District Court, Illinois	Settlement	\$4,025,000.00	Making false and misleading statements about sales and earnings	http://securities.stanford.edu/1012/NANX98/
12/30/1997	Nasdaq-30 brokerage firms	Class action	US District Court, New York	Settlement	\$1,030,000,000.00	Conspiracy to rig prices on the Nasdaq stock market	

5/11/2008	Nash Finch Co	SH action	US District Court, Minnesota	Settlement	\$6,750,000.00	False and misleading revenue statements	http://findarticles.com/p/articles/mi_m0EIN/is_2008_March_11/ai_n24386590/
8/17/2005	Nassda Corporation	Class action	US District Court, California	Settlement	\$9,000,000.00	Securities violations	http://securities.stanford.edu/1031/NSDA04-01/
11/28/2000	National Auto Credit, Inc.	Class action	US District Court, Ohio	Settlement	\$6,500,000.00	Securities violations	http://securities.stanford.edu/1010/NAK98/
5/24/2008	National City Mortgage	US DOJ		Settlement	\$4,600,000.00	Company submitted ineligible mortgages for federal backing	http://www.lawyersandsettlements.com/settlements/12020/national-city-mortgage-ineligible-loans.html
10/6/2004	National Golf Properties, Inc.	Class action	US District Court, California	Settlement	\$4,175,000.00	Securities violations	http://securities.stanford.edu/1023/TEE02-01/
6/21/2000	National Steel Corporation	Class action	US District Court, Indiana	Settlement	\$150,000.00	Issuing false and misleading statements concerning financial results	http://securities.stanford.edu/1011/NS98/
8/27/1997	National TechTeam, Incorporated	Consolidated class action	US District Court, Michigan	Settlement	\$11,000,000.00	Misrepresentations and omissions of material fact	http://securities.stanford.edu/1004/TEAM97/
9/21/1998	Nationwide Insurance Company	Class action		Settlement	\$5,300,000.00	Lending discrimination against minorities in Ohio	
3/30/2009	Natural Health Trends Corporation	Class action	US District Court, Texas	Settlement	\$2,750,000.00	Issuing materially false and misleading information regarding company's business model	http://securities.stanford.edu/1036/BHIP_01/
2/19/2003	Navigant Consulting, Inc.	Consolidated securities class action	US District Court, Illinois	Settlement	\$23,000,000.00	Company manipulated reported growth rate	http://securities.stanford.edu/1014/NCI99/

10/30/2004	NCI Building Systems Inc.	Consolidated class action	US District Court, Texas	Settlement	\$7,000,000.00	Improper accounting practices forced a restatement of previously released financial reports.	http://securities.stanford.edu/1017/NCS01/
10/29/2003	Nesco, Inc.	Securities class action	US District Court, Oklahoma	Settlement	\$1,050,000.00	Issuing false and misleading statements regarding company's financial results	http://securities.stanford.edu/1021/NESC01/
5/19/2003	NetEase.Com, Inc	Class action	US District Court, New York	Settlement	\$1,450,000.00	Securities violations-improper reporting of revenue	http://securities.stanford.edu/1020/NTESE01/
12/31/2000	NetManage, Inc.	Class action	US District Court, California	Settlement	\$5,100,000.00	Insider trading and false and misleading financial statements caused artificial stock price inflation	http://securities.stanford.edu/1004/NETM97/
4/18/2007	Netopia, Inc.	Securities class action	US District Court, California	Settlement	\$11,250,000.00	#####	http://securities.stanford.edu/1032/NTPA04-01/index.html
12/17/2001	NetSolve, Inc.	Class action	US District Court, Texas	Settlement	\$2,750,000.00	Company engaged in a fraudulent scheme by issuing false and materially misleading statements regarding its business	http://securities.stanford.edu/1015/NTSC00/
5/21/2001	Network Associates, Inc.	Class action	US District Court, California	Settlement	\$30,000,000.00	Failing to disclose and misrepresenting financial results and business prospects	http://securities.stanford.edu/1004/NETA99/
9/30/2003	Network Associates, Inc.	Class action	US District Court, California	Settlement	\$70,000,000.00	Company artificially inflated their share price by improperly booking revenues.	http://securities.stanford.edu/1016/NETA00/index.html
5/2/1997	Network Computing Devices, Inc.	Class action	US District Court, California	Settlement	\$12,000,000.00	Company made and failed to correct false and misleading statements regarding net income, revenue and assets	http://securities.stanford.edu/1000/NCDI96/

7/25/2006	Network Engines, Inc.	Class action	US District Court, Massachusetts	Settlement	\$2,875,000.00	Misrepresenting revenue and growth information and failing to disclose material adverse information	http://securities.stanford.edu/1029/NENG03-01/
2/25/2002	New Era of Networks, Inc.	Class action	US District Court, Colorado	Settlement	\$5,000,000.00	Securities violations-material misrepresentations and omissions	http://securities.stanford.edu/1016/NEON01/
4/2/1996	New York Life Insurance Company	Class action	US District Court, Florida	Settlement	\$400,000,000.00	Participation in a scheme to defraud purchasers into buying units of partnerships	http://securities.stanford.edu/1012/NYLife/
10/20/2006	Newmont Mining Corporation	Class action	US District Court, Colorado	Settlement	\$15,000,000.00	Disseminating false and misleading information about company's operations and financial results	http://securities.stanford.edu/1034/NEM05_01/
4/30/2007	Newpark Resources, Inc.	SH class action and derivative suit	US District Court, Louisiana	Settlement	\$9,850,000.00	Potential irregularities in the processing and payment of invoices at one of its subsidiaries	http://securities.stanford.edu/news-archive/2007/20070413_Settlement102976_Writer.html
5/4/2004	NewPower Holdings, Inc	Class action	US District Court, New York; US Bankruptcy Court, Georgia	Settlement	\$26,000,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/02087/newpowerholdingsinc1.html
7/25/2007	NextCard, Inc.	Securities class action	US District Court, California	Settlement	\$635,000.00	Company disseminated false and misleading information regarding revenue and growth prospects	http://securities.stanford.edu/1021/NXCD01/
3/26/2004	Nice Systems Ltd.	Securities class action	US District Court, New Jersey	Settlement	\$10,000,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1017/NICE01/
7/17/2006	Nicor Inc.	SEC		Settlement	\$10,000,000.00	Investigation in connection with the accounting for natural gas costs	http://www.idsemergencymanagement.com/emergency

7/13/2004	Nicor Inc.	Securities class action	US District Court, Illinois	Settlement	\$39,000,000.00	Overstating the results of its operations	http://securities.stanford.edu/1025/JNJ02-01/
1/31/2005	Nicor Inc.	SH derivative action	US District Court, Illinois	Settlement	\$3,500,000.00	Securities violations	http://www.businesswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20050125006162&newsLang=en
2/24/2003	Nike, Inc.	Class action	US District Court, Oregon	Settlement	\$8,900,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1017/NKE01/
10/24/1998	Nomura Securities	Orange County, California		Settlement	\$47,900,000.00	Liability for company's involvement in Orange County's bankruptcy	
3/30/2002	Norland Medical Systems, Inc.	Class action	US District Court, New York	Settlement	\$1,700,000.00	Disseminating false and misleading information	http://securities.stanford.edu/1012/NRLD98/
7/31/2007	Norstan	Unsecured Creditors	US Bankruptcy Court, New York	Settlement	\$67,000,000.00	Unscrupulous business procedures	http://www.syscon.com/node/409906
5/1/2008	Nortel (former VPs)	SEC		Settlement	\$381,512.00	Fraud	http://securities.st
1/22/2007	Nortel Networks Corp.	Consolidated class actions	Ontario Superior Court of Justice	Settlement	\$2,470,000,000.00	Violating U.S. and Canadian securities laws after it misled investors and issued revised financial expectations for the 2001 fiscal year.	http://www.abcmoney.co.uk/news/9200799755.htm
5/22/2007	Nortel Networks Corp.	Ontario Securities Commission.		Settlement	\$917,000.00	Company engaged in misleading accounting practices and regulatory filings	http://www.lawyersandsettlements.com/settlements/08142/nortel-
12/2/2004	North Country Financial Corporation	Class action	US District Court, Michigan	Settlement	\$750,000.00	Issuing false and misleading statements and omitting material adverse information	http://securities.stanford.edu/1031/NCFC03-01/

8/8/2006	North Fork Bancorp	SH action		Settlement	\$20,000,000.00	SH action challenging the merger with Capital One	http://findarticles.com/p/articles/mi_qn4189/is_20060808/ai_n16660548/?tag=content;col1
1/29/2004	NorthPoint Communications Group, Inc.	Class action	US District Court, California	Settlement	\$20,000,000.00	Securities violations	http://securities.stanford.edu/1017/NPNTQ01/
3/31/1998	Northstar Health Services, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$6,450,000.00	Disseminating false and materially misleading information	http://securities.stanford.edu/1000/NSTRE96/
1/4/2005	NorthWestern Corp.	Class action	US District Court, South Dakota	Settlement	\$41,000,000.00	Securities violations	http://www.rapidcityjournal.com/articles/2004/12/14/news/state/top/state01.txt
7/14/2004	NorthWestern Corporation	Montana Power Company SH	US District Court, Montana	Settlement	\$67,000,000.00	Montana Power Company (which was purchased by Northwestern) issued false and material misrepresentations, artificially inflating the price of its securities	http://securities.stanford.edu/1024/TAA02-01/index.html
11/13/2008	Northwestern Mutual Life Insurance Co.	Class action	US District Court, California	Settlement	\$92,000,000.00	Failing to pay dividends on certain term life policies and using improper sales and marketing practices	http://www.jsonline.com/business/34373844.html
12/11/2008	NovaStar Financial Inc	SH action	US District Court, Missouri	Settlement	\$7,250,000.00	The company misled investors by pointing to an increased number of brokerage offices throughout the country, some of which were not properly registered or didn't actually exist	http://www.bizjournals.com/kansascity/stories/2008/12/08/daily27.html
5/27/2005	Novell	Class action	US District Court, California	Settlement	\$13,900,000.00	Financial manipulation aimed at inflating revenues	http://securities.stanford.edu/1034/NOVL98_01/index.html

3/20/2009	NPS Pharmaceuticals	SH action	US District Court, Utah	Settlement	\$15,000,000.00	False and misleading statements about the effectiveness of its osteoporosis drug that led to inflated stock prices and sales.	http://securities.stanford.edu/1036/NPSP_01/
2/15/2007	NTL, Inc.	Securities class action	US District Court, New York	Settlement	\$9,000,000.00	Securities fraud	http://securities.stanford.edu/1023/NTL02-01/
4/2/2001	NTN Communications	Class action	US District Court, California	Settlement	\$3,250,000.00	False statements and omissions regarding liabilities and assets	http://securities.stanford.edu/1012/NTN97/
12/31/2003	Nuance Communications, Inc.	Class action	US District Court, California	Settlement	\$11,000,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1017/NUAN01/
10/12/2005	NUI Corporation	Class action	US District Court, New York	Settlement	\$3,500,000.00	Issuing materially false and misleading statements to the market	http://securities.stanford.edu/1026/NUI02-01/
5/23/1997	Nuko Information Systems, Inc.	Class action	US District Court, California	Settlement	\$500,000.00	Disseminating false and misleading press releases	http://securities.stanford.edu/1005/NUK097/
2/8/2001	Number Nine Visual Technology Corporation	Class action	US District Court, Massachusetts	Settlement	\$3,000,000.00	Company misrepresented the nature of its product line and future prospects	http://securities.stanford.edu/1005/NINE96/
1/15/2002	NutraMax Products, Inc.	Class action	US District Court, Massachusetts	Settlement	\$2,750,000.00	Issuing false and misleading financial statements	http://securities.stanford.edu/1014/NMPC00/
9/30/1997	Nutrition for Life International, Inc.	Class action	US District Court, Texas	Settlement	\$3,500,000.00	Misrepresenting and/or omitting material information concerning business, marketing efforts, sales and earnings	http://securities.stanford.edu/1012/NFLI/
9/10/2004	Nx Networks, Inc.	Class action	US District Court, Massachusetts	Settlement	\$6,300,000.00	Company overstated financial results and profit revenues	http://securities.stanford.edu/1016/NXWX00/

7/31/2003	Nyack Hospital	Class action	US District Court, New York	Settlement	\$620,000.00	Artificially inflating the price of hospital bonds	http://securities.stanford.edu/1024/NH02-01/
3/28/2001	Oakley, Inc.	Class action	US District Court, California	Settlement	\$17,500,000.00	Securities violations-misrepresentations	http://securities.stanford.edu/1012/OO97/
1/27/2008	OAO Lukoil	Chapparel Shareholders	Delaware Chancery Court	Settlement	\$37,600,000.00	Wrongdoing and fraud in its takeover of Chaparral Resources Inc.	http://thedealsleuth.wordpress.com/2008/01/22/chaparral-resources-shareholders-have-last-laugh-as-lukoil-settles-litigation/
2/10/2009	OCA, Inc.	Class action	US District Court, Louisiana	Settlement	\$6,500,000.00	Securities violations-misrepresentations and accounting practices	http://securities.stanford.edu/1034/OCA05_01/
9/10/2009	Occam Networks, Inc.	Class action	US District Court, California	Settlement	\$12,660,000.00	Securities violations	http://securities.stanford.edu/1037/OCNW_01/
6/30/1999	Offshore Energy Development Corporation	Class action	US District Court, Texas	Settlement	\$3,000,000.00	Company artificially inflated stock prices	http://securities.stanford.edu/1027/OEDC98-01/
8/31/2001	Olsten Corporation	Class action	US District Court, New York	Settlement	\$24,100,000.00	Material misstatements and omissions artificially inflated stock prices	http://securities.stanford.edu/1005/OLS97/
7/21/2001	Olympic Financial	Class action	US District Court, Minnesota	Settlement	\$6,125,000.00	Company failed to disclose material information	http://securities.stanford.edu/1012/OLM97/
3/25/2005	OM Group Inc.	Class action	US District Court, Ohio	Settlement	\$92,400,000.00	Securities fraud allegations when the price dropped dramatically	http://securities.stanford.edu/1026/OMG02-01/
12/7/2007	OmniVision Technologies, Inc.	Class action	US District Court, California	Settlement	\$13,750,000.00	Material misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1031/OVTI04-01/
7/6/2001	Omtool, Ltd.	Class action	US District Court, New Hampshire	Settlement	\$6,000,000.00	Company knowingly or recklessly misled investors	http://securities.stanford.edu/1013/OMTL99/

12/31/2002	On-Point Technology Systems, Inc.	Class action	US District Court, California	Settlement	\$50,000.00	Publishing false and misleading financial statements	http://securities.stanford.edu/1017/ONPTE00/
6/30/2003	Onvia.com	Class action	US District Court, New York	Settlement	\$1,000,000,000.00	Material misrepresentations	http://securities.stanford.edu/1018/ONVI01/
6/10/2004	Onyx Software Corporation	Class action	US District Court, Washington	Settlement	\$1,185,000.00	False and misleading financial statements caused artificially inflated stock prices	http://securities.stanford.edu/1020/ONXS01/
5/10/1999	Open Environment Corporation	Class action	US District Court, Massachusetts	Settlement	\$6,000,000.00	Revenues and income were overstated	http://securities.stanford.edu/1005/OPEN96/
10/4/2006	Oppenheimer & Co.	NASD		Fine	\$800,000.00	Reporting inaccuracies to the Municipal Securities Rulemaking Board	http://www.lawyersandsettlements.com/settlements/064
10/25/2002	Optical Cable Corporation	Class action	US District Court, Virginia	Settlement	\$700,000.00	Securities violations	http://securities.stanford.edu/1022/OCCF01-01/
10/15/2003	Opus360 Corporation	Class action	US District Court, New York	Settlement	\$550,000.00	Registration Statement and Prospectus contained false and misleading information and failed to disclose material adverse information	http://securities.stanford.edu/1017/OPUS01/
9/16/2005	Oracle CEO	SH action	California State Court	Settlement	\$100,000,000.00	Insider trading	http://www.nytimes.com/2005/09/16/business/16norris.html?scp=36&sq=lawsuit%20and%20settlement&st=Search
5/7/2007	Orange 21 Inc.	Consolidated securities class action	US District Court, California	Settlement	\$1,400,000.00	Company failed to disclose adverse information	http://securities.stanford.edu/1033/ORNG05_01/index.html
10/30/2006	ORBCOMM, Inc.	Class action	US District Court, New Jersey	Settlement	\$2,450,000.00	Registration Statement and Prospectus contained false and misleading information	http://securities.stanford.edu/1038/ORBC_01/

12/13/2000	Orbital Sciences Corporation	Class action	US District Court, Virginia	Settlement	\$11,000,000.00	Disseminating false and misleading financial information which caused artificial stock price inflation	http://securities.stanford.edu/1013/ORB99/
3/18/2005	Organon USA Inc. and Akzo No	Class action-Nebraska consumers		Settlement	\$36,000,000.00	Improperly monopolizing the US drug market	http://www.lawyersandsettlements.com/settlements/03189/remeron_settlement.html
7/11/2008	Ortho Biotech Products L.P.	Amgen	US District Court, New Jersey	Settlement	\$200,000,000.00	Lawsuit alleged Ortho Biotech (Johnson and Johnson) offered discounts to oncology clinics in violation of antitrust laws	http://www.drugs.com/news/ortho-biotech-amgen-resolve-antitrust-litigation-8397.html
8/22/2008	OSI Pharmaceuticals, Inc.	Class action	US District Court, New York	Settlement	\$9,000,000.00	Misstatements and material omissions caused artificial stock price inflation	http://securities.stanford.edu/1033/OSIP04_01/
7/10/2007	OSI Restaurant Partners	SH actions	Hillsborough County Court	Settlement	\$5,000,000.00	Improperly pressuring board members and misleading shareholders in order to support an acquisition deal	http://www.sptime.com/2007/07/10/Business/OSI_hopes_5M_will_eas.shtml
1/31/2001	Osicom Technologies, Inc.	Class action	US District Court, California	Settlement	\$3,750,000.00	False and misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1006/FIBR99/
4/10/2007	Owens Corning, Inc.	Class action	US District Court, Massachusetts	Settlement	\$19,250,000.00	Disseminating false and misleading statements	http://securities.stanford.edu/1006/FIBR99/
6/17/2003	Oxford Health Care Insurance Inc. and KPMG LLP	Class action	US District Court, New York	Settlement	\$300,000,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/01692/oxfordhealthcareinsuranceincandkpmgllp.html

9/15/2004	PA Fund Management, PEA Capital and PA Distributors.	SEC		Settlement	\$20,600,000.00	Companies failed to disclose their use of fund assets to pay brokerages for steering customers to Pimco stock mutual funds.	http://www.lawyersandsettlements.com/settlements/02460/pafund.html
5/5/1999	Pacific Scientific Company	Class action	US District Court, California	Settlement	\$14,800,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1006/PSX96/
9/15/2008	PainCare Holdings Inc.	SH action	US District Court, Florida	Settlement	\$2,000,000.00	Price stock inflation and restatement of historical financial statements	http://securities.stanford.edu/1035/PRZ_01/
7/23/1999	Paracelsus Healthcare Corporation	Class action	US District Court, Texas	Settlement	\$14,000,000.00	Company disseminated false and misleading information and omitted material facts	http://securities.stanford.edu/1012/PLS96/
2/28/2005	Paradigm Medical Industries, Inc.	Consolidated class action	US District Court, Utah	Settlement	\$4,600,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/03072/paradigm_securities.html
10/15/2003	Paradyne Networks, Inc.	Class action	US District Court, Florida	Settlement	\$3,000,000.00	Violations of anti-fraud provisions of the federal securities laws	http://securities.stanford.edu/1016/PDYN00/
3/3/2009	Parmalat	Class action	US District Court, New York	Settlement	\$70,000,000.00	Participated in a fraudulent financial scheme, resulting in the understatement of Parmalat's debt and the overstatement of its net assets	http://securities.stanford.edu/news-archive/2009/20090303_Settlement109621_Lipman.html
3/22/2007	Parmalat Finanziaria	Class action	US District Court, New York	Settlement	\$50,000,000.00	Participation in a fraudulent financial scheme, resulting in the understatement of Parmalat's debt by nearly \$10 billion and the overstatement of its net assets by over \$16 billion	http://www.lawyersandsettlements.com/settlements/07516/parmalat-settlement.html

9/30/2002	PartsBase.com, Inc.	Class action	US District Court, Florida	Settlement	\$1,500,000.00	Misrepresentations and omissions harmed shareholders	http://securities.stanford.edu/1017/PRTS01/
5/20/2003	Party City Corporation	Class action	US District Court, New Jersey	Settlement	\$3,800,000.00	Company issued materially false and misleading statements	http://securities.stanford.edu/1012/PCTY99/index.html
11/30/2005	Patriot American Hospitality, Inc.	Consolidated class action	US District Court, California	Settlement	\$17,650,000.00	Securities violations relating to a merger and the dissemination of false and misleading statements	http://securities.stanford.edu/1006/PAH99/
6/25/2003	Peak International, Ltd.	Consolidated class action	US District Court, New York	Settlement	\$4,000,000.00	Securities violations-short selling	http://securities.stanford.edu/1012/PTT99/
1/6/2004	Pediatric Services of America, Inc.	Class action	US District Court, Georgia	Settlement	\$3,000,000.00	Disseminating false and misleading information	http://securities.stanford.edu/1006/PSAI99/
5/3/2002	Pediatric Medical Group, Inc.	Class action	US District Court, Florida	Settlement	\$12,000,000.00	Securities violations	http://securities.stanford.edu/1012/PDX99/
12/7/2006	Pegasus Communications Corporation	Class action	US District Court, Pennsylvania	Settlement	\$2,950,000.00	Securities violations	http://securities.stanford.edu/1035/PGTV05_01/
12/20/2000	Pegasystems, Inc.	Class action	US District Court, Massachusetts	Settlement	\$12,250,000.00	Issuing false and materially misleading statements	http://securities.stanford.edu/1017/PEGA98/
7/5/2007	Penstar Inc.	Securities class action and SH derivative suit	US District Court, Minnesota	Settlement	\$12,000,000.00	Misleading investors in order to inflate stock prices	http://securities.stanford.edu/1025/PMTR02-01/
2/5/2004	Penn Treaty American Corporation	Class action	US District Court, Pennsylvania	Settlement	\$2,300,000.00	Issuing false and materially misleading statements	http://securities.stanford.edu/1018/PTA01/
5/24/2000	PennCorp Financial Group	Class action	US District Court, New York	Settlement	\$9,000,000.00	Issuing false and materially misleading statements	http://securities.stanford.edu/1012/PFG98/
5/15/2007	Penthouse International Inc.	SEC		Fine	\$120,000.00	Accounting fraud and financial reporting violations	http://www.lawyersandsettlements.co

6/30/2004	Penton Media, Inc.	Class action	US District Court, New York	Settlement	\$7,000,000.00	Securities violations	http://securities.stanford.edu/1012/PME98/
8/15/1997	Pepsi Cola Puerto Rico Bottling Company	Class action	US District Court, New York	Settlement	\$2,500,000.00	Accounting irregularities	http://securities.stanford.edu/1000/PPO96/
7/13/2009	Peregrine Systems	Class action	US District Court, California	Settlement	\$61,550,000.00	Company materially overstated its revenues and earnings during the class period due to the its failure to recognize revenue properly	http://securities.stanford.edu/news-archive/2009/20090716_Settlement110152_LaCroix.html
7/9/2003	Performance Technologies, Inc.	Class action	US District Court, New York	Settlement	\$1,000,000.00	False and misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1014/PTIX00/
2/28/2000	Peritus Software Solutions	Class action	US District Court, Massachusetts	Settlement	\$2,800,000.00	Company materially overstated earnings causing artificial stock price inflation	http://securities.stanford.edu/1012/PTUS98/
6/30/2006	Perot Systems Corporation	Class action	US District Court, New York	Settlement	\$1,000,000,000.00	Registration Statement and Prospectus contained false and misleading information	http://securities.stanford.edu/1019/PER01/
4/2/2001	PETCO	Class action	US District Court, California	Settlement	\$8,900,000.00	False and materially misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1012/PETC98/
9/2/2008	PETCO	Class action	US District Court, California	Settlement	\$20,250,000.00	False and materially misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1034/PETC05_01/
8/3/2007	PharmaNet Development Group, Inc.	SH securities class action	US District Court, New Jersey	Settlement	\$28,500,000.00	Materially false and misleading statements and failure to disclose adverse information caused PharmaNet common stock to trade at artificially inflated prices	http://www.lawyersandsettlements.com/settlements/08868/pharmanet-securities-settlement.html

11/13/2007	Pharmos Corporation	Class action	US District Court, New Jersey	Settlement	\$7,000,000.00	Insider trading	http://securities.stanford.edu/1033/PARS05_01/
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9/5/2007	Philadelphia Stock Exchange (PHLX)	Class action		Settlement	\$80,000,000.00	Improper deal struck with six wall street firms	http://www.lawyersandsettlements.co
6/14/2004	Philips Medical Acquisition Corporation	Class action	US District Court, California	Settlement	\$3,550,000.00	Securities violations	http://securities.stanford.edu/1027/AL03-01/
3/30/1999	Phillip Morris	Mayola Williams	Oregon Supreme Court, affirmed by US Supreme Court	Verdict	\$80,300,000.00	Damages for death of a smoker from lung cancer	http://www.msnbc.msn.com/id/29973316/
6/6/2001	Phillip Morris	Richard Boeken	Los Angeles Superior Court	Verdict	\$3,000,000,000.00	Failure to warn of the dangers of smoking	
5/8/2002	Phoenix International, Ltd.	Class action	US District Court, Florida	Settlement	\$4,225,000.00	Misleading accounting principles caused artificial stock price inflation	http://securities.stanford.edu/1014/PHXX99/
12/23/1998	Photran Corporation	Class action	US District Court, Minnesota	Settlement	\$2,050,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1000/PTRNE97/
4/27/2004	PHP Healthcare Corporation	Class action	US District Court, California	Settlement	\$4,500,000.00	False and misleading statements caused artificial stock price inflation	http://securities.stanford.edu/1006/PPH98/
7/26/2002	PhyCor, Inc.	Securities class action	US District Court, Tennessee	Settlement	\$10,000,000.00	Misrepresentations and omissions of material adverse information	http://securities.stanford.edu/1006/PHYC98/
11/24/2003	Physicians Corporation of America Inc.	Class action	US District Court, Florida	Settlement	\$10,200,000.00	Company had inflated its stock share by more than \$5 through the dissemination of false and misleading statements	http://www.lawyersandsettlements.com/settlements/01929/physicianscorporationofamericainc.html
11/4/1999	PictureTel Corporation	Class action	US District Court, Massachusetts	Settlement	\$12,000,000.00	Material statements and omissions caused artificial stock price inflation	http://securities.stanford.edu/1006/PCTL97/

9/13/2007	Pilgrim Baxter & Associates	SEC		Settlement	\$267,000,000.00	Improper market timing diluted the value of mutual funds	http://www.lawyersandsettlements.com/settlements/093
11/23/2004	Pilgrim Baxter and Associates Ltd.	Regulators		Fine	\$80,000,000.00	Regulators alleged that they allowed and profited from abusive fund trading	http://www.lawyersandsettlements.com/settlements/015
2/6/2003	Pinnacle Holdings, Inc.	Class action	US District Court, Florida	Settlement	\$8,200,000.00	Material misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1017/BIGT01/
11/10/1997	Pinnacle Micro, Inc.	Class action	US District Court, California	Settlement	\$2,325,000.00	Company engaged in improper accounting practices and issued false and misleading statements	http://securities.stanford.edu/1000/PNCL96/
9/9/1995	Piper Jaffray Cos.	Class action		Settlement	\$1,950,000.00	Firm misled investors about the	http://www.tampabay.com/
9/9/1995	Piper Jaffray Cos.	Class action		Settlement	\$70,000,000.00	Firm misled investors about the	http://www.tampabay.com/
4/18/2006	Pixelplus Company, Ltd.	Class action	US District Court, New York	Settlement	\$1,000,000.00	Company reported false and misleading financial information	http://securities.stanford.edu/1036/PXPL_01/
12/31/2001	Plains All American Pipeline LP	Class action	US District Court, Texas	Settlement	\$30,000,000.00	Company issued false and misleading statements about its business and internal policies	http://securities.stanford.edu/1014/PAA99/
12/6/1999	PLC Systems, Inc	Class action	US District Court, Massachusetts	Settlement	\$1,500,000.00	Company issued materially false and misleading statements and omitted material facts concerning the safety, efficacy and clinical trial results of the Heart Laser TMR system.	http://securities.stanford.edu/1006/PLC97/
5/17/2004	Plug Power Inc	Class action	US District Court, New York	Settlement	\$5,000,000.00	Company's top officials liquidated their stock using insider information regarding the timeline of the company's plans for supplying General Electric Co	http://securities.stanford.edu/1015/PLUG00/index.html

12/12/2007	PMA Capital Corporation	Class action	US District Court, Pennsylvania	Settlement	\$15,000,000.00	Public statements were materially false and misleading	http://securities.stanford.edu/1029/PMACA03-01/
1/4/2005	PNC Financial	SH class action	US District Court, Pennsylvania	Settlement	\$30,000,000.00	Company had used improper insurance contracts to hedge against volatile earnings.	http://www.lawyersandsettlements.com/settlements/02724/pnc_financial.html
3/3/2009	PNC Financial Services Group Inc.	Class action		Settlement	\$10,250,000.00	Securities violations-artificial stock price inflation	
6/3/2003	PNC Financial Services Group, Inc.	SEC	US District Court, Pennsylvania	Settlement	\$25,000,000.00	Inflating earnings by removing bad loans from financial statements	
8/13/2005	PNC Financial Services Group, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$193,000,000.00	Inflating earnings by removing bad loans from financial statements	
6/25/2008	Point Blank Solutions	SH action and SEC suit	US District Court, New York	Settlement	\$34,900,000.00		http://www.lawyersandsettlements.com/settlements/12173/point-blank-class-action-settled-34-9-million-plus.html
3/13/2002	Policy Management Systems, Inc.	Class action	US District Court, South Carolina	Settlement	\$7,125,000.00	Securities violations	http://securities.stanford.edu/1014/PMS00/

5/29/2007	PolyMedica Corp.	SH class action	US District Court, Massachusetts	Settlement	\$5,500,000.00	Securities violations-issuing materially false or misleading statements concerning PolyMedica's billing and marketing practices	http://securities.stanford.edu/1016/PLMD00/index.html
4/15/2003	PowerCerv Corporation	Class action	US District Court, Florida	Settlement	\$1,500,000.00	Securities violations-company disseminated false and misleading statements	http://securities.stanford.edu/1012/PCRV97/
6/7/2000	Powerwave Technologies, Inc.	Securities class action	US District Court, California	Settlement	\$3,000,000.00	Securities violations	http://securities.stanford.edu/1006/PWAV98/
10/19/2009	Powerwave Technologies, Inc.	Securities class action	US District Court, California	Settlement	\$3,150,000.00	Securities violations-misrepresenting adverse information	http://securities.stanford.edu/1037/PWAV_01/
12/17/2007	POZEN, Inc.	Securities class action	US District Court, North Carolina	Settlement	\$11,250,000.00	Company failed to disclose material adverse facts	http://securities.stanford.edu/1031/POZN04-01/
5/20/2002	PPG and Pittsburgh Corning	Class action		Settlement	\$2,700,000,000.00	Liability for asbestos products manufactured, distributed, or sold	
2/27/2006	PPG Industries Inc.	Class action	US District Court, Pennsylvania	Settlement	\$60,000,000.00	Engaging in a conspiracy to overcharge for its products	http://www.lawyersandsettlements.com/settlements/05122/ppg_procefixin_g.html
8/7/2008	Pratt & Whitney	US Government		Settlement	\$52,000,000.00	Violations of the false claims act-companies deliberately sold defective turbine blade replacements for jet engines used in military aircraft.	http://quitamlawyer.clarislaw.com/settlements-and-verdicts/pratt-whitney-agree-to-pay-more-than-52-million-in-settlement.php

7/15/2002	Premiere Technologies, Inc.	Class action	US District Court, Georgia	Settlement	\$20,750,000.00	Company issued false and misleading statements and omitted material facts	http://securities.stanford.edu/1007/PTEK98/
2/27/1996	Presstek, Inc.	Class action	US District Court, New Hampshire	Settlement	\$22,000,000.00	Securities violations	http://securities.stanford.edu/1007/PRST96/
3/11/2009	Presstek, Inc.	Class action	US District Court, New Hampshire	Settlement	\$1,250,000.00	Securities violations	http://securities.stanford.edu/1036/PRST_01/
7/24/2002	PRI Automation, Inc.	Class action	US District Court, Massachusetts	Settlement	\$3,250,000.00	Securities violations	http://securities.stanford.edu/1016/PRIA00/
5/8/2007	Priceline.com Inc	Class action	US District Court, Connecticut	Settlement	\$80,000,000.00	Financial statements were materially false and misleading because the Company's financial results were materially inflated.	http://www.jpclasslaw.com/currentcases/priceline-securities-litigation
6/17/2005	PriceSmart, Inc.	Class action	US District Court, California	Settlement	\$2,350,000.00	Securities fraud-misrepresentation of financial situation	http://securities.stanford.edu/1029/PSMT03-01/
10/27/2006	PricewaterhouseCoopers	Telxon SH action	US District Court, Ohio	Settlement	\$27,900,000.00	Compensation for the purchase of Telxon securities which were subject to securities violations	http://www.prnewswire.com/cgi-bin/stories.pl?ACCT=104&STORY=/www/story/10-27-2005/0004198090&EDATE=
3/2/2008	PriceWaterhouseCoopers	Metropolitan Mortgage and Securities Co.	US District Court, Washington	Settlement	\$30,000,000.00	Accounting mistakes led to company's demise	http://www.spokesmanreview.com/sections/Met/?ID=234333
7/6/2007	PricewaterhouseCoopers	Tyco International SHs	US District Court, New Hampshire	Settlement	\$225,000,000.00	Failure to uncover fraud in its audit of Tyco	http://www.lawyersandsettlements.com/settlements/08612/pwc-securities-settlement.html

1/6/2006	PricewaterhouseCoopers	Class action		Settlement	\$8,500,000.00	Accounting firm for Heartland Advisors Inc. in 2000 when the investment firm marked down the value of the two funds by an estimated \$80 million loss to shareholders.	http://www.lawyersandsettlements.com/settlements/04771/pwhc_heartland.html
3/20/2005	PricewaterhouseCoopers	Safety-Kleen SH		Settlement	\$19,200,000.00	Accounting firm knew or should have known about improper accounting at Safety-Kleen.	http://www.lawyersandsettlements.com/settlements/03191/pricewaterhouse
	Pricewaterhousecoopers LLP (as a defendant in AIG action)	Ohio state class action	US District Court, New York	Settlement	\$97,500,000.00	Securities violations	http://www.reuters.com/article/americasDealsNews/idU
2/13/2001	Prison Realty Trust, Inc.	Class action	US District Court, Tennessee	Settlement	\$47,500,000.00	Company misrepresented material adverse information	http://securities.stanford.edu/1007/PZN99/

6/25/2008	Pro Net Link Corporation	Class action	US District Court, New York	Settlement	\$1,225,000.00	Material misrepresentations and omissions caused artificially inflated stock prices	http://securities.stanford.edu/1028/PNLKQ03-01/
9/10/2004	Procom Technology, Inc.	Class action	US District Court, New York	Settlement	\$2,700,000.00	Company overstated revenues and failed to disclose adverse information	http://securities.stanford.edu/1027/PRCM03-01/index.html
12/21/2001	Procter & Gamble Company	Class action	US District Court, Ohio	Settlement	\$49,000,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1013/PG00/
5/26/2005	Profit Recovery Group International, Inc.	Class action	US District Court, Georgia	Settlement	\$6,750,000.00	Securities violations	http://securities.stanford.edu/1014/PRGX00/
1/22/2008	Progress Capital Holdings, Inc., Florida Progress Corporation, and Potomac Capital Investment Corporation	Calgon Corporation		Settlement	\$9,250,000.00	Purchase of common stock of Advanced Separation Technologies	http://www.reuters.com/article/pressRelease/idUS176953+21-Jan-2008+PRN20080
3/5/2007	Progressive Gaming International Corp.	Securities Class action	US District Court, Nevada	Settlement	\$3,000,000.00	Securities violations	http://www.businesswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20070302005136&newsLang=en
9/30/1997	ProNet, Inc.	Securities class action	US District Court, Texas	Settlement	\$8,250,000.00	Securities violations	http://securities.stanford.edu/1007/PNET96/
11/20/2008	ProQuest Company	Class action	US District Court, Michigan	Settlement	\$20,000,000.00	Securities violations-issuing false and misleading statements	http://securities.stanford.edu/1035/PQE_01/
12/31/2002	Protection One, Inc.	Class action	US District Court, California	Settlement	\$7,500,000.00	Issuing materially misleading statements concerning business prospects	http://securities.stanford.edu/1012/POI99/
10/17/2005	Provenco	SEC		Settlement	\$622,000.00	Insider trading	http://www.lawyers

8/25/2005	Provident Financial Group, Inc.	Class action	US District Court, Ohio	Settlement	\$1,600,000.00	Company materially overstated its returns on its assets	http://securities.stanford.edu/1027/PFGI03-01/
4/25/2005	Providian Financial	Securities class action	US District Court, New York	Settlement	\$38,000,000.00	Inflating earning by charging customers extra fees	http://www.lawyersandsettlements.com/settlements/03414/providiansecuritiesfraud.html
7/28/2004	Providian Financial Corporation.	Class action	US District Court, California	Settlement	\$65,000,000.00	Disseminating false and misleading statements related to company's operations	http://securities.stanford.edu/1021/PVN01/
6/28/2000	Providian National Bank	US Comptroller	US District Court, New Hampshire	Settlement	\$300,000,000.00	restitution to consumers who transferred balances from other creditors to the Bank pursuant to the Guaranteed Savings Rate program	
7/1/2002	Providian National Bank	Class action		Settlement	\$105,000,000.00	restitution to consumers who transferred balances from other creditors to the Bank pursuant to the Guaranteed Savings Rate program	
1/6/2003	Proxima Corporation	Class action	US District Court, California	Settlement	\$6,500,000.00	Company artificially inflated stock prices	http://securities.stanford.edu/1000/PRXM96/
8/28/2006	Prudential Financial Inc.	DOJ, SEC		Settlement	\$600,000,000.00	Market timing	http://www.bloombay.com/
8/31/1995	Prudential Insurance	John Key	Florida State Court	Verdict	\$25,000,000.00	Lapsed life insurance policy	http://www.tampabay.com/
10/15/1993	Prudential Securities	Consolidated SH action		Settlement	\$1,300,000,000.00	Compensation to shareholders of oil and gas partnerships that failed	washingtonpost.com

11/16/1996	Prudential Securities Inc.	Consolidated class actions brought by dozens of nonprofits		Settlement	\$18,000,000.00	Nonprofit groups were bilked in the collapse of the Foundation for New Era Philanthropy, which had promised to double the organizations' investments with contributions from anonymous donors.	
8/31/1995	Prudential Securities Inc.	Class action	US District Court, New York	Settlement	\$110,000,000.00	Securities fraud-Company engaged in a racketeering conspiracy in its fraudulent sale of limited partnerships in the 1980's	http://www.nytimes.com/1995/08/31/business/prudential-pact-110-million-to-settle-suit.html
1/10/1995	Prudential Securities Inc.	SEC, class action		Settlement	\$660,000,000.00	Securities fraud-Company engaged in a racketeering conspiracy in its fraudulent sale of limited partnerships in the 1980's	http://articles.latimes.com/1995-01-10/business/fi-18362_1_prudential-securities
7/2/2003	PSINet, Inc.	Securities class action	US District Court, Virginia	Settlement	\$17,833,000.00	Registration Statement and Prospectus were false and misleading	http://securities.stanford.edu/1016/PSIX00/
9/12/2005	PSS World Medical Inc.	Class action	US District Court, Florida	Settlement	\$16,500,000.00	Overstating the earnings of the company it merged with in preparation of the merger	http://www.lawyersandsettlements.com/settlements/04263/pss_shareholders.html
6/19/2004	PSS World Medical, Inc.	Class action	US District Court, Florida	Settlement	\$6,750,000.00	Material misrepresentations caused artificial stock price inflation	http://securities.stanford.edu/1019/PSSI01/
10/10/2006	PurchasePro.com, Inc.	Class action	US District Court, Nevada	Settlement	\$24,000,000.00	Securities violations-overstating revenues	http://securities.stanford.edu/1018/PPRO01/
7/15/2008	Qiao Xing Universal Telephone, Inc.	Class action	US District Court, New York	Settlement	\$2,400,000.00	Securities violations-misstatements of financial results	http://securities.stanford.edu/1037/XING_01/

7/30/2004	QuadraMed Corporation	Class action	US District Court, California	Settlement	\$5,250,000.00	Issuing false and misleading statements concerning company's financial situation and business prospects	http://securities.stanford.edu/1025/QMDCE02-01/
9/23/2005	Quality Distribution, Inc.	Class action	US District Court, Florida	Settlement	\$8,150,000.00	Securities violations	http://securities.stanford.edu/1030/QLTY04-01/
1/14/2003	Quality Systems, Inc.	Class action	US District Court, California	Settlement	\$1,700,000.00	Securities violations-making false statements regarding revenue and causing artificially inflated stock prices	http://securities.stanford.edu/1008/QSII97/
10/1/2001	Quintel Entertainment, Inc.	Class action	US District Court, New York	Settlement	\$3,200,000.00	Company misrepresented financial results	http://securities.stanford.edu/1008/QTEL98/
10/5/2001	Quintiles Transnational Corporation	Class action	US District Court, North Carolina	Settlement	\$3,750,000.00	Making material misrepresentations	http://securities.stanford.edu/1008/QTRN99/
12/14/2004	Quintus Corporation	Class action	US District Court, California	Settlement	\$13,000,000.00	Securities violations	http://www.prnewswire.com/cgi-bin/stories.pl?ACT=109&STORY=/www/story/12-07-2004/0002588059&EDATE=
12/5/2006	Quintus Corporation	Class action	US District Court, California	Settlement	\$10,100,000.00	Accounting irregularities	http://securities.stanford.edu/1016/QNTS00/
6/8/2004	Quiznos	Class action		Settlement	\$7,500,000.00	During the 2001 privatization, company failed to pay fair market value for public shares when majority owners took the business private	http://www.lawyersandsettlements.com/settlements/02197/quiznos1.html

9/27/2004	Quizno's	Class action	US District Court, Colorado	Settlement	\$1,900,000.00	Breach of fiduciary duty and other charges	http://www.lawyersandsettlements.com/settlements/02508/quiznos2.html
5/7/2007	Quovadx Inc.	Class action and SH derivative suits	US District Court, Colorado	Settlement	\$7,800,000.00	Restatement of historical financial results	http://securities.stanford.edu/news-archive/2006/20060405_Settlement101389_Writer.html
4/5/2006	Quovadx, Inc.	Class action	US District Court, Colorado	Settlement	\$10,600,000.00	Restatement of historical financial results	http://securities.stanford.edu/1030/QVDX04-01/
8/8/2008	Qwest Communications	class action	US District Court, Colorado	Settlement	\$625,000,000.00	Securities fraud	http://www.reuters.com/article/ousiv/idUSTRE54T05B20090530
6/16/2004	Qwest Communications	Consolidated class actions		Settlement	\$25,000,000.00	Former and current executives and directors earned millions of dollars from misusing nonpublic information to profit from insider trading in the stock of Qwest and other companies	http://www.lawyersandsettlements.com/settlements/02277/qwestcommunications.html
11/22/2007	Qwest Communications International Inc.	State of Alaska		Settlement	\$19,000,000.00	Compensation for losses incurred after purchasing stocks that plummeted due to securities fraud	http://securities.stanford.edu/news-archive/2007/20071122_Settlement
12/6/2007	Qwest Communications International Inc.	Teacher Retirement System of Texas		Settlement	\$61,600,000.00	Compensation for losses incurred after purchasing stocks that plummeted due to securities fraud	http://www.lawyersandsettlements.com/settlements/10219/qwest-trs-
9/11/2004	Qwest Communications International Inc.	SEC		Settlement	\$250,000,000.00	Federal fraud allegations	http://securities.stanford.edu/news-
6/27/2005	Qwest Communications International Inc.	Class action		Settlement	\$50,000,000.00	Avoiding quarterly dividend payments	http://www.lawyersandsettlements.co

3/8/2008	R&G Financial Corp.	SH action	US District Court, New York	Settlement	\$51,000,000.00	Failure to disclose and misrepresentation of material adverse facts, leading to a material overstatement of company's financial results.	http://securities.stanford.edu/1034/RGF05_01/
7/15/2009	RAIT Financial Trust	Class action	US District Court, Pennsylvania	Settlement	\$32,000,000.00	Company issued false and materially misleading statements that failed to disclose adverse information	http://securities.stanford.edu/1037/RAS_01/
9/11/2007	Rambus Inc.	consolidated class action	US District Court, California	Settlement	\$18,300,000.00	Illegally backdating stock options	http://securities.stanford.edu/news-archive/2008/20080523_Settlement106843_Writer.html
3/13/2008	Rambus Inc.	SEC		Settlement	\$200,000,000.00	Stock options backdating	http://www.lawyers
4/26/2007	Ramp Corporation	Class action	US District Court, New York	Settlement	\$2,075,000.00	Company issued false and materially misleading statements that failed to disclose adverse information	http://securities.stanford.edu/1034/RCOCQOB05_01/
4/2/2005	Ranbaxy Laboratories	UK Department of Health		Settlement	\$7,290,000.00	Price fixing	http://www.lawyersandsettlements.com/settlements/03279/ranbaxy.html
3/5/1999	Raster Graphics, Inc.	Class action	US District Court, California	Settlement	\$8,150,000.00	Securities violations- disseminating false and misleading statements	http://securities.stanford.edu/1008/RGFX98/
4/25/2005	Ravisent Technologies	Class action	US District Court, Pennsylvania	Settlement	\$7,000,000.00	Artificially inflating revenues and profits	http://securities.stanford.edu/1013/RVST00/
3/26/2004	Rayovac Corp	Class action	US District Court, Wisconsin	Settlement	\$4,000,000.00	Issuing a series of material misrepresentations to the market which artificially inflated the price of Rayovac securities.	http://securities.stanford.edu/1024/ROV02-01/

5/14/2004	Raytheon Co.	Class action	US District Court, Massachusetts	Settlement	\$410,000,000.00	Company mislead investors regarding its financial situation	http://www.lawyersandsettlements.com/settlements/02253/raytheonco1.html
6/29/2006	Raytheon Company	SEC		Settlement	\$12,000,000.00	Improper accounting practices at its aircraft unit	http://www.lawyersandsettlements.com
4/18/2005	Raytheon Corporation	Class action		Settlement	\$39,000,000.00	Securities fraud-misrepresenting the financial condition of one of its divisions	http://www.lawyersandsettlements.com/settlements/03362/raytheon.html
4/4/2003	Real Estate Associates	SH action		Verdict	\$92,500,000.00	Breach of fiduciary duty and securities laws by disseminating false and misleading information	http://securities.stanford.edu/1012/NationalPartner99/
6/18/2007	Recoton Corporation	Class action	US District Court, Florida	Settlement	\$3,000,000.00	Securities violations	http://securities.stanford.edu/1028/RCOTQ03-01/
5/24/2007	Red Robin Gourmet Burgers, Inc.	Class action	US District Court, Colorado	Settlement	\$1,500,000.00	Issuing false and misleading statements and engaging in improper self-dealing	http://securities.stanford.edu/1034/RRGB05_01/
3/1/2007	Rediff.com India Ltd.	Class action	US District Court, New York	Settlement	\$2,500,000.00	Issuing a materially false and misleading registration statement and prospectus	http://securities.stanford.edu/1017/REDF01/
7/25/2006	Refco Inc.	Class action	US District Court, New York	Settlement	\$127,500,000.00	The Prospectus issued in connection with the IPO was materially false and misleading	http://securities.stanford.edu/1035/RFX05_01/
11/14/2005	Regeneron Pharmaceuticals, Inc.	Class action	US District Court, New York	Settlement	\$4,700,000.00	Company manipulated results of drug trials which caused artificial stock price inflation	http://securities.stanford.edu/1027/REGN03-01/
3/29/2001	Reliance Acceptance Group, Inc.	Class action	US District Court, Texas	Settlement	\$39,000,000.00	Inflating company's assets and income	http://securities.stanford.edu/1009/RACC98/
12/19/2005	Reliance Group Holdings, Inc.	Class action	US District Court, New York	Settlement	\$15,000,000.00	Issuing misleading statements regarding growth and profitability	http://securities.stanford.edu/1014/REL00/

8/15/2005	Reliant Energy Inc.	The California Attorney General's O		Settlement	\$525,000,000.00	Liability for refunds, penalties, a	http://www.lawyersandsettlements.com/settlements/04161/reliant_energy_settlement.html
8/2/2005	Reliant Energy Inc.	Class action	US District Court, Texas	Settlement	\$75,000,000.00	Violation of federal securities laws by, among other things, making false and misleading statements about trading volumes and revenues	http://securities.stanford.edu/1024/REI02-01/index.html
1/22/2008	RenaissanceRe Holdings	SH class action	US District Court, New York	Settlement	\$13,500,000.00	Engaging in sham transactions that artificially inflated the company's earnings	http://securities.stanford.edu/news-archive/2008/20080122_Settlement105264_Dankwa.html
8/2/2006	RenaissanceRe Holdings Ltd.	SEC		Settlement	\$16,000,000.00	Violations of the antifraud and other provisions of the federal securities laws	http://www.sec.gov/divisions/enforcement/claims/renaissa
2/6/2008	Rent-A-Center	SH action	US District Court, Texas	Settlement	\$3,600,000.00	Misrepresentation and omissions in connection with an offering	http://securities.stanford.edu/1023/RCII02-01/
12/23/2003	Rent-Way Inc	Securities class action	US District Court, Pennsylvania	Settlement	\$25,000,000.00	Accounting fraud	http://goerie.com/apps/pbcs.dll/article?AID=/20031223/BUSINESS05/112230214
5/7/2008	Repsol YPF SA	Securities class action	US District Court, New York	Settlement	\$8,000,000.00	Misleading regulators and investors over the level of its oil and gas reserves, principally in Bolivia.	http://securities.stanford.edu/1035/REP_01/index.html
10/5/2007	Research In Motion	Ironworks Ontario Pension Fund	Ontario Superior Court	Settlement	\$5,000,000.00	Backdating stock options	http://uk.reuters.com/article/idUKN0525837820071005

11/25/1997	Retirement Care Associates, Inc.	Class action	US District Court, Georgia	Settlement	\$9,000,000.00	False and misleading statements artificially inflated stock prices	http://securities.stanford.edu/1009/RCA97/
7/1/2003	Revlon, Inc.	Class action	US District Court, New York	Settlement	\$2,475,000.00	Company defrauded investors by recognizing improper accounting results	http://securities.stanford.edu/1009/REV99/
4/25/2007	Richard Scrushy (HealthSouth)	SEC		Settlement	\$81,000,000.00	Being party to a huge accounting fraud.	http://www.lawyersandsettlements.co
3/31/1999	Ride, Inc.	Class action	US District Court, Washington	Settlement	\$3,000,000.00	Company issued incorrect financial statements	http://securities.stanford.edu/1009/RIDE97/
3/4/2005	Riggs and PNC	SH action		Settlement	\$2,700,000.00	Failure to comply with anti money laundering laws	
3/31/1999	RISCORP, Inc.	Class action	US District Court, Florida	Settlement	\$21,000,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1009/RISC96/
2/5/2008	Ritchie Multi-Strategy Global Trading, Ltd. and Ritchie Capital Management, LLC,	SEC and New York Attorney General		Settlement	\$42,000,000.00	Discrepancies in the trading in shares of mutual funds	http://www.reuters.com/article/pressRelease/idUS214
3/30/2005	Rite Aid Corporation	Class action	US District Court, Pennsylvania	Settlement	\$126,000,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1009/RAD99/
5/3/2004	Riverstone Networks	Class action	US District Court, California	Settlement	\$18,500,000.00	Issuing false and misleading statements regarding financial conditions and sales	http://securities.stanford.edu/1025/RSTN02-01/index.html
3/12/2008	Riverstone Networks and Enterasys Networks	SEC	US District Court, California	Settlement	\$1,600,000.00	Inflating revenue	http://www.lawyersandsettlements.com/settlements/11181/enterasys-riverstone-fraud.html
2/27/1992	RJR Nabisco	SH action		Settlement	\$72,500,000.00	Failure to disclose material information related to its takeover	washingtonpost.com

2/28/2006	Robertson Stephens, Inc.	Class action	US District Court, New York	Settlement	\$3,100,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1026/CORV03-01/
7/14/2003	Robotic Vision Systems Inc.	SH action	US District Court, Massachusetts	Settlement	\$5,500,000.00	Company reported misleading financial results	http://securities.stanford.edu/1018/ROBV01/
2/6/2003	Roche Holding, Ltd.	Class action	US District Court, New Jersey	Settlement	\$6,350,000.00	Illegal price-fixing and anti-competitive practices caused artificial stock price inflation	http://securities.stanford.edu/1037/RHHBY98_01/
	Roche, BASF and Aventis	The Australian Competition and Consumer Commission (ACCC) c		Fine	\$26,000,000.00	Price fixing and market sharing	http://www.lawyersandsettlements.com/settlements/05883/roche_basf_aventis_antitrust_settlement.html
7/18/2006	Roche, BASF and Aventis	Class action		Settlement	\$30,500,000.00	Price fixing	http://www.lawyersandsettlements.com/settlements/05883/roche_basf_aventis_antitrust_settlement.html
5/27/2008	Rothschild Investment,			Settlement	\$28,000.00	Selling securities without being registered	
11/29/2005	Royal Ahold	Class action	US District Court, Maryland	Settlement	\$1,100,000,000.00	Compensation for an accounting scandal which caused shares to drop by 2/3 overnight	http://www.lawyersandsettlements.com/settlements/04631/royal_ahold.html
8/19/2003	Royal Bank of Canada	Enron SH and creditors		Settlement	\$195,000,000.00	Liability for bank's involvement with Enron	http://milwaukee.bizjournals.com/triangle/stories/2003/08/18/daily15.html

3/10/2008	Royal Caribbean Cruise Lines and Celebrity Cruises	Florida Attorney General	Florida	Settlement	\$21,000,000.00	Antitrust violations-Charging retroactive fuel charges	http://myfloridalegal.com/_852562220065EE67.nsf/0/B17DF81AE0F53A048525741D00791884?Open&Highlight=0,carnival
8/25/2004	Royal Dutch/Shell	SEC and UK's Financial Services Authority		Settlement	\$150,000,000.00	Misleading the market	http://www.lawyersandsettlements.com/settlements/02413/royaldutchshell
9/26/2008	Royal Dutch/Shell	Class action	US District Court, New Jersey	Settlement	\$89,500,000.00	Issuing material misrepresentations	http://securities.stanford.edu/1029/RD04-01/
10/3/2007	Royal Group Technologies. Inc.	Class action	US District Court, New York	Settlement	\$8,260,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1033/RYG04_01/
8/12/2003	Rural/Metro Corporation.	Class action	US District Court, Arizona	Settlement	\$15,000,000.00	Violations of state and federal securities laws, breach of contract, and common law fraud.	http://securities.stanford.edu/1009/RURL98/
8/16/2007	Ryland Group, Inc.	Class action	US District Court, Texas	Settlement	\$1,200,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/09011/ryland-securities-settlement.html
9/30/2002	S3 Incorporated	Consolidated class action	US District Court, California	Settlement	\$4,600,000.00	Company fraudulently or recklessly inflated earnings	http://securities.stanford.edu/1008/SIII98/
5/28/2003	Sabratek Corporation	Class action	US District Court, Illinois	Settlement	\$6,400,000.00	Issuing false and misleading financial statements	http://securities.stanford.edu/1009/SBTK99/
10/4/2000	Saf T Lok, Inc.	Class action	US District Court, Florida	Settlement	\$850,000.00	Company made material misrepresentations and omissions	http://securities.stanford.edu/1010/LOCK98/

2/4/2005	Saf T Lok, Inc.	Class action	US District Court, Florida	Settlement	\$1,200,000.00	Material misrepresentations artificially inflated stock prices	http://securities.stanford.edu/1023/LOCK02-01/
9/30/2002	Safeguard Health Enterprises, Inc.	Class action	US District Court, California	Settlement	\$1,250,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1036/SHEI_01/
2/2/2003	Safeskin Corporation	Securities and SH derivative suits	US District Court, California	Settlement	\$55,000,000.00	Company issued false and misleading statements concerning revenues and business prospects	http://securities.stanford.edu/1009/SFSK99/
9/14/2001	Safety Components International, Inc.	Securities class action	US District Court, New Jersey	Settlement	\$4,500,000.00	Issuing false and misleading statements which artificially increased stock prices	http://securities.stanford.edu/1014/ABAG99/
5/3/2005	Safety-Kleen Corp.	class action	US District Court, South Carolina	Settlement	\$284,000,000.00	Internal financial fraud	http://dallas.bizjournals.com/dallas/stories/2005/04/25/daily8.html
4/29/2003	Sagent Technology, Inc	Securities class action	US District Court, California	Settlement	\$6,000,000.00	Misrepresenting revenues and prospects	http://securities.stanford.edu/1016/SGNT00/
6/11/1993	Salomon Inc.	SH action		Settlement	\$54,500,000.00	Compensation for misdeeds at Treasury auctions 1989-1991	
5/19/2006	Salomon Smith Barney Inc.	Class action	US District Court, District of Columbia	Settlement	\$50,000,000.00	Breaches of fiduciary duty and contract, securities violations	http://securities.stanford.edu/1036/C03_01/
8/17/2006	Salomon Smith Barney Inc.	Consolidated class action-AT&T SH	US District Court, New York	Settlement	\$74,750,000.00	Company recommended the purchase of AT&T common stock without regard to the factual basis and without disclosing its conflicts of interest	http://securities.stanford.edu/1025/T02-01/
9/29/2006	Salomon Smith Barney Inc.	Level 3 SH	US District Court, New York	Settlement	\$10,250,000.00	#####	http://securities.stanford.edu/1025/LVLT02-01/
10/2/2006	Salomon Smith Barney Inc.	Williams Communications Group, Inc. SH	US District Court, New York	Settlement	\$12,500,000.00	#####	http://securities.stanford.edu/1026/WCG02-01/

5/19/2006	Salomon Smith Barney Inc.	XO Communications, Inc. SH	US District Court, New York	Settlement	\$9,125,000.00	Issuing false and misleading information, recovery for defrauded SHs	http://securities.stanford.edu/1026/XOXOQ02-01/
3/22/1991	Salomon Smith Barney, Inc.	State of West Virginia		Settlement	\$15,000,000.00	Securities fraud-bank improperly allowed the state's investment fund to pursue a risky trading strategy from 1985 through 1988 in violation of state law.	
3/23/2006	Salton, Inc.	Securities class action	US District Court, Illinois	Settlement	\$3,000,000.00	Quarterly reports and financial statements were false and materially misleading	http://securities.stanford.edu/1030/SFP04-01/

10/14/2005	Samsung Electronics Co Ltd.	DOJ-FBI	US District Court, California	Fine	\$300,000,000.00	Antitrust violations-price fixing	http://www.cbronline.com/news/samsung_fined_300m_for_price_fixing_settles_with_hp
	Samsung Semiconductor, Inc. and Samsung Electronics Company Ltd.	Class action		Settlement	\$90,000,000.00	Price fixing	http://www.highbeam.com/doc/1P3-1212380991.html

10/10/2007	Sandell Asset Management	SEC		Settlement	\$8,000,000.00	Improperly making short sales that were designed to benefit from the turmoil following Hurricane Katrina.	http://www.lawyersandsettlements.com/settlements/09610/hedge-fund-
9/4/1992	Sandoz Pharmaceuticals Corporation and the Caremark unit of Baxter International	33 states	US District Court, Chicago	Settlement	\$21,000,000.00	Operating an illegal tie-in which caused an artificially high price for schizophrenia treatment	http://www.nytimes.com/1992/09/04/business/company-news-sandoz-and-caremark-in-suit-settlement.html?scp=25&sq=lawsuit%20and%20settlement&st=Search
9/11/2007	Sanofi-aventis	USDOJ		Settlement	\$182,820,000.00	Company defrauded Medicaid programs by illegally inflating drug prices	http://www.news-medical.net/news/2007/09/11/29684.aspx
4/21/2008	Savvides & Partners/PKF Cypru	SEC		Settlement	\$261,565.00	Company engaged in fraud in c	http://www.lawyersandsettlements.com/settlements/11593/savvides-partners-aremissoft-fraud.html
4/15/2002	SCB Computer Technology, Inc.	Class action	US District Court, Tennessee	Settlement	\$2,100,000.00	Securities violations-accounting irregularities	http://securities.stanford.edu/1014/SCBI00/
12/12/2000	Schein Pharmaceutical, Inc.	Class action	US District Court, New Jersey	Settlement	\$8,000,000.00	Material misstatements and omissions concerning the company's compliance with FDA regulations in its manufacturing process	http://securities.stanford.edu/1010/SHP98/

3/13/2009	Schering-Plough	SH action	US District Court, New Jersey	Settlement (proposed--court approval pending)	\$165,000,000.00	False and misleading statements and omissions that failed to disclose serious deficiencies that gave rise to a serious risk that the FDA would delay approval of the company's New Drug Application its allergy drug Clarinex(R).	http://www.biomedicine.org/medicine-news-1/Settlement-Hearing-on-Schering-Plough-Corporation-Securities-Litigation-to-be-Held-on-June-1--2009-at-2-3A00-PM--39496-1/
2/13/2002	Schick Technologies	Class action	US District Court, New York	Settlement	\$3,400,000.00	Misrepresenting company's financial condition	http://securities.stanford.edu/1010/SCHK98/
6/30/2002	Schlotzsky's, Inc.	Class action	US District Court, Texas	Settlement	\$2,000,000.00	Stock prices were artificially inflated due to the overstatement of income	http://securities.stanford.edu/1010/BUNZ98/
4/8/2003	Scholastic Corporation	Class action	US District Court, New York	Settlement	\$7,500,000.00	Issuing false and misleading statements regarding sales of one of its books	http://securities.stanford.edu/1010/SCHL97/
5/18/2006	Sears, Roebuck and Co.	Class action	US District Court, Illinois	Settlement	\$215,000,000.00	Violating the law related to credit card business disclosures	http://www.lawyersandsettlements.com/settlements/05553/sears_settlement.html
12/31/2002	Secure Computing Corporation	Class action	US District Court, California	Settlement	\$10,100,000.00	Misstatements caused artificially inflated stock prices	http://securities.stanford.edu/1010/SCUR99/
6/26/2009	Secure Computing Corporation	Class action	US District Court, California	Settlement	\$3,600,000.00	Misstatements caused artificially inflated stock prices	http://securities.stanford.edu/1037/SCUR_01/
12/31/2004	SeeBeyond Technology Corporation	Class action	US District Court, California	Settlement	\$13,100,000.00	Misstatements caused artificially inflated stock prices	http://securities.stanford.edu/1024/SBYN02-01/

8/29/2006	Seitel, Inc.	Class action	US District Court, Texas	Settlement	\$5,250,000.00	Misstatements and material misrepresentations of financial data caused artificially inflated stock prices	http://securities.stanford.edu/1024/SEI02-01/
2/28/2003	Select Comfort Corporation	Class action	US District Court, Minnesota	Settlement	\$5,750,000.00	Company issued materially false and misleading statements	http://securities.stanford.edu/1010/AIRB99/
4/17/2009	Select Medical Corporation	Class action	US District Court, Pennsylvania	Settlement	\$5,000,000.00	Securities fraud	http://securities.stanford.edu/1038/SEM04_01/
1/10/2006	Sempra Energy	Class action		Settlement	\$377,000,000.00	Violations relating to the Western energy crisis of 2000, 2001	http://www.lawyersandsettlements.com/settlements/04778/sempra_energy_settlement.html
10/31/2003	Sensormatic Electronics Corporation	Class action	US District Court, Florida	Settlement	\$4,950,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1018/SRM01/
9/10/2007	Sepracor Inc.	consolidated securities class actions	US District Court, Massachusetts	Settlement	\$52,500,000.00	Concealing safety concerns surrounding its new antihistamine candidate, Soltara, that was rejected by the FDA for marketing approval in March 2002	http://www.lawyersandsettlements.com/settlements/09269/sepracor-securities-settlement.html
12/17/2008	SeraCare Life Sciences, Inc.	Class action	US District Court, California	Settlement	\$3,000,000.00	Issuing material misrepresentations	http://securities.stanford.edu/1035/SRLSE05_01/
4/21/2004	Service Corporation International	Class action	US District Court, Texas	Settlement	\$65,000,000.00	Fraud related to SCI's 1999 purchase of smaller rival Equity Corp.	http://www.lawyersandsettlements.com/settlements/02103/servicecorporationinternational1.html
10/20/2008	SFBC International, Inc.	Class action	US District Court, Florida	Settlement	\$28,500,000.00	Misrepresenting business conditions and prospects	http://securities.stanford.edu/1035/SFCC_01/

11/8/2007	Shelby Gravel	Class action		Settlement	\$4,700,000.00	Concrete price fixing	http://www.lawyersandsettlements.com/settlements/09921/concrete-price-fixing.html
1/6/2007	Sherwin-Williams Co. and PPG Industries, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$39,000,000.00	Inflating prices for auto refinishing paint	http://www.pittsburghlive.com/x/pittsburghtrib/business/briefs/s_487321.html
10/29/1999	Shiva Corporation	Class action	US District Court, Massachusetts	Settlement	\$4,350,000.00	Misrepresenting business conditions and prospects	http://securities.stanford.edu/1011/SHVA97/
8/23/2004	Shopko Stores, Inc.	Class action	US District Court, Wisconsin	Settlement	\$4,900,000.00	Issuing materially false and misleading statements	http://securities.stanford.edu/1020/SKO01/
7/17/2002	Shopping.com, Inc.	Class action	US District Court, California	Settlement	\$4,500,000.00	Securities violations	http://securities.stanford.edu/1011/BUY98/
8/26/2003	Siebel Systems	Class action		Settlement	\$900,000.00	Company had violated company rules for granting stock options by exceeding the cap set on the number of options it was allowed to grant and issuing options at below market value without expensing the difference in price	http://www.lawyersandsettlements.com/settlements/01797/siebelsystems.html
10/17/2001	Silicon Graphics, Inc.	Class action	US District Court, California	Settlement	\$4,000,000.00	False and misleading statements about company's financial results in order to artificially inflate stock prices.	http://securities.stanford.edu/1027/SGI97-01/
6/4/2001	Simulation Sciences, Inc.	Class action	US District Court, California	Settlement	\$6,500,000.00	Securities violations	http://securities.stanford.edu/1011/SMCI98/

4/13/2004	Singing Machine Company, Inc.	Securities class action	US District Court, Florida	Settlement	\$1,250,000.00	Company materially overstated its net income in its financial results	http://securities.stanford.edu/1028/SMD03-01/
1/18/2006	Sipex Corp.	SH action	US District Court, California	Settlement	\$6,000,000.00	Failure to disclose and misrepresentation of material adverse facts	http://securities.stanford.edu/1033/SIPX05_01/
6/22/2001	Sirena Apparel Group, Inc.	Class action	US District Court, California	Settlement	\$1,550,000.00	Company issued materially false and misleading financial statements	http://securities.stanford.edu/1011/SIRN99/
2/4/2008	SIRF Technology Holdings, Inc.	Class action	US District Court, California	Settlement	\$2,900,000.00	Company issued materially false and misleading statements regarding financial results and business prospects	http://securities.stanford.edu/1039/SIRF_01/
8/31/2006	Sirius Satellite Radio, Inc.	Class action	US District Court, Vermont	Settlement	\$8,000,000.00	Materially false and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1020/SIRI01/
2/4/2000	Sirrom Capital Corporation	Class action	US District Court, Tennessee	Settlement	\$15,000,000.00	Company concealed information in order to artificially inflate stock prices	http://securities.stanford.edu/1011/SIR98/
10/31/2007	SIRVA, Inc.	Securities class action	US District Court, Illinois	Settlement	\$53,300,000.00	False and misleading statements in press releases	http://securities.stanford.edu/1033/SIR04_01/index.html
12/2/2003	SkillSoft	Class action		Settlement	\$32,000,000.00	Securities violations	http://www.lawyers
8/28/2003	Skymall Inc.	Class action	US District Court, Arizona	Settlement	\$1,750,000.00	Securities violations	http://securities.stanford.edu/1011/SKYM99/
6/17/2003	Smallworldwide PLC	Class action	US District Court, Colorado	Settlement	\$9,850,000.00	Material misrepresentations artificially inflated stock prices	http://securities.stanford.edu/1011/SWLDY99/
10/31/2000	Smart Choice Automotive	Class action	US District Court, Florida	Settlement	\$2,500,000.00	False and misleading quarterly reports artificially inflated stock prices	http://securities.stanford.edu/1011/SMCH99/

4/7/2004	SmarTalk Teleservices, Inc.	Class action	US District Court, New York	Settlement	\$11,000,000.00	Issuing misleading statements about future business prospects and concealing material adverse information	http://securities.stanford.edu/1011/SMTK98/
7/5/2005	SmartForce PLC	Class action	US District Court, New Hampshire	Settlement	\$15,000,000.00	Company issued false and misleading financial statements	http://securities.stanford.edu/1026/SKIL02-01/
5/23/1990	SmithKline Beckman	SH action		Settlement	\$13,000,000.00	Compensation for its merger with Beecham Group PLC	nytimes.com
11/30/2001	Software AG Systems, Inc.	Class action	US District Court, Virginia	Settlement	\$3,500,000.00	fraudulent accounting practices artificially inflated stock prices	http://securities.stanford.edu/1011/AGS99/
3/3/2006	Seletron Corporation	Class action	US District Court, California	Settlement	\$15,000,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1027/SLR03-01/
8/21/2003	Solutia Inc. and the Monsanto Company	20,000 Anniston residents	St. Louis court	Settlement	\$700,000,000.00	PCB Contamination	http://www.nytimes.com/2003/08/21/business/700-million-settlement-in-alabama-pcb-lawsuit.html?scp=12&sq=lawsuit%20and%20settlement&st=Search
10/27/2003	Sonic Innovations Inc.	Class action	US District Court, Utah	Settlement	\$7,000,000.00	Material misrepresentations and omissions caused stock prices to be artificially inflated	http://securities.stanford.edu/1016/SNCI00/
6/16/2009	Sonus Networks, Inc.	Class action	US District Court, Massachusetts	Settlement	\$9,500,000.00	Company issued false and misleading statements about financial results and business prospects	http://securities.stanford.edu/1025/SONS02-01/

3/8/2008	Sonus Networks, Inc.	Class action	US District Court, Massachusetts	Settlement	\$40,000,000.00	Company issued false and misleading statements about financial results and business prospects	http://securities.stanford.edu/1029/SONS04-01/
11/20/2000	Sonus Pharmaceuticals, Inc.	Class action	US District Court, Washington	Settlement	\$4,000,000.00	Material misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1011/SNUS98/
11/12/2007	Sony, Time Warner, and Virgin Group	Michigan State AG's office and 43 States		Settlement	\$67,400,000.00	Antitrust violations-CD price fixing	http://www.lawyersandsettlements.com/settlements/09949/cd-price-fixing.html
4/2/2003	Source Media, Inc.	Class action	US District Court, Texas	Settlement	\$3,250,000.00	Company issued materially false and misleading statements	http://securities.stanford.edu/1011/SRCM98/
7/23/2009	SOURCECORP Incorporated	Class action	US District Court, Texas	Settlement	\$3,000,000.00	Securities violations	http://securities.stanford.edu/1032/SRCP04_01/
5/25/2006	Southeastern Restoration, Inc.	Florida Attorney General		Settlement	\$569,500	Price gouging after Hurricane Ivan	http://www.lawyersandsettlements.com/settlements/05634/hurricane_charges.html
9/30/2001	Southwall Technologies, Inc.	Class action	US District Court, California	Settlement	\$4,200,000.00	Securities violations-issuing false and misleading statements	http://securities.stanford.edu/1015/SWTX00/
9/13/2001	Spanlink Communications, Inc.	Class action	US District Court, Minnesota	Settlement	\$537,500.00	Securities violations	http://securities.stanford.edu/1013/SPLK00/
5/14/2007	Spear & Jackson, Inc.	Class action	US District Court, Florida	Settlement	\$775,000.00	Materially false and misleading information caused artificially inflated stock prices	http://securities.stanford.edu/1030/SJCKOB04-01/
6/14/2004	Specialty Laboratories, Inc.	Class action	US District Court, California	Settlement	\$12,000,000.00	Securities violations	http://securities.stanford.edu/1024/SP02-01/index.html

10/18/2004	SpectraLink Corporation.	Class action		Settlement	\$1,500,000.00	Material misrepresentations artificially inflated the price of stocks	http://securities.stanford.edu/1023/SLNK02-01/
11/2/2000	Spectrian Corporation	Class action	US District Court, California	Settlement	\$2,975,000.00	Securities violations-insider trading	http://www.gilardi.com/pdf/spenot.pdf
3/7/2007	Spiegel, Inc.	Class action	US District Court, Illinois	Settlement	\$17,500,000.00	Company issued false and misleading statements concerning its financial condition and business prospects	http://securities.stanford.edu/1026/SPGLA/
4/29/2004	Sport-Haley, Inc	Class action	US District Court, Colorado	Settlement	\$1,000,000.00	Company reported artificially inflated earnings via false and misleading press releases and filings with the Securities and Exchange Commission ("SEC")	http://securities.stanford.edu/1021/SPOR/
6/25/2001	Sprint Corporation	Class action	US District Court, Kansas	Settlement	\$50,000,000.00	Company made false and misleading statements concerning Sprint and WorldCom	http://securities.stanford.edu/1018/FON01/
12/13/2007	Sprint Nextel	Class action	Johnson County District Court, Kansas	Settlement	\$57,500,000.00	Understating the value of the wireless stock and manipulating the wireline business to the detriment of the wireless division	http://securities.stanford.edu/news-archive/2007/20071213_Settlement104929_Taub.html
9/11/2007	Sprint Nextel	Class action	US District Court, Kansas	Settlement	\$57,000,000.00	Age discrimination	http://www.allbusiness.com/legal/legal-services-litigation/12045627-1.html
9/12/2007	Sprint Nextel Corp and AT&T Corp	Class action	US District Court, Kansas	Settlement	\$30,000,000.00	Antitrust violations-the two companies overcharged customers for assessments that help pay for subsidized phone services	http://www.lawyersandsettlements.com/settlements/09284/subsidized-phone-antitrust.html

1/10/2007	SPX Corp.	SH and employee class action		Settlement	\$13,600,000.00	Misleading investors to inflate the value of stock	http://www.lawyersandsettlements.com/settlements/069
5/31/2000	Spyglass Inc.	Class action	US District Court, Illinois	Settlement	\$1,550,000.00	Failure to disclose material information regarding company's operations and financial condition	http://securities.stanford.edu/1011/SPYG99/
6/4/2002	SRI/Surgical Express	Class action	US District Court, Florida	Settlement	\$1,900,000.00	Material misrepresentations artificially inflated stock prices	http://securities.stanford.edu/1022/STRC01-01/
6/19/2000	SS&C Technologies	Consolidated class action	US District Court, Connecticut	Settlement	\$8,600,000.00	Making false and misleading representations in the Registration Statement and Prospectus prepared and disseminated by Defendants	http://securities.stanford.edu/1011/SSC97/index.html
4/24/2000	St. John Knits Inc.	Class action	US District Court, California	Settlement	\$5,000,000.00	Company issued false and misleading statements	http://securities.stanford.edu/1010/SJK98/
4/4/2009	St. Johns Health	Class action		Settlement	\$13,500,000.00	Violating antitrust laws by exchanging information on pay.	http://www.lawyersandsettlements.com/settlements/133
6/29/2004	St. Paul Companies, Inc.	Class action	US District Court, Minnesota	Settlement	\$6,325,000.00	Securities violations-failure to disclose material adverse information	http://www.lawyersandsettlements.com/settlements/02311/stpaulcompaniesinc.html
1/4/2008	St. Paul Travelers Companies	Class action (State of New Mexico lead plaintiff)	US District Court, Minnesota	Settlement	\$77,000,000.00	Securities fraud-disseminating false and misleading financial statements that were artificially inflated	http://www.lawyersandsettlements.com/settlements/10418/st-paul-travelers-securities.html
12/28/2005	St. Paul Travelers Companies, Inc.	Class action	US District Court, Minnesota	Settlement	\$67,500,000.00	Company issued a false and misleading Registration Statement and Prospectus	http://securities.stanford.edu/1032/STA04-01/

9/28/2006	STAAR Surgical Company	Class action	US District Court, New Mexico	Settlement	\$3,700,000.00	Company issued public statements that were materially false and misleading	http://securities.stanford.edu/1032/STAA04-01/
7/8/2003	Stan Lee Media, Inc.	Class action	US District Court, California	Settlement	\$1,820,633.34	Revenue, earnings and financial condition were misrepresented	http://securities.stanford.edu/1017/SLEE01/
7/18/2002	StarMedia Network, Inc.	Class action	US District Court, New York	Settlement	\$3,150,000.00	Company materially overstated revenues and earnings	http://securities.stanford.edu/1022/STRM01-01/
9/3/2009	StarTek, Inc.	Class action	US District Court, Colorado	Settlement	\$7,500,000.00	Company issued false and misleading statements	http://securities.stanford.edu/1034/SRT05_01/
8/29/1998	State Farm Insurance Company	Class action		Settlement	\$200,000,000.00	Using misleading sales tactics	washingtonpost.com
11/3/2000	STB Systems, Inc.	Class action	US District Court, Texas	Settlement	\$4,700,000.00	Company issued false and misleading statements about business and growth prospects	http://securities.stanford.edu/1027/STB199-01/
11/16/2005	Stellent, Inc.	Class action	US District Court, Minnesota	Settlement	\$12,000,000.00	Company issued false and misleading statements about business and growth prospects	http://securities.stanford.edu/1028/STEL03-01/
11/17/2005	Stericycle, Inc.	Class action	Louisiana and Texas State Courts	Settlement	\$32,500,000.00	Securities fraud	http://www.businesswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20051117005740&newsLang=en
3/5/2009	Sterling Financial	SH action	US District Court, Pennsylvania	Settlement	\$10,250,000.00	False and misleading statements led to inflated stock prices and sales.	http://articles.lancasteronline.com/local/4/234638
5/26/2004	Steve Madden, Inc.	Class action	US District Court, New York	Settlement	\$9,000,000.00	Securities fraud	http://securities.stanford.edu/1014/SHOO00/

12/12/2007	Stillwater Mine	Consolidated class actions	US District Court, Montanta	Settlement	\$2,600,000.00	Material misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1023/SWC02-01/
7/2/2007	StockerYale, Inc.	Consolidated securities class action	US District Court, New Hampshire	Settlement	\$3,400,000.00	False and misleading press releases	http://securities.stanford.edu/1034/STKR05_01/index.html
9/13/2002	Storm Technology, Inc.	Class action	US District Court, California	Settlement	\$2,750,000.00	Securities fraud-issuing false and misleading information	http://securities.stanford.edu/1012/EASY97/
11/22/2000	StorMedia, Inc.	Class action	US District Court, California	Settlement	\$3,250,000.00	Securities fraud	http://securities.stanford.edu/1012/STMD97/
5/24/1999	Streamlogic Corporation	Class action	US District Court, California	Settlement	\$650,000.00	Company manipulated stock prices through the dissemination of false and misleading information	http://securities.stanford.edu/1012/STREAMLOGIC97/
5/20/2004	Strong Capital Management	SEC		Settlement	\$140,000,000.00	Company allowed a New Jersey hedge fund to engage in market-timing trades despite telling other investors such trading wasn't allowed	http://www.lawyersandsettlements.com/settlements/02273/strongcapitalmanagement.html
11/21/2001	Styling Technology Corporation	Class action	US District Court, Arizona	Settlement	\$3,625,000.00	Company made false and misleading statements	http://securities.stanford.edu/1013/STYLE99/
9/10/2004	Summit Medical Systems, Inc.	Class action	US District Court, Minnesota	Settlement	\$475,000.00	Securities violations	http://www.businesswire.com/portal/site/google/index.jsp?ndmViewId=news_view&newsId=20040910005387&newsLang=en
4/27/2001	Summit Technology, Inc.	Class action	US District Court, Massachusetts	Settlement	\$3,330,000.00	Company made false and misleading statements and omissions which caused artificial stock price inflation	http://securities.stanford.edu/1012/BEAM96/

5/25/2007	Sumrall Oil, Prince Oil, Spaceway, Southern Oil, and Moak Petroleum	Mississippi Attorney General		Settlement	\$293,254.61	Price gouging after Hurricane Katrina	http://www.lawyersandsettlements.com/settlements/08182/gas-price-gouging.html
12/13/2004	Sun Healthcare Group, Inc.	Class action	US District Court, New Mexico	Settlement	\$5,000,000.00	Company failed to disclose material adverse information	http://securities.stanford.edu/1012/SHG99/
11/15/1999	SunAmerica Securities, Inc.	Class action	US District Court, Washington	Settlement	\$7,000,000.00	Securities fraud	http://securities.stanford.edu/1012/SUNAMERICA99/
9/30/2002	Sunbeam Corporation	Class action	US District Court, Florida	Settlement	\$31,000,000.00	Securities fraud	http://www.nytimes.com/2002/08/10/business/judge-approves-sunbeam-settlement.html
7/16/2001	Sunglass Hut International	Class action	US District Court, Florida	Settlement	\$4,500,000.00	Company issued materially false and misleading statements	http://securities.stanford.edu/1012/RAYS97/
2/27/2009	Sunrise Senior Living, Inc.	Class action	US District Court, District of Columbia	Settlement	\$13,500,000.00	Company issued false and misleading statements regarding its business, stock option plans and compensation practices	http://securities.stanford.edu/1037/SRZ_01/
3/4/2005	Sunterra Corporation	SH action		Settlement	\$4,450,000.00	Securities violations	http://www.lawyers
7/31/2007	Sun-Times Media Group Inc.	Consolidated class action	US District Court, Illinois, Canada	Settlement	\$30,000,000.00	Misleading disclosures and omissions regarding certain non-competition payments and the payment of allegedly excessive management fees.	http://securities.stanford.edu/news-archive/2007/20070731_Settlement103800_Writer.html

10/1/2005	Superconductor Technologies, Inc.	Class action	US District Court, California	Settlement	\$4,050,000.00	Company inflated revenue projections and failed to disclose and misrepresented material adverse facts	http://securities.stanford.edu/1030/SCON04-01/
9/11/2004	Supervalu, Inc.	Class action	US District Court, Minnesota	Settlement	\$4,000,000.00	Company issued false and misleading statements concerning its business and financial condition.	http://securities.stanford.edu/1024/SVU02-01/
10/2/2007	Supportsoft, Inc.	Class action	US District Court, California	Settlement	\$10,700,000.00	Company issued false and misleading statements	http://securities.stanford.edu/1033/SPRT04_01/
7/28/2008	Suprema Specialties, Inc.	Class action	US District Court, New Jersey	Settlement	\$19,000,000.00	Company knowingly or recklessly disseminated false and misleading information concerning revenues	http://securities.stanford.edu/1023/CHEZ02-01/
10/20/1999	Swisher International Inc.	Class action	US District Court, North Carolina	Settlement	\$742,100.00	Company issued false and misleading statements concerning financial results and business	http://securities.stanford.edu/1013/SWSH98/
3/7/2003	Sykes Enterprises, Inc.	Class action	US District Court, Florida	Settlement	\$30,000,000.00	Securities violations	http://securities.stanford.edu/1013/SYKE00/
2/12/2004	Symbol Technologies Inc.	Class action	US District Court, Ohio	Settlement	\$37,000,000.00	Securities violations by its wholly owned subsidiary, Telxon	http://www.symbol.com/news/pressreleases/misc_telxon_final.html
7/6/2004	Symbol Technologies, Inc.	Class action	US District Court, New York	Settlement	\$102,000,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/02310/symboltechnologiesinc2.html
1/21/2004	Symons International Group, Inc	Class action	US District Court, Indiana	Settlement	\$1,303,750.00	Securities violations	http://securities.stanford.edu/1013/SIGC00/

12/3/2008	Syncor International	Class action	US District Court, California	Settlement	\$15,000,000.00	Securities violations	http://securities.stanford.edu/1026/SCOR02-01/
11/14/2002	Synopsis	Cadence Design Systems, Inc.		Settlement	\$196,900,000.00	Criminal trade-secrets allegations	nytimes.com
11/14/2002	Synopsis	Cadence Design Systems, Inc.		Settlement	\$265,000,000.00	Civil trade-secrets allegations	nytimes.com
8/29/2003	Synsorb Biotech Inc.	Class action	US District Court, New York	Settlement	\$550,000.00	Company issued misleading information causing the stock prices to be artificially inflated and decline dramatically upon revelation of actual information	http://securities.stanford.edu/1023/SYBB02-01/
2/28/2002	SystemSoft Corporation	Class action	US District Court, Massachusetts	Settlement	\$1,000,000.00	Securities violations	http://securities.stanford.edu/1013/SYSE98/
10/15/2002	Take-Two Interactive Software, Inc.	Class action	US District Court, New York	Settlement	\$7,500,000.00	Company materially represented financial results	http://securities.stanford.edu/1022/TTWO01-01/
5/4/2004	TALX Corporation	Class action		Settlement	\$5,750,000.00	Reclassification and restatement of prior financial earnings	http://investor.talx.com/phoenix.zhtml?c=74399&p=irol-
8/31/2006	TAP Pharmaceutical Products, Abbott Laboratories and Takeda Pharmaceutical	Illinois Attorney General	US District Court, Illinois	Settlement	\$1,860,000.00	Companies published fraudulent prices for the drug, causing government programs to overpay hundreds of millions of dollars.	http://www.lawyersandsettlements.com/settlements/06226/lupron_illinois.html
	Tarrant Apparel Group	IRS		Settlement/Penalty	\$14,000,000.00	Past taxes owed	http://www.lawyersandsettlements.com/settlements/11245/tarrant-irs-past-taxes.html
8/10/2016	TASER International, Inc.	SH class action	US District Court, Arizona	Settlement	\$20,000,000.00	False and misleading information led to stock price inflation	http://www.globenewswire.com/newroom/news.html?d=103578

12/18/2000	TCC Industries, Inc.	Class action	US District Court, Colorado	Settlement	\$7,500,000.00	Company artificially inflated stock prices	http://securities.stanford.edu/1005/TELC99/
4/21/2009	TD Banknorth Inc.	SH action	Delaware Chancery Court	Settlement	\$50,000,000.00	Fairness of buyout challenged (price accepted was too low)	http://www.bloomberg.com/apps/news?pid=20601082&sid=aNT194TQtOgw&refer=canada
9/11/2008	Team Telecom International Ltd. (TTI)	Class action	US District Court, New Jersey	Settlement	\$4,300,000.00	Securities violations	http://securities.stanford.edu/1032/TTIL04-01/
8/25/2003	Technical Chemicals & Products, Inc.	Class action	US District Court, Florida	Settlement	\$1,000,000.00	Company made false and misleading statements	http://securities.stanford.edu/1007/TCPI98/
2/27/2007	TECO Energy Inc.	Class action	US District Court, Florida	Settlement	\$17,350,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/07252/teco-securities-settlement.html
11/2/2000	Tee-Comm Electronics	Class action	US District Court, Connecticut	Settlement	\$1,800,000.00	Company disseminated false and misleading statements and failed to disclose material adverse information	http://securities.stanford.edu/1007/TENXF98/
7/11/2003	Teletex, Inc.	Class action	US District Court, Nevada	Settlement	\$1,120,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1035/TLTK97_01/
9/11/2006	Tellium, Inc.	Class action	US District Court, New Jersey	Settlement	\$5,500,000.00	Issuing false and misleading statements concerning its business and financial condition	http://securities.stanford.edu/1026/TELM02-01/

11/9/2001	Tel-Save Holdings	Class action	US District Court, Pennsylvania	Settlement	\$5,800,000.00	Company issued false and misleading statements to the investing public regarding its business, operations, financial condition and performance.	http://securities.stanford.edu/1007/TALK98/
11/12/2007	Telstra	SH action	Federal Court, Sydney	Settlement	\$5,000,000.00	Failure to disclose material information caused artificially inflated stock prices	http://www.australianit.news.com.au/story/0,24897,22742934-15306,00.html
1/12/2007	Telxon Corp.	Class action		Settlement	\$67,900,000.00	Materially false and misleading statements artificially inflated the price of Telxon securities	http://www.lawyersandsettlements.com/settlements/06927/telxon-
1/12/2006	Tenet Healthcare Corp.	SH class action	US District Court, California	Settlement	\$215,000,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/04800/tenet_securities_settlement.html
12/10/2002	TenFold Corporation	Class action	US District Court, Utah	Settlement	\$5,900,000.00	Company made false and materially misleading statements	http://securities.stanford.edu/1015/TENF00/
7/6/2006	Terayon Communications Systems, Inc.	Class action	US District Court, California	Settlement	\$15,000,000.00	Company made false and materially misleading statements	http://securities.stanford.edu/1014/TERN00/
9/18/2008	Terayon Communications Systems, Inc.	Class action	US District Court, California	Settlement	\$2,730,000.00	Quarterly and annual financial results were false and materially misleading	http://securities.stanford.edu/1036/TERN_01/
12/1/1985	Texaco	Pennzoil		Verdict	\$11,100,000,000.00	Illegally persuading Getty to abandon a merger with Pennzoil	

3/7/2006	Textron Inc.	SH action	US District Court, Rhode Island	Settlement	\$7,000,000.00	Issuing material misrepresentations causing artificially inflated stock prices	http://securities.stanford.edu/1024/TXT02-01/index.html
9/3/2004	The Lincoln Company LLC	Class action	US District Court, Illinois	Settlement	\$2,000,000.00	Company published manipulative and deceptive press releases	http://securities.stanford.edu/1020/HMX01/
6/21/2006	The MIIX Group, Inc.	Class action	US District Court, New Jersey	Settlement	\$2,520,000.00	Securities violations and breaches of fiduciary duties	http://securities.stanford.edu/1027/MHU03-01/
9/30/2004	Theragenics Corporation	Class action	US District Court, Georgia	Settlement	\$10,000,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1007/TGX99/
9/19/2002	Think New Ideas, Inc	Class action	US District Court, New York	Settlement	\$800,000.00	False and misleading statements about earnings and revenues caused artificially inflated stock prices	http://securities.stanford.edu/1007/THNK98/
5/7/2000	Thomas & Betts Corporation	Class action	US District Court, Tennessee	Settlement	\$46,500,000.00	Company issued false and misleading information	http://securities.stanford.edu/1015/TNB00/
11/21/2006	Thoratec Corporation	Class action	US District Court, California	Settlement	\$3,375,000.00	False and misleading statements caused artificially inflated stock prices	http://securities.stanford.edu/1031/THOR04-01/
7/1/2003	THQ, Inc.	Class action	US District Court, California	Settlement	\$10,150,000.00	Company falsely represented the state of its business, financial results, and prospects causing its stock price to be inflated	http://securities.stanford.edu/1013/THQI00/
7/6/2004	Tidel Technologies, Inc.	Class action	US District Court, Texas	Settlement	\$3,000,000.00	Material misrepresentations and omissions regarding the company's financial situation	http://securities.stanford.edu/1021/ATMS/index.html
11/8/2001	TIG Holdings, Inc.	Class action	US District Court, New York	Settlement	\$1,000,000.00	Company made false and misleading statements	http://securities.stanford.edu/1007/TIG98/

1/9/2007	Time Warner	Five Ohio pension funds and the Ohio Bureau of Workers Compensation Fund		Settlement	\$144,000,000.00	The value of AOL stock was inflated before the 2001 AOL-Time Warner merger	
3/12/2007	Time Warner	University of California, Los Angeles		Settlement	\$246,000,000.00	#####	http://www.dailycal.org/article/23781/uc_may_settle_ov
8/3/2005	Time Warner	AOL and Time Warner SHs		Settlement	\$3,000,000,000.00	Material misrepresentations artificially inflated stock prices	http://securities.stanford.edu/1024/AOL02-01/
3/25/2005	Time Warner Inc	SEC and DOJ		Settlement	\$510,000,000.00	Altering financial statements from advertising revenues and subscriber counts at its AOL unit	http://www.lawyersandsettlements.com/settlements/03235/timewarner.htm
12/21/2005	Titan Corporation	Class action	US District Court, California	Settlement	\$3,000,000.00	Securities violations	http://securities.stanford.edu/1030/TTN04-01/
4/2/2008	TJX Companies	MasterCard International Inc.		Settlement	\$24,000,000.00	Security breach in which customers' credit and debit card data was stolen from its computer systems	http://www.lawyersandsettlements.com/settlements/11413/tjx-discount-stores-data-breach.html
6/20/2008	TNS, Inc.	Class action	US District Court, Virginia	Settlement	\$3,600,000.00	Securities violations	http://securities.stanford.edu/1035/TNS_01/
6/18/2008	Tommy Hilfiger Corporation	Class action	US District Court, New York	Settlement	\$16,000,000.00	Failure to disclose and misrepresenting material adverse facts	http://securities.stanford.edu/1032/TOM04-01/
7/31/2008	Top Ships Inc.	Securities class action	US District Court, New York	Settlement	\$1,200,000.00	Accounting fraud	http://www.b2i.cc/Document/1938/Topship_080608.pdf

7/31/2008	TOP Tankers, Inc.	Class action	US District Court, New York	Settlement	\$1,200,000.00	Company published false and misleading statements	http://securities.stanford.edu/1036/TOPT_01/
5/9/2002	Total Renal Care Holdings, Inc.	Class action	US District Court, California	Settlement	\$25,000,000.00	Company made false and misleading statements	http://securities.stanford.edu/1007/TRL99/
4/12/2000	Tower Semiconductor Ltd.	Class action	US District Court, New York	Settlement	\$16,275,000.00	Securities violations	http://securities.stanford.edu/1007/TSEMF96/
8/30/2006	Tower Tours and Coach USA/Gray Line	Class action-concierges and bellmen		Settlement	\$3,100,000.00	Price fixing	http://www.lawyersandsettlements.com/settlements/06215/sanfran_sights_eeing.html
7/23/2003	Towne Services, Inc	Class action	US District Court, Georgia	Settlement	\$2,000,000.00	Company made false and misleading statements were disseminated to investors	http://securities.stanford.edu/1011/TWNE99/
3/7/2007	Transaction Systems Architects, Inc.	Class action	US District Court, Nebraska	Settlement	\$24,500,000.00	Company represented its historical financial condition	http://securities.stanford.edu/1026/TSAIE02-01/
3/27/2000	Transcrypt International, Inc.	Class action	US District Court, Nebraska	Settlement	\$3,850,000.00	Securities violations	http://securities.stanford.edu/1007/TRII98/
8/15/2008	Transkaryotic Therapies, Inc.	Class action	US District Court, Massachusetts	Settlement	\$50,000,000.00	Company made misrepresentations and nondisclosures of material fact to the investing public concerning TKT's prospects for FDA approval for the marketing of TKT's Replagal enzyme therapy for the treatment of Fabry disease.	http://securities.stanford.edu/1026/TKTX03-01/

12/31/2003	Transmeta Corporation	Class action	US District Court, California	Settlement	\$5,500,000.00	Company issued false and misleading statements about Transmeta's business and its principal line of microprocessors, the Crusoe.	http://securities.stanford.edu/1018/TMTA01/
3/31/2008	Tranz Rail	SH action		Settlement	\$17,000,000.00	Insider Trading	http://www.lawyers
4/22/2005	Trico Marine Services, Inc	Class action	US District Court, Louisiana	Settlement	\$600,000.00	Securities violations	http://securities.stanford.edu/1031/TMAR04-01/
7/21/2008	Trilegiant	Class action	Illinois court	Settlement	\$25,000,000.00	Billing and collecting unauthorized charges from consumers for products or memberships that consumers never requested or consented to receive.	http://www.consumeraffairs.com/news04/2008/07/trilegiant_class.html
7/14/2005	Tripath Technology Inc.	Securities class action	US District Court, California	Settlement	\$200,000.00	Securities fraud	http://www.lawyersandsettlements.com/settlements/03926/tripath_secuiriites.html
8/9/2006	Triplos, Inc.	Class action	US District Court, Missouri	Settlement	\$3,160,000.00	Company issued false and misleading statements	http://securities.stanford.edu/1028/TRPS03-01/
5/22/2007	Tri-S Security Corp.	Paragon Systems, Inc. SH		Settlement	\$1,250,000.00	Securities fraud	http://www.businesswire.com/portal/site/google/index.j
3/23/1999	TriTeal Corporation	Class action	US District Court, California	Settlement	\$1,200,000.00	Company made material misstatements and omissions	http://securities.stanford.edu/1007/TEAL97/
9/24/2002	Triton Energy Limited	Class action	US District Court, Texas	Settlement	\$49,500,000.00	Making materially false and misleading statements	http://securities.stanford.edu/1007/OIL98/
7/10/2006	Tropical Sportswear International Corporation	Class action	US District Court, Florida	Settlement	\$8,000,000.00	Company materially misled the public by making false and misleading statements and omitting adverse information	http://securities.stanford.edu/1028/TSIC03-01/

12/18/2008	Tube Media Corporation	Class action	US District Court, Florida	Settlement	\$600,000.00	Company made false public statements and omitted material information about its failure to pay employee payroll taxes to the government	http://securities.stanford.edu/1038/TUBMPK_01/
11/12/2002	Turbodyne Technologies, Inc.	Class action	US District Court, California	Settlement	\$7,900,000.00	Issuing false and misleading statements regarding company's financial condition	http://securities.stanford.edu/1007/TRBD99/
4/20/2004	Turkcell Iletisim Hizmetler	Consolidated securities class action	US District Court, New York	Settlement	\$19,500,000.00	Misleading the investing public in registration statements filed with the SEC prior to the offering.	http://securities.stanford.edu/1016/TKC00/
10/3/2003	Turnstone Systems, Inc.	Class action	US District Court, California	Settlement	\$7,000,000.00	Securities violations-misrepresentations and omissions	http://securities.stanford.edu/1017/TSTN01/index.html
1/5/2004	Tut Systems Inc	Class action	US District Court, California	Settlement	\$10,000,000.00	Securities violations	http://sanfrancisco.bizjournals.com/sanfrancisco/stories/2004/01/05/daily7.html
5/25/2007	TV Azteca	Consolidated SH class actions	US District Court, New York	Settlement	\$1,200,000.00	Securities violations-issuing a series of material misrepresentations to the market, thereby artificially inflating the price of the company's securities.	http://www.lawyersandsettlements.com/settlements/08216/tv-azteca-securities.html
2/6/2008	TVIA, Inc.	Class action	US District Court, California	Settlement	\$2,850,000.00	Company issued materially false and misleading revenue guidance	http://securities.stanford.edu/1036/TVIA_01/
4/1/2002	Twinlab Corporation	Class action	US District Court, New York	Settlement	\$26,000,000.00	Company made materially false and misleading statements	http://securities.stanford.edu/1007/TWLB98/
7/20/2005	Twinlab Corporation	Class action	US District Court, New York	Settlement	\$3,000,000.00	Accounting irregularities	http://securities.stanford.edu/1016/TWLB00/

1/24/2005	TXU Corp	Class action	US District Court, Texas	Settlement	\$150,000,000.00	Hiding poor Europe performance and mislead investors about the company's ability to prosper in deregulated electrical markets	http://www.lawyersandsettlements.com/settlements/02868/txu.html
8/17/2009	Tyco International	Retirement plan participants	US District Court, New Hampshire	Settlement	\$70,500,000.00	Failing to disclose hundreds of millions of dollars of corporate assets provided to senior executives, omitting the disclosure of hundreds of acquisitions, engaging in numerous improper accounting techniques, and improperly reporting financial results.	http://www.izardnobel.com/cases-current-detail.php?case=24
5/20/2009	Tyco International	Michigan State		Settlement	\$24,500,000.00	Compensation for losses sustained when Tyco's false accounting practices became public and stock prices plummeted	http://www.lawyersandsettlements.com/settlements/13499/tyco-settlement-2.html
1/23/2009	Tyco International	Massachusetts State Pension Fund (Pension Reserves Investment Management Trust)		Settlement	\$1111,000,000	Inflating profits, leading to inflated stock prices (opted out of the above class action in order to get a larger settlement)	http://www.bizjournals.com/boston/stories/2009/01/19/daily75.html
4/30/2008	Tyco International	New Jersey		Settlement	\$73,300,000.00	compensation for losses due to fraud, insider trading, and accounting improprieties	http://www.lawyersandsettlements.com/settlements/11689/tyco-nj-
7/16/2007	Tyco International	Class action	US District Court, New York	Settlement	\$2,975,000,000.00	Investors were harmed by fraudulent accounting practices orchestrated by Tyco's former top executives	http://securities.stanford.edu/1023/TYC02-01/

4/11/2008	Tyco International Ltd.	Bondholders		Settlement	\$250,000,000.00	Company's plan to restructure into smaller entities was unfair to bondholders	http://www.reuters.com/article/businessNews/idUSN1119728920080412
8/8/2008	Tyco International, Ltd.	AMP SH action	US District Court, New York	Settlement	\$36,000,000.00	Securities fraud in connection with company's acquisition of AMP, Inc.	http://securities.stanford.edu/1037/TYC04_01/
1/21/2008	Tyson Foods Inc.	SH action	Delaware Chancery Court	Settlement	\$4,500,000.00	Spring loading stock options and improper consulting contracts	http://securities.stanford.edu/news-archive/2008/20080121_Settlement105274_Harris.html
1/6/2006	U.S. Aggregates, Inc.	SH action	US District Court, California	Settlement	\$3,500,000.00	Value of shares dropped when company restated prior earnings reports	http://securities.stanford.edu/1018/AGA01/index.html
5/7/2008	UBS	Massachusetts		Settlement	\$35,000,000.00	Investigation into whether investment bank misled the governments into believing that their investments were sufficiently low-risk to make them permissible for cities and towns under state law.	http://www.fool.com/news/associated-press/2008/05/07/mass-govts-agencies-to-recover-35m-in-
3/10/2005	UBS	Class action-led by New York State comptroller		Settlement	\$12,540,000.00	Bank should have known about WorldCom's fraudulent activities and cover up	
4/8/2006	UBS	Sumitomo Corp.		Settlement	\$85,000,000.00	Compensation for a copper trading scandal and unauthorized copper trades	http://www.nytimes.com/2006/04/08/business/worldbusiness/08copper.html?_r=1

4/11/2005	UBS	Laura Zublake		Verdict	\$29,000,000.00	Sex discrimination	
8/8/2008	UBS Financial Services, Inc.	State and federal regulators		Settlement/Fine	\$150,000,000.00	Firm misled investors into buying bonds that were far riskier than advertised, according to people briefed on the talks.	
8/8/2008	UBS Financial Services, Inc.	State and federal regulators		Settlement/buyback of bonds	\$19,200,000,000.00	Firm misled investors into buying bonds that were far riskier than advertised, according to people briefed on the talks.	
8/10/2005	UBS Warburg LLC	Interspeed SH action	US District Court, New York	Settlement	\$5,000,000.00	Company maintained a "buy" rating on Interspeed stock while privately sending emails that the company should be shorted	http://securities.stanford.edu/1034/UWL03_01/
1/9/2001	UCAR International, Inc.	Class action	US District Court, Connecticut	Settlement	\$40,500,000.00	Company violated federal securities laws by concealing its participation in an illegal price-fixing scheme, thereby causing the price of the its common stock to be artificially inflated	http://securities.stanford.edu/1007/UCR98/
10/12/2007	UICI	Class action	US District Court, Texas	Settlement	\$6,900,000.00	Company issued false and misleading information	http://securities.stanford.edu/1031/UICI04-01/

11/16/2009	Ulta Salon, Cosmetics & Fragrances, Inc.	Class action	US District Court, Illinois	Settlement	\$3,750,000.00	Registration statement and prospectus contained false and materially misleading information	http://securities.stanford.edu/1039/ULTA_01/
1/27/2006	Ultimate Electronics, Inc.	Class action	US District Court, Colorado	Settlement	\$1,200,000.00	Company issued false and misleading statements and press releases	http://securities.stanford.edu/1027/ULTE03-01/
2/3/2004	Ultralife Batteries, Inc.	Class action	US District Court, New Jersey	Settlement	\$280,000.00	Securities violations	http://securities.stanford.edu/1007/ULBI98/
9/30/2004	UniCapital Corporation	Class action	US District Court, Florida	Settlement	\$5,200,000.00	Securities violations	http://securities.stanford.edu/1014/UCP00/
	Unicredit SpA	Parmalat SpA		Settlement/Payment	\$423,000,000.00	Settlement of claims related to its role in Parmalat's collapse	
9/18/2002	Unify Corporation	Class action	US District Court, California	Settlement	\$3,400,000.00	Improper accounting practices	http://securities.stanford.edu/1015/UNFY00/
12/13/2000	Union Pacific Corporation	Class action	US District Court, Texas	Settlement	\$34,025,000.00	Misrepresenting material information concerning the safety and efficiency of the Company's operations and failing to disclose problems the Company was experiencing	http://securities.stanford.edu/1007/UNP97/
4/20/2006	Union Securities Ltd.	British Columbia Securities Commission and the Investment Dealers Association of Canada		Fine	\$1,770,000.00	Failing to properly supervise employees in a number of situations involving improper trading activities	http://www.canada.com/vancouver/sun/news/business/story.html?id=e736c76f-5912-406a-a69e-f653fcde5367&p=
4/6/2006	Uniroyal Technology Corporation	Class action	US District Court, Florida	Settlement	\$2,650,000.00	Company issued a series of materially false and misleading statements	http://securities.stanford.edu/1024/UTCIO2-01/

5/17/1999	Unison HealthCare Corporation	Class action	US District Court, Arizona	Settlement	\$4,250,000.00	Financial statements materially overstated financial results	http://securities.stanford.edu/1008/UNHC97/
3/28/2001	Unistar Financial Service Corp	Class action	US District Court, Texas	Settlement	\$1,100,000.00	Company issued false and misleading financial statements	http://securities.stanford.edu/1008/UAI99/
12/11/1999	Unisys Corporation	Class action	US District Court, Pennsylvania	Settlement	\$5,750,000.00	Artificial stock price inflation and insider trading	http://securities.stanford.edu/1011/UIS99/
11/34/98	Unitech Industries, Inc.	Class action	US District Court, Arizona	Settlement	\$900,000.00	Misrepresenting material information	http://securities.stanford.edu/1008/UTI1Q96/
10/7/2008	United Rentals	3 class actions (consolidated)	US District Court, Connecticut	Settlement	\$27,500,000.00	False and misleading financial statements led to inflated stock prices.	http://securities.stanford.edu/news-archive/2009/20090420_Settlement109878_McKenzie.html
8/11/2009	UnitedHealth Group	SH Action	US District Court, Minnesota	Settlement	\$925,000,000.00	Backdating of stock options	https://www.unitedhealthgroupsettlement.com/ssl/Default.aspx
1/21/2005	Universal Access, Inc.	Class action	US District Court, Texas	Settlement	\$11,000,000.00	Securities violations	http://securities.stanford.edu/1024/UAXS02-01/
6/28/2002	UNUMProvident Corp., Inc.	Class action	US District Court, Maine	Settlement	\$45,000,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1011/UNM99/
5/28/2008	UnumProvident Group	SH class action	US District Court, Tennessee	Settlement	\$40,000,000.00	Artificially inflating stock prices	http://securities.stanford.edu/news-archive/2008/20080528_Settlement106897_Writer.html

10/10/2008	US Auto Parts Network	SH action	US District Court, California	Settlement	\$10,000,000.00	A false and misleading Registration Statement led to inflation of stock prices and sales	http://securities.stanford.edu/1037/PRTS_01/
1/27/2005	US Corrections Corp. ESOP	Class action	US District Court, Kentucky	Verdict	\$21,669,927.00	Breach of fiduciary duty and prohibited transactions under ERISA	http://www.lawyersandsettlements.com/settlements/02884/esop.html
4/17/2002	US Franchise Systems, Inc.	Class action	US District Court, Georgia	Settlement	\$3,750,000.00	Issuing false and materially misleading statements	http://securities.stanford.edu/1014/USFS00/
10/21/2003	US Interactive, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$3,250,000.00	Company issued a series of material misrepresentations to the market causing artificially inflated stock prices	http://securities.stanford.edu/1017/USITQ01/
5/26/2006	US Liquids, Inc.	Class action	US District Court, Texas	Settlement	\$600,000.00	Company made materially false and misleading statements and omitted adverse information	http://securities.stanford.edu/1008/USL99/
10/9/2000	US Tobacco Company	Conwood		Verdict	\$1,050,000,000.00	Antitrust violations-UST used tactics including theft and vandalism of display racks and advertising to keep competing products out of stores.	http://www.fundinguniverse.com/company-histories/UST-Inc-Company-History.html
3/30/1999	USA Detergents, Inc.	Consolidated class action	US District Court, New Jersey	Settlement	\$10,000,000.00	Company concealed material adverse information	http://securities.stanford.edu/1011/USAD97/
9/3/2007	UST Inc.	Class action	US District Court, Wisconsin	Settlement	\$1,060,000,000.00	Antitrust violations-engaging in acts designed to monopolize the market	http://www.lawyersandsettlements.com/settlements/09201/snuff-antitrust.html
9/18/2009	UTStarcom, Inc.	Class action	US District Court, California	Settlement	\$9,500,000.00	Company backdated stock options	http://securities.stanford.edu/1038/UTSI_01/

8/23/2004	Value America, Inc.	Class action	US District Court, Virginia	Settlement	\$4,600,000.00	Company made material misrepresentations and omissions	http://securities.stanford.edu/1013/VUSA00/
11/9/2009	ValueClick, Inc.	Class action	US District Court, California	Settlement	\$10,000,000.00	Company issued false and misleading statements	http://securities.stanford.edu/1038/VCLK_01/
10/28/1999	ValuJet Airlines, Inc.	Class action	US District Court, Georgia	Settlement	\$2,500,000.00	Company made material misrepresentations and omissions	http://securities.stanford.edu/1007/VJET96/
3/31/2004	Van der Moolen	SEC		Settlement	\$58,000,000.00	Company violated securities laws by executing its own orders for the shares they managed ahead of customers' orders. Part of the \$241 million settlement with the SEC	
12/7/2006	Van der Moolen Holding	Class action	US District Court, New York	Settlement	\$8,000,000.00	Stock drop securities fraud	http://www.lawyersandsettlements.com/settlements/06797/vander-moolen-securities.html
7/10/2006	Van Der Moolen Specialists USA	New York Stock Exchange (NYSE)		Fine	\$3,500,000.00	Company's stock loan department had violated the regular stock loan transaction and committed related violations and that the company had failed to reasonably supervise the department	http://www.lawyersandsettlements.com/settlements/05879/van_der_moolen_specialists_usa_stock_loan.html
6/25/2004	Vans, Inc.	Class action	US District Court, California	Settlement	\$4,600,000.00	Issuing false and misleading financial statements	http://securities.stanford.edu/1029/VANS04-01/
7/29/1999	VanStar Corporation	Class action	US District Court, California	Settlement	\$5,000,000.00	Company misrepresented earnings and business prospects	http://securities.stanford.edu/1007/VST98/
12/31/2002	VantageMed Corporation	Class action	US District Court, California	Settlement	\$2,500,000.00	Registration Statement and Prospectus contained false and misleading information	http://securities.stanford.edu/1013/VMDC00/

5/5/2008	Various manufacturers of Oriented Strand Boards (OSB)	Class action	US District Court, Pennsylvania	Settlement	\$120,730,000.00	Price Fixing	http://www.osbsettlement.com/
6/3/2005	Vaso Active Pharmaceuticals Inc.	Class action	US District Court, Massachusetts	Settlement	\$1,100,000.00	False and misleading statements regarding key products.	http://securities.stanford.edu/1030/VAPH04-01/
7/10/2007	Veeco Instruments	Securities class action	US District Court, New York	Settlement	\$5,500,000.00	Violations of the federal securities laws, for allegedly making a series of false and misleading statements	http://www.lawyersandsettlements.com/settlements/08665/veeco-securities-settlement.html
3/22/2007	Veras Capital Master Fund et al	SEC		Settlement	\$38,000,000.00	Participation in a fraudulent market timing and late trading scheme	http://www.lawyersandsettlements.com/settlements/075
4/23/2007	VeriSign, Inc.	Class action	US District Court, California	Settlement	\$80,000,000.00	Securities violations	http://securities.stanford.edu/1024/VRSN02-01/
2/25/2008	Veritas Software Corporation	Class action	US District Court, California	Settlement	\$35,000,000.00	Improper accounting techniques	http://securities.stanford.edu/1026/VRTS03-01/
7/31/2008	Veritas Software Corporation	Class action	US District Court, Delaware	Settlement	\$21,500,000.00	Issuing misleading statements regarding contract negotiations	http://securities.stanford.edu/1031/VRTS04-01/
1/31/2003	Versata, Inc.	Class action	US District Court, California	Settlement	\$10,450,000.00	False statements, misrepresentations and omissions caused artificially inflated stock prices	http://securities.stanford.edu/1017/VATA01/
9/17/1998	Versatility, Inc.	Class action	US District Court, New York	Settlement	\$3,500,000.00	Securities violations-misrepresentations in order to artificially inflate stock prices.	http://securities.stanford.edu/1011/VERS98/
9/30/2002	Vesta Insurance Group, Inc.	Class action	US District Court, Alabama	Settlement	\$61,000,000.00	Misleading investors by issuing materially false and misleading information	http://securities.stanford.edu/1007/VT98/

10/22/1998	Veterinary Centers of America, Inc.	Class action	US District Court, California	Settlement	\$6,750,000.00	Company issued false and misleading statements about its business plan and operations	http://securities.stanford.edu/1011/VCA97/
5/17/2007	Vicuron Pharmaceuticals, Inc.	Class action	US District Court, Pennsylvania	Settlement	\$12,750,000.00	Company artificially inflated stock prices by concealing material adverse information	http://securities.stanford.edu/1031/MICU04-01/
7/31/2007	Viisage Technology, Inc.	Consolidated class action	US District Court, Massachusetts	Settlement	\$2,300,000.00	Securities violations	http://securities.stanford.edu/1033/VISG05_01/
12/1/2005	Virbac Corporation	Class action	US District Court, Texas	Settlement	\$3,125,000.00	Materially false and misleading statements caused overstated financial results	http://securities.stanford.edu/1029/VBACE03-01/
11/23/2004	ViroPharma Inc.	Class action	US District Court, Pennsylvania	Settlement	\$9,000,000.00	Company made highly positive statements about one of its drugs and failed to disclose adverse information	http://securities.stanford.edu/1023/VPHM02-01/index.html
6/26/2008	Visa	American Express		Settlement	\$2,250,000,000.00	Antitrust violations	http://blogs.wsj.com/law/2008/06/26/amex-boies-land-another-huge-antitrust-settlement/?mod=googlenews_wsj
5/1/2003	Visa and Mastercard	Class action-retailers		Settlement	\$3,000,000,000.00	Lawsuit over debit card fees	http://www.allbusiness.com/retail-trade/food-stores/4254377-1.html
7/26/2006	Visa USA, MasterCard and Diners Card	Class action		Settlement	\$336,000,000.00	Overcharging cardholders when converting foreign transactions into dollars and failing to disclose all fees.	http://www.lawyersandsettlements.com/settlements/05989/credit_card_settlement.html
10/28/2008	Visa, MasterCard	Discover		Settlement	\$2,750,000,000.00	Antitrust violations	http://www.lawyers

9/2/2009	Vision, Inc.	Class action	US District Court, Texas	Settlement	\$550,000.00	Securities violations	http://securities.stanford.edu/1037/VNSI_01/
9/22/2003	Vision Twenty-One, Inc.	Class action	US District Court, Florida	Settlement	\$2,500,000.00	Securities violations	http://securities.stanford.edu/1007/EYES99/
8/29/2003	VisionAmerica, Inc.	Class action	US District Court, Tennessee	Settlement	\$3,400,000.00	Company materially overstated earnings by failing to disclose adverse information	http://securities.stanford.edu/1013/VSNA00/
3/14/1997	VISTA 2000, Inc.	Class action	US District Court, Georgia	Settlement	\$300,000.00	Revenue and earnings reports were false and misleading	http://securities.stanford.edu/1007/VISTE96/
9/29/2006	VistaCare, Inc.	Class action	US District Court, Arizona	Settlement	\$4,600,000.00	Company materially overstated revenue and earnings	http://securities.stanford.edu/1031/VSTA04-01/
10/10/2007	Visy Industries pays	Class action	Melbourne, Australia	Settlement	\$26,800,000.00	Antitrust violations-price fixing	http://www.lawyersandsettlements.com/settlements/09591/recycling-price-fixing.html
7/1/2006	Vitamin makers-Hoffman LaRoche, BASF AG, Rhone-Poulenc; Daiichi Pharmaceutical Co., Eisai Co., and Takeda Chemical Industries Ltd.	Class action		Settlement	\$310,000,000.00	International price fixing	http://www.lawyersandsettlements.com/settlements/05833/hoffman_laroc he_basf_rhone_et al.html
4/7/2008	Vitesse Semiconductor Corp.	Consolidated class action	US District Court, California	Settlement	\$10,200,000.00	Stock options backdating and accounting manipulations	http://securities.stanford.edu/1036/VTSS_01/
2/27/2007	Vivendi Universal	SEC		Settlement	\$51,000,000.00	Securities violations	http://www.lawyers
5/4/1999	Vivus, Inc.	Class action	US District Court, California	Settlement	\$6,000,000.00	False and misleading statements led to artificial stock price inflation	http://securities.stanford.edu/1007/VVUS98/

3/25/2005	Vodafone Group	Class action	US District Court, New York	Settlement	\$24,500,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/03236/vodafone.html
3/30/2007	VoiceFlash Networks, Inc.	Class action	US District Court, Florida	Settlement	\$600,000.00	Material misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1027/VFNX03-01/
4/20/2007	Vonage	SEC		Settlement	\$22,500,000.00	Securities fraud and violations of the SEC's broker-dealer books and records and reporting provisions	http://www.lawyersandsettlements.com/settlements/07762/vonage-ceo.html
4/25/2008	Wachovia	Class action		Settlement	\$125,000,000.00	Company knowingly ignored a third-party telemarketers' use of bank accounts to steal from customers.	http://www.lawyersandsettlements.com/settlements/11648/wachovia-telemarketer-check-cashing.html
8/16/2008	Wachovia	SEC and multiple states, led by Missouri		Settlement/Fine	\$50,000,000.00	Company misled investors in auction-rate securities	
8/16/2008	Wachovia	SEC and multiple states, led by Missouri		Stock buyback	\$9,000,000,000.00	Company misled investors in auction-rate securities	
9/25/2009	Wachovia	SEC and consolidated class action		Settlement	\$150,000,000.00	Liability for inappropriate acts	http://www.creditcards.com/credit-card-news/wachovia-telemarketer-settlement-occ-1282.php
6/28/2007	Wachovia	NASD		Settlement	\$2,000,000.00	Failure to adequately supervise its fee-based brokerage business	http://www.lawyersandsettlements.com/settlements/085

10/10/2008	Wachovia	Class action	US District Court, Pennsylvania	Settlement	\$200,000,000.00	Involvement with telemarketers who removed money from customer accounts	http://www.lawyersandsettlements.com/settlements/12713/wachovia-settles-telemarketing-suits-200-million.html
7/11/2006	Wachovia Corp.	US Government and DC		Settlement	\$25,000,000.00	Failing to prevent conflicts of interests for its stock analysts, which could have resulted in biased research	http://www.lawyersandsettlements.com/settlements/05844/wachovia_corp
2/20/2004	Warnaco Group, Inc	Class action	US District Court, New York	Settlement	\$12,850,000.00	False and misleading statements about strong sales caused artificially inflated stock prices	http://securities.stanford.edu/1015/WAC00/
6/12/2007	Warner Chilcott	Class action involving 34 states and DC		Settlement	\$5,500,000.00		
4/29/2008	Warner Chilcott Ltd.	Employee class action	US District Court, New York	Settlement	\$16,500,000.00	Making false and misleading statements regarding the company's birth control pills.	http://www.courthousenews.com/2009/07/14/Judge_Ap proves_\$16_5M_Warner_Chilcott_Deal.htm
8/9/2007	Warner Chilcott Ltd.	Class action		Settlement	\$10,000,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/08940/contraceptive-antitrust.html
3/17/2005	Waste Management Inc.	Class action	US District Court, Texas	Settlement	\$457,000,000.00	Securities violations related to a merger	http://www.lawyersandsettlements.com/settlements/03185/wastemanagementsettlement.html

8/6/2007	WatchGuard Technologies, Inc.	Class action	US District Court, Washington	Settlement	\$1,750,000.00	Company disseminated materially false and misleading statements	http://securities.stanford.edu/1034/WGRD05_01/
1/10/2007	Wave Systems Corporation	Class action	US District Court, New Jersey	Settlement	\$1,750,000.00	Company issued materially false and misleading statements	http://securities.stanford.edu/1029/WAVX04-01/
12/31/1999	Wellcare Management Group, Inc.	Class action	US District Court, New York	Settlement	\$2,500,000.00	Financial statements did not accurately reflect financial results	http://securities.stanford.edu/1007/WELL96/
2/5/2008	Wells Fargo & Company	Wells Fargo Family of Mutual Funds SH	US District Court, California	Settlement	\$1,150,000.00	Failure to disclose material information	http://securities.stanford.edu/1035/WFC05_01/
8/12/2005	Wells Fargo & Company	Class action-California residents		Settlement	\$34,000,000.00	Overcharging customers	http://www.lawyersandsettlements.com/settlements/04132/wells_fargo_processing_fees.html
8/30/2004	Wells Fargo & Company	Class action		Settlement	\$6,700,000.00	Illegally selling customers' personal information	http://www.legalnewswatch.com/422/wells-fargo-settles-class-action-lawsuit-for-67-million
10/9/2006	Wells Fargo & Company	Class action-employees	US District Court, California	Settlement	\$12,800,000.00	Violations of the Fair Labor Standards Act	http://www.allbusiness.com/legal/litigation/3926105-1.html
2/5/2008	Wells Fargo & Company	Wells Fargo Family of Mutual Funds SH	US District Court, California	Settlement	\$1,150,000.00	Failure to disclose material information	
8/12/2005	Wells Fargo & Company	Class action-California residents		Settlement	\$34,000,000.00	Overcharging customers	

8/30/2004	Wells Fargo & Company	Class action		Settlement	\$6,700,000.00	Illegally selling customers' personal financial data to telemarketers	
10/9/2006	Wells Fargo & Company	Class action-employees	US District Court, California	Settlement	\$12,800,000.00	Violations of the Fair Labor Standards Act	
10/30/2008	Wells Fargo & Company	Class action	US District Court, California	Settlement	\$10,000,000.00	Reimbursement for home loan consultants that they are owed amounts for unreimbursed business expenses and amounts improperly deducted from their commissions to cover third party fees and wages and salaries or bonuses of Sales Associates.	
4/26/2007	Wells Fargo & Company	Class action-led by ACORN	US District Court, California	Settlement	\$6,800,000.00	Improper subprime mortgage lending practices-company failed to properly disclose points and prepayment penalties to borrowers.	
9/2/2009	Wells Fargo & Company	Individuals-Reed and Mary Ann Fischer	California Court of Appeals	Verdict	\$500,000.00	An uncorrected pattern of false and inaccurate credit reporting damaged the credit scores and resulted in credit denials.	
4/27/2007	Wells Fargo & Company	Class action		Settlement	\$2,400,000.00	Unlawful subprime lending practices	

4/4/1992	Wells Fargo & Company	Class action		Settlement	\$37,500,000.00	Settlement of charges of conspiring to fix interest rates on credit cards	http://articles.latimes.com/1992-04-04/business/fi-326_1_wells-fargo
9/2/2005	Westar Energy Inc.	Class action and SH derivative suits	US District Court, Kansas	Settlement	\$32,500,000.00	Securities fraud-former CEO and chief strategic officer looted company	http://www.lawyersandsettlements.com/settlements/03369/westar_securities.html
11/16/2004	WestPoint Stevens, Inc.	Class action	US District Court, Georgia	Settlement	\$4,250,000.00	Securities violations-misrepresentations	http://securities.stanford.edu/1021/WXS01/
3/10/2004	Weyerhaeuser.	Class action		Settlement	\$34,500,000.00	Company had manipulated alder	http://www.lawyersandsettlements.com/settlements/02081/weyerhaeuser1.html
1/26/2007	White Electronic Designs Corporation	Class action	US District Court, Arizona	Settlement	\$5,700,000.00	Issuing false and misleading statements	http://securities.stanford.edu/1031/WEDC04-01/
10/25/2004	Whitehall Jewellers Inc.	SEC		Settlement	\$13,000,000.00	Fraud and conspiracy charges	http://www.lawyersandsettlements.com
7/24/2006	Whitehall Jewellers, Inc.	Class action	US District Court, Illinois	Settlement	\$7,500,000.00	Misrepresentations caused artificially inflated stock prices	http://securities.stanford.edu/1030/JWL04-01/
2/26/2007	Willbros Group	Class action	US District Court, Texas	Settlement	\$10,500,000.00	Bribing government officials in Bolivia, Nigeria, and Ecuador to win work causing delays and restatements of financial results	http://securities.stanford.edu/1034/WG05_01/

7/10/2007	Williams Companies	Class action	US District Court, Nevada	Settlement	\$11,313,846.15.	Company caused the price of natural gas to increase by conducting prearranged wash trades and reported false price and volume information to trade publications that compile natural gas price indices	http://www.lawyersandsettlements.com/settlements/08645/natural-gas-price-fraud.html
1/17/2007	Williams Cos. Inc.	Class action	US District Court, Oklahoma	Settlement	\$290,000,000.00	Conspiracy to inflate the companies' stock prices	http://securities.stanford.edu/news-archive/2007/20070117_Settlement102381_Price.html
12/19/2008	Wireless Facilities, Inc.	Class action	US District Court, California	Settlement	\$4,500,000.00	False and misleading statements led to artificially inflated stock prices	http://securities.stanford.edu/1037/WFII_01/
3/30/2008	Wireless Facilities, Inc.	Class action	US District Court, California	Settlement	\$12,000,000.00	Company issued materially false statements	http://securities.stanford.edu/1031/WFII04-01/
9/24/1998	Wonderware Corporation	Class action	US District Court, Pennsylvania	Settlement	\$400,000.00	Company issued materially false and misleading statements and omitted adverse information	http://securities.stanford.edu/1011/WNDR96/
11/27/2016	World Health Alternatives Inc.	Class action	US District Court, Pennsylvania	Settlement	\$2,700,000.00	False and materially misleading statements artificially inflated company stock prices	http://securities.stanford.edu/1035/WHAIE05_01/
3/29/2001	WorldPort Communications, Inc.	Class action	US District Court, Georgia	Settlement	\$5,100,000.00	Company issued materially false and misleading statements	http://securities.stanford.edu/1007/WRDP99/
8/24/2005	Worldwide Xceed Group, Inc.	Class action	US District Court, New York	Settlement	\$4,400,000.00	Statements were materially false and misleading and in violation of accounting principles	http://securities.stanford.edu/1017/XCED01/

10/14/2008	WSB Financial	SH action	US District Court, Washington	Settlement	\$4,850,000.00	#####	http://www.globenewswire.com/newroom/news.html?ref=rss&d=152155&topic=2
6/13/2007	Wyeth and Wyeth Pharmaceuticals, Inc.	Class action		Settlement	\$5,200,000.00	Antitrust violations	http://www.lawyersandsettlements.com/settlements/08406/premarin-economic-fraud.html
10/21/2005	Wyndham International	employee SH	US District Court, California	Settlement	\$12,650,000.00	Misleading SH prior to merger	
9/22/2007	Wyndham Worldwide	Class action		Settlement	\$190,000.00	Price gouging during Hurricane Rita	
1/17/2005	Xcel Energy	Class action	US District Court, Minnesota	Settlement	\$70,500,000.00	Securities violations	http://www.lawyersandsettlements.com/settlements/02814/xcel_energy.html
2/13/2006	Xchange, Inc.	Class action	US District Court, Massachusetts	Settlement	\$8,500,000.00	Company issued material misrepresentations	http://securities.stanford.edu/1017/EXAP01/
12/12/2008	Xerium Technologies	Class action	US District Court, Massachusetts	Settlement	\$3,600,000.00	Securities violations	http://securities.stanford.edu/1036/XRM_01/
6/6/2003	Xerox Corp.	SEC		Penalty	\$19,500,000.00	Misleading investors about earnings	http://www.lawyersandsettlements.com

5/28/2028	Xerox Corporation	Class action	US District Court, Connecticut	Settlement	\$670,000,000.00	False and materially misleading statements caused artificially inflated stock prices	http://www.xerox.com/go/xrx/template/inv_rel_newsroom.jsp?app=Newsroom&ed_name=NR_2008March27_Xerox_Settles_Securities_Lawsuit&format=article&view=newsrelease&Xcntry=USA&Xlang=en_US
8/26/2008	Xethanol Corporation	SH action	US District Court, New York	Settlement	\$2,800,000.00	Misrepresentation of financial situation, management, and ethics of the company leading to inflated prices	http://www.tradingmarkets.com/site/news/Stock%20News/1845789/
2/20/2008	Xybernaut Corporation	Consolidated class action	US District Court, Delaware	Settlement	\$6,300,000.00	Company issued false and misleading information	http://securities.stanford.edu/1034/XYBRE05_01/
7/10/1998	Yes! Entertainment Corporation	Securities class action	US District Court, California	Settlement	\$2,250,000.00	Company made false and misleading statements	http://securities.stanford.edu/1007/YESS97/
7/10/2008	Zale Corporation	Class action	US District Court, New York	Settlement	\$5,900,000.00	Reported results of operations were materially overstated	http://securities.stanford.edu/1036/ZLC_01/
1/1/2005	Zeon Chemicals	US DOJ		Settlement/Fine	\$10,500,000.00	Price fixing	http://pubs.acs.org/cen/news/83/i04/8304dupontdow.html
3/1/2001	Zila, Inc.	Class action	US District Court, Arizona	Settlement	\$5,750,000.00	False and misleading statements artificially inflated stock prices	http://securities.stanford.edu/1012/ZILA99/
4/7/2008	Zix Corporation	Class action	US District Court, Texas	Settlement	\$5,600,000.00	Company disseminated false and misleading statements	http://securities.stanford.edu/1032/ZIXI04-01/
5/2/2005	Zomax Incorporated	Securities class action		Settlement	\$2,500,000.00	Securities fraud	http://www.lawyersandsettlements.co

9/6/2005	Zomax, Inc.	Class action	US District Court, Minnesota	Settlement	\$2,250,000.00	Company disclosed false and misleading statements and omitted material adverse information	http://securities.stanford.edu/1033/ZOMX04_01/
5/7/2007	Zurich Capital Markets	SEC		Settlement	\$16,800,000.00	Helping four market-timing hedge funds that defrauded mutual funds	http://www.lawyersandsettlements.com/settlements/079
9/10/2009	Zurich Financial Services and insurance broker Arthur J. Gallagher & Co.	Class action-California, Florida, Hawaii, Maryland, Massachusetts, Oregon, Pennsylvania, Texas, Virginia and West Virginia	US District Court, New Jersey	Settlement	\$150,000,000.00	Price-Fixing	http://www.law.com/newswire/cache/1202433710566.html
				Total	\$422,923,178,479.88		